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The Influence of Ocean Dynamics on the Tropical Atlantic SST Bias in CESM1 --Manuscript Draft--

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Abstract

In order to identify and quantify inherent errors in the atmosphere-land model and the ocean-sea ice model components of the Community Earth System Model version 1 (CESM1), and their contributions to the tropical Atlantic sea surface temperature (SST) bias in CESM1, we propose a new method of diagnosis and apply it to a series of CESM1 simulations. Our analysis of the model simulations indicates that the ocean-sea ice model contributes significantly to the eastern equatorial Atlantic warm SST bias in CESM1 due to its spurious ocean dynamic processes. Therefore, while we acknowledge the potential importance of the westerly wind bias in the western equatorial Atlantic and the low-level stratus cloud bias in the southeastern tropical Atlantic, both of which originate from the atmosphere-land model, we emphasize here that solving those problems in the atmosphere-land model alone does not resolve the equatorial Atlantic warm bias in CESM1.

47 **1. Introduction**

48 Since the pioneering work of Manabe and Bryan (1969), coupled atmosphere-ocean general 49 circulation models (AOGCMs) have significantly improved. AOGCMs are now able to 50 reproduce the basic features of the global climate system (Covey et al. 2003; Meehl et al. 2005), 51 and thus become an important tool for seasonal forecasts, climate projections and other climate 52 research in general.

However, the tropical Atlantic biases typically characterized by warmer sea surface temperatures (SSTs) in the eastern equatorial ocean, a reversed zonal SST gradient along the equator, colder SSTs in the northwest and southwest tropical Atlantic, and warmer SSTs in the northeast and southeast tropical Atlantic, are common problems with most AOGCMs (e.g., Davey et al. 2002).

Model biases have been somewhat reduced in most recent models used in the Coupled Model Intercomparison Project Phase 5 (CMIP5) compared to those used in CMIP3 (e.g., Liu et al. 2013). Recent studies have also shown that improving the spatial resolution can potentially reduce such biases (Gent et al. 2010; Patricola et al. 2011; Kirtman et al. 2012). Nevertheless, almost all of the state-of-the-art AOGCMs still cannot reproduce the climatology of tropical Atlantic SSTs (Mechoso et al. 1995; Davey et al. 2002; Covey et al. 2003; Richter and Xie 2008; Richter et al. 2012).

These systematic tropical Atlantic biases in AOGCMs will affect the models' ability to simulate and predict climate variability (Xie and Carton 2004). Studies have shown that the tropical Atlantic affects and modulates climate variability of the Western Hemisphere, such as the West African summer monsoon (Vizy and Cook 2001; Giannini et al. 2003; Gu and Adler 2004), moisture transport and rainfall over the American continents (Enfield et al. 2001; Wang et

al. 2006) and Atlantic hurricane development and intensification (e.g., Goldenberg et al. 2001;
Webster et al. 2005; Wang and Lee 2007). Therefore, in order to increase the seasonal-to-decadal
climate predictability in the Western Hemisphere, it is important to accurately simulate the
tropical Atlantic Ocean in AOGCMs.

74 Many studies have diagnosed the large systematic errors in the tropical Atlantic, and 75 attributed the errors to various atmospheric and/or ocean processes. Recent studies argued that 76 the westerly wind bias over the western tropical Atlantic in boreal spring is the main cause of the 77 tropical Atlantic biases (Richter and Xie 2008; Richter et al. 2012), and showed that the westerly 78 wind bias also exists in the atmosphere general circulation models (AGCMs) forced by observed 79 SSTs (DeWitt 2005; Chang et al. 2007; Richter and Xie 2008; Richter et al. 2012). These studies 80 argued that the westerly wind bias in boreal spring deepens the thermocline in the eastern 81 equatorial Atlantic and prevents the development of the cold tongue in summer; then warm SST 82 bias develops in the cold tongue and further amplifies due to the Bjerknes feedback.

83 Other studies have suggested that a likely source of the tropical Atlantic biases is the 84 deficiency of AOGCMs in reproducing the low-level stratus cloud deck over the southeastern 85 tropical Atlantic Ocean (Yu and Mechoso 1999; Large and Danabasoglu 2006; Saha et al. 2006; 86 Huang et al. 2007; Hu et al. 2008; 2011; Richter and Xie 2008). These studies argue that the 87 warm SST bias over the southeastern tropical Atlantic is mainly caused by the model's inability 88 to reproduce the observed amount of low-level cloud in the region, which in turn causes an 89 excessive local shortwave radiative flux into the ocean. Wahl et al. (2011) explored this 90 hypothesis by performing some sensitivity experiments using the Kiel Climate model. Wahl et 91 al. (2011) concluded that the westerly wind bias over the western tropical Atlantic in spring and 92 early summer is the key mechanism for the equatorial Atlantic SST bias, while the low-level

93 cloud cover and associated excessive surface shortwave radiation contribute to the SST bias in
94 the southeast tropical Atlantic Ocean.

95 There are also some studies suggesting that ocean processes could contribute to the tropical 96 Atlantic biases. Hazeleger and Haarsma (2005), for example, suggested that the tropical Atlantic 97 bias is strongly related to the upper ocean mixing. Seo et al. (2006) argued that properly 98 representing equatorial Atlantic instability waves in climate models could enhance the equatorial 99 upwelling and thus potentially reduce the equatorial Atlantic warm SST bias. Large and 100 Danabasoglu (2006) suggested that the warm SST bias in the southeastern tropical Atlantic could 101 be reduced by improving the simulation of coastal upwelling off the coasts of southwest Africa. 102 Breugem et al. (2008) attributed the warm SST bias in the eastern and southeastern tropical 103 Atlantic to the spurious barrier layer (BL), which forms due to the excessive regional rainfall and 104 amplifies via coupled SST-precipitation-BL feedback and thus prevents surface cooling via 105 strong salinity stratification. However, Richter et al. (2012) showed that the BL feedback 106 described by Breugem et al. (2008) is not significant at least in the Geophysical Fluid Dynamics 107 Laboratory (GFDL) coupled model. There are also other interesting hypotheses on the origin of 108 the tropical Atlantic SST bias in the coupled models, such as the meridional SST dipole (Lee and 109 Wang 2008; Chang et al. 2007), the West African monsoon (Deser et al. 2006), rainfall over the 110 Amazon and Africa (Davey et al. 2002; Chang et al. 2008; Okumura and Xie 2004), and air-sea 111 turbulent flux (Ban et al. 2010).

Previous studies such as those briefly reviewed above have suggested a variety of potential causes of the tropical Atlantic SST biases in AOGCMs. However, these hypotheses (or conclusions) are derived largely based on fully spun up AOGCM runs. Since the SST bias in an AOGCM could cause errors in the atmospheric circulation, which in turn also could feedback

onto the tropical Atlantic SSTs via air-sea interaction, it is almost impossible to identify the exact processes responsible for the tropical Atlantic SST bias from fully spun up AOGCM runs. Therefore, in an effort to identify the exact processes that cause the tropical Atlantic SST biases, here we focus on the initial development of the SST bias by using the National Center for Atmospheric Research (NCAR) Community Earth System Model version 1 (CESM1), which suffers the same systematic tropical Atlantic SST bias as in other AOGCMs.

This paper is organized as follows. The model and numerical experiments design are described in section 2. The experiment results and analysis are presented in section 3 and 4, in which the SST bias and its development mechanism in CESM1 are analyzed by comparing results from three model experiments (to be described in section 2). Section 5 provides conclusions and discussion.

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128 **2. Model and model experiments**

129 CESM1 is a state-of-the-art global earth system model that can provide simulations of the 130 Earth's past, present, and future climate. It is the successor to the Community Climate System 131 Model (CCSM), which was extended and renamed to CESM in June 2010. CESM1, which was 132 released in November 2012, is a superset of CCSM4 in that its default configuration is the same 133 science scenarios as CCSM4, although CESM1 also contains options for a terrestrial carbon 134 cycle and dynamics, and ocean ecosystems and biogeochemical coupling, all necessary for an 135 earth system model. In this paper, CESM1 is configured as a purely physical model, and is thus 136 identical to CCSM4, since our focus here is on the physical processes. Many improvements have 137 been made in CESM1/CCSM4 simulations compared with the previous version of CCSM3, such 138 as the frequency of the Madden - Julian Oscillation (MJO) and ENSO variability, the annual

139 cycle of SSTs in the eastern equatorial Pacific, and the Arctic sea-ice concentration (Gent et al. 140 2011). However, it still displays significant tropical Atlantic SST biases (Grodsky et al. 2012) as 141 shown in Figure 1b. The observed SSTs in the equatorial Atlantic are warmer in the west and 142 cooler in the east (Figure 1a). However, the SSTs in the CCSM4 control simulation with 143 twentieth century forcing (CCSM4 20C hereafter), which is available from the CMIP5 archive, 144 are warmer in the east and cooler in the west with the SST bias exceeding 3.0°C in the southeast 145 tropical Atlantic along the east coast of Africa (Figure 1b). It is clear that CCSM4_20C fails to 146 reproduce the equatorial Atlantic cold tongue and the zonal SST gradient along the equator, 147 which are common deficiencies in AOGCMs.

The main objective of this study is to identify the processes responsible for the development of the tropical Atlantic SST biases in CESM1. Our approach to achieve this goal is to diagnose the development of biases in a fully coupled CESM1 run initialized with data from uncoupled surface-forced atmosphere and ocean only simulations. It is worthwhile to point out that this approach is analogous to the one used in the Transpose-Atmospheric Model Intercomparison Project Phase II (T-AMIP2) as discussed in Williams et al. (2013).

Three numerical experiments are designed and performed using CESM1. These experiments are (1) dynamic atmosphere-land run forced by observed SSTs (EXP_ATM hereafter); (2) dynamic ocean-sea ice run forced by observed surface atmospheric fluxes (EXP_OCN hereafter); and (3) fully coupled atmosphere-land-ocean-sea ice run initialized with data from EXP_ATM and EXP_OCN (EXP_CPL hereafter).

The atmosphere model component is Community Atmosphere Model version 4 (CAM4; Neale et al. 2010) and the land model is Community Land Model version 4 (CLM4; Lawrence et al. 2011). Both CAM4 and CLM4 have horizontal resolution of $1.9^{\circ} \times 2.5^{\circ}$, and are forced by

observed climatological monthly SSTs (Hurrell et al. 2008). This experiment (EXP_ATM) is integrated for 30 years and the last ten years are used for analysis. The ocean model is Parallel Ocean Program version 2 (POP2; Danabasoglu et al. 2012) and the sea-ice model is Community Ice Model version 4 (CICE4; Hunke and Lipscomb 2008). Both POP2 and CICE4 have a nominal 1° horizontal resolution, and are forced by Coordinated Ocean Reference Experiment phase 2 (COREv2) normal-year surface fluxes (Large and Yeager 2004; 2009). This experiment (EXP_OCN) is integrated for 210 years and the last ten years are used for analysis.

169 For the fully coupled experiment (EXP_CPL), 10-member ensemble experiments are 170 performed to achieve statistically significant model results. The atmosphere and surface land 171 models are initialized using EXP_ATM while the ocean and sea-ice models are initialized using 172 EXP_OCN. The 10-member ensemble experiments are initialized using the combination of the 173 EXP_ATM and EXP_OCN obtained from the last 10 years of the model integrations, and 174 integrated for five years. In the following sections, the ensemble-mean of EXP_CPL along with 175 the results from EXP_ATM and EXP_OCN are analyzed to identify the processes that cause the 176 development of the tropical Atlantic SST biases in CESM1.

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178 **3. Implicit SST bias in EXP_ATM and EXP_OCN**

179 3.1 EXP_ATM

In order to understand and quantify the roles of the atmospheric-land model (EXP_ATM) in the generation of the tropical Atlantic SST bias, the net surface heat flux bias in EXP_ATM is integrated in time:

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$$\Delta T_{\text{EXP}_{ATM}}(t) = \int_0^t \frac{Q_{NET}[\text{EXP}_{ATM}] - Q_{NET}[\text{OBS}]}{\rho_w C_{pw} D} dt , \qquad (1)$$

184 where ρ_w is sea water density, C_{pw} is the specific heat of sea water, D is the mixed layer depth 185 from EXP_OCN, Q_{NET} [EXP_ATM] and Q_{NET} [OBS] are the net surface heat fluxes from 186 EXP_ATM and COREv2, respectively. Note that $\Delta T_{\text{EXP} \text{ ATM}}$ represents SST bias, which could be 187 potentially caused by the net surface heat flux bias for the duration of t, with assumptions that the 188 atmosphere-land model is coupled with a perfect ocean (i.e., all oceanic heat flux terms are error-189 free) and there is no air-sea feedback to amplify or damp out the net surface heat flux bias. 190 Obviously, the net heat flux bias in this case (EXP_ATM) does not change the model SSTs 191 because the model SSTs are fixed. Therefore, it is referred to as *implicit SST bias* in EXP ATM, 192 hereafter.

193 Figure 2a shows the annually averaged implicit SST bias in EXP_ATM due to the net surface 194 heat flux bias. This is computed by integrating the long-term averaged net heat flux bias in 195 EXP_ATM from January 1 to December 31, then dividing it by 12 months. Using a similar 196 method, the annually averaged implicit SST bias in EXP ATM due to the latent heat flux, 197 shortwave radiative heat flux, and longwave radiative heat flux, are computed and shown in Fig. 198 2b, c, and d, respectively. As shown in Fig. 2a, the north-central equatorial Atlantic and also the 199 southeastern tropical Atlantic between 20°S and the equator are characterized by warm (implicit) SST bias; while in other regions, especially in the south and north tropical Atlantic, there are two 200 201 bands of cold (implicit) SST bias across the Atlantic basin. These results suggest that if the 202 atmosphere-land model is coupled with a perfect ocean and the SST bias does not feedback onto 203 the atmosphere-land model, warm SST bias is expected in the north-central equatorial Atlantic 204 and the southeastern tropical Atlantic, whereas cold SST bias is expected in the north and south 205 tropical Atlantic.

206 Figure 2c shows that the warm/cold implicit SST biases in EXP_ATM are mainly caused by 207 weaker/stronger surface wind stress bias and associated positive (i.e., into the ocean)/negative 208 (i.e., out of the ocean) latent heat flux bias. As shown in Fig. 2b, the shortwave radiative flux is 209 larger than observations over the stratus cloud deck region of the south-central and southeastern 210 tropical Atlantic Ocean, south of around 10°S (Large and Danabasoglu 2006; Huang et al. 2007; 211 Grodsky et al. 2012). Although not shown here, CCSM4_20C also contains the positive 212 shortwave radiative flux bias in the southeastern tropical Atlantic with about the same amplitude 213 of that in EXP_ATM, suggesting that the low-level cloud and shortwave radiation errors in 214 CCSM4_20C are inherent to its atmospheric-land component. However, Figure 2d shows that 215 the positive shortwave radiation flux bias in the southeastern tropical Atlantic is partly 216 compensated by the negative long wave radiative heat flux bias in the region. Therefore, the net 217 radiative flux bias in EXP_ATM has a relatively weak influence on the implicit SST bias in the 218 southeastern tropical Atlantic (not shown).

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220 3.2 EXP_OCN

Figure 3 shows the SST bias in the surface-forced ocean-sea ice model experiment (EXP_OCN). Overall, the tropical Atlantic SSTs are reasonably well simulated with a relatively low amplitude of SST bias. Nevertheless, the amplitude of warm SST bias in the southeastern tropical Atlantic especially near the west coast of Africa is quite large (up to 2°C). This suggests that inherent errors in the ocean-sea ice model can significantly contribute to the warm SST bias in CCSM4_20C, in agreement with earlier studies (Large and Danabasoglu 2006; Grodsky et al. 2012).

228 It is important to note that in EXP_OCN the ocean-sea ice model is forced with prescribed 229 atmospheric conditions. Flux forms of atmospheric forcing, namely short and longwave radiative heat fluxes, precipitation rate and wind stress are directly used to force the ocean-sea ice model. 230 231 For latent and sensible heat fluxes, however, bulk equations are used to compute them 232 interactively using wind speed, air humidity and air temperature at 10 m along with the model 233 SSTs. Such a treatment of the turbulent heat fluxes ultimately relaxes the model SSTs toward the 234 prescribed surface air temperature as discussed in earlier studies (e.g., Lee et al. 2007; Liu et al. 235 2012). Therefore, the SST bias in EXP_OCN shown in Fig. 3 is not a good measure of inherent 236 errors in the ocean-sea ice model.

To better quantify the inherent errors in EXP_OCN, we attempt to compute implicit SST bias in EXP_OCN associated with spurious ocean dynamic processes. The equation for the surface mixed layer temperature bias in EXP_OCN can be written as

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$$\frac{\partial \Delta T_m}{\partial t} = -\Delta \left(u_m \frac{\partial T_m}{\partial x} + v_m \frac{\partial T_m}{\partial y} + w_e \left(T_m - T_e \right) \right) + \frac{Q_{NET} \left[\text{EXP_OCN} \right] - Q_{NET} \left[\text{OBS} \right]}{\rho_w C_{pw} D}, \quad (2)$$

where ΔT_m is the difference in ocean mixed layer temperature between EXP_OCN and the observation, u_m and v_m are the ocean mixed layer currents in the *x*- and *y*-directions, w_e is the entrainment rate at the mixed layer base, T_e is the ocean temperature immediately below the mixed layer, and Q_{NET} [EXP_OCN] is the net surface heat flux in EXP_OCN (see Lee et al. 2007 for the derivation of the bulk mixed layer temperature equation). The first three terms on the right side of equation (2) can be regarded as the errors in ocean dynamic processes. Integrating equation (2) in time, after a minor manipulation, we get

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$$\Delta T_{\text{EXP}_{\text{OCN}}} \equiv -\int_{0}^{t} \Delta \left(u_{m} \frac{\partial T_{m}}{\partial x} + v_{m} \frac{\partial T_{m}}{\partial y} + w_{e} \left(T_{m} - T_{e} \right) \right) dt$$

$$= \Delta T_{m} - \int_{0}^{t} \frac{Q_{NET} \left[\text{EXP}_{\text{OCN}} \right] - Q_{NET} \left[\text{OBS} \right]}{\rho_{w} C_{mv} D} dt.$$
(3)

 $\Delta T_{\text{EXP}_{OCN}}$ represents the implicit SST bias in EXP_OCN due to the inherent errors in the ocean dynamic processes, including advection and turbulent mixing, for the duration of *t* with assumptions that there is no air-sea feedback to amplify or damp out the net surface heat flux bias.

Figure 4a shows the annually averaged implicit SST bias in EXP_OCN linked to spurious ocean dynamic processes. Its amplitude is of the same order of magnitude as that in EXP_ATM (Fig. 2a). Comparing Fig. 4a with Fig. 2a, in the southeastern and northeastern tropical Atlantic, especially near the west coast of Africa, the implicit SST bias due to spurious ocean dynamic processes is much larger than that due to net heat flux bias in EXP_ATM. This strongly suggests that the warm SST biases in CCSM4_20C over these regions (see Fig. 1b) are mainly associated with spurious ocean dynamic processes.

260 It is interesting to note that ocean dynamic cooling in EXP_OCN is too strong in the eastern equatorial Atlantic, but too weak in the central equatorial Atlantic. Given that vertical 261 262 entrainment of cold thermocline water due to turbulent mixing is what maintains the cold tongue 263 in the central equatorial Atlantic (e.g., Lee and Csanady 1999a; 1999b; Goes and Wainer 2003), 264 it is possible that the parameterization of vertical mixing, and/or the mean state variables that 265 affect the vertical mixing, namely vertical shear and stratification at the mixed layer base, are the 266 source of the SST bias. It is also possible that failing to resolve equatorial Atlantic instability 267 waves reduces the equatorial upwelling and is thus responsible for the warm implicit SST bias in 268 the central equatorial Atlantic (Seo et al. 2006).

270 3.3 EXP_ATM + EXP_OCN

The linear combination of the implicit SST bias in EXP_ATM due to net surface heat flux bias (1) and the implicit SST bias in EXP_OCN due to spurious ocean dynamic processes (3) can be written as

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$$\Delta T_{\text{EXP}_{ATM}} + \Delta T_{\text{EXP}_{OCN}} = \Delta T_m + \int_0^t \frac{Q_{NET} [\text{EXP}_{ATM}] - Q_{NET} [\text{EXP}_{OCN}]}{\rho_w C_{pw} D} dt.$$
(4)

This SST bias is what is expected when the atmosphere-land model is joined together with the ocean-sea ice model but without any air-sea feedback. It is important to note that the implicit SST bias in EXP_ATM + EXP_OCN is independent from the observed surface heat flux product used in the analysis, and is thus not subject to uncertainty in the observed surface heat flux product used at least in a linear sense.

280 Figure 4b shows the implicit SST bias in EXP ATM + EXP OCN. Comparing this with the 281 SST bias in CCSM4_20C (Fig. 1b), their spatial patterns are surprisingly similar although the 282 overall amplitude of SST bias in CCSM4_20C is smaller than the amplitude of implicit SST bias 283 in EXP_ATM + EXP_OCN. In particular, in both CCSM4_20C and EXP_ATM + EXP_OCN, 284 the southwestern and northwestern tropical Atlantic are characterized by cold SST bias, while the 285 southeastern and northeastern tropical Atlantic are characterized by warm SST bias. This result 286 mainly suggests that the cold/warm SST biases over these off-equatorial regions in CCSM4_20C 287 originate from the inherent biases in the atmosphere-land model and the ocean-sea ice model, 288 and further weakened/amplified by atmosphere-ocean coupling.

However, it appears that over the equatorial Atlantic region the implicit SST bias in EXP_ATM + EXP_OCN (Fig. 4b) does not exactly explain the SST bias in CCSM4_20C (Fig. 1b). Therefore, to better understand the origin of the equatorial Atlantic SST bias in 292 CCSM4_20C, in the next section we explore the initial development of the tropical Atlantic SST293 bias in EXP_CPL.

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295 4. Initial development of the SST bias in EXP_CPL

Figure 4c shows the SST bias in EXP_CPL averaged over the first year. Overall, both the amplitude and spatial pattern of the SST bias in EXP_CPL developed over the first year are very similar to those of the annually averaged SST bias in CCSM4_20C (Fig. 1b), suggesting that the tropical Atlantic SST bias develops very quickly. Figure 5 shows the bi-monthly SST bias development in the fully coupled model experiment (EXP_CPL) during the first and second years of the model integration.

An interesting point is that the cold SST bias in the eastern equatorial Atlantic, which apparently originates from the ocean-sea ice model (Fig. 4a), persists only during the first four months of the coupled model integration. However, it disappears afterward and is completely masked by the warm SST bias in June of the first year. Among other features, perhaps the most striking is the fast development of the warm SST bias in the southeastern tropical Atlantic - the SST bias along the coast of Angola exceeds 6°C by June of the first year.

Although the tropical Atlantic SST bias in EXP_CPL develops very quickly within a year, largely due to the combined effect of inherent biases in EXP_ATM and EXP_OCN, in some regions the SST bias in the first year is further weakened or amplified due to the active atmosphere-ocean coupling. For instance, the cold SST bias over the southwestern tropical Atlantic in the first year is much reduced in the second year due to the eastward expansion of the warm SST anomalies in the southeastern tropical Atlantic. It is also clear that the warm SST bias in the eastern equatorial Atlantic during the first year strengthens and expands westward in thesecond year.

316 In order to better describe the tropical SST biases in EXP_CPL and how they are forced by 317 EXP_ATM, EXP_OCN and the atmosphere-ocean coupling, the bi-monthly tropical Atlantic SST bias tendencies (°C month⁻¹) in EXP CPL, EXP ATM + EXP OCN, EXP ATM and 318 319 EXP OCN during the first year are shown in Fig. 6. It is clearly shown that the southeastern 320 tropical Atlantic warm SST bias in EXP_CPL, which is largely forced in boreal spring, is caused 321 by EXP_OCN due to spurious ocean dynamic processes, with an assumption that the surface 322 fluxes prescribed in EXP_OCN is error-free. The initial development of the eastern equatorial 323 warm SST bias, which is mainly forced in early boreal summer, is also caused by EXP_OCN due 324 to spurious ocean dynamic processes.

325 By comparing the SST bias tendency in EXP_CPL and the implicit SST bias tendency in 326 EXP_OCN, it is clear that the atmosphere-ocean coupling tends to weaken the implicit SST bias 327 tendency in these regions, and thus does not play a decisive role at least in the first year. These 328 features in the equatorial Atlantic are much more clearly illustrated in Fig. 7, which shows the 329 time evolutions of the SST bias tendencies along the equatorial Atlantic and the contributions by 330 the surface heat flux errors and by errors involving ocean dynamic processes in EXP_CPL. 331 Therefore, we may conclude that the eastern equatorial and southeastern tropical Atlantic warm 332 SST biases in EXP_CPL are forced by EXP_OCN due to its spurious ocean dynamic processes.

Richter and Xie (2008) analyzed CMIP3 models and argued that the westerly wind bias in boreal spring over the western equatorial Atlantic deepens the thermocline in the eastern equatorial Atlantic preventing the development of the cold tongue in summer, and thus is the root cause of the equatorial Atlantic warm SST bias in CMIP3 models. Our analysis of the three

CESM1 experiments, however, suggests that the ocean-sea ice model due to its spurious ocean dynamic processes may contribute more significantly than the atmosphere-land model to the eastern equatorial Atlantic warm SST bias in CCSM4/CESM1. Therefore, while we acknowledge the potential importance of the westerly wind bias in boreal spring over the western equatorial Atlantic, which originates from the atmosphere-land model (see Fig. 2b), we would like to stress that solving this problem in the atmosphere-land model alone does not resolve the equatorial Atlantic warm bias in CCSM4/CESM1.

Grodsky et al. (2012) showed that mean sea level pressure in CCSM4 is erroneously high by a few millibars in the subtropical highs and erroneously low in the polar lows similar to CCSM3, and thus the trade winds are $1 \sim 2 \text{ m s}^{-1}$ too strong. Since the cold SST biases in the southwestern and northwestern tropical Atlantic are closely linked to the strength of the trade winds in EXP_ATM, it is likely that their root cause is the subtropical highs in the atmosphere-land model.

350

5. Summary and Discussions

In order to identify the processes that contribute significantly to the initial development of the tropical Atlantic SST bias in AOGCMs, we have performed a series of model experiments using CESM1. These experiments are a forced atmosphere-land model experiment (EXP_ATM), a forced ocean-ice model experiment (EXP_OCN) and a fully coupled model experiment with its atmosphere-land model initialized using EXP_ATM and the ocean-ice model using EXP_OCN (EXP_CPL).

358 We propose and use a new method of diagnosis to identify and quantify inherent errors in the 359 atmosphere-land model and the ocean-sea ice model components of CESM1. It is shown here

360 that both the atmosphere-land model and the ocean-sea ice model components contain significant 361 errors in the tropical Atlantic. In particular, in boreal summer, the ocean-sea ice model could 362 cause large amplitudes of warm SST bias in the eastern equatorial and southeastern tropical 363 Atlantic due to its spurious ocean dynamic processes even if it is coupled to a perfect 364 atmosphere-land model and the SST bias does not feedback onto the ocean-sea ice model. In the 365 atmosphere-land model, the trade winds and associated surface latent cooling are too strong in 366 the northwestern and southwestern tropical Atlantic, while they are too weak in the northeastern 367 and southeastern tropical Atlantic. Therefore, even if the atmosphere-land model is coupled to a 368 perfect ocean-sea ice model and the SST bias does not feedback onto the atmosphere-land 369 model, warm SST bias could be generated in the northeastern and southeastern tropical Atlantic, 370 whereas cold SST bias could be generated in the northwestern and southwestern tropical 371 Atlantic.

372 In the fully coupled model simulation with its atmosphere-land model initialized using 373 EXP_ATM and the ocean-sea ice model using EXP_OCN, the tropical Atlantic SST bias 374 develops very quickly within a year, and its amplitude and spatial pattern are largely determined 375 by the linear combination of the implicit SST errors in EXP_ATM and EXP_OCN. In particular, 376 it is shown here that the eastern equatorial and southeastern tropical Atlantic warm SST bias in 377 the fully coupled simulation are forced in boreal spring and early summer by the ocean-sea ice 378 model due to its spurious ocean dynamic processes, and further grow due to positive atmosphere-379 ocean feedback.

We point out that our results are not entirely independent from uncertainty in the observed surface flux product used (i.e., COREv2). In particular, if the observed surface zonal wind is too weak along the equator, it will contribute positively to the equatorial Atlantic warm SST bias in

EXP_OCN. Although considerable effort was made to minimize errors, COREv2 is far from perfect. Therefore, in a more strict sense, equation (3) should be considered as the implicit SST bias in EXP_OCN and COREv2. Similarly, equation (1) should be considered as the implicit SST bias in EXP_ATM and COREv2.

The main emphasis in this paper is to explore how the tropical SST bias in CESM1 is initiated and evolves. Although we identify that the inherent errors in the ocean-sea ice model contribute significantly to the tropical SST bias in CESM1, further studies are needed to trace the parameterizations and/or configurations in the ocean-sea ice model that are directly linked to the errors. Therefore, we strongly recommend sensitivity studies on model resolutions (in both the horizontal and vertical directions), vertical mixing schemes and isopycnal mixing schemes, using the ocean-sea ice model component of CESM1.

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Figure 1. Annually averaged climatological SSTs in the tropical Atlantic from (a) ERSSTv3 for 1949-2005, and (b) CCSM4 historical simulation for 1949-2005. The SST bias in CCSM4 (shaded) is also shown in (b). The unit is °C.

542

Figure 2. Annually averaged implicit SST bias in EXP_ATM due to (a) the net surface heat flux bias, which is computed by integrating the net heat flux bias in EXP_ATM for one year from January 1 to December 31, then dividing it by 12 months. Contributions by (b) shortwave radiative heat flux bias, (c) latent heat flux bias and (d) longwave radiative heat flux bias. The vectors in (c) show the annually averaged surface wind stress bias. The unit is °C.

548

549 **Figure 3**. Annually averaged SST bias in EXP_OCN. The unit is °C.

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551 **Figure 4**. Annually averaged implicit SST bias in (a) EXP_OCN and (b) EXP_ATM + 552 EXP_OCN. (c) Annually averaged SST bias in EXP_CPL. The unit is °C.

553

Figure 5. Evolution of SST bias in EXP_CPL during the first and second year. The unit is °C.

- 556 Figure 6. (1st column) Evolution of SST bias tendency in EXP_CPL during the first year.
- 557 Evolution of implicit SST bias tendency in (2nd column) EXP_ATM + EXP_OCN, (3rd column)
- 558 EXP_ATM, and (4th column) EXP_OCN. The unit is $^{\circ}$ C month⁻¹.

Figure 7. Time-longitude evolutions of (a) the SST bias tendencies along the equatorial Atlantic,
and the contributions by (b) the surface heat flux errors and (c) errors involving ocean dynamic
processes in EXP_CPL during the first year. Time-longitude evolutions of implicit SST bias
tendencies in (d) EXP_ATM + EXP_OCN, (e) EXP_ATM and (f) EXP_OCN. The unit is °C
month⁻¹.

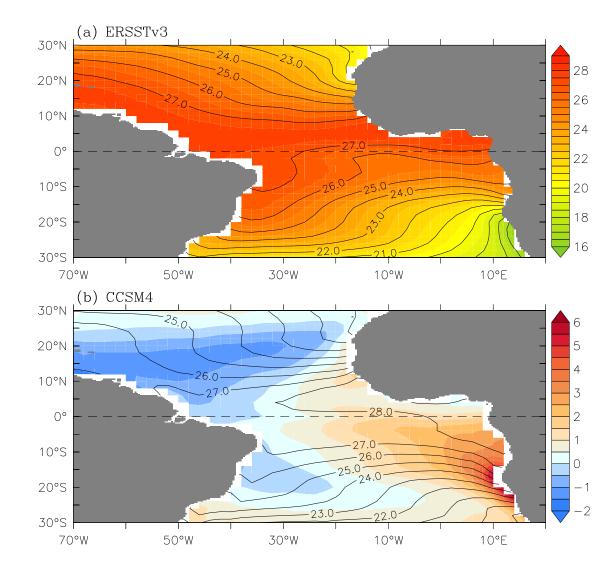


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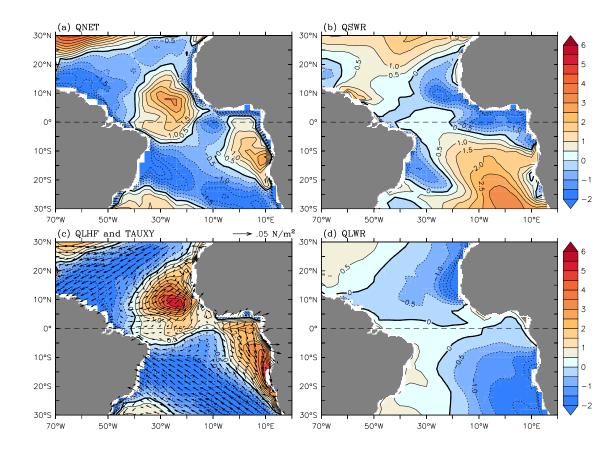
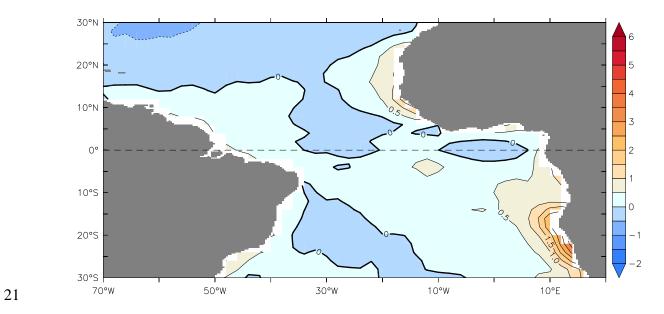


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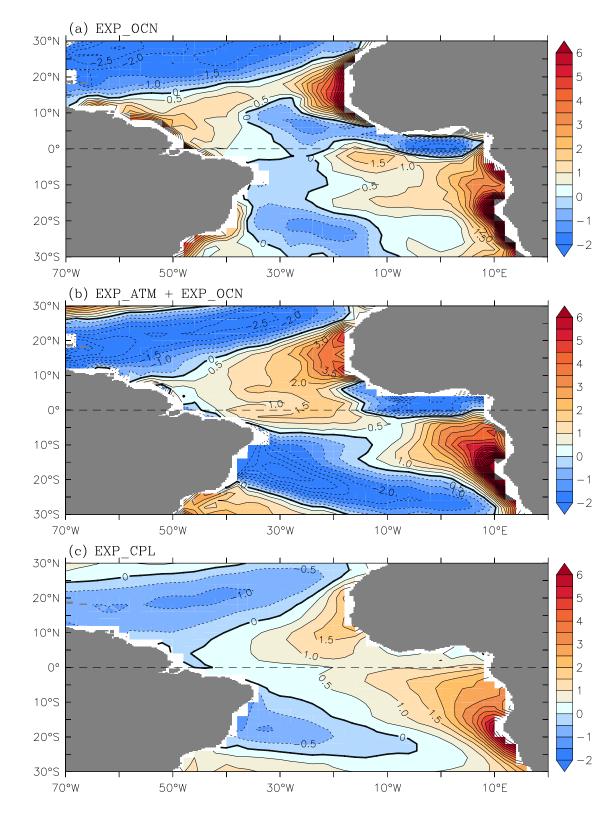


Figure 4. Annually averaged implicit SST bias in (a) EXP_OCN and (b) EXP_ATM +
EXP_OCN. (c) Annually averaged SST bias in EXP_CPL. The unit is °C.

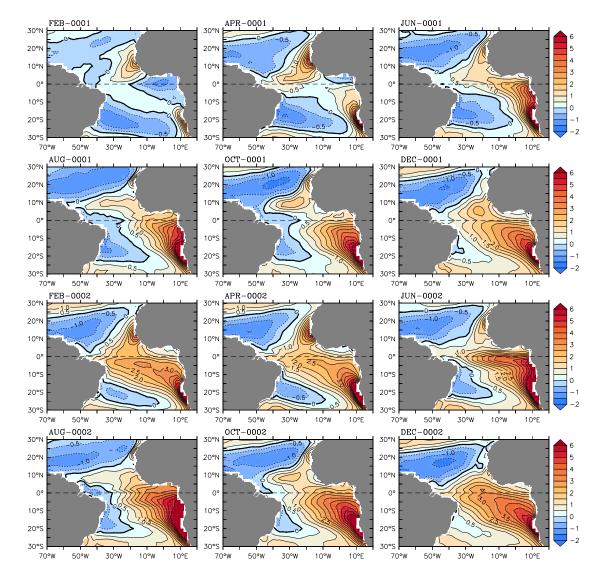
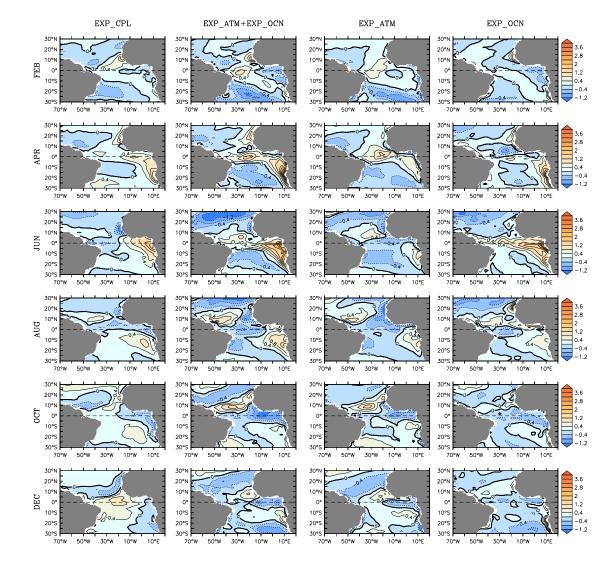


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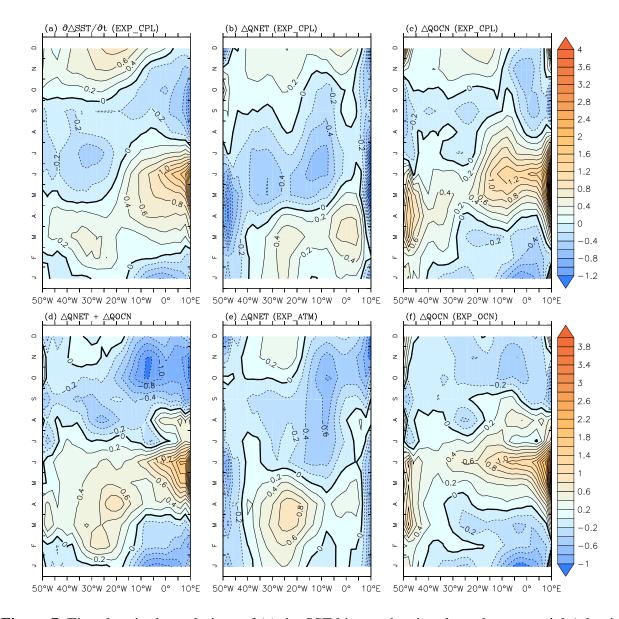


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