

1 **U.S. regional tornado outbreaks and their links to spring ENSO phases and**  
2 **North Atlantic SST variability**

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24 **Abstract**

25 Recent violent and widespread tornado outbreaks in the U.S., such as occurred in the spring  
26 of 2011, have caused devastating societal impact with significant loss of life and property. At  
27 present, our capacity to predict U.S. tornado and other severe weather risk does not extend  
28 beyond seven days. In an effort to advance our capability for developing a skillful long-range  
29 outlook for U.S. tornado outbreaks, here we investigate the spring probability patterns of U.S.  
30 regional tornado outbreaks during 1950-2014. We show that the dominant springtime El Niño-  
31 Southern Oscillation (ENSO) phases and the North Atlantic sea surface temperature tripole  
32 variability are linked to distinct and significant U.S. regional patterns of outbreak probability.  
33 These changes in the probability of outbreaks are shown to be largely consistent with remotely  
34 forced regional changes in the large-scale atmospheric processes conducive to tornado outbreaks.  
35 An implication of these findings is that the springtime ENSO phases and the North Atlantic SST  
36 tripole variability may provide seasonal predictability of U.S. regional tornado outbreaks.

47 **1. Introduction**

48 The latest U.S. Natural Hazard Statistics reported that during 2005-2014 tornadoes claimed  
49 1,100 lives in the U.S, and caused \$21.7 billion in property and crop damages (supplementary  
50 table 1). To help emergency managers, government officials, businesses and the public better  
51 prepare the resources needed to save lives and protect critical infrastructure, there is an urgent  
52 need for earlier prognosis of tornadogenesis, more effective warning systems, and an expansion  
53 of the current severe weather outlooks beyond seven days.

54 As summarized in a recent review [1], notable advances have been made since 2011, a year  
55 of record-breaking spring tornado outbreaks in the U.S., toward expanding the severe weather  
56 outlook at the National Oceanic and Atmospheric Administration beyond weather time scales [2-  
57 8]. In particular, one study [5] showed that the majority of the extreme U.S. tornado outbreaks in  
58 April and May during 1950-2010 were linked to a positive Trans-Niño, which typically occurs  
59 during spring following the peak of La Niña and indicates a positive zonal gradient of sea surface  
60 temperature anomalies (SSTAs) from the central tropical Pacific to the eastern tropical Pacific  
61 [9, 10]. A further analysis using an atmospheric reanalysis and modeling experiments showed  
62 that a positive Trans-Niño could enhance large-scale atmosphere conditions conducive to intense  
63 tornado outbreaks over the U.S. via extratropical teleconnections [5]. Another study [8] showed  
64 that La Niña events persisting into spring (with March-May SSTAs in the Nino3.4 region (120°-  
65 180°W and 5°S-5°N) below -0.5°C) could increase U.S. tornado activity, especially over  
66 Oklahoma, Arkansas and northern Texas, and vice versa for El Niño events persisting into spring  
67 (with March-May SSTAs in the Nino3.4 region above 0.5°C). In line with these studies, a recent  
68 study [11] emphasized that the seasonal phasing of ENSO is critical to its impacts on the North

69 American low-level jets, which influence U.S. tornado activity by controlling low-level vertical  
70 wind shear and moisture availability [3,12].

71 These recent findings have identified ENSO as a potential source of seasonal predictability  
72 for U.S. tornado activity. However, it should be noted that shortly after its peak in winter, ENSO  
73 typically decays in spring (the most active tornado season) with highly variable amplitude and  
74 spatiotemporal structure. Thus, the ENSO-related tropical Pacific SSTAs are much weaker and  
75 less coherent in spring than in winter [10]. Additionally, every ENSO event is unique in terms of  
76 its amplitude and spatial structure, particularly in spring [9,10,13-16]. For example, an ENSO  
77 event, while weakening during or after spring, may subsequently evolve into the onset of another  
78 ENSO event with either the same or opposite sign in the subsequent months (e.g., 1986-1987 El  
79 Niño, 1987-1988 El Niño and 1988-1989 La Niña). Hence, it is unlikely that the complexity of  
80 springtime ENSO evolution can be characterized by using a single ENSO index such as the  
81 Nino3.4 index or Trans-Niño index.

82 Given previous findings that ENSO may provide a source of seasonal predictability of U.S.  
83 tornado outbreaks in spring [5,8], there is a need to better characterize the springtime ENSO  
84 evolution and its link to U.S. tornado activity. On this issue, a new method was recently  
85 presented to characterize the differences in space-time evolution of equatorial Pacific SSTAs  
86 during El Niño events [17]. An application of this method to the 21 El Niño events during 1949-  
87 2013 highlighted two leading orthogonal modes, which together explain more than 60% of the  
88 inter-event variance. The first mode distinguishes a strong and persistent El Niño from a weak  
89 and early-terminating El Niño (figures 1a and 1b). A similar analysis applied to the 22 La Niña  
90 events during 1949-2013 also revealed two leading orthogonal modes, with the first mode  
91 distinguishing a resurgent La Niña from a transitioning La Niña (figures 1c and 1d).

92 The main objective of this study is to clarify the relationship between the springtime ENSO  
93 evolution and regional tornado outbreaks in the U.S. To achieve this and to advance our  
94 capability for developing a seasonal outlook for U.S. tornado outbreaks, we first present a  
95 tornado density index, which can be used to measure the probability of tornado outbreaks within  
96 an area centered at a given geographic location. An outbreak is defined here as a sequence of 12  
97 or more Fujita (F)-scale weighted F1-F5 tornadoes, occurring over five days within 200 km of a  
98 given location. Next, we use the tornado density index to explore the probability of tornado  
99 outbreaks in February - May in various regions of the U.S. under the four main springtime ENSO  
100 behaviors (persistent versus early-terminating El Niño; resurgent versus transitioning La Niña)  
101 [17] and explain the associated atmospheric processes. We also report a potential link between  
102 the North Atlantic SST tripole and U.S. regional tornado outbreaks in spring. Finally, we discuss  
103 further research that is needed to develop a seasonal outlook for springtime U.S. tornado  
104 outbreaks.

105

## 106 **2. Statistical methods and data used**

107 To develop a seasonal outlook for U.S. tornado outbreaks, it is important to understand  
108 exactly what a seasonal outlook can and cannot predict. First of all, tornadogenesis is a  
109 mesoscale problem [18]. Therefore, a seasonal outlook cannot pinpoint exactly when, where and  
110 how many tornadoes may strike. Instead, the goal of a seasonal outlook is to predict in terms of  
111 probability which regions are more likely to experience a widespread outbreak of tornadoes.

112 To move forward with the goal of developing a seasonal outlook, we propose a tornado  
113 density index, which can be used to measure the probability that a tornado outbreak may occur in  
114 a predefined region. F0 tornadoes are excluded in our analysis to avoid a spurious long-term

115 trend in the severe weather database [5,19]. To avoid double-counting, the location and F-scale  
116 of each tornado are determined at the time when each tornado achieves its maximum F-scale.  
117 Additionally, the number of F1-F5 tornadoes is weighted in such a way that one  $F_n$  tornado is  
118 treated as  $n$  F1 tornadoes to put more emphasis on intense and violent tornadoes, similar to the  
119 destruction potential index [20]. The following steps describe a method to compute the proposed  
120 tornado density index, the threshold tornado density for an outbreak and the probability of U.S.  
121 regional tornado outbreaks for 1950-2014.

122 The first step is to compute the daily tornado density index by counting the weighted number  
123 of F1-F5 tornadoes within a circle of 200 km radius from the center of each  $1^\circ \times 1^\circ$  grid point for  
124 each day. Since a regional tornado outbreak may last up to 3~5 days, a moving window was used  
125 to accumulate the daily tornado density for 5 consecutive days. This is referred to as a 5-day  
126 overlapping tornado density index, or simply a tornado density (one value for each day and grid  
127 point).

128 The second step is to determine the threshold value of tornado density for a tornado outbreak.  
129 As shown in supplementary figure 1a, the 99th percentile of the tornado density values averaged  
130 over the central and eastern U.S. region, frequently affected by intense tornadoes ( $30^\circ$ - $40^\circ$ N and  
131  $100^\circ$ - $80^\circ$ W), varies from 2 in August to 15 in April. For simplicity, the outbreak threshold in this  
132 study is set to a uniform value of 12, which is the average of the above values for March-May.  
133 Using the same procedure, but with the non-weighted tornado density, the outbreak threshold is  
134 reduced to 7 (supplementary figure 1b). Therefore, our definition of a tornado outbreak may be  
135 also interpreted as a sequence of 7 or more non-weighted tornado density within 5 days, which is  
136 quite consistent with previously used criteria that an outbreak should contain at least 6~ 10 F1-  
137 F5 tornadoes [20-22].

138 The final step is to identify months with one or more *outbreak days* (i.e., days in which the  
139 tornado density exceeds the outbreak threshold) for each grid point. For a given subset of data,  
140 the numbers of non-outbreak and outbreak months can be counted to compute the probability of  
141 U.S. regional tornado outbreaks.

142 We used the severe weather database (<http://www.spc.noaa.gov/wcm>) to compute the  
143 tornado density index and probability of U.S. regional tornado outbreaks. The extended  
144 reconstructed sea surface temperature version 3b [23] was used to compute the leading modes of  
145 ENSO variability for the period of 1950-2014 [17]. The twentieth century reanalysis [24] was  
146 used to derive atmospheric anomalies, namely geopotential height at 500 hPa, moisture transport,  
147 low-level wind shear (850-1000 hPa), and convective available potential energy (CAPE),  
148 associated with the four dominant phases of springtime ENSO evolution. The National Centers  
149 for Environmental Prediction-National Center for Atmospheric Research reanalysis [25] was also  
150 used to derive variance of 5-day high-pass filtered meridional winds at 300 hPa, which was used  
151 to measure extratropical storm activity.

152

### 153 **3. Results**

#### 154 *3.1. Springtime ENSO phases and their links to U.S. regional tornado outbreaks*

155 The time-longitude plots of the tropical Pacific SSTAs, averaged over 5°S-5°N, for the four  
156 most frequently recurring spatiotemporal ENSO evolution patterns [17] are presented in figure 1.  
157 The first case exhibits strong and positive SSTAs in the eastern tropical Pacific during the peak  
158 season persisting throughout spring (+1), and thus is referred to as a persistent El Niño (e.g,  
159 1982-1983 El Niño); hereafter, any month/season in an ENSO onset year is denoted by the suffix  
160 (0), whereas any month/season in an ENSO decay year by the suffix (+1). The second case is

161 characterized by relatively weak positive SSTAs in the central tropical Pacific during the peak  
162 season and an emergence of cold SSTAs in the eastern tropical Pacific shortly after the peak, and  
163 thus is referred to as an early-terminating El Niño (e.g., 1963-1964 El Niño). The third case  
164 describes a La Niña persisting into spring (+1) and evolving to another La Niña, and thus is  
165 referred to as a resurgent La Niña (e.g., 1998-1999 La Niña). This case is also frequently referred  
166 to as a two-year La Niña in the literature [26-28]. Finally, the fourth case describes a two-year La  
167 Niña transitioning to an El Niño, and thus is referred to as a transitioning La Niña (e.g., 1971-  
168 1972 La Niña).

169 Note that these four prominent spatiotemporal ENSO evolution patterns mainly describe  
170 ENSO evolution in spring (+1) following the peak of ENSO in winter. Thus, their ENSO phases  
171 in spring (+1) are referred to as the four dominant springtime ENSO phases. Both the resurgent  
172 and transitioning La Niña phases in spring (+1) are characterized by a positive zonal gradient of  
173 SSTAs from the central tropical Pacific to the eastern tropical Pacific, and thus are positive  
174 Trans-Niño phases [9, 10]. For more details on the atmosphere-ocean dynamics linked to the four  
175 dominant springtime ENSO phases, the reader is referred to reference [10].

176 The composite SSTAs for the four dominant phases of springtime ENSO evolution in  
177 February (+1)-May (+1) and the corresponding probability of regional tornado outbreaks are  
178 presented in supplementary figures 2-5. The climatological probability patterns of tornado  
179 outbreaks in February-May are also shown in supplementary figure 6. Figure 2 provides a  
180 summary of supplementary figures 2-5 highlighting the month in which each of the four  
181 springtime ENSO phases has the strongest influence on the probability of outbreaks. The gray  
182 dots indicate that the SSTAs are statistically significant at the 10% level (two-tailed) based on a  
183 Student's *t*-test. Similarly, the black dots mean that the probability of tornado outbreaks is



184 statistically significant at the 10% level (one-tailed) based on the exact binomial test of the null  
185 hypothesis (i.e., the springtime ENSO phases have no effect on the probability of tornado  
186 outbreaks; see reference [29] about interpreting significance tests). For each case, we selected 6  
187 to 8 actual ENSO events for the composite analysis based on the sign and amplitude of the  
188 leading principal components of El Niño and La Niña variability (supplementary table 2).

189 As shown in supplementary figure 2f, when a strong El Niño persists into spring (+1) after its  
190 peak (persistent El Niño), the probability of outbreaks is statistically indistinguishable from the  
191 climatological probability of outbreaks in March (+1) (supplementary figure 6b). This suggests  
192 that the outbreak frequency is overall unaffected or even suppressed in March (+1) by a strong El  
193 Niño persisting throughout spring (+1) [8]. However, the statistical significance of the reduction  
194 cannot be established since the frequency distribution of the outbreak chance is highly skewed to  
195 the right. Interestingly, there are small regions of significant increase in the probability of  
196 outbreaks in February (+1), April (+1) and May (+1). In particular, the probability of outbreaks  
197 increases significantly over central Florida and limited regions of the gulf coast in February (+1)  
198 by up to 43%, and sporadically over the Ohio Valley and Northeast in April (+1) and May (+1)  
199 (figure 2e and supplementary figures 2g and 2h; see supplementary figure 7 for the U.S. climate  
200 regions).

201 When a weak El Niño terminates early and cold SSTAs develop over the eastern tropical  
202 Pacific in spring (+1) (early-terminating El Niño), there is no significant increase in the  
203 probability of outbreaks in February (+1) and March (+1) (supplementary figures 3e and 3f).  
204 However, in late spring especially in May (+1), the probability of outbreaks increases  
205 significantly up to 50% over the Upper Midwest (figures 2b and 2f).

206        When a La Niña persists into spring (+1) and evolves to another La Niña (resurgent La  
207 Niña), there is no significant increase in the probability of outbreaks in February (+1) and March  
208 (+1) (supplementary figures 4e and 4f). However, the probability of outbreaks surges  
209 significantly up to 57% in April (+1) over widespread regions in the Ohio Valley, Southeast and  
210 Upper Midwest, particularly Kentucky, Indiana, Iowa and Wisconsin (figure 2g) [8]. Note that  
211 the record-breaking 2011 tornado outbreaks occurred during a resurgent La Niña. Similarly, the  
212 Super Outbreak of 1974 occurred during a resurgent La Niña.

213        As shown in figure 2d, when a two-year La Niña transitions to an El Niño (transitioning La  
214 Niña), the cold SSTAs in the central tropical Pacific are nearly dissipated away while the warm  
215 SSTAs in eastern tropical Pacific become strong and statistically significant in April (+1). In this  
216 case, the probability of tornado outbreaks increases strongly and significantly up to 50% in the  
217 South U.S., particularly Kansas and Oklahoma, in April (+1) (figure 2h). In March (+1) some  
218 regions in the Ohio Valley are weakly but significantly increased in the probability of outbreaks  
219 (supplementary figure 5f).

220        Some important questions arise as to why the probability of U.S. regional tornado outbreaks  
221 increases in spring following the peak of La Niña, and why the regions affected during the  
222 resurgent La Niña phase are quite different from the regions affected during the transitioning La  
223 Niña phase. Another important question is why the persistent and early-terminating El Niño  
224 phases are linked to the increased probability of outbreaks in different regions and different  
225 months. We attempt to address these questions next.

226

227 *3.2. Springtime atmospheric variability over the U.S. linked to ENSO phases*

228 It is well known that La Niña causes the winter atmospheric jet stream to take an unusually  
229 wavy southeastward path into the U.S. from southwestern Canada, thus bringing colder and drier  
230 upper-level air to the U.S. Hence, winter storm activity increases in the U.S. particularly over the  
231 Ohio Valley [30]. As illustrated in figure 3a, during the resurgent La Niña phase in April (+1), an  
232 anomalous cyclone develops that brings colder and drier upper-level air to the U.S. and thus  
233 increases the extratropical storm activity, suggesting that the typical La Niña weather conditions  
234 in winter persist into the resurgent La Niña phase in April (+1) [10]. The anomalous cyclone and  
235 the associated increase in equivalent barotropic winds in turn enhance the low-level vertical wind  
236 shear (850-1000 hPa) east of the Rockies, and increase and shift the stream of warm and moist  
237 air originating from the Gulf of Mexico more toward the east (figure 3b). The anomalous  
238 convergence of warm and moist air in turn increases CAPE east of the Rockies (figure 3c). As  
239 illustrated in earlier studies [2,5,31], these atmospheric anomalies produce a set of favorable  
240 atmospheric environments for tornado outbreaks in the Ohio Valley and Southeast, consistent  
241 with figure 2g.

242 During the transitioning La Niña phase in April (+1), on the other hand, extratropical storm  
243 activity decreases east of the Rockies. Consistent with this feature, an anomalous anticyclone  
244 forms east of the Rockies, and induces anomalous southerly winds over the South (figure 3d).  
245 Therefore, the low-level vertical wind shear increases and the stream of warm and moist air from  
246 the Gulf of Mexico converges (figure 3e) increasing CAPE therein (figure 3f). These changes in  
247 the atmospheric environments during the transitioning La Niña phase in April (+1) are largely  
248 consistent with the increased probability of tornado outbreaks in the South (figure 2h).

249 The springtime large-scale atmospheric patterns during the persistent El Niño phase are  
250 largely opposite to those during the resurgent La Niña phase [10]. In particular, the atmospheric  
251 jet and storm track over the U.S. shift southward; thus, the atmospheric environments over the  
252 central and northern U.S. during the persistent El Niño phase in spring (+1) are largely  
253 unfavorable for tornado outbreaks [8]. However, the southward shifts of the atmospheric jet and  
254 storm track could also increase the low-level wind shear, moisture convergence and extratropical  
255 storm activity toward the southern U.S. As shown in figures 4a and 4b, these changes in the  
256 atmospheric environments in the southern U.S. are in line with the increased probability of  
257 outbreaks in central Florida during the persistent El Niño phase in February (+1) (figure 2e).

258 As shown in figure 4d, an anomalous anticyclone forms over the northeastern U.S. during the  
259 early-terminating El Niño phase in May (+1). Due to the associated equivalent barotropic wind  
260 anomalies, the stream of warm and moist air from the Gulf of Mexico to the Ohio Valley shifts  
261 toward the Upper Midwest (figure 4e). Also due to the equivalent barotropic wind anomalies  
262 associated with the anomalous anticyclone, the low-level wind shear and CAPE decrease over  
263 the Ohio Valley and increase over the Upper Midwest (figures 4e and 4f). These changes in the  
264 atmospheric environments during the early-terminating El Niño phase in May (+1) are quite  
265 consistent with the increased probability of tornado outbreaks in the Upper Midwest (figure 2f).

266

### 267 *3.3. North Atlantic SST tripole and U.S. regional tornado outbreaks*

268 As shown in supplementary figure 8, there is a coherent and statistically significant pattern of  
269 springtime SSTAs in the North Atlantic in connection to the extreme U.S. tornado outbreaks  
270 (supplementary table 3). This pattern is very similar to the North Atlantic SST tripole, which is  
271 the dominant mode of interannual SST variability in the Atlantic in winter/spring and is known

272 to be linked to multiple forcing mechanisms including the North Atlantic Oscillation and  
273 extratropical teleconnections from the tropics [32-37]. Hence, we further explore the potential  
274 link between the North Atlantic SST tripole and the probability of U.S. regional tornado  
275 outbreaks. First, we performed an empirical orthogonal function (EOF) analysis of the detrended  
276 North Atlantic SSTAs in MAM and sorted the past 65 years based on the amplitude of the  
277 leading EOF mode. Then, we selected the most positive 16 cases (above upper quartile) and the  
278 most negative 16 cases (below lower quartile) from the sorted years to perform composite  
279 analysis (supplementary table 4).

280 As summarized in figure 5 and supplementary figure 9, the North Atlantic SST tripole is  
281 indeed linked to the probability of U.S. regional tornado outbreaks in spring. During its negative  
282 phase (i.e., cold, warm and cold in the tropical, subtropical and subpolar North Atlantic,  
283 respectively), an anomalous anticyclone straddles the subtropical North Atlantic extending  
284 westward over the U.S. The associated increase in the equivalent barotropic winds along the  
285 western edge of the anomalous anticyclone enhances the low-level vertical wind shear and  
286 moisture convergence toward the South (figures 5b and 5c). As shown in supplementary figure  
287 10a, CAPE increases significantly over the Gulf of Mexico and the western North Atlantic. The  
288 anomalous anticyclone produces anomalous southeasterly winds across the gulf coast and the  
289 U.S. east coast that in turn carry the extra moisture toward the Southeast and Ohio Valley (figure  
290 5c). These changes in the low-level vertical wind shear, moisture convergence and CAPE are  
291 largely consistent with the significantly increased probability of tornado outbreaks over the  
292 South, Ohio Valley and Southeast (figure 5a and supplementary figures 9).

293 These relationships are nearly the opposite during the positive phase of the North Atlantic  
294 SST tripole (figures 5d-5f and supplementary figures 9 and 10b). Interestingly, the probability of

295 outbreaks significantly increases over the Ohio Valley in April during the positive phase of the  
296 North Atlantic SST tripole. Although the associated atmospheric anomalies do not provide a  
297 clear explanation for this increase, it appears that the stream of warm and moist air from the Gulf  
298 of Mexico to the South is somewhat shifted toward the Ohio Valley due to the anomalous  
299 cyclone over the U.S. (figures 5e and 5f), which may offer an explanation for the increased  
300 probability of outbreaks in the Ohio Valley.

301 The results summarized in figure 5 are promising. However, it must be noted that a negative  
302 phase of the North Atlantic SST tripole forms more frequently in the early spring following the  
303 peak of La Niña [37] (supplementary table 3). Additionally, the mid-latitude components of the  
304 North Atlantic SST tripole largely respond to surface turbulent heat fluxes at seasonal time scale  
305 [39]. Therefore, it is unclear whether the North Atlantic SST tripole adds much to the  
306 predictability of U.S. regional tornado outbreaks.

307 Nevertheless, several studies have shown that the tropical component of the North Atlantic  
308 SST tripole could feedback on to the atmosphere aloft and thus could modulate the remote  
309 influence of ENSO on the atmospheric variability over the U.S. [40-44]. Further studies using  
310 model experiments and advanced statistical methods are needed to clarify the impact of North  
311 Atlantic SST variability on the probability of U.S. regional tornado outbreaks.

312

#### 313 **4. Discussion**

314 This study illustrates the potential impacts of the dominant springtime ENSO phases and the  
315 North Atlantic SST tripole on the probability of U.S. regional tornado outbreaks in spring.  
316 However, it is important to remember that a regional tornado outbreak may occur in any season  
317 and almost anywhere in the U.S. regardless of ENSO state. For example, an unusual winter

318 tornado outbreak occurred during the peak of the 2015-2016 El Niño. A similar winter tornado  
319 outbreak occurred during the peak of the 2000-2001 La Niña [45]. It is also important to  
320 remember that even during an overall quite season, one outbreak event could cause significant  
321 loss of life and property. Therefore, residents in the areas routinely exposed to severe weather  
322 systems should be ready for every severe weather season regardless of what a seasonal outlook  
323 may predict.

324 It should be clearly stated that the statistical analysis presented in this study does not  
325 constitute a prediction model or provide an actual predictive skill measure of tornado outbreak  
326 probability. To build a seasonal prediction model, additional steps are required. First, the EOF  
327 analysis of tropical Pacific SST anomalies should be restricted only for February-May and  
328 applied for all years to better identify the leading orthogonal modes of springtime ENSO  
329 variability. Then, the first two principal components of springtime ENSO variability, which  
330 account for more than 75% of the total variance of tropical Pacific SST anomalies (not shown),  
331 and the North Atlantic SST tripole mode in spring could be used as predictors of the monthly  
332 U.S. regional tornado outbreak probability using a logistic regression analysis [46]. The  
333 regression coefficients obtained from the logistic regression analysis could be used to estimate  
334 the probability of U.S. regional tornado outbreaks given the amplitudes of the three predictors.  
335 Combining this statistical tool with a dynamic seasonal forecast model, which could be used to  
336 obtain the three predictors with 1-3 months lead time, it may be possible to build a seasonal  
337 outlook for U.S. regional tornado outbreaks. A cross validation should be performed to evaluate  
338 the actual predictive skill of a such seasonal outlook. To this end, it is quite promising that high-  
339 resolution climate models are now beginning to demonstrate skill in simulating and predicting  
340 seasonal variations in some of the elements critical to U.S. tornado outbreaks [11,47,48].

341

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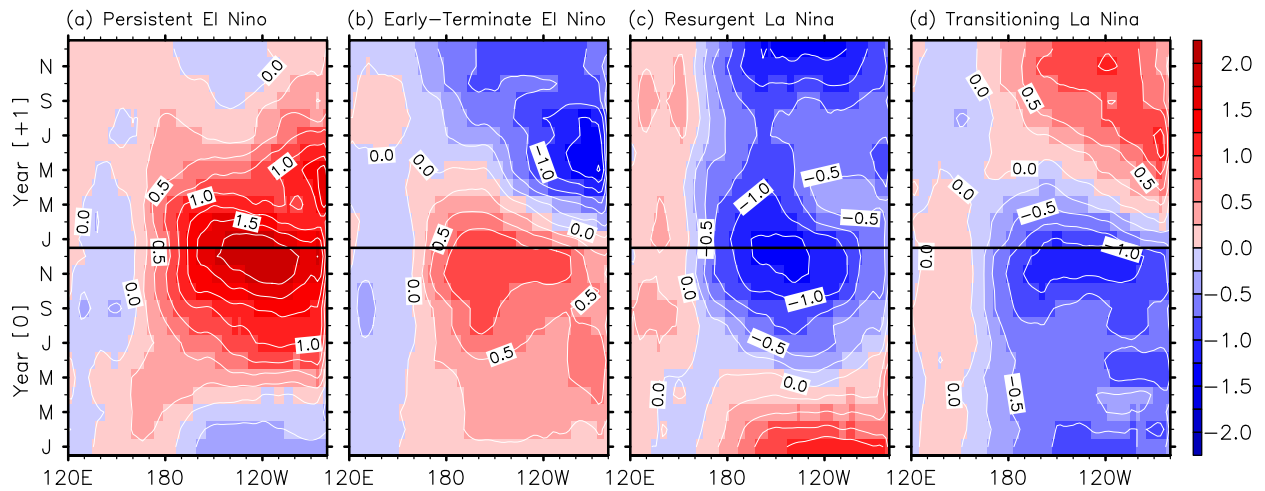
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### Four Most Frequently Occurring Patterns of ENSO Evolution



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478 **Figure 1.** Four most frequently recurring patterns of ENSO evolution. Time-longitude plots of  
 479 the tropical Pacific SSTAs, averaged between 5°S and 5°N, for the four most frequently  
 480 recurring patterns of ENSO evolution during 1949-2014 illustrate four dominant springtime  
 481 ENSO phases following the peak of ENSO in winter, namely (a) the persistent El Niño, (b)  
 482 early-terminating El Niño, (c) resurgent La Niña and (d) transitioning La Niña. The units are  
 483 in °C.

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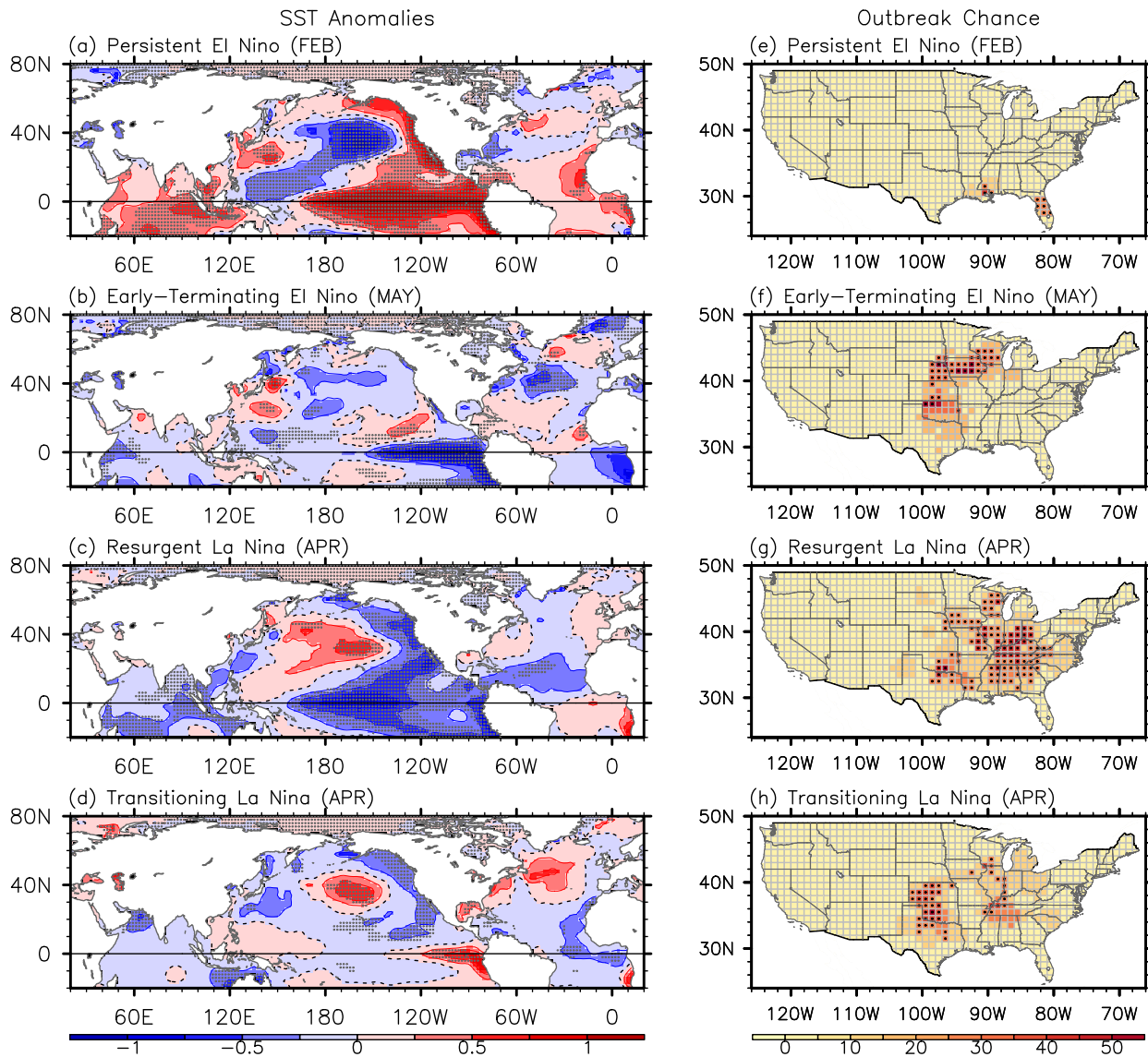
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ENSO [+1] Year: SSTA and Probability of Tornado Outbreak



494

495 **Figure 2.** SSTA and probability of U.S. regional tornado outbreaks linked to the four dominant  
 496 springtime ENSO phases. Composite (a-d) SSTAs for the four dominant phases of springtime  
 497 ENSO evolution and (e-h) the corresponding probability of U.S. regional tornado outbreaks for  
 498 the month in which each of the four springtime ENSO phases has the strongest influence (see  
 499 supplementary figures 3-6 for other months). The gray dots in panels a-d indicate that the  
 500 SSTAs are statistically significant at the 10% level based on a student-*t* test. The black dots in  
 501 panels e-h indicate that the probability of tornado outbreaks is statistically significant at the 10%

502 level based on a binomial test. The units are in °C for the SSTAs and in % for the probability of  
503 tornado outbreaks.

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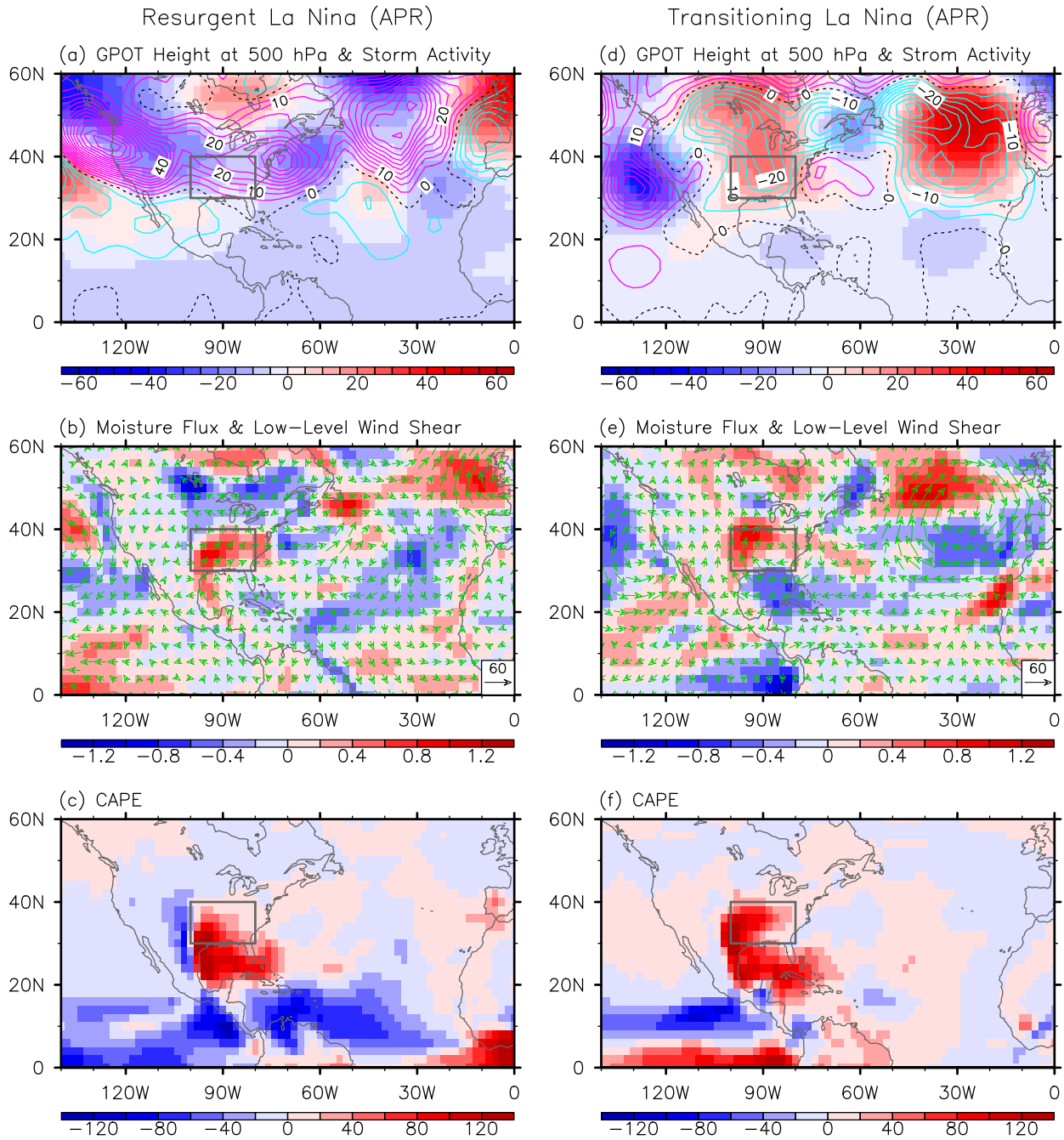
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La Nina [+1] Year: Atmospheric Anomalies over the U.S.



525

526 **Figure 3.** Atmospheric anomalies linked to the resurgent and transitioning La Niña phases. (top  
 527 row) Anomalous geopotential height at 500 hPa (color shades) and variance of 5day high-pass  
 528 filtered meridional winds at 300 hPa (contours), (middle row) anomalous moisture transport  
 529 (vectors) and low-level vertical wind shear (850 - 1000 hPa; color shades), and (bottom row)

530 anomalous CAPE in April (+1) for (a,b,c) the resurgent La Niña and (c,d,e) transitioning La Niña  
531 phases. The units are in gpm for geopotential height, in  $m^2 s^{-2}$  for variance of meridional winds,  
532 in  $kg m^{-1} s^{-1}$  for moisture transport, in  $m s^{-1}$  for vertical wind shear, and in  $J kg^{-1}$  for CAPE. The  
533 small boxes indicate the central and eastern U.S. region frequently affected by intense tornadoes  
534 ( $30^{\circ}$ - $40^{\circ}$ N,  $100^{\circ}$ - $80^{\circ}$ W).

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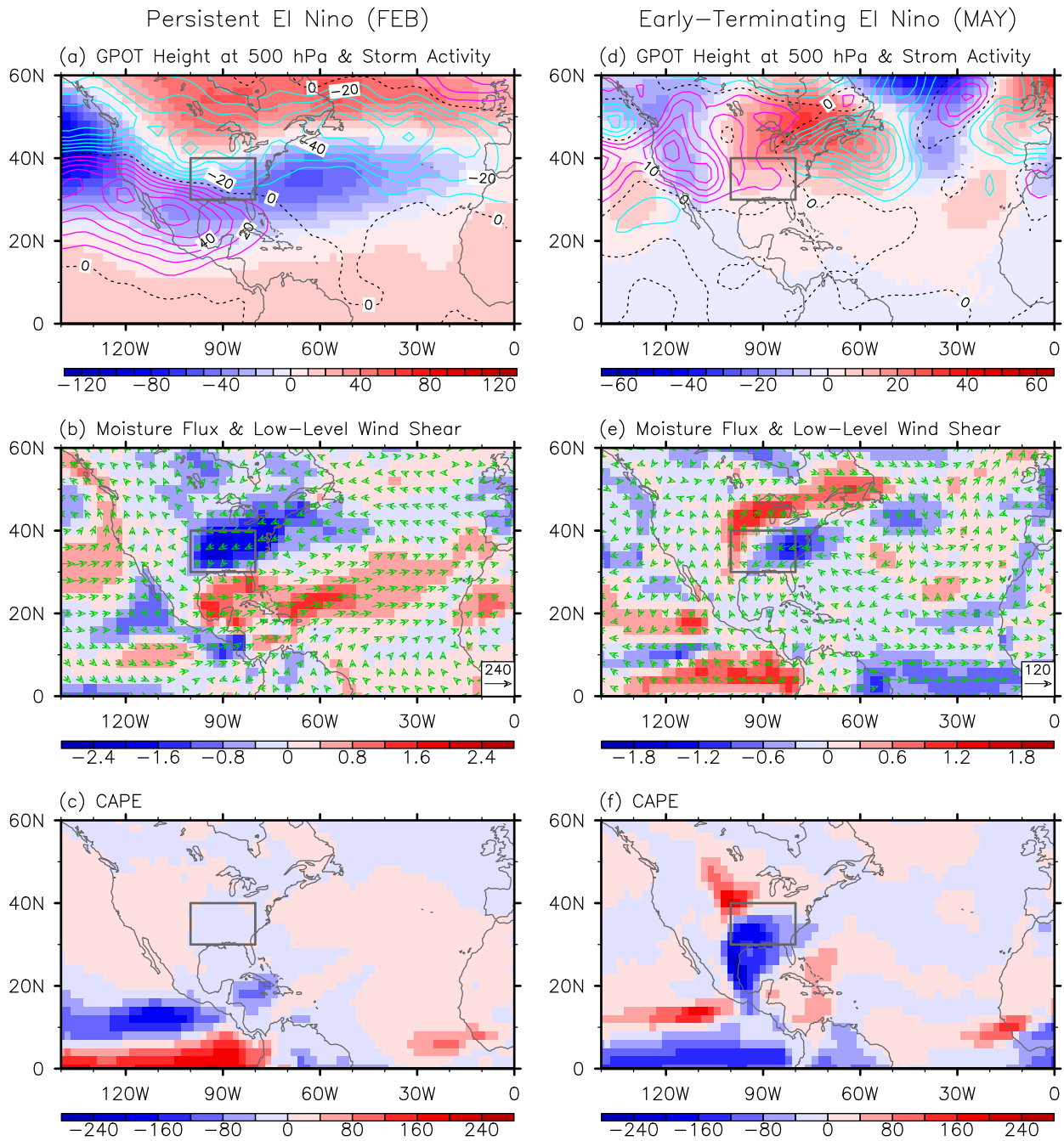
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El Niño [+1] Year: Atmospheric Anomalies over the U.S.



553

554 **Figure 4.** Atmospheric anomalies linked to the persistent and early-terminating El Niño phases.

555 (top row) Anomalous geopotential height at 500 hPa (color shades) and variance of 5day high-

556 pass filtered meridional winds at 300 hPa (contours), (middle row) anomalous moisture transport

557 (vectors) and low-level vertical wind shear (850 - 1000 hPa; color shades), and (bottom row)

558 anomalous CAPE for (a,b,c) the persistent El Niño phase in February (+1) and for (c,d,e) the  
559 early-terminating El Niño phase in May (+1). The units are in gpm for geopotential height, in  $m^2$   
560  $s^{-2}$  for variance of meridional winds, in  $kg\ m^{-1}\ s^{-1}$  for moisture transport, in  $m\ s^{-1}$  for vertical wind  
561 shear, and in  $J\ kg^{-1}$  for CAPE. The small boxes indicate the central and eastern U.S. region  
562 frequently affected by intense tornadoes ( $30^{\circ}$ - $40^{\circ}$ N,  $100^{\circ}$ - $80^{\circ}$ W).

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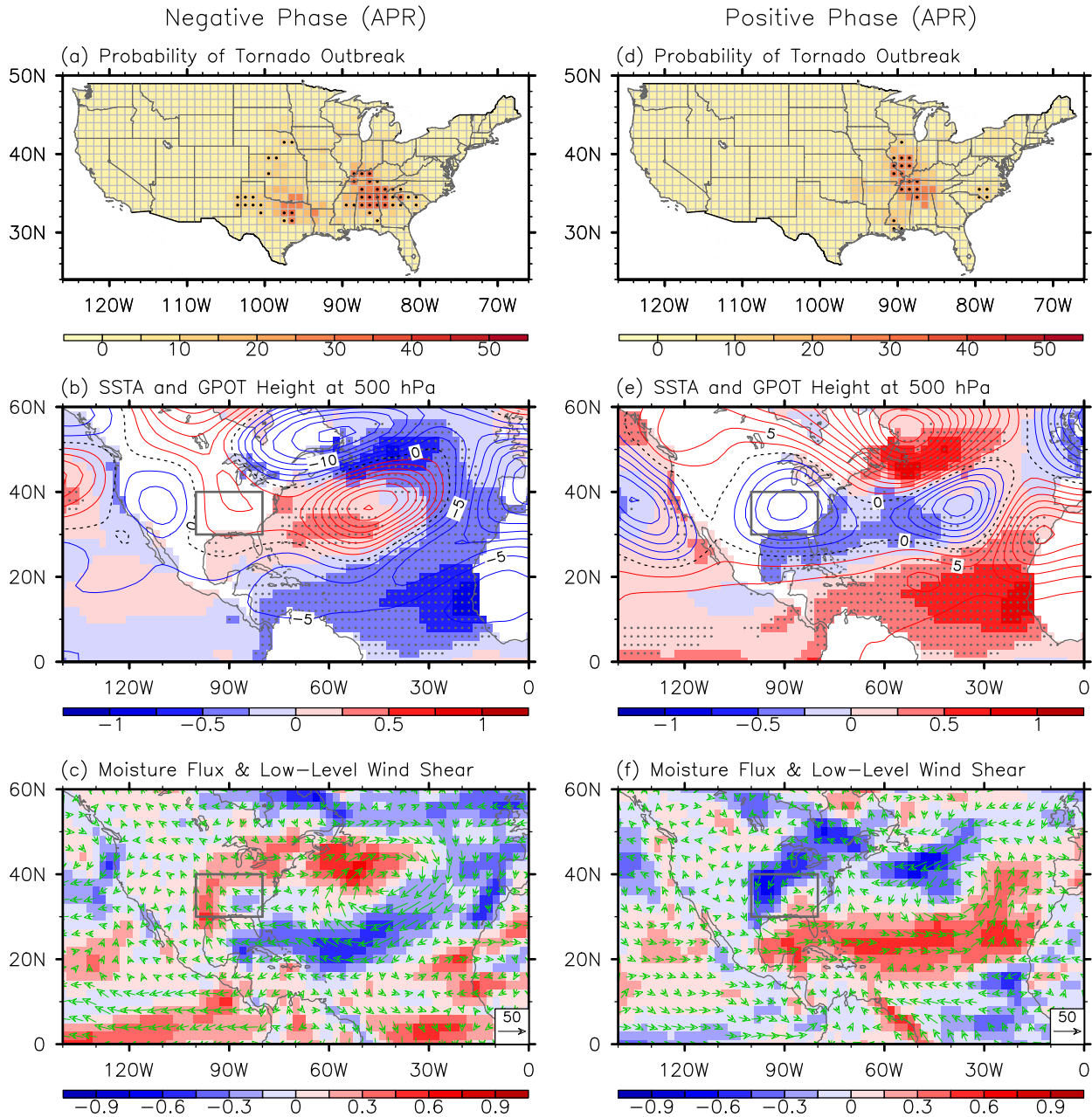
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NATL Tripole Mode: Outbreak Chance, SSTA & Atmospheric Anomalies



581

582 **Figure 5.** Probability of U.S. regional tornado outbreaks, SSTA and atmospheric anomalies  
 583 linked to the North Atlantic SST tripole. (top row) Probability of U.S. regional tornado, (middle  
 584 row) composite SSTAs (color shades) and geopotential height anomalies at 500 hPa (contours),  
 585 and (bottom row) low-level vertical wind shear anomalies (color shades) and moisture transport  
 586 anomalies (vectors) in April for (a-c) the negative and (d-f) positive North Atlantic SST tripole.

587 The gray dots in panels a-d indicate that the SSTAs are statistically significant at 90% based on a  
588 student-*t* test. The black dots in panels a and d indicate that the probability of tornado outbreaks  
589 is statistically significant at the 10% level based on a binomial test. The gray dots in panels b and  
590 e indicate that the SSTAs are statistically significant at the 10% level based on a student-*t* test.  
591 The units are in % for the probability of tornado outbreaks, in °C for the SSTAs, in gpm for  
592 geopotential height, in  $\text{kg m}^{-1} \text{ s}^{-1}$  for moisture transport, and in  $\text{m s}^{-1}$  for vertical wind shear. The  
593 small boxes indicate the central and eastern U.S. region frequently affected by intense tornadoes  
594 ( $30^{\circ}$ - $40^{\circ}$ N,  $100^{\circ}$ - $80^{\circ}$ W).

**Supplementary Table 1.** Tornado-related number of fatalities and injuries and property and crop damages (in million US dollars) in the U.S. during 2005-2014, reproduced from the U.S. Natural Hazard Statistics (<http://www.nws.noaa.gov/om/hazstats.shtml>).

Year	Fatalities	Injuries	Property & crop damages
2005	38	537	503.9
2006	64	990	759.0
2007	81	659	1,407.5
<b>2008</b>	<b>126</b>	<b>1,714</b>	<b>1,865.6</b>
2009	21	351	584.9
2010	45	699	1,134.6
<b>2011</b>	<b>553</b>	<b>5,483</b>	<b>9,493.0</b>
2012	70	822	1,649.7
2013	55	756	3,648.7
2014	47	641	635.7
<b>Total</b>	<b>1,100</b>	<b>12,652</b>	<b>21,682.6</b>

**Supplementary Table 2.** List of the four most frequently recurring patterns of ENSO evolution (7 persistent El Niño, 6 early-terminating El Niño, 7 resurgent La Niña and 8 transitioning La Niña cases) identified based on the sign and amplitude of the normalized principal components of El Niño and La Niña variability during 1949 - 2014 [17]. The selected ENSO events have the normalized principal components larger than or equal to 0.5, and are listed by their onset-decay years (that is, year (0) - year (+1)).

<b>Persistent El Niño</b>	<b>Early-Terminating El Niño</b>	<b>Resurgent La Niña</b>	<b>Transitioning La Niña</b>
1957 - 1958	1953 - 1954	1954 - 1955	1950 - 1951
1968 - 1969	1958 - 1959	1970 - 1971	1956 - 1957
1982 - 1983	1963 - 1964	1973 - 1974	1964 - 1965
1986 - 1987	1969 - 1970	1983 - 1984	1971 - 1972
1991 - 1992	1977 - 1978	1998 - 1999	1975 - 1976
1997 - 1998	1987 - 1988	2010 - 2011	2000 - 2001
2002 - 2003		1950 - 1951	2005 - 2006
			2011 - 2012



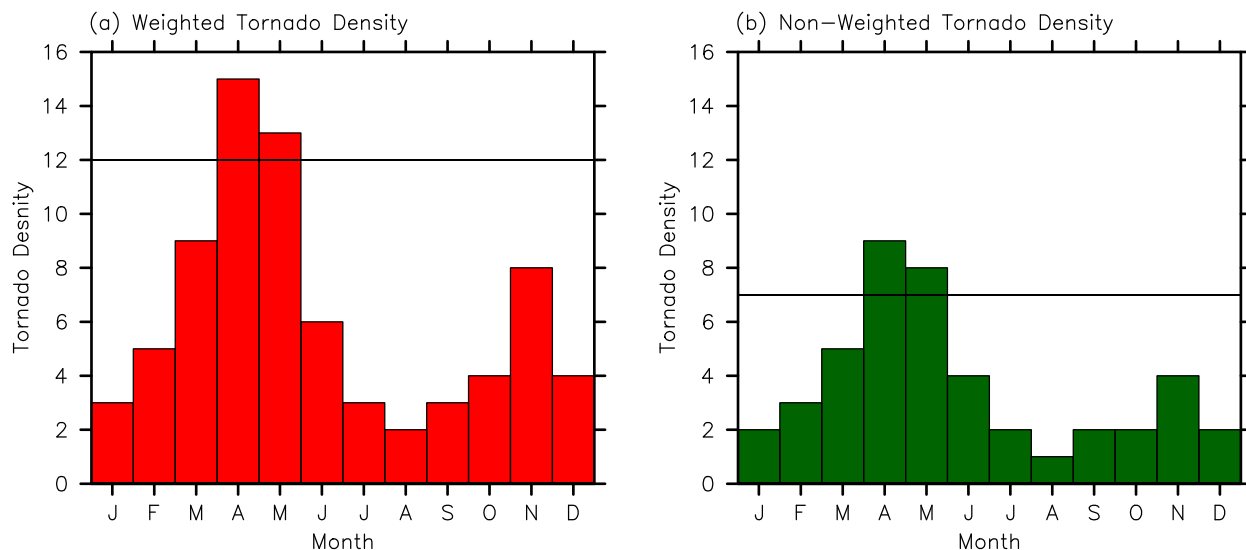
**Supplementary Table 3.** List of 10 most active and 10 least active U.S. tornado years based on the total number of F1-F5 tornados in the U.S. during March-May. The corresponding ENSO phase for each case is also shown. Note that the decaying phase of La Niña in 2008 cannot be described using the leading mode of observed La Niña variability; thus it is referred to as decaying La Niña. Similarly, the decaying phase of El Niño in 2003 is referred to as decaying El Niño as in the case of 1952 and 2005. The transitioning of 1972-1973 El Niño to 1973-1974 La Niña can be described only by the 2nd leading mode of observed El Niño variability; thus it is referred to as transitioning El Niño [17]. The onset of El Niño in 1982 occurred from ENSO neutral condition; thus it is referred to as developing El Niño as in cases of 1991 and 2002.

<b>10 Most Active U.S. Tornado Years</b>		<b>10 Least Active U.S. Tornado Years</b>	
<b>Year (Number)</b>	<b>ENSO phases</b>	<b>Year (Number)</b>	<b>ENSO phases</b>
2011 (690)	Resurgent La Niña	1951 (67)	Transition La Niña
1973 (412)	Transition El Niño	1987 (80)	Persistent El Niño
1974 (390)	Resurgent La Niña	1950 (89)	Resurgent La Niña
2008 (359)	Decaying La Niña	2005 (89)	Decaying El Niño
1982 (342)	Developing El Niño	1952 (93)	Decaying El Niño
1976 (325)	Transition La Niña	1992 (102)	Persistent El Niño
1957 (317)	Transition La Niña	1958 (113)	Persistent El Niño
2003 (306)	Decaying El Niño	2002 (125)	Developing El Niño
1991 (302)	Developing El Niño	1993 (129)	ENSO neutral
1965 (301)	Transition La Niña	1969 (130)	Persistent El Niño

**Supplementary Table 4.** List of 16 negative (below lower quartile) and 16 positive (above upper quartile) phases of North Atlantic SST tripole mode in MAM during 1949-2014, derived from the leading EOF mode of the North Atlantic SSTAs in MAM. For each case of the positive and negative phase of North Atlantic SST tripole mode, the corresponding springtime ENSO phase is also listed. Note that the decaying phase of La Niña in 1985 cannot be described using the leading mode of observed La Niña variability; thus it is referred to as decaying La Niña as in the case of 1989. Similarly, the decaying phase of El Niño in 2005 is referred to as decaying El Niño. The transitioning of 2009-2010 El Niño to 2010-2011 La Niña, which can be described only by the 2nd leading mode of observed El Niño variability, is referred to as transitioning El Niño [17]. Similarly, the resurgence of La Niña in 1975 following 1973-1974 and 1973-1974 La Niña events is referred to as 2nd resurgent La Niña. The onset of El Niño in 1986 occurred from ENSO neural condition; thus it is referred to as developing El Niño as in cases of 1991, 1994 and 2009.

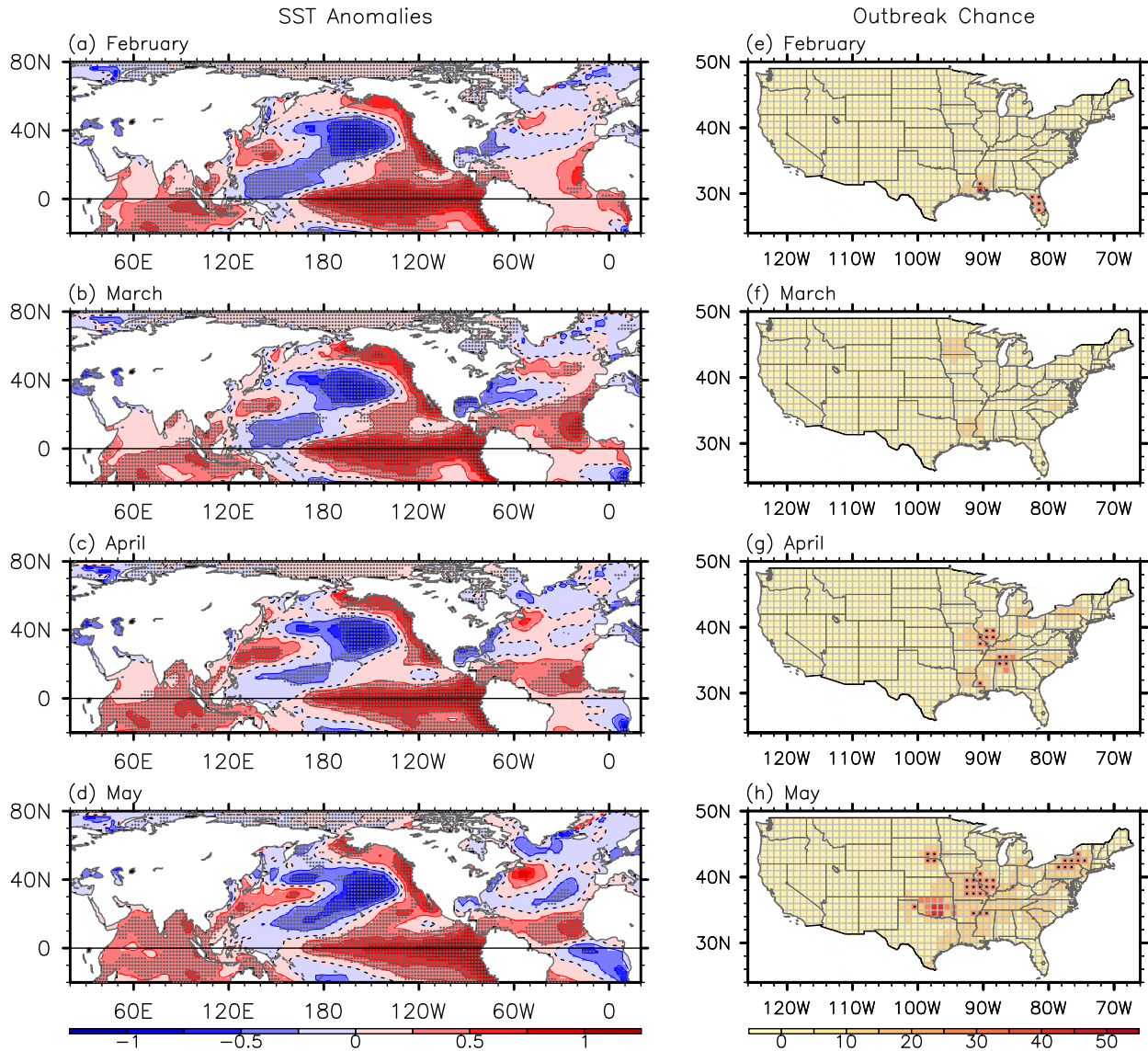
<b>Negative phase of North Atlantic SST tripole (March-May)</b>		<b>Positive phase of North Atlantic SST tripole (March-May)</b>	
<b>Year</b>	<b>ENSO phases</b>	<b>Year</b>	<b>ENSO phases</b>
1950	Resurgent La Niña	1951	Transition La Niña
1957	Transition La Niña	1958	Persistent El Niño
1959	Early-Term El Niño	1962	ENSO neutral
1971	Resurgent La Niña	1966	Decaying El Niño
1972	Transition La Niña	1969	Persistent El Niño
1974	Resurgent La Niña	1970	Early-Term El Niño
1975	2nd resurgent La Niña	1979	ENSO neutral
1976	Transition La Niña	1980	ENSO neutral
1985	Decaying La Niña	1981	ENSO neutral
1986	Developing El Niño	1983	Persistent El Niño
1989	Decaying La Niña	1988	Early-Term El Niño
1991	Developing El Niño	1998	Persistent El Niño
1994	Developing El Niño	2005	Decaying El Niño
2003	Persistent El Niño	2006	Transition La Niña
2009	Developing El Niño	2010	Transition El Niño
2014	ENSO neutral	2013	ENSO neutral

99th Percentiles of Tornado Density in the Central and Eastern U.S.



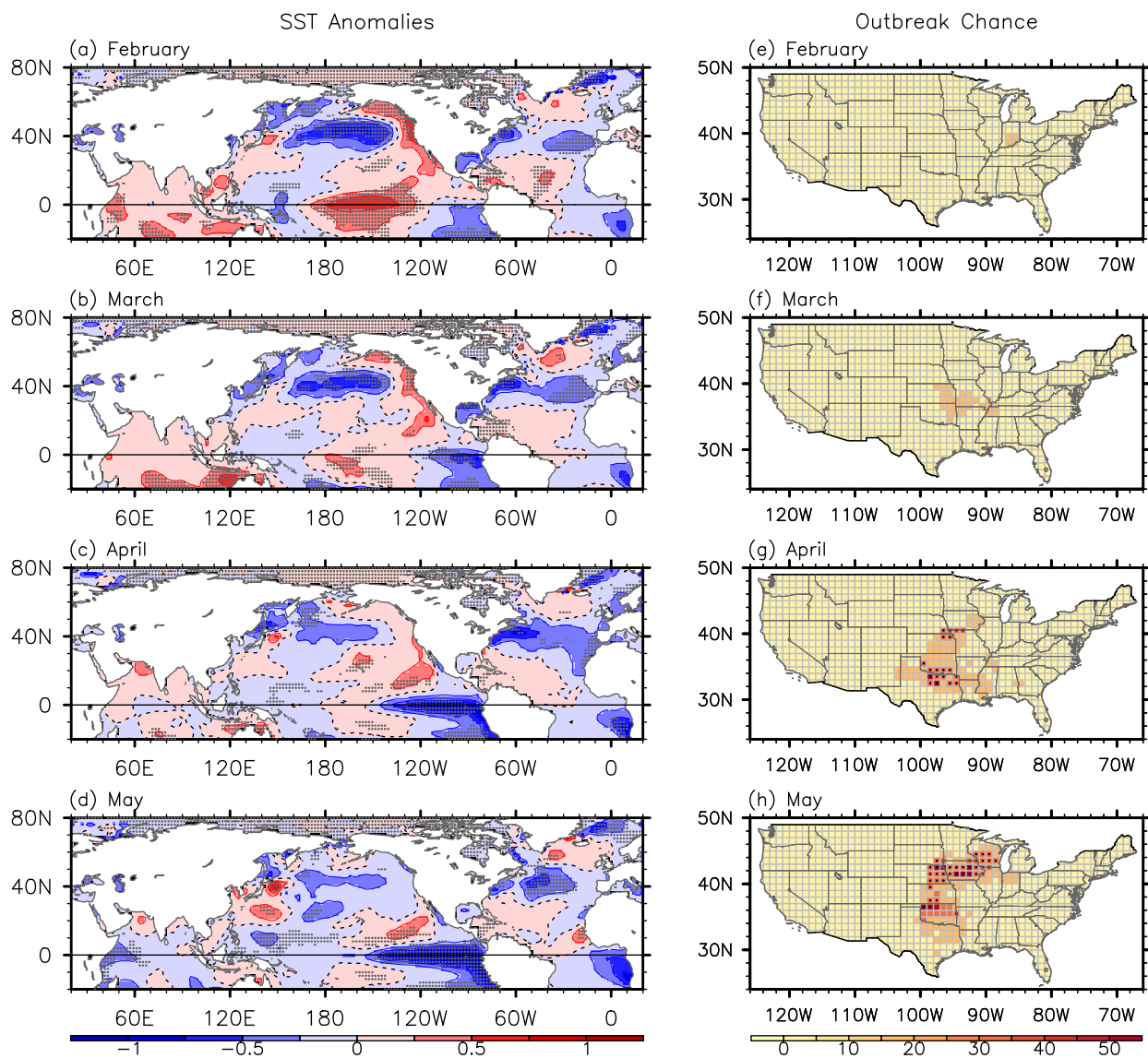
**Supplementary Figure 1.** The 99th percentiles of (a) weighted and (b) non-weighted tornado density values averaged over the central and eastern U.S. region, frequently affected by intense tornadoes ( $30^{\circ}$  -  $40^{\circ}$ N and  $100^{\circ}$  -  $80^{\circ}$ W). The horizontal lines in (a) and (b) indicate the March-May averages of the weighted and non-weighted tornado density values, respectively. The outbreak threshold in this study is set to a uniform value of 12, which is the March-May average of the weighted tornado density values over the central and eastern U.S. region. See section 2 for more details about how these fields are derived.

Persistent El Niño [+1] Year: SSTA and Probability of Tornado Outbreak



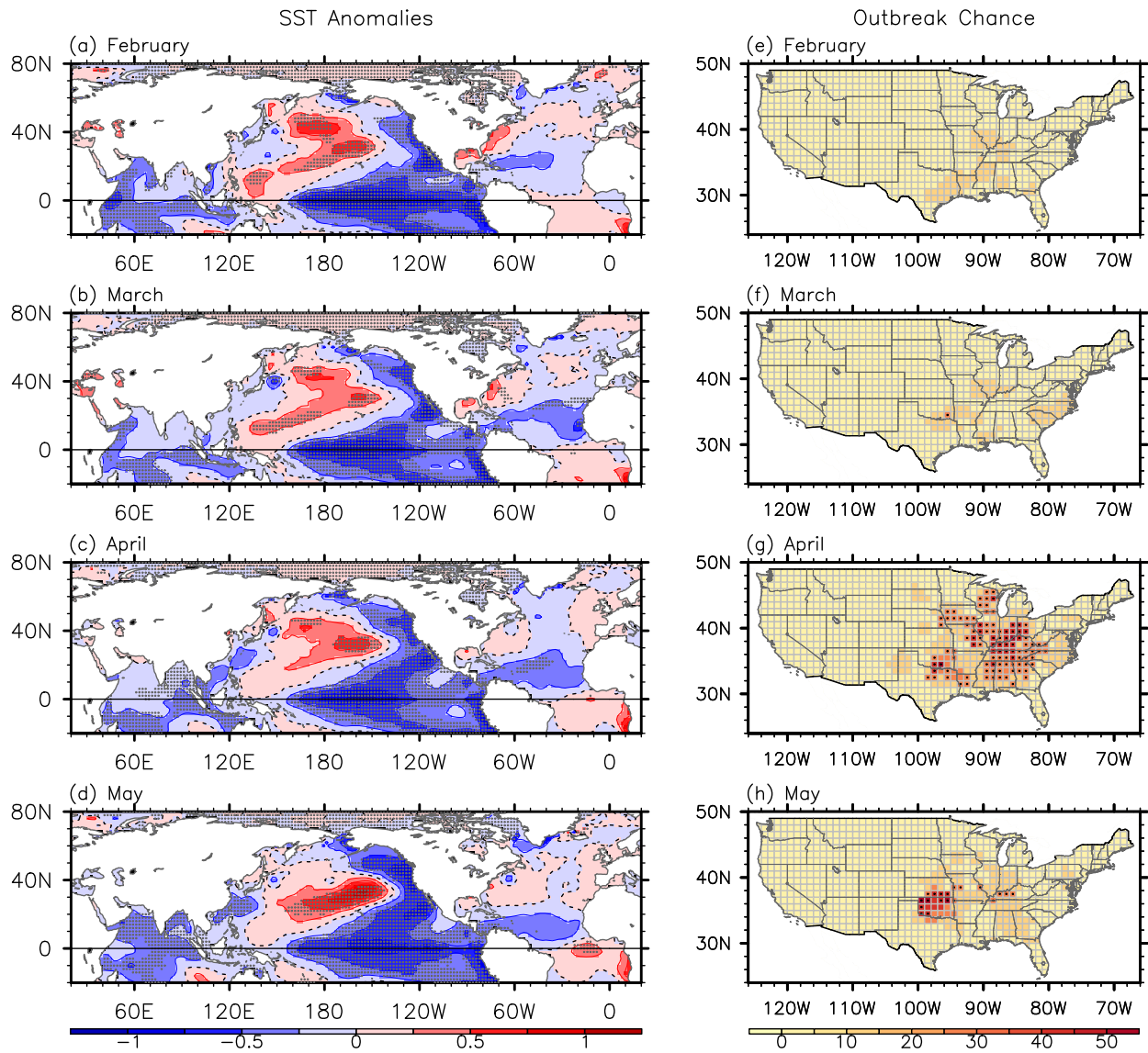
**Supplementary Figure 2.** Composite (a-d) SSTAs for the persistent El Niño phase and (e-h) the corresponding probability of U.S. regional tornado outbreaks in (top row) February (+1), (upper middle row) March (+1), (lower middle row) April (+1) and (bottom row) May (+1). The gray dots in panels a-d indicate that the SSTAs are statistically significant at the 10% level based on a Student's *t*-test. The black dots in panels e-h indicate that the probability of tornado outbreaks is statistically significant at the 10% level based on a binomial test. The units are in °C for the SSTAs and in % for the probability of tornado outbreaks.

Early-Terminating El Niño [+1] Year: SSTA and Probability of Tornado Outbreak



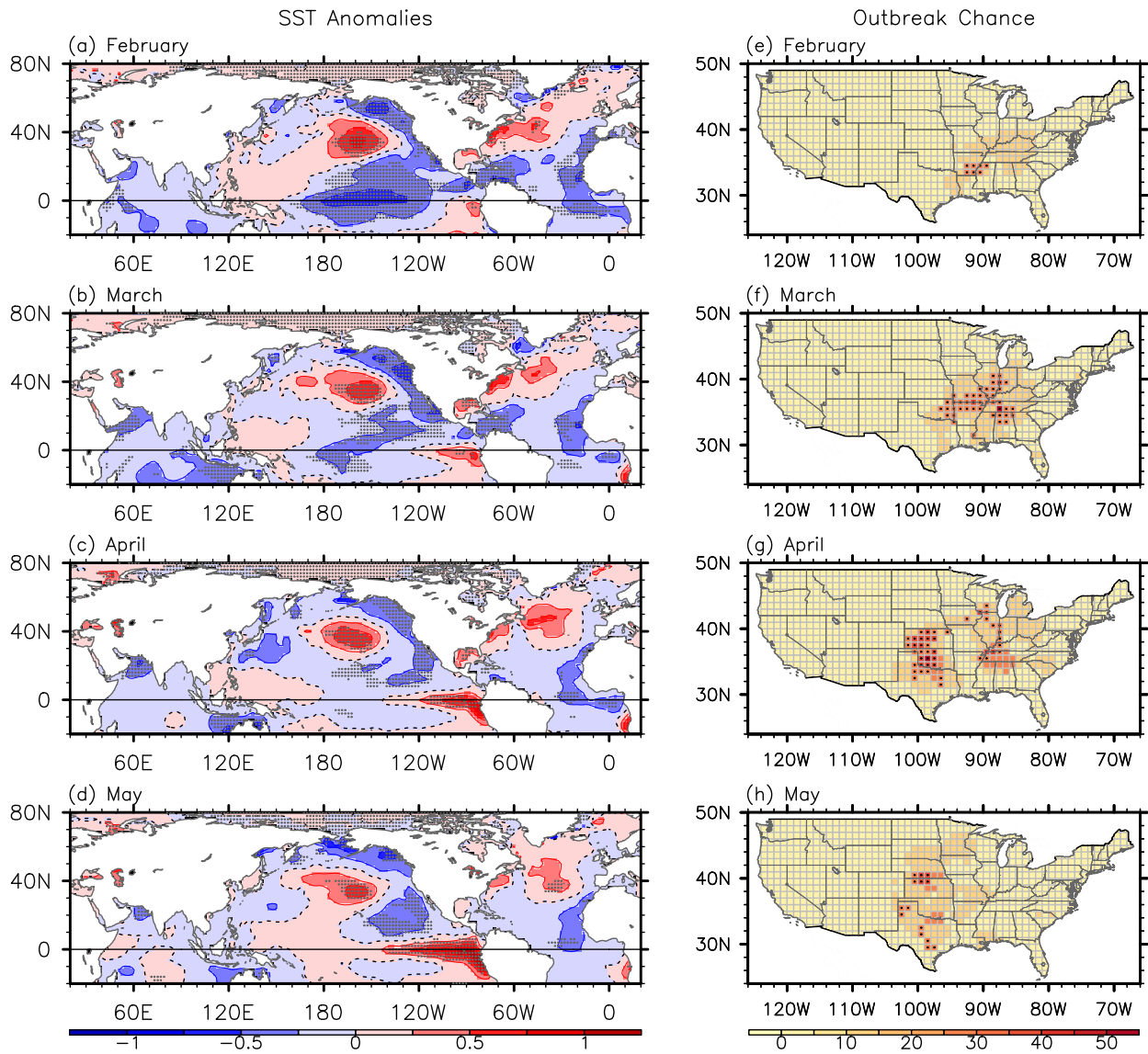
**Supplementary Figure 3.** Same as supplementary figure 2 except for the early-terminating El Niño phase.

Resurgent La Nina [+1] Year: SSTA and Probability of Tornado Outbreak



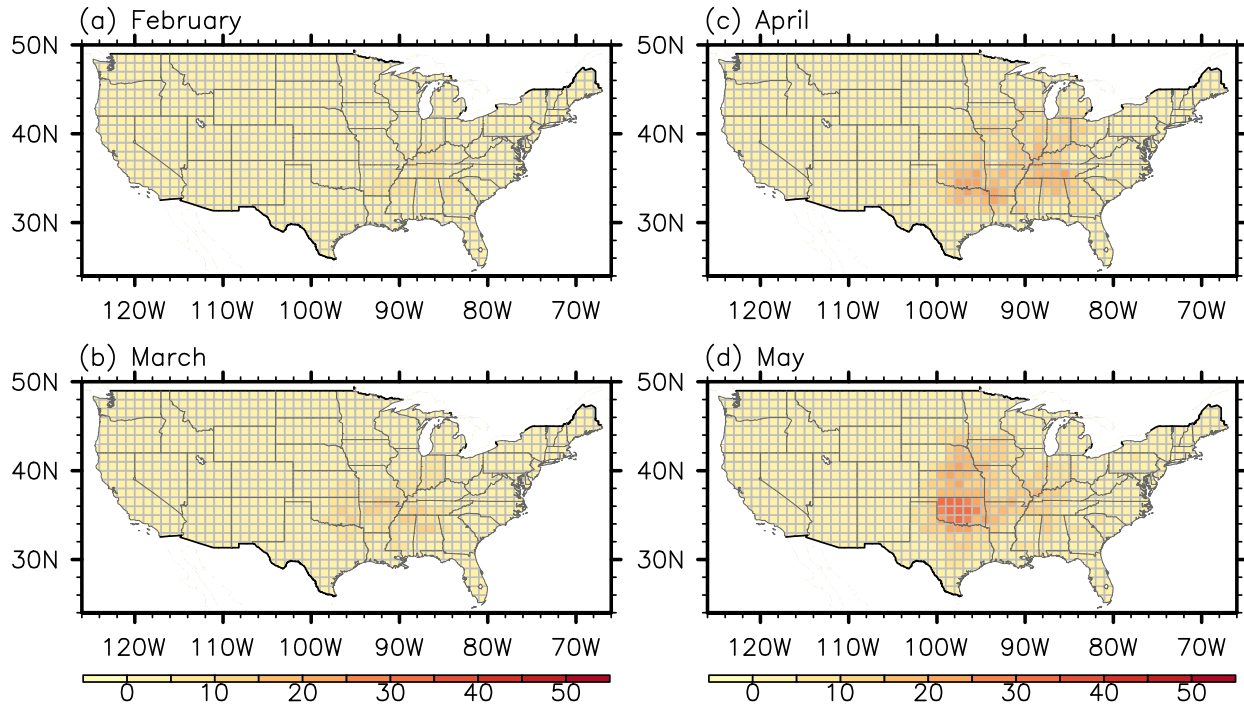
**Supplementary Figure 4.** Same as supplementary figure 2 except for the resurgent La Niña phase.

Transitioning La Niña [+1] Year: SSTA and Probability of Tornado Outbreak



**Supplementary Figure 5.** Same as supplementary figure 2 except for the transitioning La Niña phase.

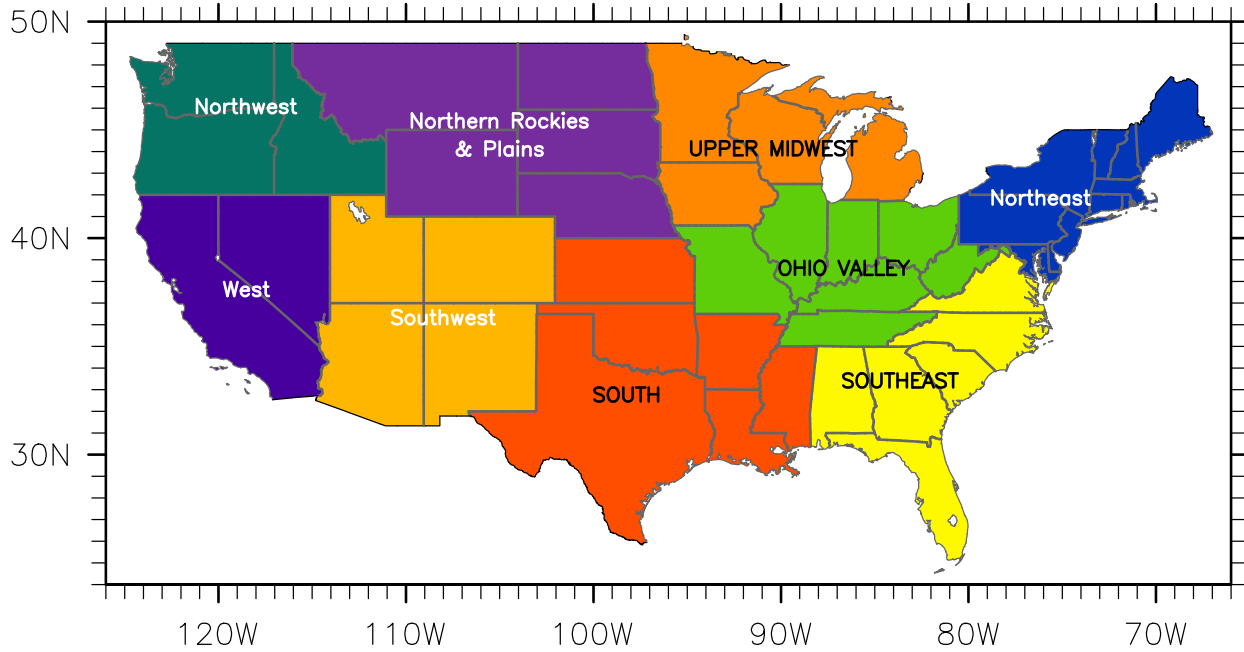
Climatology: Probability of Tornado Outbreak



**Supplementary Figure 6.** Climatological probability of U.S. regional tornado outbreaks in (a) February, (b) March, (c) April and (d) May. The units are in %.

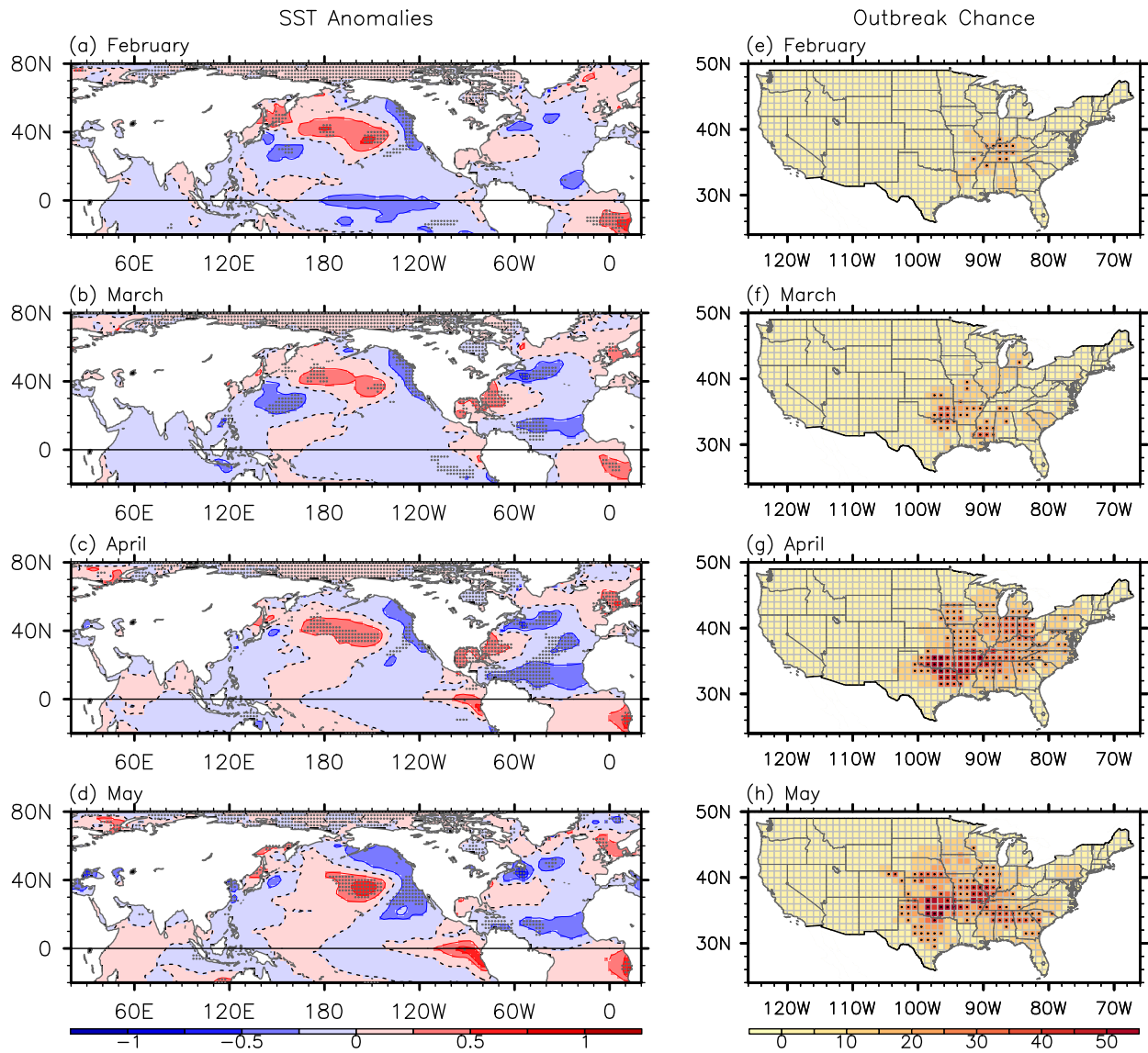


U.S. Climate Regions defined by NCDC



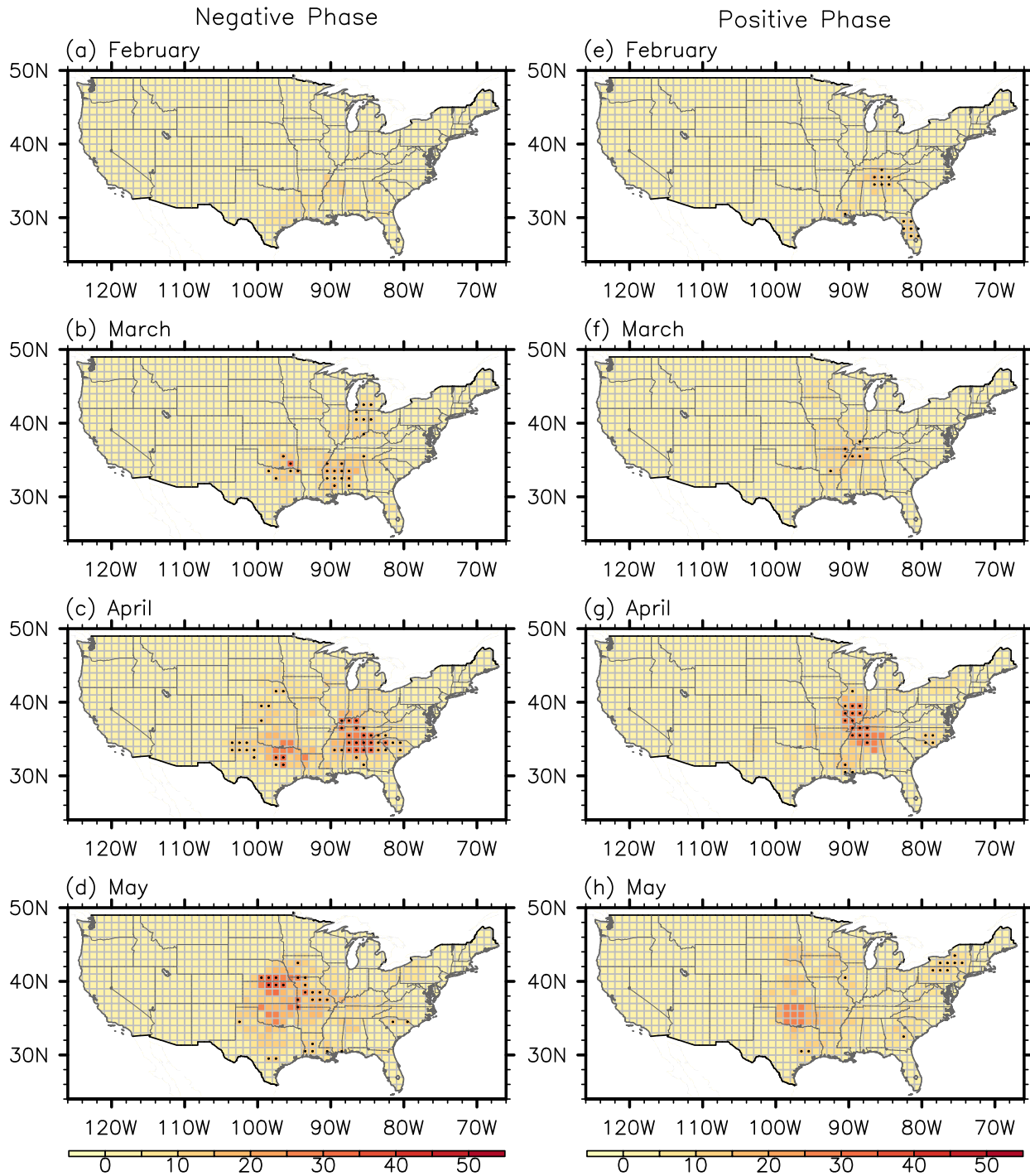
**Supplementary Figure 7.** U.S. climate regions defined by National Climate Data Center. The four regions, namely the South, Ohio Valley, Southeast and Upper Midwest, are frequently referred in the main text to describe the probability of U.S. regional tornado outbreaks.

Active US Tornado Years: SSTA and Probability of Tornado Outbreak



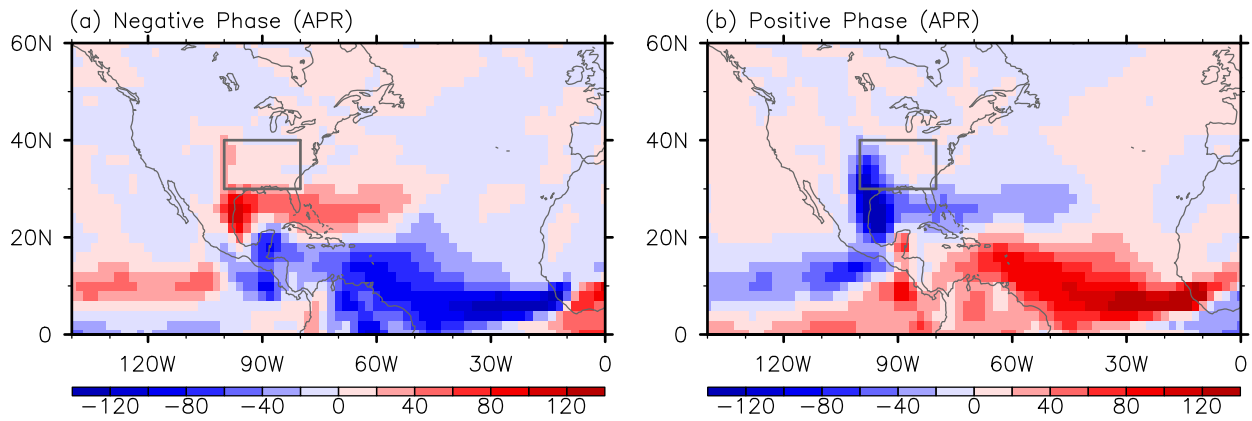
**Supplementary Figure 8.** Same as supplementary figure 2 except for the 10 most active U.S. tornado years.

# NATL Tripole Mode: Probability of Tornado Outbreak



**Supplementary Figure 9.** Probability of U.S. regional tornado outbreaks for (a-d) the negative and (e-h) positive North Atlantic SST tripole in (top row) February, (upper middle row) March, (lower middle row) April and (bottom row) May. The black dots indicate that the probability of tornado outbreaks is statistically significant at the 10% level based on a binomial test. The units are in %.

NATL Tripole Mode: CAPE Anomalies



**Supplementary Figure 10.** Anomalous CAPE in April for (a) the negative and (b) positive North Atlantic SST tripole. The units are in  $\text{J kg}^{-1}$ . The small boxes indicate the central and eastern U.S. region frequently affected by intense tornadoes ( $30^{\circ}$ - $40^{\circ}$ N,  $100^{\circ}$ - $80^{\circ}$ W).