1	Pacific control of the Atlantic Multidecadal Oscillation - El Niño relationship
2	in the Community Earth System Model - Large Ensemble Simulation
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24 Abstract

We investigate the potential impacts of the Interdecadal Pacific Oscillation (IPO) and 25 Atlantic Multidecadal Oscillation (AMO) on El Niño and the associated atmosphere and ocean 26 dynamics, by using the Community Earth System Model - Large Ensemble Simulation (CESM-27 LENS). The individual effects of IPO and AMO on El Niño frequency and the underlying 28 29 atmosphere-ocean processes are well reproduced in CESM-LENS, and agree with previous studies. However, the sensitivity of El Niño frequency to the AMO is robust mainly during the 30 negative IPO phase and very weak during the positive IPO phase. Further analysis suggests that 31 32 the atmospheric mean state in the eastern Pacific is much amplified during the negative IPO phase, facilitating the AMO-induced inter-ocean atmospheric teleconnections. More specifically, 33 during the negative IPO phase of the amplified mean state, the positive AMO enhances 34 ascending motion from the northeastern Pacific, which in turn increases subsidence into the 35 southeast Pacific through local anomalous Hadley circulation. The associated low-level easterly 36 wind anomalies in the central equatorial Pacific are also reinforced by amplified upper-level 37 divergence over the Maritime Continents to enhance the negative IPO, which is unfavorable for 38 El Niño occurrence. Conversely, the negative AMO nearly cancels out the suppressing effect of 39 40 the negative IPO on El Niño occurrence. During the positive IPO phase of the weakened atmospheric mean state, however, the AMO-induced inter-ocean atmospheric teleconnections are 41 much weaker; thus neither the positive nor negative AMO has any significant impact on El Niño 42 43 occurrence.

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Key words: Decadal variations, Atlantic Multidecadal Oscillation (AMO), Interdecadal Pacific
Oscillation (IPO), El Niño, ENSO and CESM-LENS

48 1. Introduction

El Niño is a fully coupled atmosphere-ocean process characterized by highly positive and 49 persistent sea surface temperature anomalies (SSTAs) in the equatorial Pacific. El Niño induces 50 significant climate variability and affects severe weather events over various parts of the globe 51 via the direct forcing and atmospheric teleconnection, and thus is considered as the most 52 53 significant climate phenomenon at the interannual timescale. For instance, El Niño is known to directly influence the formation of tropical cyclones over the western North Pacific (Camargo 54 and Sobel, 2005; Camargo et al., 2007; Li and Zhou, 2012; Kim et al., 2013; Wu et al., 2014; Wu 55 56 et al., 2018) and the interannual variation of East Asian Monsoon (Wang and Fan, 1999; Wu et al, 2003; Zhou and Chan 2007; Feng et al., 2011). It also modulates the variability of winter 57 precipitation over North America (e.g., Lee et al., 2014; Hoell et al., 2016; Jong et al., 2016; Lee 58 et al., 2018; Lopez and Kirtman, 2018) through extratropical stationary Rossby wave trains. 59

Previous studies have shown that multidecadal SST variations in the Pacific and Atlantic 60 Oceans modulate the frequency of El Niño and thus the remote influence of El Niño on global 61 hydrological cycle (Power et al., 1999; Henley et al. 2013; Chen et al., 2013; Khedun et al. 2014; 62 Westra et al., 2015). The dominant mode of the low-frequency Pacific SST variability is the 63 64 Pacific Decadal Oscillation (PDO), which is defined as the principal component of North Pacific SSTAs poleward of 20°N (Mantua et al., 1997; Newman et al., 2016). The spatial pattern of the 65 PDO is very similar to that of the Interdecadal Pacific Oscillation (IPO), which is defined as the 66 67 low-pass filtered SSTAs difference between the tropical Pacific and the northwest-southwest Pacific (Power et al., 1999; Henley et al., 2015). These decadal variations in the Pacific oscillate 68 between a warm and a cold phase every twenty to thirty years (e.g., Newman et al., 2016). The 69 70 time series of the PDO and IPO are also highly correlated; thus the PDO and IPO are often used

71 interchangeably in literature (Folland et al., 2002; Verdon and Franks, 2007). We use the IPO in this study, since it represents the basin-wide SST variability for the entire Pacific while the PDO 72 represents only the North Pacific SSTAs variability. It is well established that El Niño tends to 73 occur more frequently and persist longer during the positive IPO/PDO phase and vice versa 74 during the negative IPO/PDO phase (Kiem et al., 2003; Verdon and Franks, 2007; Feng et al., 75 2014; Lin et al., 2018). This is because during the positive phase of IPO/PDO, the warm SSTAs 76 in the eastern equatorial Pacific and the associated westerly wind anomalies along the equatorial 77 Pacific provide favorable environments for El Niño occurrence (Wu et al., 2003; Wang et al., 78 79 2008; Feng et al., 2014).

A number of studies have suggested that the frequency of El Niño is affected by not only the 80 Pacific SSTAs but also the North Atlantic SSTAs. In particular, it has been shown that the 81 Atlantic Multidecadal Oscillation (AMO), which is defined as the detrended low frequency 82 SSTAs averaged over the North Atlantic (e.g., Enfield et al., 2001), could affect El Niño 83 occurrence (Dong et al., 2006; Dong and Sutton, 2007; Timmermann et al., 2007; Sung et al., 84 2015; Levine et al., 2017). Previous studies have shown that the cold SSTAs in the North 85 Atlantic (i.e., negative AMO phase) and the associated anomalous subsidence in the North 86 87 Atlantic produce anomalous ascending motion over the North Pacific, which in turn produces westerly winds anomalies in the tropical Pacific and thus leads to a favorable condition for El 88 Niño occurrence (Dong et al., 2006; Sung et al., 2015). The AMO-induced tropical Pacific wind 89 90 anomalies further modulate the Pacific Walker circulation via the zonal variation of SST and deep tropical convection along the equatorial Pacific (Levine et al., 2018). Consistent with this 91 92 AMO-induced inter-ocean teleconnection, several recent studies have shown that warm SSTAs

in the tropical North Atlantic tend to decrease the frequency of El Niño events and increase the
frequency of La Niña events (Ham et al., 2013; Cai et al., 2019; Park et al., 2019).

As briefly summarized above, numerous studies have investigated the modulating impacts of 95 IPO/PDO and AMO on El Niño occurrence. However, as shown in Fig. 1a, there was rarely an 96 extended decadal period during which the IPO signal dominates while the AMO is a neutral 97 98 phase or the AMO signal dominates over a neutral IPO phase. Therefore, in order to better understand the low-frequency modulation of El Niño frequency, it is important to explore the 99 interactive influence of the IPO and AMO on El Niño activity, which has never been attempted 100 101 before this study. For example, Figure 1b-e show the observed El Niño frequency under the four 102 interactive IPO – AMO phases, namely (+) IPO & (-) AMO, (+) IPO & (+) AMO, (-) IPO & (-) AMO, and (-) IPO & (+) AMO during 148 years (1870-2017) derived from the Extended 103 104 Reconstructed SST version 5 (ERSST5; Huang et al., 2017). During the positive IPO phases, the impacts of AMO on the El Niño occurrence are insignificant. However, during the negative IPO 105 phases, the AMO strongly affects El Niño frequency (i.e., less frequent during the positive AMO 106 107 phases and more frequent during the negative AMO phases). These results suggest that the impacts of AMO on El Niño frequency depend critically on the IPO phase. 108

The overarching goal of this study is to understand the interactive influence of the IPO and AMO on El Niño frequency and the associated atmosphere-ocean processes. Since the observational records during the instrumental period are not long enough to establish statistically significant results, we analyze the preindustrial model runs based on the Community Earth System Model - Large Ensemble Simulation (CESM-LENS; Kay et al., 2015) and CESM-Atmospheric General Circulation Model (AGCM) experiments. We first examine the changes in El Niño frequency and the associated atmosphere-ocean dynamics during different phases of the IPO and AMO, individually. Then, we perform composite analyses for four interactive IPO AMO phases. The composite analyses reveal that the modulating impact of AMO on El Niño is
robust mainly during the negative IPO phase, and is very weak during the positive IPO phase.
Therefore, we further propose and test a hypothesis to explain the strong asymmetry in the AMO
El Niño relationship with respect to the IPO phase, highlighting the importance of the eastern
Pacific mean state on the AMO-induced inter-ocean atmospheric teleconnections.

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123 **2. Data and model simulation**

124 We use the European Center for Mid-Range Weather Forecast twentieth century reanalysis (ERA20; Poli et al., 2016) to analyze the upper- and low-level (200 and 850 hPa, respectively) 125 atmospheric circulation anomalies, under different phases of the IPO and AMO during the 126 127 instrumental period (1900-2010). The IPO and AMO indices for the same period are computed by using the ERSST5 and the Kaplan Extended SST version 2 (Kaplan et al., 1998), respectively. 128 The AMO index is computed by spatially averaging SSTAs over the North Atlantic (70°W - 0° 129 130 and 0° 60°N) following Enfield et al. (2001). The IPO index is calculated as the SSTAs difference between the central equatorial Pacific (170°E - 90°W and 10°S - 10°N) and the 131 northwest (140°E - 145°W and 25° - 45°N) - southwest Pacific (150°E - 160°W and 50° - 15°S) 132 following Henley et al. (2015). The positive IPO phase indicates that SSTAs in the equatorial 133 Pacific are higher than those in the northwest and southwest Pacific, and vice versa for the 134 negative IPO. To remove the impact of anthropogenic global warming, the IPO and AMO 135 indices as well as the atmospheric circulation anomalies are linearly detrended. We then apply a 136 137 11-year running average to the IPO and AMO indices to focus on decadal and longer time scales.

138 Since the observational records during the instrumental period are not long enough to 139 establish statistically significant results, the preindustrial model run from CESM-LENS (Kay et al., 2015) is used as the main tool in this study. The CESM consists of the atmosphere, land, 140 141 ocean, glaciers and sea ice components that exchange momentum, moisture and heat fluxes. The atmospheric model is Community Atmospheric Model version5 (CAM5) with the finite volume 142 143 dynamical core. It has 30 hybrid vertical levels from the surface to 3 hPa and the horizontal resolution of 1.25° longitude $\times 0.94^{\circ}$ latitude. The ocean component is Parallel Ocean Program 144 version 2 (POP2; Danabasoglu et al., 2012). It has 60 vertical levels with roughly 1° horizontal 145 146 resolution. We evaluate 1,100 model years of CESM-LENS under preindustrial constant CO_2 level. More detailed model description and additional features of CESM-LENS can be found in 147 Kay et al. (2015). The IPO and AMO indices from CESM-LENS are obtained using the same 148 149 method used for the observation-based reconstruction data. As in the observation-based data, we apply a 11-year running average for all model variables. However, since there is no 150 anthropogenic forcing in the preindustrial run, it is not necessary to remove the linear trend. 151

152 In order to test our working hypothesis for the impacts of four interactive IPO-AMO phases on the El Niño frequency, we perform the four AGCM experiments using CESM version 1.2. 153 The atmospherics model component of the CESM have 27 vertical levels with 1.9°×2.5° 154 horizontal resolution. The Pacific and Atlantic SSTs prescribed in the four CESM-AGCM 155 experiments are built by combining the climatological SSTs with SSTAs regressed on each of 156 157 the four interactive IPO and AMO phases during 151 years (1861-2011) based on ERSST5 (similar to Fig. 2c and d). For example, in (+) IPO & (-) AMO case, the Pacific Ocean between 158 40°S and 70°N is prescribed with the positive IPO SSTAs, while the Atlantic Ocean between 159 160 40°S and 70°N is prescribed with the negative AMO SSTAs. In (+) IPO & (+) AMO case, the

Pacific Ocean between 40°S and 70°N is prescribed with the positive IPO SSTAs, while the Atlantic Ocean between 40°S and 70°N is prescribed with the positive AMO SSTAs. Note that the negative IPO SSTAs have the same amplitude as the positive IPO SSTAs with the opposite sign. Similarly, the negative AMO SSTAs have the same amplitude as the positive AMO SSTAs with the opposite sign. The four CESM-AGCM experiments are integrated for 30 years and only the results from the last 10 years are used for analysis.

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168 **3. Results**

a. SSTAs and atmospheric circulation anomalies linked to the IPO and AMO in ERA20

We first examine the key features of the observation-based IPO and AMO during the instrumental period. Fig. 1a shows the time series of the AMO and IPO indices for 151 years (1861-2011) obtained from ERSST5. The correlation between two indices is statistically insignificant (r = -0.21), suggesting that the two modes are dynamically independent from one another (Park and Latif, 2010).

175 Figure 2a and b show the anomalous velocity potential and divergence wind fields at 200 hPa partially regressed on the IPO and AMO indices, respectively, for 111 years (1900-2010). Figure 176 177 2c and d are same as Figs. 2a and b, but for SST and wind fields at 850 hPa. The positive IPO, which is characterized by warm SSTAs over the central and eastern tropical Pacific, is matched 178 by the anomalous upper-level divergence. Concurrently, anomalous upper-level convergence 179 180 appears in the regions from the western Pacific warm pool to the eastern Australia and to the northwest Pacific. The zonally contrasting pattern of anomalous upper-level velocity potential in 181 182 the tropical Pacific (Fig. 2a) is consistent with the strong zonal SSTAs gradient (Fig. 2c).

183 As shown in Fig. 2b, the upper-level velocity potential and divergent winds regressed on the AMO are quite distinct from those on the IPO. The anomalous upper-level convergence that 184 appears over the central tropical Pacific is likely to be an integrated response to compensate for 185 the anomalous upper-level divergence over the North Atlantic, where strong positive SSTAs 186 occur (Sun et al., 2017). A secondary anomalous upper-level divergence appears over the 187 188 western Pacific warm pool, which involves rather complex atmosphere-ocean feedback processes in response to the central Pacific anomalous upper-level convergence (Sun et al., 2017; 189 Zuo et al., 2018) and changes in low-level winds over the Indian Ocean (Li et al., 2016; Cai et 190 191 al., 2019).

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193 b. SSTAs and atmospheric circulation anomalies linked to the AMO and IPO in CESM-LENS

In the previous section, we examine the upper- and low-level atmospheric circulations 194 responses to the IPO and AMO, derived from ERA20. In this section, we investigate the 195 characteristics of the IPO and AMO and the associated atmospheric circulation anomalies in 196 197 CESM-LENS. The time series of the AMO and IPO for 1,100 model years are shown in Fig. 3. The correlation between the AMO and IPO is about 0.11, which is statistically insignificant, in 198 199 agreement with the observations. The positive and negative phases of the IPO and AMO are defined as the periods of a half standard deviation above and below the mean, respectively as 200 indicated by red and blue colors in Fig. 3. Note that the duration of IPO in CESM-LENS is 201 202 comparable to that in the observation (~ 8 years determined by the autocorrelation value at 0.2). However, the duration of AMO in CESM-LENS is shorter (~10 years) than that in the 203 observation (~14 years). However, since this study focuses on the instantaneous interactions 204 205 between IPO and AMO, it is unlikely that our results are strongly affected by this systematic bias

in CESM-LENS. Potential influences of this and other model biases on our results are discussedin the summary and discussion section.

Figure 4a shows the 200 hPa velocity potential and divergent winds partially regressed on the 208 209 IPO. Figure 4b is same as Fig. 4a, but for the SSTAs and 850 hPa wind anomalies. The 210 anomalous upper-level divergence centered over the southeast Pacific and along the equatorial 211 Pacific is consistent with the warm tropical Pacific SSTAs associated with the positive IPO phase. The anomalous upper-level divergence over the southeast Pacific and along the equatorial 212 Pacific is balanced by the anomalous upper-level convergence centered over the Maritime 213 214 Continent. As shown in Fig. 4b, during the positive IPO phase, low-level westerly wind 215 anomalies prevail in the western tropical Pacific, which are consistent with the El Niño-like SSTAs in the tropical Pacific. The low-level westerly winds anomalies in the tropical Pacific are 216 217 reinforced by a pair of persistent low-level cyclonic circulation anomalies that appear over the subtropical Pacific in both hemispheres. It should be noted that there are some discrepancies in 218 the location of the IPO-induced anomalous upper-level divergence fields between ERA20 and 219 220 CESM-LENS. In particular, the upper-level convergence response over the Maritime Continent is slightly shifted westward to the eastern Indian Ocean in CESM-LENS. Despite this 221 222 discrepancy between CESM-LENS and ERA20, the zonal contrast of anomalous upper-level divergence associated with the IPO is reasonably well reproduced in CESM-LENS. 223

Figure 4c shows the 200 hPa velocity potential and divergent winds partially regressed on the AMO. Figure 4d is same as Fig. 4c, but for SSTAs and 850 hPa wind anomalies. In response to the positive AMO phase, anomalous upper-level divergence appears over the North Atlantic and the northeastern Pacific ($100^{\circ} - 80^{\circ}W$ and $0^{\circ} - 15^{\circ}N$). In response to the rising motion and anomalous upper-level divergence, strong anomalous upper-level convergence develops over the

North Pacific (180° - 120°W and 0° - 50°N) and weaker anomalous upper-level convergence
over the southeast Pacific (100° - 80°W and 30°S - 10°S). Strong anomalous upper-level
divergence also develops over the western Pacific (80° - 150°E and 10°S - 30°N), which is a
secondary response associated with either the anomalous upper-level convergence over the North
Pacific, suggested by Sun et al. (2017), or the Atlantic-to-Indian teleconnections suggested by Li
et al. (2016).

As shown in Fig. 4d, during the positive AMO phase, low-level cyclonic circulation 235 anomalies prevail over the tropical and subtropical North Atlantic, consistent with the warm 236 237 SSTAs and the anomalous upper-level divergence. Consistent with the anomalous upper-level convergence and subsidence over the central North Pacific (Fig. 4c), anomalous low-level 238 anticyclonic circulation develops over the tropical and subtropical North Pacific. It appears that 239 240 the associated equatorial Pacific low-level easterly wind anomalies reinforce the low-level convergence and upper-level divergence over the western Pacific, as suggested by previous 241 studies (Dong et al., 2006; Li et al., 2016; Sun et al., 2017). 242

The direct and remote influences of the AMO on the atmospheric circulation in CESM-243 LENS are overall consistent with those derived from ERA20, and also agree with previous 244 245 studies (Zhang and Delworth, 2005, 2007; Dong et al., 2006; Li et al., 2016; Levine et al., 2017; Sun et al., 2017). However, the exact locations of the anomalous upper-level divergence fields 246 are somewhat different between ERA20 and CESM-LENS. For instance, the upper-level 247 248 convergence in the central North Pacific is shifted northward in CESM-LENS compared to ERA20. The centered upper-level divergence over the western Pacific warm pool is slightly 249 250 displaced toward the Maritime Continent in CESM-LENS compared to ERA20. Despite these 251 discrepancies, the zonal pattern of the anomalous upper-level divergence along the tropical

Pacific, which is the key component in the AMO - El Niño relationship, agrees well between
CESM-LENS and ERA20.

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255 c. Interactive influences of the IPO-AMO phases on El Niño occurrence

Previous studies (e.g., Kiem et al., 2003; Dong et al., 2006; Feng et al., 2014; Sung et al, 256 2015) and the partial regression maps shown in Figs. 2 and 4 strongly suggest that El Niño 257 occurrence could be modulated by a linear combination of the IPO and AMO phases. More 258 specifically, it is expected that the frequency of El Niño would increase during the (+) IPO & (-) 259 260 AMO phase and decrease during the (-) IPO & (+) AMO phase. However, based on the observation, the changes in El Niño frequency with respect to the four interactive IPO-AMO 261 phases is nonlinear as shown in Figs. 1b-e. Although this observation-based result is statistically 262 263 insignificant, it suggests a potential nonlinear interaction between IPO and AMO and its impact on El Niño frequency. Therefore, we explore in this section the changes in the frequency of El 264 Niño under the four different interactive IPO-AMO phases using CESM-LENS, similar to Figs. 265 266 1b-e.

Following the convention used by the Climate Prediction Center (CPC) of the National 267 268 Oceanic and Atmospheric Administration, El Niño is defined when the 3 months averaged SSTAs in Niño 3.4 regions (5°S - 5°N, 150° - 90°W) exceed 0.5°C for at least five consecutive 269 months. A total of 263 El Niño events are identified during the 1,100 model years (23.9 %). 270 271 These El Niño events are grouped into the four different combinations of the IPO and AMO phases. Figure 4 shows the percentages of El Niño occurrence, which is defined by the number 272 of El Niño years divided by the total years, for each of the four interactive IPO-AMO phases. 273 274 During the total 114 years of the (+) IPO & (+) AMO phases (Fig. 5a), 35 El Niño events occur 275 (30.7 %). During the 89 years of the (-) IPO & (-) AMO phases (Fig. 5d), 21 El Niño events 276 occur (23.5 %). The lowest percentage of El Niño occurrence (11.4%) is found during the (-) IPO & (+) AMO phases (10 El Niño events during the total 87 years, Fig. 5c), while the highest 277 278 percentage of El Niño occurrence (33.3 %) is found during the (+) IPO & (-) AMO phases (27 El Niño events during the total 81 years, Fig. 5b). All interactive IPO-AMO phases except for the (-279 280) IPO & (-) AMO phases are significantly different from the climatology of El Niño occurrence. These suggest that the (+) IPO & (-) AMO phase provides the most favorable background 281 condition for El Niño occurrence, while the (-) IPO & (+) AMO phase provides the least 282 283 favorable condition, which is overall in line with previous studies (Kiem et al., 2003; Verdon and Franks, 2006; Dong et al., 2006; Dong and Sutton, 2007; Sung et al., 2015; Levine et al., 2017; 284 285 Lin et al., 2018).

Interestingly, the modulating influence of AMO on El Niño occurrence, which can be 286 measured by the difference in the percentage of El Niño occurrence between the positive and 287 negative phase of AMO, is robust only during the negative IPO phase (12.1% decrease from (-) 288 289 to (+) AMO phase) and nearly negligible during the positive IPO phase (2.6% decrease from (-) 290 to (+) AMO, which is not significant at the 5% level). This apparent asymmetric influence of the 291 AMO on El Niño with respect to the IPO phase is consistent with the results from observations (Figs.1b-e). This result has never been shown or discussed in the literature, and cannot be 292 explained by the linear inter-ocean teleconnection mechanism proposed from the previous 293 294 studies. Therefore, in the next sections, we further explore the asymmetric AMO - El Niño relationship with respect to the IPO phase and the underlying physical mechanisms. 295

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298 Figure 6 shows the composites of anomalous upper-level velocity potential and divergent 299 winds for the four interactive IPO-AMO phases. Figure 6a and b compare the upper-level divergence response to the AMO during the positive IPO phase. During both the (+) IPO & (+)300 301 AMO and (+) IPO & (-) AMO phases, strong anomalous upper-level divergence prevails over 302 the southeast Pacific and along the equatorial Pacific, and is accompanied by anomalous upper-303 level convergence over the western Pacific and Indian Ocean. The anomalous upper-level convergence along the equatorial Pacific is not much different between during the (+) IPO & (+) 304 AMO and (+) IPO & (-) AMO phases. In contrast, the anomalous upper-level convergence over 305 306 the Indian Ocean is enhanced during the (+) IPO & (-) AMO phase compared to the (+) IPO & (+) AMO phase. This is expected because both the (+) IPO and (-) AMO promote anomalous 307 308 upper-level convergence over the western Pacific, while both the (-) IPO and (+) AMO tend to 309 produce anomalous upper-level divergence in this region. Similarly, the anomalous upper-level convergence that appears over the northeast Pacific (150 - 100° W and 0° - 30° N) during the (+) 310 IPO & (+) AMO phase can be explained as a constructive influence of the IPO and AMO over 311 312 the region. This anomalous upper-level convergence nearly disappears during the (+) IPO & (-) AMO phase due to the compensating influence of the IPO and AMO in the region. 313

Figure 6c and d compare upper-level divergence response to the AMO during the negative IPO phase. During the (-) IPO & (+) AMO phase, strong anomalous upper-level convergence prevails in the southeast Pacific ($100^{\circ} - 80^{\circ}W$ and $30^{\circ}S - 10^{\circ}S$) and along the equatorial Pacific, and anomalous upper-level divergence over the Maritime Continent and Indian Ocean (Fig. 6c). Strong anomalous upper-level divergence occurs over the northeast Pacific ($150 - 100^{\circ}W$ and 0° - $30^{\circ}N$). This appears to be linked to the increased convection and rising motion over the northeastern Pacific ($100^{\circ} - 80^{\circ}W$ and $0^{\circ} - 15^{\circ}N$) expected during the positive AMO phase (Fig. 4c). However, this is much stronger, extensive and shifted to the west. In response to the
significantly increased ascending motion and upper-level divergence over the northeast Pacific,
strong anomalous upper-level convergence fields develop to the northwest of this region, and
over the southeast Pacific.

During the (-) IPO & (-) AMO phase, the southeast Pacific and western Pacific warm pool 325 326 are characterized by weak anomalous upper-level convergence, whereas the Indian Ocean is characterized by weak anomalous upper-level divergence (Fig. 6d). These are expected during 327 the negative IPO phase (Fig. 4a). However, they are considerably weaker compared to those 328 329 during the (-) IPO & (+) AMO phase (Fig. 6c). Additionally, there is no anomalous upper-level convergence over the central equatorial Pacific, which is inconsistent with the effect of the 330 negative IPO phase. It appears that the expected negative IPO-induced anomalous upper-level 331 convergence over the southeast Pacific and along the equatorial Pacific is suppressed (or 332 canceled) by the remote influence of the negative AMO phase, which is to produce anomalous 333 upper-level divergence over the southeast Pacific and North Pacific (Fig. 4c). 334

The above analysis indicates that the remote impact of AMO is to reinforce or inhibit the direct impact of IPO on the upper-level divergence response over the Pacific. However, the amplitudes and spatial distributions of the response cannot be explained as the linear sum of the IPO- and AMO-induced responses. In particular, as evident from Fig. 6, the direct and remote influences of AMO are much stronger during the negative IPO phase than during the positive IPO phase. This means that the AMO-induced Pacific atmospheric circulation response depends strongly and nonlinearly on the state of the Pacific (i.e., IPO phase).

The upper-level circulation responses to the four interactive IPO-AMO phases are well reflected in the 850 hPa wind anomalies and SSTAs, as shown in Fig. 7. Consistent with the

344 upper-level circulation responses, the Pacific SSTAs and low-level wind anomalies are more strongly determined by the IPO phase, while the North Atlantic SSTAs and low-level wind 345 anomalies are more strongly driven by the AMO phase. Also in line with the upper-level 346 347 circulation responses, the sensitivity of central equatorial Pacific SSTAs and low-level wind anomalies to the AMO phase is much stronger during the negative IPO phase (Figs. 7c and d) 348 349 compared to the positive IPO phase (Figs. 7a and b). More specifically, the equatorial Pacific westerly wind anomalies during the positive IPO phase are not very sensitive to the AMO phase. 350 During the negative IPO phase, on the other hand, the positive AMO tends to produce strong 351 anomalous ascending motion over the northeastern Pacific (100° - 80°W and 0° - 15°N) and 352 anomalous subsidence to the northwest and over the southeast Pacific, as shown in Fig. 6c. This 353 tripole pattern of anomalous vertical motion is closely linked to the development of low-level 354 355 easterly wind anomalies converging from the northeast and southeast Pacific toward the equatorial Pacific (Fig. 7c). Thus, the equatorial Pacific low-level easterly wind anomalies 356 during the negative IPO phase are greatly enhanced by the positive AMO phase. In comparison 357 358 to the (-) IPO & (+) AMO phase, the equatorial Pacific low-level easterly wind anomalies are much weaker during the (-) IPO & (-) AMO phase (Fig. 7d). This is because the anomalous 359 360 subsidence over the southeast Pacific and along the equatorial Pacific expected during the negative IPO phase is suppressed (or canceled) by the remote influence of the negative AMO 361 phase, which is to produce anomalous ascending motions in the southeast Pacific and North 362 363 Pacific (Fig. 4c).

In summary, the equatorial Pacific SSTAs and low-level westerly wind anomalies are overall favorable for El Niño occurrence during the positive IPO phase (Figs. 7a and b) and unfavorable during the negative IPO phase (Figs. 7c and d). However, the influences of AMO on the

equatorial Pacific SSTAs and low-level wind anomalies are robust only during the negative IPO
phase and very weak during the positive IPO phase. This asymmetric influence of AMO on the
equatorial Pacific SSTAs and atmospheric circulation with respect to the IPO phase is consistent
with the nonlinear AMO - El Niño relationship shown in Fig. 5. In the next section, we further
explore the asymmetric influence of AMO on the equatorial Pacific atmosphere and ocean
dynamics.

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e. Influences of the interactive IPO-AMO phases on the equatorial Pacific air-sea interaction

375 To better understand the influences of the interactive IPO-AMO phases on the equatorial Pacific air-sea interactions related to El Niño, we investigate equatorial Pacific thermocline 376 depth (i.e., 20 °C isotherm) anomalies corresponding to the four interactive IPO-AMO phases. 377 As shown in Fig. 8, during the (+) IPO & (+) AMO phase, the thermocline shoals in the western 378 equatorial Pacific (Fig. 8a), consistent with the low-level westerly wind anomalies along the 379 equatorial Pacific (Fig. 7a). During the (+) IPO & (-) AMO phase, the thermocline also shoals in 380 381 the western equatorial Pacific and deepens slightly in the eastern equatorial Pacific (Fig. 8b). The anomalous zonal slope of the thermocline depth along the equatorial pacific is about the same in 382 383 both cases, indicating that the AMO has little impact on the equatorial Pacific thermocline during the positive IPO phase. This conclusion is consistent with the insensitivity of the equatorial 384 Pacific atmospheric circulation and El Niño occurrence to the AMO during the positive IPO 385 386 phase, as shown in Figs. 5, 6 and 7.

It is noted that the overall depth of the equatorial thermocline is shallower during both the (+) IPO & (+) AMO and (+) IPO & (-) AMO phases. The equatorial Pacific low-level westerly wind anomalies and the associated anomalous wind stress curl transport the tropical warm water away from the equator toward higher latitudes. The net meridional Sverdrup transport into the equatorial Pacific ($5^{\circ}S - 5^{\circ}N$) during the (+) IPO & (+) AMO and (+) IPO & (-) AMO phases are -5.0 and -2.4 m² s⁻¹, respectively. In response to this mass imbalance, the thermocline shoals along the equatorial Pacific.

As shown in Fig. 8c, the equatorial Pacific thermocline deepens greatly in the west during the 394 395 (-) IPO & (+) AMO phase, consistent with the strong low-level easterly wind anomalies along the equatorial Pacific (Fig. 7c). During the (-) IPO & (-) AMO phase, on the other hand, the 396 equatorial Pacific thermocline is nearly unchanged from the climatological mean. These results 397 398 suggest that the equatorial Pacific thermocline depth is greatly influenced by the AMO during the negative IPO phase. It appears that the impact of AMO is sufficiently large that the 399 deepening of the equatorial Pacific thermocline associated with the negative IPO phase can be 400 completely negated by the negative AMO phase (Fig. 8d). 401

The zonally averaged depth of the equatorial Pacific thermocline is also much deeper during 402 the (-) IPO & (+) AMO. This is because the equatorial Pacific low-level easterly wind anomalies 403 404 and the associated anomalous wind stress curl increase the volume of warm tropical water, which in turn deepens the thermocline along the equatorial Pacific. On the other hands, the zonally 405 406 averaged depth of the equatorial Pacific thermocline is very close to the climatological mean during the (-) IPO & (-) AMO phases because of the equatorial Pacific low-level easterly wind 407 anomalies (and the associated wind stress curl and Sverdrup transport anomalies) expected from 408 409 the negative IPO phase is greatly weakened by the negative AMO phase. Consistently, the net meridional Sverdrup transport into the equatorial Pacific during the (-) IPO & (+) AMO and (-) 410 IPO & (-) AMO phases are 6.7 and -2.1 $\text{m}^2 \text{s}^{-1}$, respectively. 411

412 Our analysis summarized in Fig. 8 indicates that the impact of AMO on the equatorial Pacific thermocline and the associated atmosphere-ocean interaction are robust during the negative IPO 413 phase, but much weaker during the positive IPO phase. This is consistent with the asymmetric 414 AMO - El Niño relationship between the positive and negative IPO phases (Fig. 5). In other 415 words, the influence of AMO on El Niño activity depends critically on the mean state of Pacific, 416 417 which is modulated by the IPO phase. This also emphasizes the need to further isolate and explore the AMO - El Niño relationship and the associated atmospheric dynamics between the 418 positive and negative IPO phases. Therefore, in the next section, we further explore the AMO -419 420 El Niño relationship during the positive and negative IPO phase, separately. To achieve this, we 421 compute the composite differences of the atmospheric circulation anomalies between the positive and negative AMO phases for each of the positive and negative IPO phases, which are referred 422 to as the IPO state-dependent AMO impacts. The IPO state-dependent AMO impacts during the 423 positive and negative IPO phases are indicated by AMO (-) IPO and AMO (+) IPO, respectively. 424

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426 *f. The IPO state-dependent AMO impacts on the Pacific atmospheric circulation*

Figure 9a shows the climatological annual mean patterns of velocity potential and divergent 427 428 winds at 200 hPa derived from CESM-LENS. The composites of anomalous upper-level velocity potential and divergent winds during the positive (358 years) and negative (299 years) IPO 429 phases are also shown in Figs. 9b and c, respectively. Figure 9a clearly shows climatological 430 431 upper-level divergence centered over the western Pacific warm pool and climatological upperlevel convergence centered over the subtropical North and South Atlantic and the southeast 432 Pacific (120° - 70°W and 40°S - 10°S). It should be also noted that the northeast Pacific (140° -433 434 80°W and 0° - 20°N) is characterized by climatological upper-level divergence fueled by the 435 Pacific Inter-Tropical Convergence Zone (ITCZ). During the positive IPO phase (Fig. 9b), strong 436 anomalous upper-level divergence appears over the southeast Pacific, weakening the climatological upper-level convergence in this region. Over the northeast Pacific (150 - 100°W 437 438 and 0° - 30°N), anomalous upper-level convergence also appears during the positive IPO phase, 439 weakening the climatological upper-level divergence in this region. During the negative IPO 440 phase (Fig. 9c), on the other hand, strong anomalous upper-level convergence appears over the southeast Pacific, reinforcing the climatological upper-level convergence in this region. Over the 441 northeast Pacific, anomalous upper-level divergence also appears during the negative IPO phase, 442 443 reinforcing the climatological upper-level divergence in this region. These results indicate that the climatological atmospheric circulation over the eastern Pacific (140°W - 80°W) is enhanced 444 during the negative IPO phase and weakened during the positive IPO phase. 445

As briefly discussed in the previous section, the IPO state-dependent AMO impacts during 446 the positive and negative IPO phases are computed as the composite differences of the 447 atmospheric circulation anomalies between the positive and negative AMO phases for each of 448 the positive and negative IPO phases (i.e., AMO (+) IPO and AMO (-) IPO). Figure 9d and e show the 449 450 state-dependent AMO impacts on upper-level velocity potential and divergent winds during the 451 positive and negative IPO phases, respectively. As shown in Fig. 9d, the AMO (+) IPO is to produce anomalous upper-level divergence, which implies anomalous rising motion, over the 452 northeastern Pacific (100° - 80°W and 0° - 15°N), and anomalous upper-level convergence to the 453 454 northwest. Anomalous upper-level convergence also appears over the western Pacific warm pool. As shown in Fig. 9e, the AMO (-) IPO is to produce strong anomalous upper-level 455 456 divergence, which implies strong anomalous rising motion, over the northeast Pacific (150 -457 100°W and 0° - 30°N), and anomalous upper-level convergence to the northwest. These impacts

458 are quite similar to the AMO $_{(+) IPO}$ (Fig. 9d). However, the magnitude of the northeast Pacific 459 (140 - 100°W and 10° - 30°N) upper-level divergence anomalies is much stronger in the AMO $_{(-)}$ 460 $_{\rm IPO}$ than the AMO $_{(+) IPO}$. Additionally, the AMO $_{(-) IPO}$ also produces strong anomalous upper-461 level convergence over the southeast Pacific (100° - 80°W and 30°S - 10°S) and central 462 equatorial Pacific, which is not observed in the AMO $_{(+) IPO}$.

Note that the inter-hemispheric influence of AMO (-) IPO on the southeast Pacific and its mechanism have been well documented in previous studies (e.g., Wang et al., 2010, Lee et al., 2013; Ji et al., 2014; Zhang et al., 2014). In particular, Wang et al. (2010) showed that during boreal summer and fall, a regional Hadley-type circulation is established with ascending motion over the northeast Pacific and subsidence over the southeastern tropical Pacific, and that this regional Hadley-type circulation is enhanced when the Atlantic warm pool is anomalously large and reduced when the Atlantic warm pool is anomalously small.

It is also noted that the impact of AMO (-) IPO over the Maritime Continent is characterized by 470 anomalous rising motion, consistent with Fig. 4c. However, it is characterized by anomalous 471 sinking motion during the positive IPO phase, inconsistent with Fig. 4c. Given that anomalous 472 upper-level circulation in this region is a secondary response to the AMO, it could be sensitive to 473 474 the strength and location of AMO-induced anomalous subsidence over the North Pacific (Sun et al., 2017) or the interactions between the Atlantic and Indo-Pacific (Li et al., 2016). As shown in 475 Fig. 9d, the AMO (+) IPO is to produce anomalous descending motions over the North Pacific 476 (180° - 120°W and 0° - 50°N) and the western Pacific warm pool, and weak anomalous 477 ascending motion over the central tropical Pacific. This tripole pattern of anomalous vertical 478 479 motion is a direct result of the state-dependent inter-ocean teleconnection impact of AMO during 480 the positive IPO phase, which is much weaker than those during the negative IPO phase.

481 As shown in Figs. 9f and g, the state-dependent AMO impacts on the SSTAs and low-level wind anomalies are also robust during the negative IPO phase and very weak during the positive 482 IPO phase, consistent with the upper-level atmospheric circulation anomalies (Figs. 9d and e). 483 484 Specifically, the AMO (-) IPO is to produce strong low-level easterly wind anomalies over the southeast Pacific (100° - 80°W and 30°S - 10°S) and along the western equatorial Pacific, 485 486 consistent with the anomalous upper-level convergence over these regions (Fig. 9e). The lowlevel easterly wind anomalies along the western equatorial Pacific are consistent with the 487 anomalous ascending motion over the Maritime Continent and the anomalous descending motion 488 489 over the central equatorial Pacific (i.e., enhanced Pacific Walker cell). On the other hand, the impact of AMO (+) IPO on the equatorial Pacific low-level winds is very weak. These results show 490 that the IPO state-dependent effects of AMO on the equatorial Pacific low-level winds are robust 491 during the negative IPO phase (i.e., El Niño favorable conditions during the negative AMO 492 phase and unfavorable conditions during the positive AMO phase), and very weak during the 493 positive IPO phase. 494

As summarized in Fig. 9, our analysis indicates that the atmospheric mean state in the eastern 495 Pacific (140°W - 80°W), especially in the northeast and southeast Pacific, is reinforced (i.e., 496 497 amplified) during the negative IPO phase and weakened during the positive IPO phase. Similarly, the atmospheric mean state over the Maritime Continents is reinforced during the 498 negative IPO phase and weakened during the positive IPO phase. Coincidently, the state-499 500 dependent AMO impacts over the eastern Pacific and the Maritime Continents are amplified during the negative IPO phase and weakened during the positive IPO phase. These results 501 strongly imply that the AMO-induced inter-ocean teleconnections to the eastern Pacific and 502 Maritime Continents are facilitated by the strong and energetic mean state of the negative IPO 503

504 phase and hindered by the weakened mean state of the positive IPO phase. Consistent with this 505 hypothesis, the effects of AMO on the equatorial Pacific low-level winds are robust during the 506 negative IPO phase of the enhanced Pacific mean state and very weak during the positive IPO 507 phase of the weakened Pacific mean state.

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509 g. CESM-AGCM experiments associated with the four interactive IPO-AMO phases

In order to test the hypothesis proposed in the previous section, we perform four CESM-510 AGCM experiments under the four interactive IPO-AMO phases, as described in section 2. 511 512 Figures 10a and b show the simulated IPO state-dependent AMO impacts on the upper-level velocity potential and divergent winds during the positive and negative IPO phases, respectively. 513 Despite slight displacements of maximum anomalies, the spatial patterns of the upper-level 514 atmospheric circulation anomalies are quite consistent between CESM-AGCM and CESM-515 LENS. Both the AMO_{(+) IPO} and AMO_{(-) IPO} produce anomalous upper-level divergence and 516 ascending motion over the northeastern Pacific (100° - 80°W and 0° - 15°N) and anomalous 517 upper-level convergence over the southeast Pacific (100° - 80°W and 30°S - 10°S). Consistent 518 with the results from CESM-LENS (Figs. 9d), the AMO (+) IPO generates anomalous upper-level 519 520 convergence over the western Pacific warm pool. Conversely, the AMO_{(-)PO} generates weak anomalous upper-level divergence south of the western Pacific warm pool (130° - 160°E and 5° -521 20°S). It should be noted that active atmosphere-ocean feedback, which is required to induce the 522 523 secondary response to the AMO over the Maritime Continents (Sun et al., 2917; Li et al, 2016), is missing in CESM-AGCM experiments. Therefore, the impact of AMO over the Maritime 524 525 Continents is much weaker in CESM-AGCM than in CESM-LENS. The amplitude of 526 northeastern Pacific upper-level divergence anomalies is much higher in the AMO_{(-)IPO} than the

527 AMO_{(+)IPO}, also consistent with the results from CESM-LENS. In the AMO_{(-)IPO}, the enhanced 528 upper-level divergence over the northeastern Pacific leads strong anomalous upper-level 529 convergence over the southeast Pacific and central equatorial Pacific, in agreement with the 530 results from CESM-LENS.

The simulated state-dependent AMO impacts on low-level wind anomalies during the positive and negative IPO phases are shown in Figs. 10c and d. In the AMO_{(-)IPO}, strong anomalous upper-level convergence and subsidence over the southeast Pacific produce strong low-level easterly wind anomalies along the central equatorial Pacific. Consistent with the results from CESM-LENS (Figs. 9f and g), the low-level easterly wind anomalies along the central equatorial Pacific are missing in the AMO_{(+)IPO}.

However, there are some notable disagreements between the CESM-AGCM and CESM-537 LENS in the low-level wind anomalies. For instance, in both the $AMO_{(-)IPO}$ and $AMO_{(+)IPO}$. 538 strong low-level westerly wind anomalies appear over the eastern tropical Pacific, which are not 539 clearly observed in the CESM-LENS. Additionally, the AMO_{(-)PO} produces strong low-level 540 541 anticyclonic circulation anomaly over the mid-latitude North Pacific ($150^{\circ}E - 130^{\circ}W$ and $30^{\circ} -$ 60°N), while the AMO_{(+)IPO} produces weaker low-level anticyclonic circulation anomaly over 542 543 the higher latitudes (150°E - 130°W and poleward of 50°N). These results are not observed in the CESM-LENS. Apparently, these disagreements between the CESM-AGCM and CESM-LENS 544 are owing to the lack of atmosphere-ocean feedbacks in the CESM-AGCM. Despite these 545 546 disagreements in the low-level wind anomalies, the sensitivity experiments generally support our main conclusion that the impact of AMO on the tropical Pacific atmospheric circulations, which 547 in turn modulates El Niño frequency, is much stronger during the negative IPO phase (i.e., 548 549 $AMO_{(-)IPO}$) compared to the positive IPO phase. (i.e., $AMO_{(+)IPO}$).

551 h. Pacific control of the AMO-El Niño relationship

Figure 11 summaries the above proposed mechanism of the IPO state-dependent AMO 552 impact in more detail. During the negative IPO phase, the climatological ascending motion from 553 554 the northeastern Pacific and descending motion into the southeast Pacific are much strengthened. 555 The increased ascending motion from the northeastern Pacific bolsters the state-dependent impact of AMO to further enhance the ascending motion, which in turn also further enhances the 556 increased descending motion into the southeast Pacific through enhanced local anomalous 557 558 Hadley circulation. The enhanced subsidence into the southeast Pacific increases the low-level easterly wind anomalies along the central equatorial Pacific, which is unfavorable for El Niño 559 560 occurrence (Fig. 11b).

Note that this mechanism is also applicable to the negative AMO phase, which is to strongly 561 suppress the ascending motion from the northeastern Pacific and descending motion into the 562 southeast Pacific, due to the enhanced eastern Pacific mean state. The suppressed subsidence into 563 564 the southeast Pacific decreases the low-level easterly wind anomalies along the central equatorial Pacific, which is favorable for El Niño occurrence. As illustrated in Fig. 11c, during the positive 565 566 IPO phase, on the other hand, the climatological ascending motion from the northeastern Pacific and descending motion into the southeast Pacific are much weakened. The weakened mean state 567 over the eastern Pacific hinders the state-dependent impact of AMO on the Pacific atmospheric 568 569 circulation. Therefore, neither the positive nor negative AMO phase has significant impact on the frequency of El Niño during the positive IPO phase. 570

571 Although not explicitly illustrated in Figure 11, during the negative IPO phase, the 572 climatological ascending motion over the Maritime Continents is also much strengthened (Figure

9c). This in turn strengthens the state-dependent impact of AMO to further enhance the ascending motion over the Maritime Continents, and thus reinforces the low-level easterly wind anomalies along the central equatorial Pacific. During the positive IPO phase, on the other hand, the climatological ascending motion over the Maritime Continents is much weakened (Figure 9b). The weakened mean state over the Maritime Continents suppresses the state-dependent impact of AMO to further weaken the ascending motion over the Maritime Continents and its influence on the low-level wind anomalies along the central equatorial Pacific.

580

581 **4. Summary and discussion**

In order to better understand the low-frequency modulation of El Niño activity, this study 582 explores the interactive influences of the IPO and AMO on the equatorial Pacific atmosphere-583 ocean processes, using CESM-LENS. Our analysis shows that the individual impact of IPO and 584 AMO on El Niño occurrence and the underlying atmosphere-ocean processes agree well with 585 previous studies. However, our composite analysis for the four interactive IPO - AMO phases 586 587 reveals that the modulating impact of AMO on El Niño occurrence is robust during the negative IPO phase (~ 12.1% decrease from the (-) to (+) AMO phase), but very weak during the positive 588 589 IPO phase ($\sim 2.6\%$ decrease from the (-) to (+) AMO phase). We further analyze this asymmetric AMO - El Niño relationship with respect to the IPO phase, which is termed as the IPO state-590 dependent impact of AMO, to conclude that the AMO-induced inter-ocean teleconnections are 591 592 facilitated by the enhanced Pacific mean state during the negative IPO phase and hindered by the weakened Pacific mean state during the positive IPO phase. 593

594 More specifically, during the negative IPO phase, the increased ascending motion from the 595 northeastern Pacific bolsters the state-dependent impact of AMO to further enhance the

596 ascending motion, which in turn also further enhances the increased descending motion into the 597 southeast Pacific through enhanced local anomalous Hadley circulation. The enhanced subsidence into the southeast Pacific increases the low-level easterly wind anomalies along the 598 central equatorial Pacific, which is unfavorable for El Niño occurrence. Additionally, the 599 enhanced ascending motion over the Maritime Continents strengthens the state-dependent impact 600 601 of AMO to further enhance the ascending motion over the Maritime Continents and thus reinforces the low-level easterly wind anomalies along the central equatorial Pacific. During the 602 positive IPO phase, on the other hand, the weakened mean state over the Pacific hinders the 603 604 state-dependent impact of AMO on the Pacific atmospheric circulation and the frequency of El Niño. 605

The major finding in this study is that the AMO - El Niño relationship and the associated 606 inter-ocean teleconnections may depend critically on the eastern Pacific mean state, which is 607 modulated largely by the IPO. This Pacific state-modulation of the inter-ocean teleconnection 608 may be also applicable to both interannual and centennial time scales. For example, recent 609 610 studies have shown that a large Atlantic warm pool in boreal summer tends to suppress El Niño development (Ham et al., 2013; Cai et al., 2019; Park et al., 2019). Another example is for the 611 612 future climate projection. The climate models used in the Coupled Model Intercomparison Project Phase 5 (CMIP5) project a substantial weakening of the Walker circulation and thus the 613 equatorial trade winds (e.g., Vecchi and Soden, 2007). Consequently, the eastern equatorial 614 615 Pacific is characterized by accelerated warming and precipitation (Liu et al., 2005; IPCC, 2013; Cai et al., 2015). The enhanced precipitation and ascending motion over the eastern equatorial 616 617 Pacific reinforce the descending motion into the southeast Pacific, suppressing the atmospheric convection and warming in the southeast Pacific (Ma and Xie, 2013; IPCC, 2013). This positive 618

IPO-like eastern Pacific mean state is commonly observed in the CMIP5 model simulations for the future greenhouse gas emission scenarios, and may influence the inter-ocean teleconnection and its impact on El Niño occurrence. Additionally, the Atlantic Meridional Overturning Circulation (AMOC) may slow down in the future causing a negative AMO-like mean state in the North Atlantic (e.g., Cheng et al., 2013), and thus may also influence El Niño occurrence in the future.

It is important to discuss several limitations in this study. First, despite good agreements with 625 previous studies and with ERA20, our findings are based on a single model (CESM). Therefore, 626 627 it is possible that the spatial distribution and magnitude of IPO- and AMO-induced changes in atmospheric circulations and El Niño occurrences may change in different models. For example, 628 CESM-LENS has cold tropical North Atlantic (TNA) SST bias, which is a common problem in 629 CMIP5 models (e.g., Wang et al., 2014; Zhang et al., 2014). This systematic bias suppresses the 630 local Hadley cell from the TNA to the southeastern Pacific. It may modulate the sensitivity of 631 asymmetric impact of AMO on El Niño frequency. Therefore, in the future work, the IPO state-632 dependent AMO - El Niño relationship should be re-investigated in a multi-model framework. 633 Additionally, some studies (d'Orgeville et al., 2007; Zhang and Delworth, 2007; Zanchettin et 634 635 al., 2016; Levine et al 2017) suggested that the IPO and AMO are not entirely independent processes. For instance, d'Orgeville et al. (2007) showed that the AMO leads the IPO by 15 ~ 17 636 years in observational records, although the lead-lag correlation values are not significant at the 637 638 5% level. In CESM-LENS, however, there is no lead-lag correlation (i.e., $-0.2 \le r \le 0.2$) between the AMO and IPO, consistent with other climate model studies (e.g., Park and Latif, 2010). Thus, 639 640 the IPO and AMO are treated as independent processes in this study. Nevertheless, further study

641 is needed to explore any potential feedback between the AMO and IPO and their modulations of642 El Niño frequency.

Additionally, although we did not emphasize in this study, the IPO-El Nino relationship can 643 be also affected by AMO conditions. For example, the AMO state-dependent IPO impact on the 644 Pacific atmospheric circulations is stronger during a positive AMO phase than a negative AMO 645 646 phase (not shown). This is likely due to the increased subsidence over the southeast Pacific and the enhanced upper-level divergence over the Maritime Continents during a positive AMO phase 647 that reinforce the Pacific mean states and thus amplify the IPO impact, and vice versa during a 648 649 negative AMO phase. Therefore, a further study is needed to explore the AMO state-dependent 650 IPO impacts on El Niño frequency. Finally, the mechanism proposed in this study may influence not only the frequency of El Niño but also the strength and spatio-temporal evolutions of El Niño 651 652 (i.e., El Niño diversity), which are closely tied to global climate and weather variability (e.g., Yeh et al., 2014; Capotondi et al., 2015; Timmermann et al., 2018). Therefore, to further our 653 study, future studies may examine the impact of interactive IPO-AMO phases on El Niño 654 diversity. 655

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853 **Figure captions**

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Fig. 1. (a) The time series of the normalized Atlantic Multidecadal Oscillation (AMO, black line)
and Interdecadal Pacific Oscillation (IPO, red line) taken from Kaplan SST and ERSST5,
respectively. The percentages of observed El Niño occurrence (b) during the positive IPO and

AMO (i.e., (+) IPO & (+) AMO), (c) during the positive IPO and negative AMO (i.e., (+) IPO &

858 (-) AMO), (d) during the negative IPO and positive AMO (i.e., (-) IPO & (+) AMO), and (e)

during the negative IPO and AMO (i.e., (-) IPO & (-) AMO) for 148 years (1870-2017). The

- numbers in parenthesis are anomalous percentage of El Nino occurrence from climatology. The
- climatological mean percentage of observed El Nino occurrence is 14.5%.

Fig. 2. Partial regression of velocity potential (shading, $10^5 \text{ m}^2 \text{ s}^{-1}$), and divergence winds at 200 hPa (vectors, m s⁻¹, omitted below 0.3 m s⁻¹) to (a) IPO and (b) AMO during 1900-2010 from ERA20. (c) and (d) are same as (a) and (b), but for SST (shading, K) and winds at the 850 hPa (vectors, m s⁻¹, omitted below 0.6 m s⁻¹)

Fig. 3. The time series of the 11 years running mean average of the normalized (a) AMO and (b)
IPO indices for the CESM simulation during 1,100 model years. The red (blue) filled color
indicates the values of indices greater (less) than 0.5 standard deviation.

Fig. 4. Partial regression of simulated velocity potential (shading, $10^5 \text{ m}^2 \text{ s}^{-1}$) and divergence wind at 200 hPa (vectors, m s⁻¹, omitted below 0.2 m s⁻¹) onto (a) IPO and (b) AMO indices in 1100 model year from CESM-LES. (c) and (d) are same as (a) and (b), but for SST, (shading, K) and winds at 850 hPa (vectors, m s⁻¹, omitted below 0.3 m s⁻¹).

Fig. 5. The percentages of El Niño occurrence (a) during the positive IPO and AMO (i.e., (+)
IPO & (+) AMO), (b) during the positive IPO and negative AMO (i.e., (+) IPO & (-) AMO), (c)
during the negative IPO and positive AMO (i.e., (-) IPO & (+) AMO), and (d) during the
negative IPO and AMO (i.e., (-) IPO & (-) AMO). The numbers in parenthesis are anomalous
percentage of El Nino occurrence from climatology. The climatological mean percentage of El
Nino occurrence is 23.9%. The error bars indicate 2.5% lower and 97.5% upper confidence
bounds for each interactive phase using bootstrap method.

Fig. 6. Composite maps of anomalous 200 hPa velocity potential (shading, $10^5 \text{ m}^2\text{s}^{-1}$) and divergent winds (vectors, m s⁻¹, omitted below 0.05 m s⁻¹) corresponding to the four interactive IPO and AMO phases from CESM-LES. (a), (b), (c) and (d) are for (+) IPO & (+) AMO, (+) IPO & (-) AMO, (-) IPO & (+) AMO, and (-) IPO & (-) AMO, respectively.

Fig. 7. Composite maps of SSTAs (shading, K) and 850 hPa wind anomalies (vectors, m s⁻¹, omitted below 0.1 m s⁻¹) corresponding to the four interactive IPO and AMO phases from CESM-LES. (a), (b), (c) and (d) are for (+) IPO & (+) AMO, (+) IPO & (-) AMO, (-) IPO & (+) AMO, and (-) IPO & (-) AMO, respectively.

Fig. 8. Composite of anomalous equatorial Pacific thermocline depth of 20°C isotherm (black 888 889 solid lines, m) corresponding to the four interactive IPO and AMO phases from CESM-LES. The grey dashed lines indicate ± one standard deviations of anomalous thermocline depth of 20°C 890 isotherm. The numbers in the left panels indicate the spatially averaged meridional Sverdrup 891 transport (m² s⁻¹). Red, blue, and black colors indicate the Sverdrup transport spatially averaged 892 over $5^{\circ}N - 10^{\circ}N$, $5^{\circ}S - 10^{\circ}S$, and the net Sverdrup transport in the tropic (5 °S - 5 °S), 893 respectively. The negative net Sverdrup transport means to flush warm water from the tropic to 894 higher latitude. (a), (b), (c) and (d) are for (+) IPO & (+) AMO, (+) IPO & (-) AMO, (-) IPO & 895 (+) AMO, and (-) IPO & (-) AMO, respectively. 896

Fig. 9. (a) Annual mean climatology of velocity potential (shading, $10^5 \text{ m}^2 \text{ s}^{-1}$) and divergence 897 wind at 200 hPa (vectors, ms⁻¹, omitted below 1.0 m s⁻¹) from CESM-LES. (b) and (c) are 898 composition map of anomalous velocity potential (shading, $10^5 \text{ m}^2 \text{ s}^{-1}$) and divergence wind at 899 200 hPa (vectors, m s⁻¹, omitted below 0.03 m s⁻¹) during the positive IPO and the negative IPO, 900 respectively. (d) and (e) indicates the positive IPO and the negative IPO state-dependent AMO 901 induced the anomalous velocity potential (shading, $10^5 \text{ m}^2 \text{ s}^{-1}$) and divergence wind at 200 hPa 902 (vectors, m s⁻¹, omitted below 0.05 m s⁻¹), respectively. (f) and (g) are same as (d) and (e), but for 903 SSTAs (shading, K) and 850 hPa winds (vectors, m s⁻¹, omitted below 0.08 m s^{-1}). 904

Fig. 10. (a) The positive IPO and (b) negative IPO state-dependent AMO induced the anomalous velocity potential (shading, $10^5 \text{ m}^2 \text{ s}^{-1}$) and divergence wind at 200 hPa (vectors, m s⁻¹, omitted below 0.05 m s⁻¹) in CESM-AGCMs, respectively. (c) and (d) are same as (a) and (b), but for SSTAs (shading, K) and 850 hPa winds (vectors, m s⁻¹, omitted below 0.08 m s⁻¹).

Fig. 11. (a) Schematic diagrams of spatially averaged (140°W - 80°W) climatological vertical motion (shading, 100 pa s^{-1} , the negative value is ascending motion) and low-level winds (grey filled arrows in the lying panel) over the eastern Pacific. (b) shows the anomalous vertical motion during the negative IPO (shading, 100 pa s^{-1}), the negative IPO state-dependent AMO induced vertical motion (contour lines, contour level is 0.2 pa s⁻¹), the negative IPO state-dependent AMO induced spatial average (140°W - 80°W, 30°S - 30°N) of the integrated atmospheric mass transport from 700 to 100 hPa (black arrows, 10⁹ kg s⁻¹) and the negative IPO state-dependent AMO induced atmospheric circulation (grey arrows). (c) is same as (b), but for the positive IPO state-dependent AMO impact.



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923 Fig. 1. (a) The time series of the normalized Atlantic Multidecadal Oscillation (AMO, black line) and Interdecadal Pacific Oscillation (IPO, red line) taken from Kaplan SST and ERSST5, 924 925 respectively. The percentages of observed El Niño occurrence (b) during the positive IPO and AMO (i.e., (+) IPO & (+) AMO), (c) during the positive IPO and negative AMO (i.e., (+) IPO & 926 927 (-) AMO), (d) during the negative IPO and positive AMO (i.e., (-) IPO & (+) AMO), and (e) during the negative IPO and AMO (i.e., (-) IPO & (-) AMO) for 148 years (1870-2017). The 928 929 numbers in parenthesis are anomalous percentage of El Nino occurrence from climatology. The climatological mean percentage of observed El Nino occurrence is 14.5%. 930



Fig. 2. Partial regression of velocity potential (shading, $10^5 \text{ m}^2 \text{ s}^{-1}$), and divergence winds at 200 hPa (vectors, m s⁻¹, omitted below 0.3 m s⁻¹) to (a) IPO and (b) AMO during 1900-2010 from ERA20. (c) and (d) are same as (a) and (b), but for SST (shading, K) and winds at the 850 hPa (vectors, m s⁻¹, omitted below 0.6 m s⁻¹)

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Fig. 3. The time series of the 11 years running mean average of the normalized (a) AMO and (b)
IPO indices for the CESM simulation during 1,100 model years. The red (blue) filled color
indicates the values of indices greater (less) than 0.5 standard deviation.



Fig. 4. Partial regression of simulated velocity potential (shading, $10^5 \text{ m}^2 \text{ s}^{-1}$) and divergence wind at 200 hPa (vectors, m s⁻¹, omitted below 0.2 m s⁻¹) onto (a) IPO and (b) AMO indices in 1100 model year from CESM-LES. (c) and (d) are same as (a) and (b), but for SST, (shading, K) and winds at 850 hPa (vectors, m s⁻¹, omitted below 0.3 m s⁻¹).



Fig. 5. The percentages of El Niño occurrence (a) during the positive IPO and AMO (i.e., (+) IPO & (+) AMO), (b) during the positive IPO and negative AMO (i.e., (+) IPO & (-) AMO), (c) during the negative IPO and positive AMO (i.e., (-) IPO & (+) AMO), and (d) during the negative IPO and AMO (i.e., (-) IPO & (-) AMO). The numbers in parenthesis are anomalous percentage of El Nino occurrence from climatology. The climatological mean percentage of El Nino occurrence is 23.9%. The error bars indicate 2.5% lower and 97.5% upper confidence bounds for each interactive phase using bootstrap method.



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Fig. 6. Composite maps of anomalous 200 hPa velocity potential (shading, $10^5 \text{ m}^2 \text{s}^{-1}$) and divergent winds (vectors, m s⁻¹, omitted below 0.05 m s⁻¹) corresponding to the four interactive IPO and AMO phases from CESM-LES. (a), (b), (c) and (d) are for (+) IPO & (+) AMO, (+) IPO & (-) AMO, (-) IPO & (+) AMO, and (-) IPO & (-) AMO, respectively.



Fig. 7. Composite maps of SSTAs (shading, K) and 850 hPa wind anomalies (vectors, m s⁻¹, omitted below 0.1 m s⁻¹) corresponding to the four interactive IPO and AMO phases from CESM-LES. (a), (b), (c) and (d) are for (+) IPO & (+) AMO, (+) IPO & (-) AMO, (-) IPO & (+) AMO, and (-) IPO & (-) AMO, respectively.



971 Fig. 8. Composite of anomalous equatorial Pacific thermocline depth of 20°C isotherm (black solid lines, m) corresponding to the four interactive IPO and AMO phases from CESM-LES. The 972 grey dashed lines indicate ± one standard deviations of anomalous thermocline depth of 20°C 973 isotherm. The numbers in the left panels indicate the spatially averaged meridional Sverdrup 974 transport (m² s⁻¹). Red, blue, and black colors indicate the Sverdrup transport spatially averaged 975 over $5^{\circ}N - 10^{\circ}N$, $5^{\circ}S - 10^{\circ}S$, and the net Sverdrup transport in the tropic (5 °S - 5 °S), 976 respectively. The negative net Sverdrup transport means to flush warm water from the tropic to 977 higher latitude. (a), (b), (c) and (d) are for (+) IPO & (+) AMO, (+) IPO & (-) AMO, (-) IPO & 978 (+) AMO, and (-) IPO & (-) AMO, respectively. 979



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Fig. 9. (a) Annual mean climatology of velocity potential (shading, $10^5 \text{ m}^2 \text{ s}^{-1}$) and divergence 982 wind at 200 hPa (vectors, ms⁻¹, omitted below 1.0 m s⁻¹) from CESM-LES. (b) and (c) are 983 composition map of anomalous velocity potential (shading, $10^5 \text{ m}^2 \text{ s}^{-1}$) and divergence wind at 984 200 hPa (vectors, m s⁻¹, omitted below 0.03 m s⁻¹) during the positive IPO and the negative IPO, 985 respectively. (d) and (e) indicates the positive IPO and the negative IPO state-dependent AMO 986 induced the anomalous velocity potential (shading, 10⁵ m² s⁻¹) and divergence wind at 200 hPa 987 (vectors, m s⁻¹, omitted below 0.05 m s⁻¹), respectively. (f) and (g) are same as (d) and (e), but for 988 SSTAs (shading, K) and 850 hPa winds (vectors, m s^{-1} , omitted below 0.08 m s^{-1}). 989



Fig. 10. (a) The positive IPO and (b) negative IPO state-dependent AMO induced the anomalous velocity potential (shading, $10^5 \text{ m}^2 \text{ s}^{-1}$) and divergence wind at 200 hPa (vectors, m s⁻¹, omitted below 0.05 m s⁻¹) in CESM-AGCMs, respectively. (c) and (d) are same as (a) and (b), but for SSTAs (shading, K) and 850 hPa winds (vectors, m s⁻¹, omitted below 0.08 m s⁻¹).



Fig. 11. (a) Schematic diagrams of spatially averaged (140°W - 80°W) climatological vertical 1002 motion (shading, 100 pa s^{-1} , the negative value is ascending motion) and low-level winds (grev 1003 filled arrows in the lying panel) over the eastern Pacific. (b) shows the anomalous vertical 1004 motion during the negative IPO (shading, 100 pa s^{-1}), the negative IPO state-dependent AMO 1005 induced vertical motion (contour lines, contour level is 0.2 pa s⁻¹), the negative IPO state-1006 dependent AMO induced spatial average (140°W - 80°W, 30°S - 30°N) of the integrated 1007 atmospheric mass transport from 700 to 100 hPa (black arrows, 10^9 kg s⁻¹) and the negative IPO 1008 state-dependent AMO induced atmospheric circulation (grey arrows). (c) is same as (b), but for 1009 the positive IPO state-dependent AMO impact. 1010