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## Abstract

This study shows that the four main phases of springtime El Niño-Southern Oscillation (ENSO) evolution (persistent versus early-terminating El Niño, and resurgent versus transitioning La Niña) are linked to distinctive spatial patterns of the probability of U.S. regional tornado outbreaks. In particular, the outbreak probability increases significantly up to 27% over the Ohio Valley, Upper Midwest and Southeast when a La Niña persists into the spring and is followed by another La Niña (i.e., resurgent La Niña). The probability also increases significantly up to 38%, but mainly in the South, when a two-year La Niña transitions to an El Niño (i.e., transitioning La Niña). This study also shows that the North Atlantic sea surface temperature tripole is linked to the tornado outbreak probability over the Southeast and Upper Midwest in early spring, although this link may not be completely distinct from ENSO. These changes in outbreak probability are shown to be largely consistent with remotely forced regional changes in the large-scale tropospheric circulation, low-level wind shear, moisture transports and extratropical storm activity.

47 **1. Introduction**

48 The latest U.S. Natural Hazard Statistics reported that during 2004-2013 tornadoes claimed  
49 1,091 lives in the U.S., only trailing behind heat-related fatalities, and caused 21.6 billion dollars  
50 in property and crop damages (Table S1). An early prognosis of tornadogenesis combined with  
51 an effective warning system will prevent many deaths and serious injuries. A parallel effort to  
52 expand the current severe weather outlooks beyond seven days will also help emergency  
53 managers, government officials, businesses and the public to better prepare their resources to  
54 save lives and to protect critical infrastructure.

55 As summarized in a recent review [Tippet *et al.*, 2014], notable advances have been made  
56 since 2011, the year of the record-breaking tornado outbreaks in the U.S., on the potential of  
57 expanding the severe weather outlook at the National Oceanic and Atmospheric Administration  
58 (NOAA) beyond weather time scales [Tippett *et al.*, 2012; Weaver *et al.*, 2012; Barrett and  
59 Gensini, 2013; Lee *et al.*, 2013; Thompson and Roundy, 2013; Elsner and Widen, 2014; Allan *et*  
60 *al.*, 2015]. Among others, Lee *et al.* [2013] showed that the majority of the extreme U.S. tornado  
61 outbreaks in the most intense tornado months of April and May during 1950-2010 were linked to  
62 a positive Trans-Niño (i.e., a positive zonal gradient of sea surface temperature anomalies  
63 (SSTAs) from the central tropical Pacific (CP) to the eastern tropical Pacific (EP)), typically  
64 occurring during the boreal spring following the peak of La Niña [Trenberth and Stepaniak,  
65 2001; Lee *et al.*, 2014a]. They showed using observations and modeling experiments that a  
66 positive Trans-Niño could enhance large-scale atmosphere conditions conducive to intense  
67 tornado outbreaks over the U.S. via extratropical teleconnections. Recently, Allen *et al.* [2015]  
68 showed that La Niña events persisting into the spring could increase U.S. tornado activity,  
69 especially over Oklahoma, Arkansas and northern Texas, and vice versa for El Niño events

70 persisting into the spring. They used March-April-May (MAM) SSTAs in the Nino3.4 region  
71 (120°W-180°W and 5°S-5°N) to identify El Niño-Southern Oscillation (ENSO) events persisting  
72 into the spring. *Krishnamurthy et al.* [2015] further showed that the seasonal phasing of ENSO is  
73 critical to its impacts on the North American low-level jets, which influence U.S. tornado  
74 activity by controlling low-level vertical wind shear and moisture availability [*Muñoz and*  
75 *Enfield*, 2011; *Weaver et al.*, 2012].

76 These recent findings have identified ENSO as a potential source of seasonal predictability  
77 for U.S. tornado activity. However, it should be noted that ENSO usually decays rapidly in  
78 spring, which is the most active tornado season, shortly after reaching its peak in winter. During  
79 this time, the SSTAs in the tropical Pacific are typically much weaker in amplitude and their  
80 spatial structure becomes much less coherent [e.g., *Lee et al.* 2014a]. Additionally, every ENSO  
81 event is somewhat different from others, which is especially true during the springtime ENSO  
82 phase evolution [*Trenberth and Stepaniack*, 2001; *Chiang and Vimont*, 2004; *Yu and Kim*, 2010;  
83 *Lee et al.*, 2014a; *Yeh et al.*, 2014; *Capotondi et al.*, 2015]. For example, an ENSO event, while  
84 weakening during or after spring, may subsequently evolve into the onset of another ENSO event  
85 with either the same or opposite sign in the subsequent months (e.g., 1986-1987 El Niño, 1987-  
86 1988 El Niño and 1988-1989 La Niña). Hence, it is unlikely that the complexity of springtime  
87 ENSO phase evolution can be characterized by using a single ENSO index such as the Nino3.4  
88 index or Trans-Niño index.

89 Given the previous finding that ENSO may provide the seasonal predictability of U.S.  
90 tornado outbreaks in spring, there is a clear need to better characterize the springtime ENSO  
91 phase evolution and its link to U.S. tornado activity. On this issue, a new method was recently  
92 presented to objectively characterize and explore the differences in the space-time evolution of

93 equatorial Pacific SSTAs observed during El Niño events [*Lee et al.*, 2014b]. An application of  
94 this method to the 21 El Niño events during 1949-2013 captured two leading orthogonal modes,  
95 which explain more than 60% of the inter-event variance. The first mode distinguishes a strong  
96 and persistent El Niño from a weak and early-terminating El Niño (Figures 1a and 1b). A similar  
97 analysis applied to the 22 La Niña events during 1949-2013 also revealed two leading orthogonal  
98 modes, with its first mode distinguishing a resurgent La Niña and a transitioning La Niña  
99 (Figures 1c and 1d).

100 The main objective of this study is to further advance our understanding of the relationship  
101 between the springtime ENSO phase evolution and regional U.S. tornado outbreaks. To achieve  
102 this and to move forward with the goal of developing a seasonal outlook for U.S. tornado  
103 outbreaks, we first present a new metric to measure the probability of tornado outbreaks within  
104 an area centered at a given geographic location (section 2). Then, we use that metric to explore  
105 the probability of tornado outbreaks in various regions of the U.S. under the four dominant  
106 phases of springtime ENSO evolution identified in *Lee et al.* [2014b] (section 3) and to explain  
107 the associated atmospheric processes (section 4). We also report a potential link between the  
108 North Atlantic SST tripole and U.S. regional tornado outbreaks in early spring (section 5).  
109 Finally, we discuss further research that is needed to develop a seasonal outlook (section 6).

110

## 111 **2. Statistical Methods and Data Used**

112 To develop a seasonal outlook for U.S. tornado outbreaks, it is important to understand  
113 exactly what a seasonal outlook can and cannot predict. First of all, tornadogenesis is a  
114 mesoscale problem that requires overlap of very specific and highly localized atmospheric  
115 conditions [e.g., *Doswell and Bosart*, 2001]. Therefore, it cannot be adequately captured by

116 large-scale and long-term averaged atmospheric fields. In other words, a seasonal outlook cannot  
117 pinpoint exactly when, where and how many tornadoes may strike. Instead, a seasonal outlook  
118 may predict in terms of probability which regions are more vulnerable to, or more likely to  
119 experience, a widespread outbreak of tornadoes.

120 To move forward with the goal of developing a seasonal outlook, we propose a tornado  
121 outbreak index, which can be used to measure the probability that a tornado outbreak may occur  
122 in a predefined region. The following steps describe a method to compute the proposed tornado  
123 outbreak index for 1950 - 2014 using the Severe Weather Database (SWD) from NOAA. The  
124 Fujita scale-0 (F0) tornadoes are excluded in our analysis to avoid a spurious long-term trend in  
125 the SWD [e.g., *Verbout et al.*, 2006; *Lee et al.*, 2013]. Additionally, the number of F1-F5  
126 tornadoes is weighted in such a way that one  $F_n$  tornado is treated as  $n$  number of F1 tornadoes.

127 1) The first step is to count the weighted number of F1-F5 tornadoes within a circle of 200 km  
128 radius from the center of each  $1^\circ \times 1^\circ$  grid point for 5 consecutive days. This is referred to as a  
129 5-day overlapping tornado density - one value for each day and grid point.

130 2) The second step is to define the outbreak threshold as the 99th percentile of the tornado  
131 density values for each calendar month and grid point. Note that different threshold values  
132 are used for each grid point and calendar month to account for the regionally and seasonally  
133 inhomogeneous distribution of tornado statistics.

134 3) The final step is to identify months with one or more *outbreak days* to construct the monthly  
135 U.S. regional tornado outbreak index for each grid point.

136 For a subset of data, the numbers of outbreak and non-outbreak years can be counted to  
137 compute the probability of U.S. regional tornado outbreaks. Figure S1 shows the outbreak  
138 threshold values of the tornado density, and the 90th and 95th percentile probabilities of U.S.

139 regional tornado outbreaks for March, April and May, obtained by using the steps described  
140 above.

141 In the following sections, we explore the probability of tornado outbreaks in various regions  
142 of the U.S. under the four dominant phases of springtime ENSO evolution (Figure 1). We use the  
143 Extended Reconstructed Sea Surface Temperature version 3b (ERSST3), an in situ analysis of  
144 global monthly SST on a  $2^\circ \times 2^\circ$  grid [Smith *et al.*, 2008], to compute the leading modes of ENSO  
145 variability for the period of 1949-2013 as discussed in Lee *et al.* [2014b]. The Twentieth Century  
146 Reanalysis (20CR) [Compo *et al.*, 2011] and the National Centers for Environmental Prediction-  
147 National Center for Atmospheric Research (NCEP-NCAR) reanalysis [Kalnay *et al.*, 1996] are  
148 also used to derive atmospheric anomalies associated with the four dominant phases of  
149 springtime ENSO evolution.

150

### 151 **3. Springtime ENSO Phases and Their Links to U.S. Regional Tornado Outbreaks**

152 Figure 1 shows the time-longitude plots of the tropical Pacific SSTAs, averaged between  $5^\circ\text{S}$   
153 and  $5^\circ\text{N}$ , for the four leading cases of ENSO variability reproduced from Lee *et al.* [2014b]. The  
154 first case exhibits strong and positive SSTAs in the EP during the peak season persisting  
155 throughout the boreal spring (+1), and thus is referred to as a strong and persistent El Niño case  
156 (e.g., 1982-1983 El Niño); hereafter, any month/season in an ENSO onset year is identified by  
157 the suffix (0), whereas any month/season in an ENSO decay year by the suffix (+1). The second  
158 case is characterized by relatively weak positive SSTAs in the CP during the peak season and a  
159 rapid development of cold SSTAs in the EP shortly after the peak season, and thus is referred to  
160 as a weak and early-terminating El Niño case (e.g., 1963-1964 El Niño). The third case describes  
161 a La Niña persisting into the spring (+1) and evolving to another La Niña, and thus is referred to

162 as a resurgent La Niña case (e.g., 1998-1999 La Niña). This case is also frequently referred to as  
163 a two-year La Niña in the literature [e.g., *DiNezio and Deser*, 2014]. Finally, the fourth case  
164 describes a two-year La Niña transitioning to an El Niño, and thus is referred to as a transitioning  
165 La Niña case (e.g., 1971-1972 La Niña). Note that these four leading cases of ENSO variability  
166 mainly describe ENSO phase evolution in the spring (+1) following the peak of ENSO in boreal  
167 winter. For more details on the atmosphere-ocean dynamics linked to the leading modes of  
168 ENSO variability, the reader is referred to *Lee et al.* [2014b].

169 Figure 2 shows the composite SSTAs for the four dominant phases of springtime ENSO  
170 evolution in MAM (+1) and the corresponding probability of regional tornado outbreaks in April  
171 (+1). See Figures S3-S6 in the supporting information for the composite SSTAs and the  
172 probability of outbreaks in March (+1) and May (+1). The gray dots indicate that the SSTAs are  
173 statistically significant at 90% based on a Student's *t*-test. Similarly, the black dots mean that the  
174 probability of tornado outbreaks is statistically significant at 90% based on the exact binomial  
175 test of the null hypothesis, i.e., the springtime ENSO phases have no effect on the probability of  
176 tornado outbreaks. For each case, we used eight to eleven actual ENSO events for the composite  
177 analysis based on the sign and amplitude of the leading principal components of El Niño and La  
178 Niña variability (see Table S2 for the list of ENSO events used).

179 As shown in Figures 2a and 2e, the probability of U.S. regional tornado outbreaks in April  
180 (+1) is low overall (5% or less) when a strong El Niño persists into the spring (+1) after its peak  
181 (i.e., persistent El Niño). The probability of outbreaks may reach as high as 25% along the  
182 Mississippi river and over New York and central Florida. However, they are statistically  
183 insignificant at the 90% confidence level. The overall low probability of outbreaks shown in  
184 Figure 2e implies that the outbreak frequency is largely suppressed by a strong El Niño that



185 persists throughout the boreal spring (+1), as suggested by *Allen et al.* [2015]. However, the  
186 statistical significance of the reduction cannot be established since the frequency distribution of  
187 the outbreak chance is highly skewed to the right.

188 Similar to the persistent El Niño case, when a weak El Niño terminates early and cold SSTAs  
189 develop over the EP in the spring (+1) (i.e., early-terminating El Niño), the probability of  
190 outbreaks is also low overall (5% or less) although it increases somewhat in limited regions over  
191 Missouri and northern Texas in April (+1) (Figures 2b and 2f), and over Iowa, Wisconsin and  
192 Illinois in May (+1) (Figure S4f).

193 When a La Niña persists into the spring (+1) and evolves to another La Niña (i.e., resurgent  
194 La Niña), the probability of outbreaks increases significantly up to 27% in April (+1) in wide  
195 regions in the Ohio Valley, Southeast, Upper Midwest and Great Plains (see Figure S2 for the  
196 U.S. climate regions as defined by the National Climate Data Center), particularly Nebraska,  
197 Illinois, Indiana, Kentucky, Tennessee, Mississippi, Alabama, Georgia, Virginia, North Carolina  
198 and Wisconsin (Figures 2c and 2g). It is interesting to note that the record-breaking 2011 tornado  
199 outbreaks occurred during a resurgent La Niña. Similarly, the super tornado outbreaks in 1974  
200 occurred during a resurgent La Niña.

201 As shown in Figure 2d, when a two-year La Niña transitions to an El Niño (i.e., transitioning  
202 La Niña), the cold SSTAs in the CP are nearly dissipated away while the warm SSTAs in EP  
203 become strong and statistically significant in MAM (+1). In this case, the probability of tornado  
204 outbreaks increases strongly and significantly up to 38% in the South U.S., particularly over  
205 Kansas, eastern Colorado and Texas in April (+1) (Figure 2h), and over southern Texas in March  
206 (+1) (Figure S6f).

207 Two important questions arise among others as to why the probability of U.S. regional  
208 tornado outbreaks increases in the spring following the peak of La Niña, and why the regions  
209 affected during the resurgent La Niña case are quite different from the regions affected during  
210 the transitioning La Niña case. We attempt to address these questions in the next section.

211

#### 212 **4. Springtime Atmospheric Variability over the U.S. Linked to ENSO Phases**

213 It is well known that La Niña causes the winter atmospheric jet stream to take an unusually  
214 wavy southeastward path into the U.S. from southwestern Canada, thus bringing colder and drier  
215 upper level air to the U.S. Hence, the winter storm activity over the U.S. tends to increase,  
216 causing more frequent wet conditions particularly over the Ohio Valley [e.g., *Ropelewski and*  
217 *Halpert*, 1986; *Eichler and Higgins*, 2006; *Mo*, 2010]. As shown in Figure 3a, in the spring (+1)  
218 of the resurgent La Niña case, an anomalous cyclone develops over the U.S. bringing colder and  
219 drier upper-level air to the U.S., and thus the extratropical storm activity increases, suggesting  
220 that the mechanism through which La Niña affects U.S. weather in winter months still prevails in  
221 the spring (+1) of the resurgent La Niña case [*Lee et al.*, 2014a]. The anomalous cyclone and the  
222 associated increase in equivalent barotropic winds in turn enhance the low-level vertical wind  
223 shear (850 - 1000 hPa) east of the Rockies, and shift the moisture stream originating from the  
224 Gulf of Mexico more toward the east (Figure 3b), thus producing a set of favorable atmospheric  
225 environments for tornado outbreaks in the Southeast and Ohio Valley, consistent with Figure 2g.

226 In the spring (+1) of the transitioning La Niña case, on the other hand, the extratropical storm  
227 activity decreases slightly over the South and Southeast. Consistent with this feature, an  
228 anomalous anticyclone forms east of the Rockies inducing anomalous southerly winds over the  
229 South (Figure 3c). Therefore, the low-level vertical wind shear increases over the South and the

230 moisture stream from the Gulf of Mexico is enhanced more toward the South (Figure 3d). These  
231 changes in the atmospheric environments are largely consistent with the increased probability of  
232 tornado outbreaks in the South (Figure 2h).

233 The large-scale atmospheric patterns in the spring (+1) following the peak of El Niño are  
234 largely opposite to those following the peak of La Niña [e.g., *Lee et al.*, 2014a]. Thus, as shown  
235 in Figure S7, the atmospheric environments over the U.S. linked to the persistent and early-  
236 terminating El Niño cases are largely unfavorable for tornado outbreaks, in line with Figures 2e  
237 and 2f.

238

## 239 **5. North Atlantic SST Tripole and U.S. Regional Tornado Outbreaks**

240 As shown in Figure S8, there is a coherent and statistically significant pattern of springtime  
241 SSTAs in the North Atlantic linked to the extreme U.S. tornado outbreaks (see Tables S3 for the  
242 list of extreme U.S. tornado outbreak years used). This pattern is very similar to the North  
243 Atlantic SST tripole, which is the dominant mode of interannual SST variability in the Atlantic  
244 in boreal winter and spring and is known to be linked to multiple forcing mechanisms including  
245 the North Atlantic Oscillation, tropical Atlantic variability and extratropical teleconnections from  
246 the tropical Pacific [e.g., *Xie and Tanimoto*, 1998; *Okumura et al.*, 2001; *Peng et al.*, 2002; *Wu et*  
247 *al.*, 2007; *Schneider and Fan*, 2012]. Hence, we further explore the potential link between the  
248 North Atlantic SST tripole and the probability of U.S. regional tornado outbreaks. First, we  
249 performed an empirical orthogonal function (EOF) analysis of the North Atlantic SSTAs in  
250 MAM to sort the past 66 years (i.e., 1949-2014) based on the amplitude of the North Atlantic  
251 SST tripole mode (i.e., the leading EOF mode). Then, we selected the top (i.e., positive) 10 cases

252 and the bottom (i.e., negative) 10 cases from the sorted years to perform composite analysis (see  
253 Table S4 for the list of the positive and negative North Atlantic SST tripole years used).

254 As summarized in Figures 4 and S9, the North Atlantic SST tripole is indeed linked to the  
255 probability of U.S. regional tornado outbreaks in March and April. During its negative phase  
256 (i.e., cold in the tropical North Atlantic, warm in the subtropical North Atlantic and cold in the  
257 subpolar North Atlantic), a robust anomalous anticyclone straddles the subtropical North Atlantic  
258 extending westward over the U.S. In March, the increase in the equivalent barotropic winds  
259 along the poleward half of the anomalous anticyclone enhances the low-level vertical wind shear  
260 over the Upper Midwest and Ohio Valley (Figure 4b). It appears that the moisture transport  
261 increases somewhat along the gulf coast mainly toward the Southeast, which is likely due to the  
262 increased SSTAs in the Gulf of Mexico (Figure 4c). These changes in the low-level vertical wind  
263 shear and moisture transport are fairly consistent with the significantly increased probability of  
264 tornado outbreaks in the Southeast and Upper Midwest (Figure 4a). In April, the anomalous  
265 anticyclone over the U.S. retreats to the east enhancing the moisture supply and the low-level  
266 vertical wind shear along the South and Great Plains (Figures S9a-S9c). These relationships are  
267 almost exactly the opposite during the positive phase of the North Atlantic SST tripole (Figures  
268 4d-4f and S9d-S9f).

269 Although the results summarized in Figures 4 and S9 are promising, it must be noted that a  
270 negative phase of the North Atlantic SST tripole forms more frequently in the early spring  
271 following the peak of La Niña (Table S3). Therefore, it is unclear whether the North Atlantic  
272 SST tripole adds much to the predictability of U.S. regional tornado outbreaks. Further studies  
273 using model experiments and advanced statistical methods are needed to better understand the  
274 impact of North Atlantic SST variability on the probability of U.S. regional tornado outbreaks.

275

## 276 **6. Discussion**

277 This study illustrates the links between the leading modes of springtime ENSO variability  
278 and the probability of U.S. regional tornado outbreaks. However, the leading modes of El Niño  
279 and La Niña variability represent only about 35% of the total variance [Lee *et al.*, 2014b]. Thus,  
280 it is important to take into account the second leading modes, which represent an additional  
281 approximately 28% of the total variance [Lee *et al.*, 2014b]. The two leading modes of ENSO  
282 variability and the North Atlantic SST tripole mode could be used as predictors of the monthly  
283 U.S. regional tornado outbreak index, using a logistic regression analysis [Cox, 1958]. The  
284 regression coefficients obtained from the logistic regression analysis could be used to estimate  
285 the probability of U.S. regional tornado outbreaks given the amplitudes of the three predictors.  
286 Combining this statistical tool with a dynamic seasonal forecast model, which could be used to  
287 obtain the three predictors with 1-3 months lead time, it might be possible to build a seasonal  
288 outlook for U.S. regional tornado outbreaks. To this end, it is quite promising that high-  
289 resolution climate models are now beginning to demonstrate skill in simulating and predicting  
290 seasonal variations in some of the elements critical to U.S. tornado outbreak risk [e.g.,  
291 *Krishnamurthy et al.*, 2015; *Yang et al.*, 2015; *Jia et al.*, 2015].

292

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301

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387 **Figure captions:**

388 **Figure 1.** Time-longitude plots of the leading orthogonal modes of the tropical Pacific SSTAs  
389 averaged between 5°S and 5°N for 21 El Niño and 22 La Niña events during 1949-2013,  
390 reproduced from *Lee et al.* [2014b], namely (a) the persistent El Niño, (b) early-terminating El  
391 Niño, (c) resurgent La Niña and (d) transitioning La Niña. The unit is in °C.

392

393 **Figure 2.** Composite (a-d) SSTAs for the four dominant phases of springtime ENSO evolution in  
394 MAM (+1) and (e-h) the corresponding probability of U.S. regional tornado outbreaks in April  
395 (+1). The gray dots in panels a-d indicate that the SSTAs are statistically significant at 90%  
396 based on a student-*t* test. The black dots in panels e-h indicate that the probability of tornado  
397 outbreaks is statistically significant at 90% based on a binomial test. The unit is in °C for the  
398 SSTAs and in % for the probability of tornado outbreaks.

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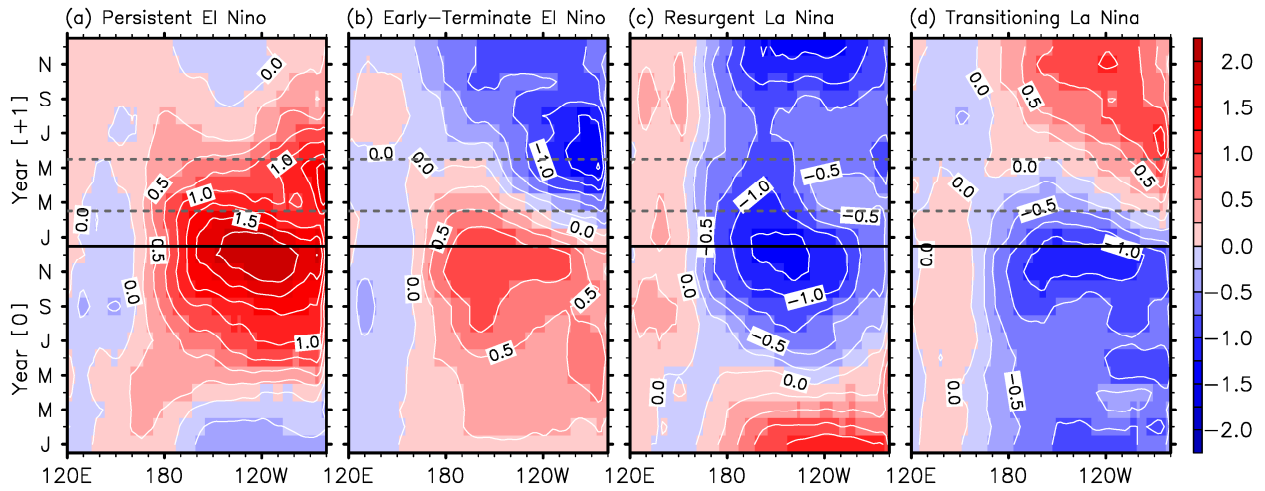
400 **Figure 3.** (upper row) Anomalous geopotential height at 500 hPa (color shades) and variance of  
401 5day high-pass filtered meridional winds at 300 hPa (contours), and (lower row) anomalous  
402 moisture transport (vectors) and low-level vertical wind shear (850 - 1000 hPa; color shades) in  
403 MAM (+1) for (a,b) the resurgent La Niña and (c,d) transitioning La Niña cases. The units are in  
404 gpm for geopotential height, in  $m^2 s^{-2}$  for variance of meridional winds, in  $kg m^{-1} s^{-1}$  for moisture  
405 transport, and in  $m s^{-1}$  for vertical wind shear.

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407 **Figure 4.** (top row) Probability of U.S. regional tornado, (middle row) composite SSTAs (color  
408 shades) and geopotential height anomalies at 500 hPa (contours), and (bottom row) low-level  
409 vertical wind shear anomalies (color shades) and moisture transport anomalies (vectors) in

410 March for (a-c) the negative and (d-f) positive North Atlantic SST tripole. The unit is in % for  
411 the probability of tornado outbreaks, in °C for the SSTAs, in gpm for geopotential height, in kg  
412  $\text{m}^{-1} \text{s}^{-1}$  for moisture transport, and in  $\text{m s}^{-1}$  for vertical wind shear.

### Leading Modes of Interevent ENSO Variability



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**Figure 1.** Time-longitude plots of the leading orthogonal modes of the tropical Pacific SSTAs averaged between 5°S and 5°N for 21 El Niño and 22 La Niña events during 1949-2013, reproduced from *Lee et al.* [2014b], namely (a) the persistent El Niño, (b) early-terminating El Niño, (c) resurgent La Niña and (d) transitioning La Niña. The unit is in °C.

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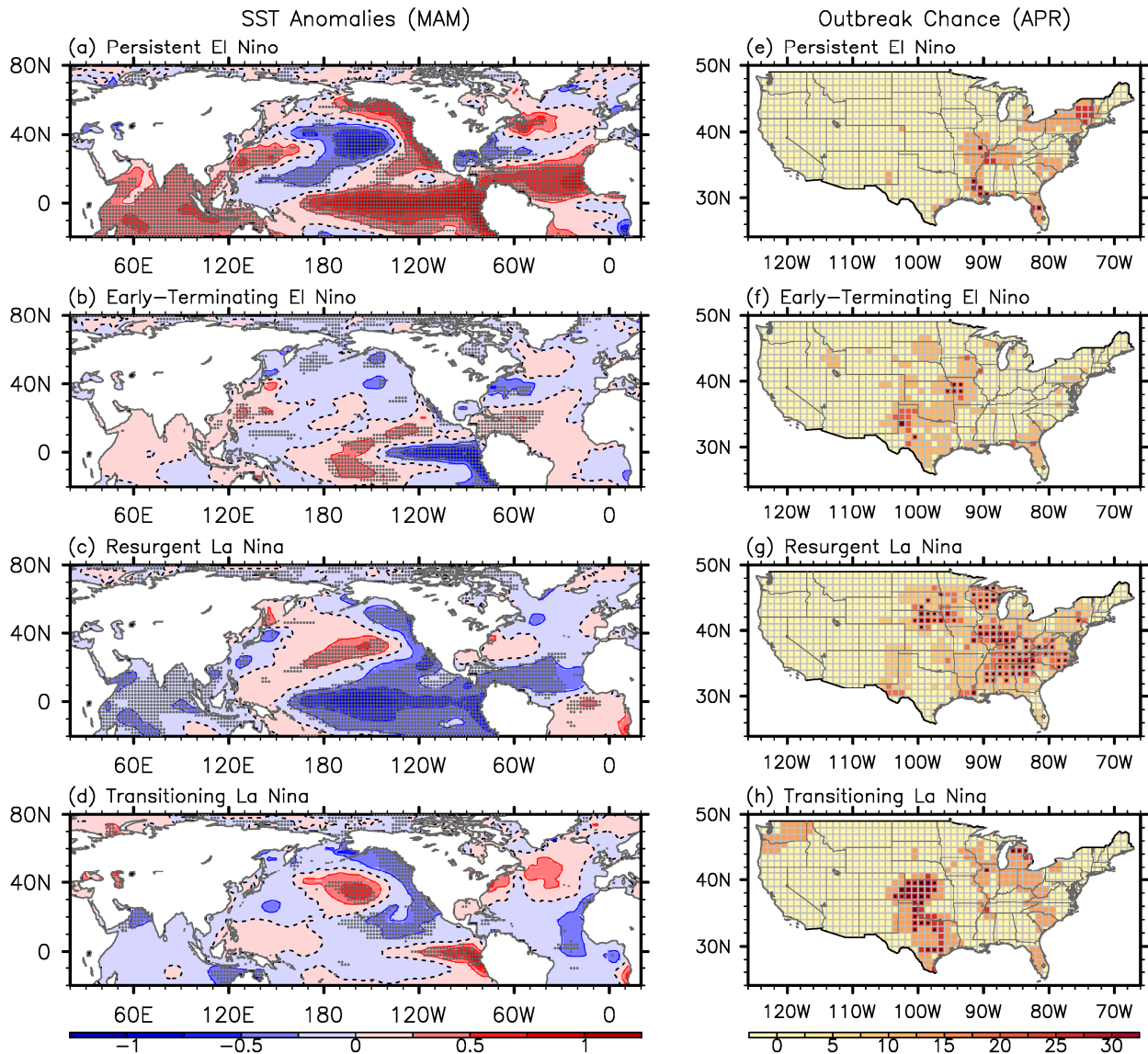
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ENSO [+1] Year: SSTA and Probability of Tornado Outbreak



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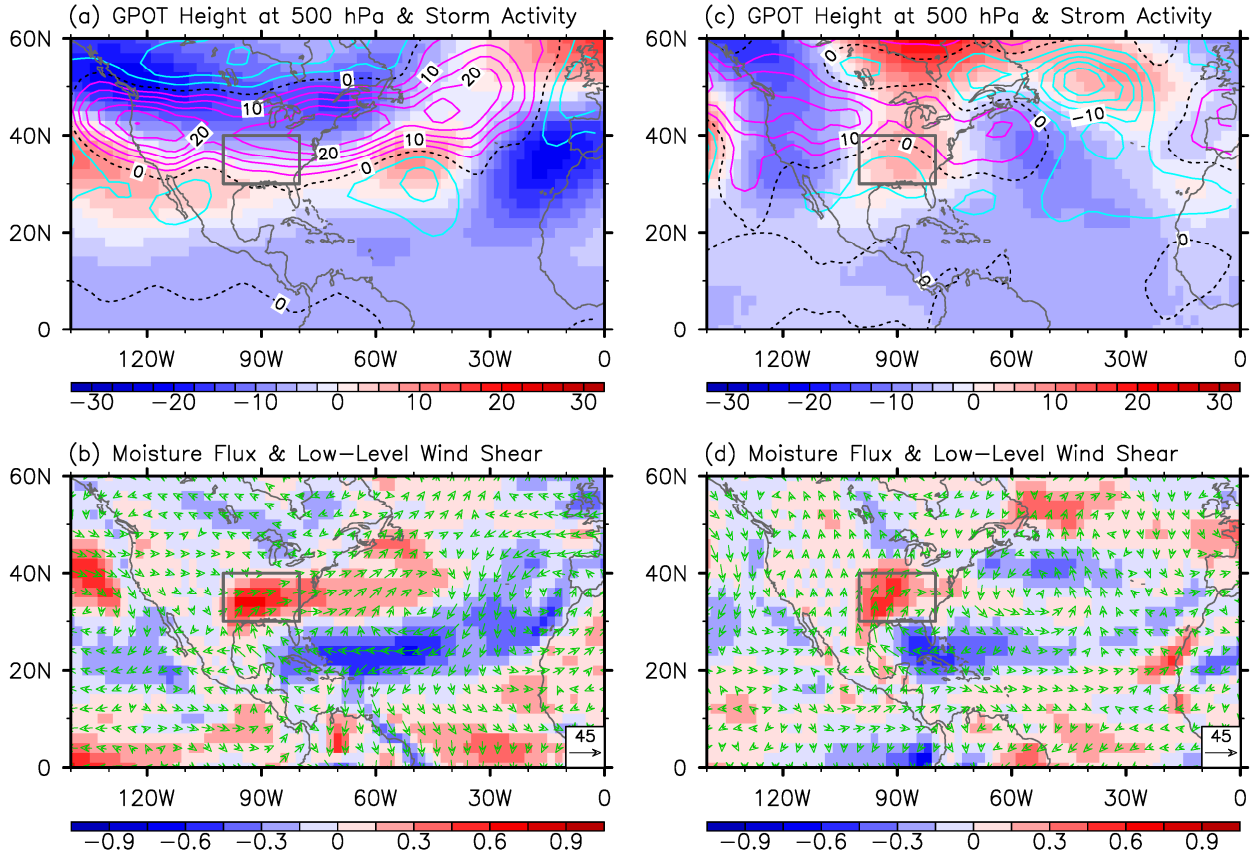
19 **Figure 2.** Composite (a-d) SSTAs for the four dominant phases of springtime ENSO evolution in  
 20 MAM (+1) and (e-h) the corresponding probability of U.S. regional tornado outbreaks in April  
 21 (+1). The gray dots in panels a-d indicate that the SSTAs are statistically significant at 90%  
 22 based on a student-*t* test. The black dots in panels e-h indicate that the probability of tornado  
 23 outbreaks is statistically significant at 90% based on a binomial test. The unit is in °C for the  
 24 SSTAs and in % for the probability of tornado outbreaks.

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La Nina [+1] Year (MAM): Atmospheric Anomalies over the U.S.

Resurgent La Nina

Transitioning La Nina



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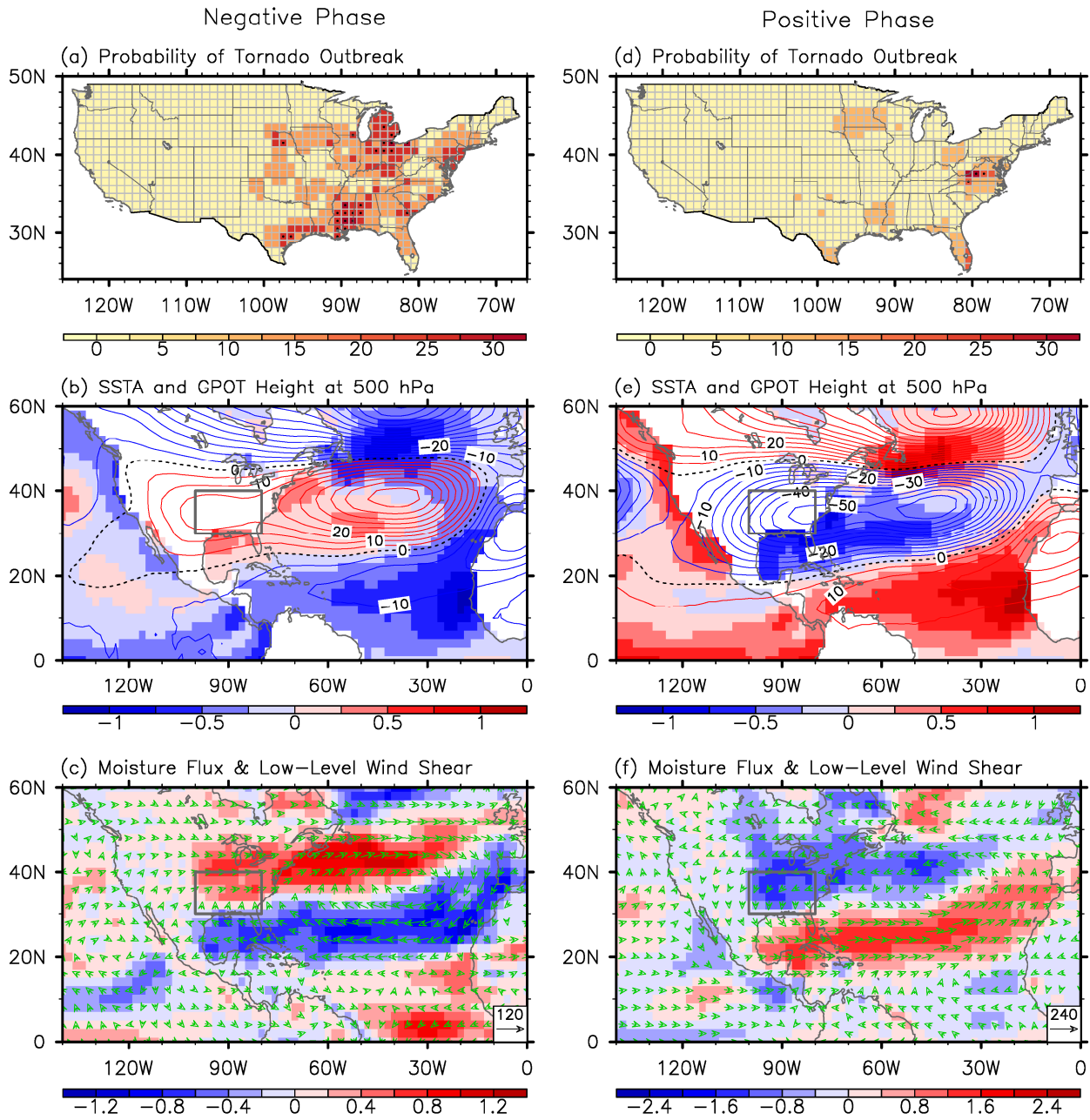
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**Figure 3.** (upper row) Anomalous geopotential height at 500 hPa (color shades) and variance of 5day high-pass filtered meridional winds at 300 hPa (contours), and (lower row) anomalous moisture transport (vectors) and low-level vertical wind shear (850 - 1000 hPa; color shades) in MAM (+1) for (a,b) the resurgent La Niña and (c,d) transitioning La Niña cases. The units are in gpm for geopotential height, in  $m^2 s^{-2}$  for variance of meridional winds, in  $kg m^{-1} s^{-1}$  for moisture transport, and in  $m s^{-1}$  for vertical wind shear.

NATL Tripole Mode (MAR): Outbreak Chance, SSTA & Atmospheric Anomalies



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38 **Figure 4.** (top row) Probability of U.S. regional tornado, (middle row) composite SSTAs (color  
 39 shades) and geopotential height anomalies at 500 hPa (contours), and (bottom row) low-level  
 40 vertical wind shear anomalies (color shades) and moisture transport anomalies (vectors) in  
 41 March for (a-c) the negative and (d-f) positive North Atlantic SST tripole. The unit is in % for

- 42 the probability of tornado outbreaks, in °C for the SSTAs, in gpm for geopotential height, in kg
- 43  $\text{m}^{-1} \text{s}^{-1}$  for moisture transport, and in  $\text{m s}^{-1}$  for vertical wind shear.



1 **Table S1.** Tornado-related number of fatalities and injuries and property and crop damages (in  
 2 million US dollars) in the U.S. during 2004-2013, reproduced from the U.S. Natural Hazard  
 3 Statistics (<http://www.nws.noaa.gov/om/hazstats.shtml>).

| Year         | Fatalities   | Injuries      | Property & crop damages |
|--------------|--------------|---------------|-------------------------|
| 2004         | 35           | 396           | 549.2                   |
| 2005         | 38           | 537           | 503.9                   |
| 2006         | 64           | 990           | 759.0                   |
| 2007         | 81           | 659           | 1,407.5                 |
| <b>2008</b>  | <b>126</b>   | <b>1,714</b>  | <b>1,865.6</b>          |
| 2009         | 21           | 351           | 584.9                   |
| 2010         | 45           | 699           | 1,134.6                 |
| <b>2011</b>  | <b>553</b>   | <b>5,483</b>  | <b>9,493.0</b>          |
| 2012         | 70           | 822           | 1,649.7                 |
| 2013         | 55           | 756           | 3,648.7                 |
| <b>Total</b> | <b>1,091</b> | <b>12,407</b> | <b>21,596.1</b>         |

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19 **Table S2.** List of four leading cases of ENSO variability (i.e., 8 persistent El Niño, 10 early-  
 20 terminating El Niño, 11 resurgent La Niña and 8 transitioning La Niña cases) identified based on  
 21 the sign and amplitude of the principal components of interevent El Niño and La Niña variability  
 22 during 1949 - 2013 [Lee *et al.*, 2014b]. These ENSO events are listed by their onset-decay years  
 23 (i.e., year (0) - year (+1)). Note that the four leading cases of ENSO variability describe ENSO  
 24 phase evolution in the spring (+1) following the peak of ENSO in boreal winter.

| <b>Persistent El Niño</b> | <b>Early-Terminating<br/>El Niño</b> | <b>Resurgent La Niña</b> | <b>Transitioning<br/>La Niña</b> |
|---------------------------|--------------------------------------|--------------------------|----------------------------------|
| 1957 - 1958               | 1951 - 1952                          | 1949 - 1950              | 1950 - 1951                      |
| 1968 - 1969               | 1953 - 1954                          | 1955 - 1954              | 1956 - 1957                      |
| 1982 - 1983               | 1963 - 1964                          | 1970 - 1971              | 1964 - 1965                      |
| 1986 - 1987               | 1965 - 1966                          | 1973 - 1974              | 1971 - 1972                      |
| 1991 - 1992               | 1969 - 1970                          | 1974 - 1975              | 1975 - 1976                      |
| 1997 - 1998               | 1972 - 1973                          | 1983 - 1984              | 2000 - 2001                      |
| 2004 - 2005               | 1977 - 1978                          | 1984 - 1985              | 2005 - 2006                      |
| 2009 - 2010               | 1987 - 1988                          | 1988 - 1989              | 2011 - 2012                      |
|                           | 1994 - 1995                          | 1995 - 1996              |                                  |
|                           | 2006 - 2007                          | 1998 - 1999              |                                  |
|                           |                                      | 2010 - 2011              |                                  |

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37 **Table S3.** List of 10 most active and 10 least active U.S. tornado years based on the total number  
 38 of F1-F5 tornados in the U.S. during MAM. The corresponding ENSO phase for each case is  
 39 also shown. Note that the decaying phase of 2008 La Niña cannot be described using the leading  
 40 mode of observed La Niña variability; thus it is simply referred to as decaying La Niña. The  
 41 onset of El Niño in 1982 occurred from ENSO neural condition; thus it is referred to as  
 42 developing El Niño as in cases of 1991 and 2002.

| <b>10 Most Active U.S. Tornado Years</b> |                    | <b>10 Least Active U.S. Tornado Years</b> |                    |
|--|--------------------|---|--------------------|
| <b>Year (Number)</b>                     | <b>ENSO phases</b> | <b>Year (Number)</b>                      | <b>ENSO phases</b> |
| 2011 (690)                               | Resurgent La Niña  | 1951 (67)                                 | Transition La Niña |
| 1973 (412)                               | Early-Term El Niño | 1987 (80)                                 | Persistent El Niño |
| 1974 (390)                               | Resurgent La Niña  | 1950 (89)                                 | Resurgent La Niña  |
| 2008 (359)                               | Decaying La Niña   | 2005 (89)                                 | Persistent El Niño |
| 1982 (342)                               | Developing El Niño | 1952 (93)                                 | Early-Term El Niño |
| 1976 (325)                               | Transition La Niña | 1992 (102)                                | Persistent El Niño |
| 1957 (317)                               | Transition La Niña | 1958 (113)                                | Persistent El Niño |
| 2003 (306)                               | Persistent El Niño | 2002 (125)                                | Developing El Niño |
| 1991 (302)                               | Developing El Niño | 1993 (129)                                | ENSO neutral       |
| 1965 (301)                               | Transition La Niña | 1969 (130)                                | Persistent El Niño |

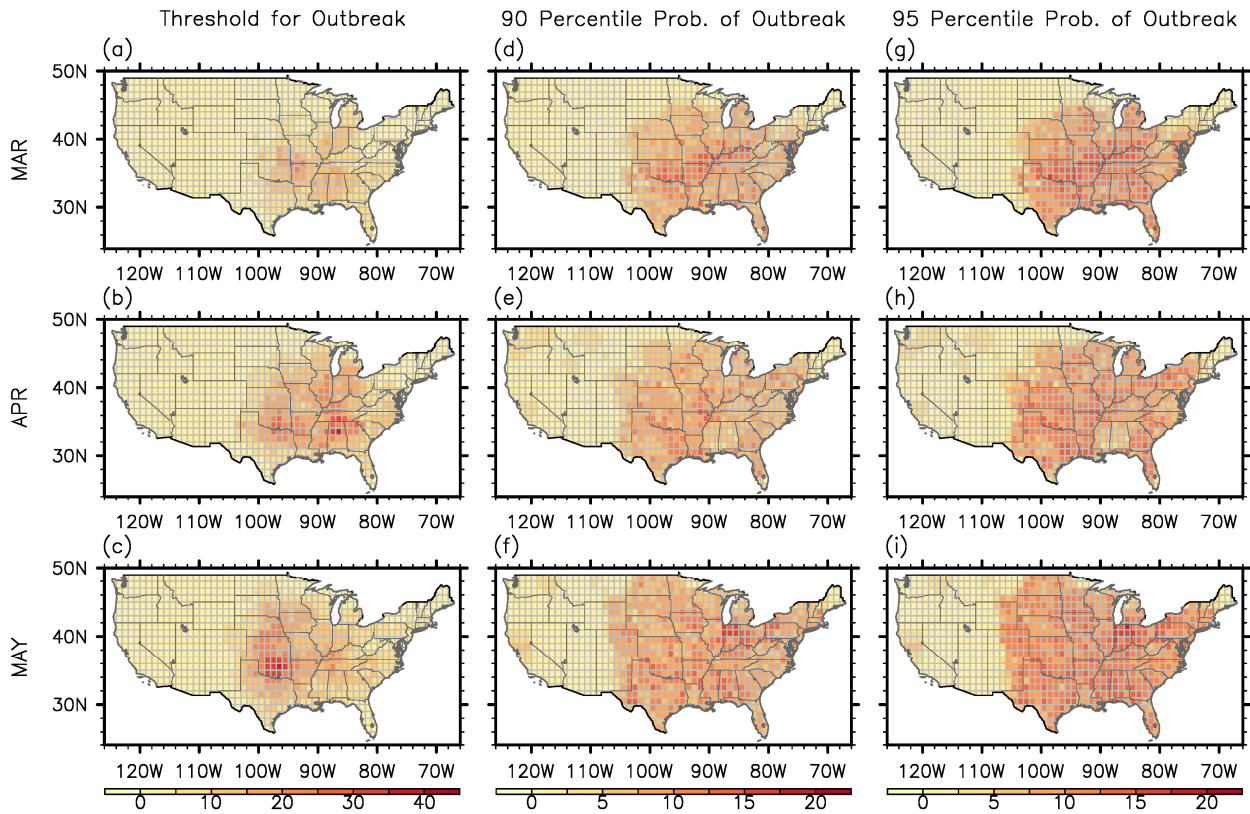
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56 **Table S4.** List of 10 negative and 10 positive phases of North Atlantic SST tripole mode in  
57 MAM during 1949-2014, derived from the leading EOF mode of the North Atlantic SSTAs in  
58 MAM. For each case of the positive and negative phase of North Atlantic SST tripole mode, the  
59 corresponding springtime ENSO phase is also listed. Note that the onset of El Niño in 1986  
60 occurred from ENSO neural condition; thus it is referred to as developing El Niño as in cases of  
61 1991, 1994 and 2009.

| <b>Negative phase of North Atlantic SST tripole (MAM)</b> |                    | <b>Positive phase of North Atlantic SST tripole (MAM)</b> |                    |
|---|--------------------|---|--------------------|
| <b>Year</b>   | <b>ENSO phases</b> | <b>Year</b>   | <b>ENSO phases</b> |
| 1972  | Transition La Niña | 1951  | Transition La Niña |
| 1974  | Resurgent La Niña  | 1958  | Persistent El Niño |
| 1975  | Resurgent La Niña  | 1966  | Early-Term El Nino |
| 1976  | Transition La Niña | 1969  | Persistent El Niño |
| 1985  | Resurgent La Niña  | 1970  | Early-Term El Nino |
| 1986  | Developing El Niño | 1981  | ENSO neutral       |
| 1989  | Resurgent La Niña  | 1983  | Persistent El Niño |
| 1991  | Developing El Niño | 1998  | Persistent El Niño |
| 1994  | Developing El Niño | 2005  | Persistent El Niño |
| 2009  | Developing El Niño | 2010  | Persistent El Niño |

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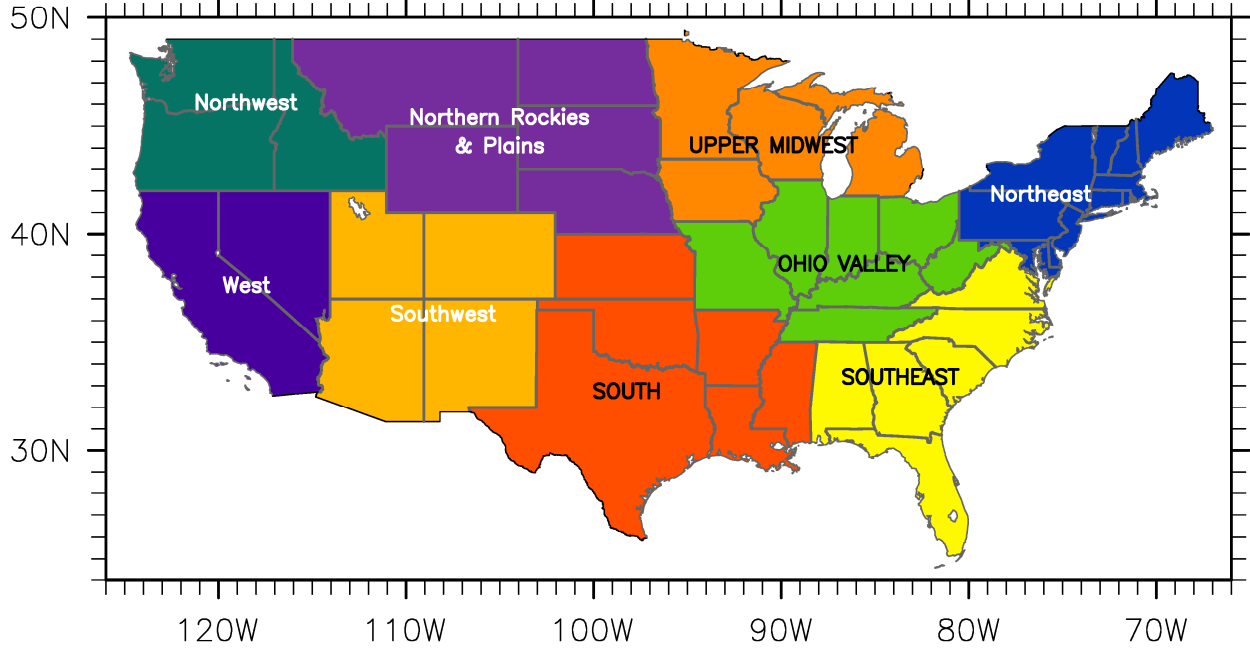
Monthly Max 5-Day Running Total Weighted Number of Tornadoes within 200 km Radius



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 75 **Figure S1.** (a-c) Outbreak threshold of monthly maximum 5-day running total weighted number  
 76 of F1 – F5 tornadoes within the 200 km radius, (d-f) the 90 percentile probability of U.S.  
 77 regional outbreaks, and (g-i) the 95 percentile probability of U.S. regional tornado outbreaks for  
 78 (top row) March, (middle row) April and (bottom row) May. Bootstrap method is used to  
 79 determine the 90th and 95th percentile probabilities (%) of outbreak. See section 2 for more  
 80 details about how these fields are derived.

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U.S. Climate Regions defined by NCDC



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91 **Figure S2.** U.S. climate regions defined by National Climate Data Center. The four regions,  
92 namely the South, Ohio Valley, Southeast and Upper Midwest, are frequently referred in the  
93 main text to describe the probability of U.S. regional tornado outbreaks.

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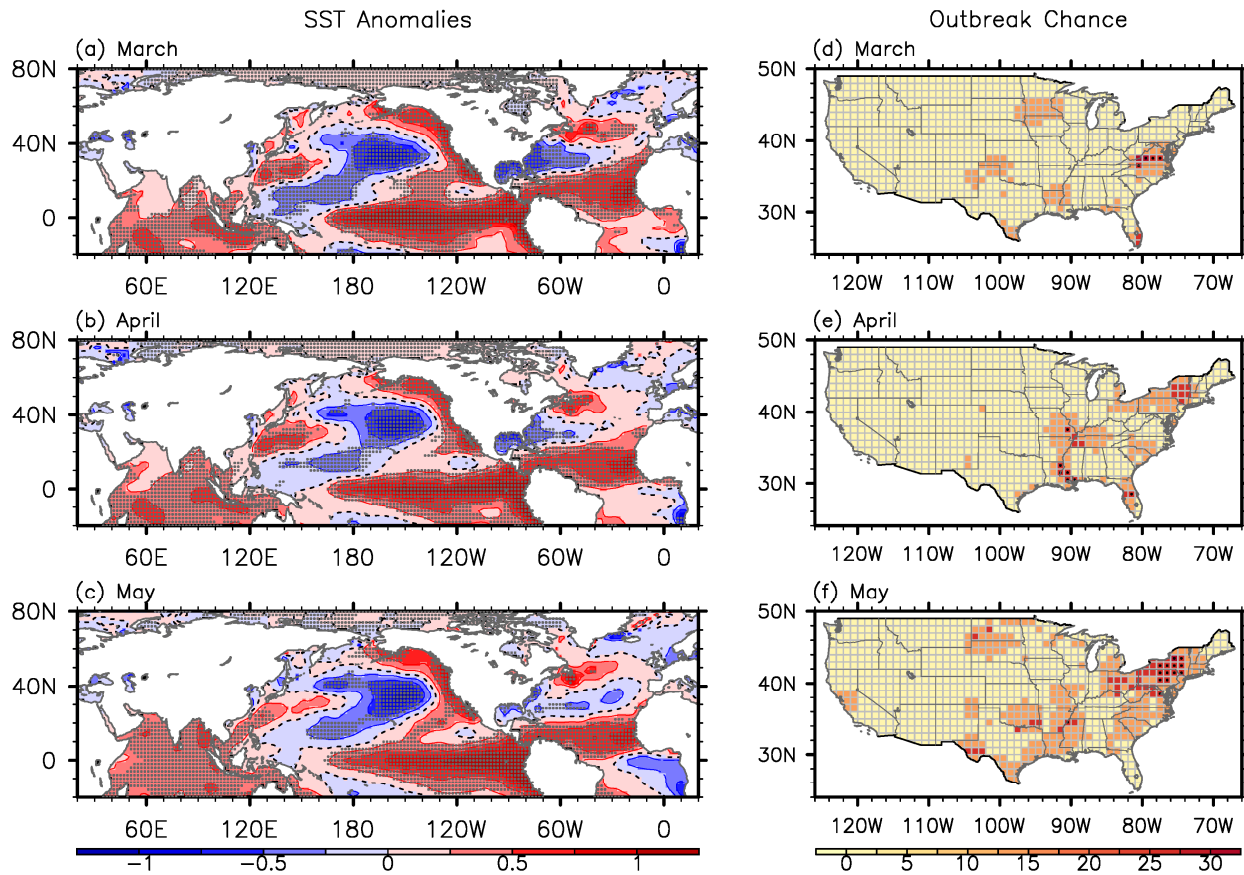
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Persistent El Niño [+1] Year: SSTA and Probability of Tornado Outbreak



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110 **Figure S3.** Composite (a-c) SSTAs for the persistent El Niño case and (d-f) the corresponding  
111 probability of U.S. regional tornado outbreaks in (top row) March (+1), (middle row) April (+1)  
112 and (bottom row) May (+1). The gray dots in panels a-c indicate that the SSTAs are statistically  
113 significant at 90% based on a Student's *t*-test. The black dots in panels d-f indicate that the  
114 probability of tornado outbreaks is statistically significant at 90% based on a binomial test. The  
115 unit is in °C for the SSTAs and in % for the probability of tornado outbreaks.

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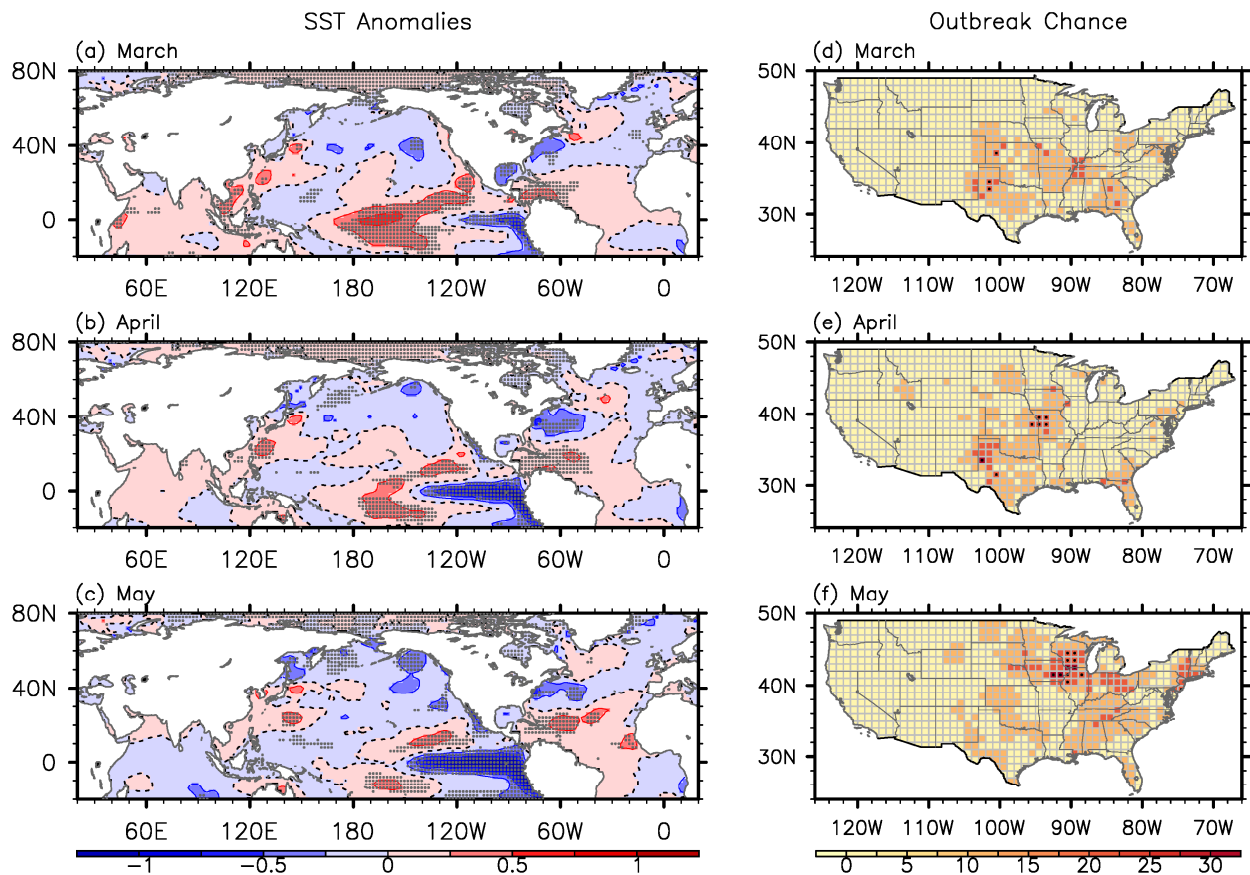
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Early-Terminating El Niño [+1] Year: SSTA and Probability of Tornado Outbreak



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124 **Figure S4.** Composite (a-c) SSTAs for the early-terminating El Niño case and (d-f) the  
125 corresponding probability of U.S. regional tornado outbreaks in (top row) March (+1), (middle  
126 row) April (+1) and (bottom row) May (+1). The gray dots in panels a-c indicate that the SSTAs  
127 are statistically significant at 90% based on a Student's *t*-test. The black dots in panels d-f  
128 indicate that the probability of tornado outbreaks is statistically significant at 90% based on a  
129 binomial test. The unit is in °C for the SSTAs and in % for the probability of tornado outbreaks.

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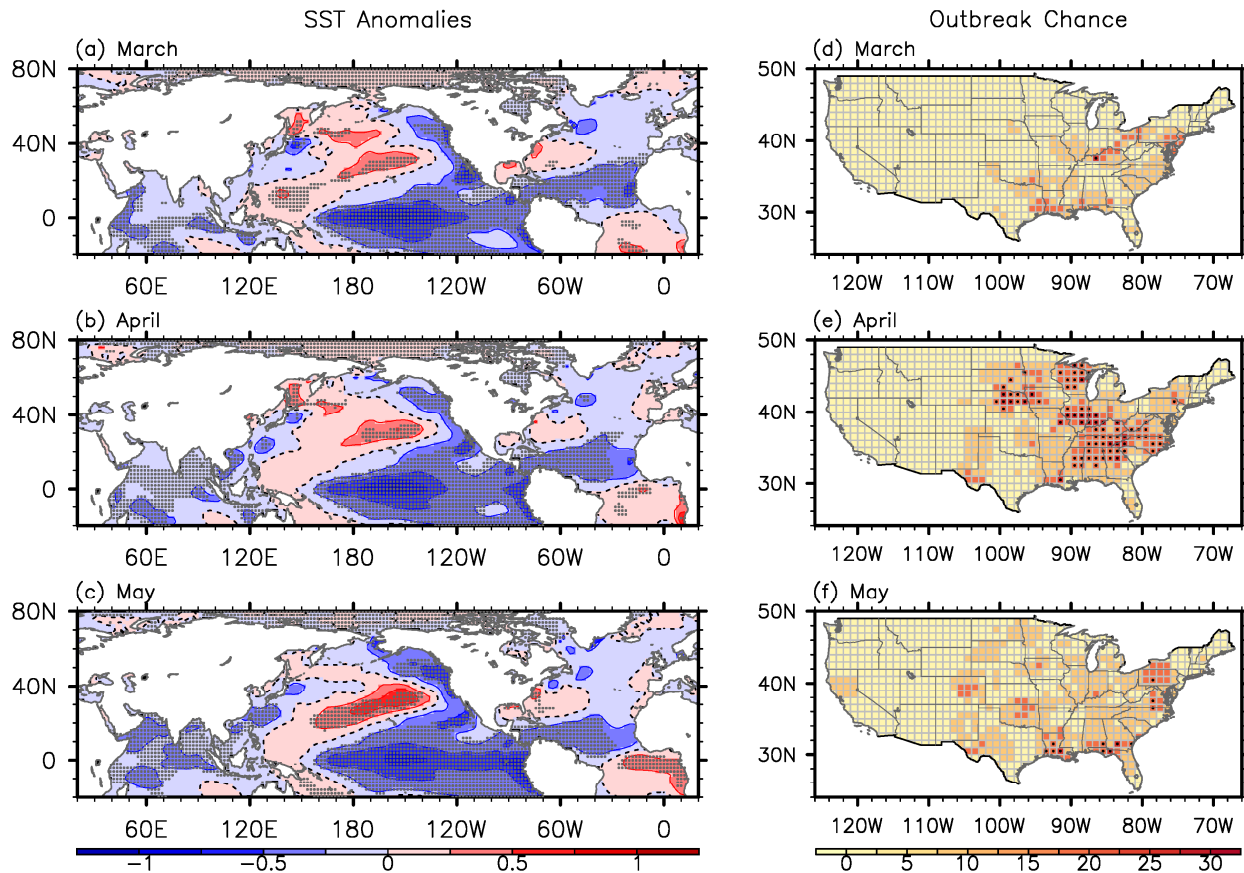
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## Resurgent La Nina [+1] Year: SSTA and Probability of Tornado Outbreak



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138 **Figure S5.** Composite (a-c) SSTAs for the resurgent La Niña case and (d-f) the corresponding  
139 probability of U.S. regional tornado outbreaks in (top row) March (+1), (middle row) April (+1)  
140 and (bottom row) May (+1). The gray dots in panels a-c indicate that the SSTAs are statistically  
141 significant at 90% based on a Student's *t*-test. The black dots in panels d-f indicate that the  
142 probability of tornado outbreaks is statistically significant at 90% based on a binomial test. The  
143 unit is in °C for the SSTAs and in % for the probability of tornado outbreaks.

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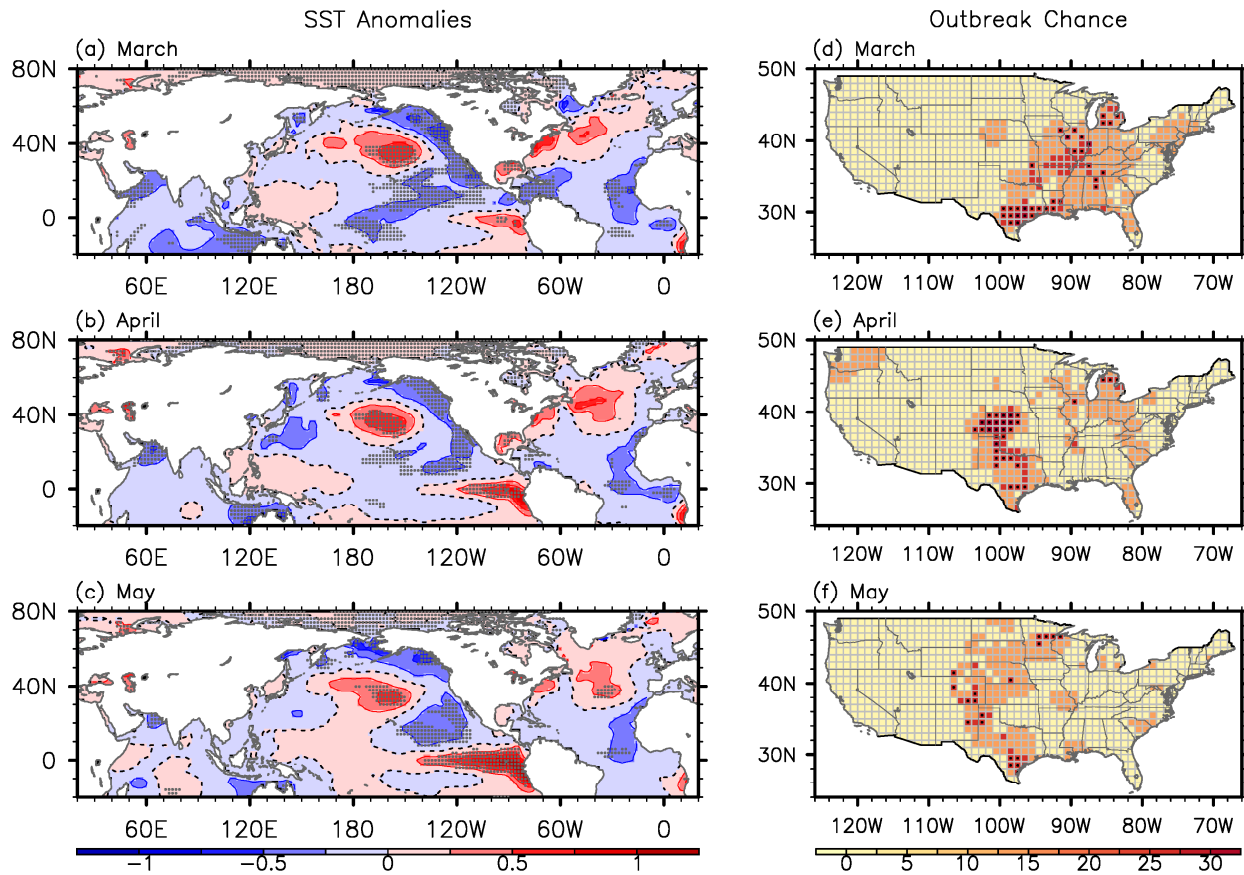
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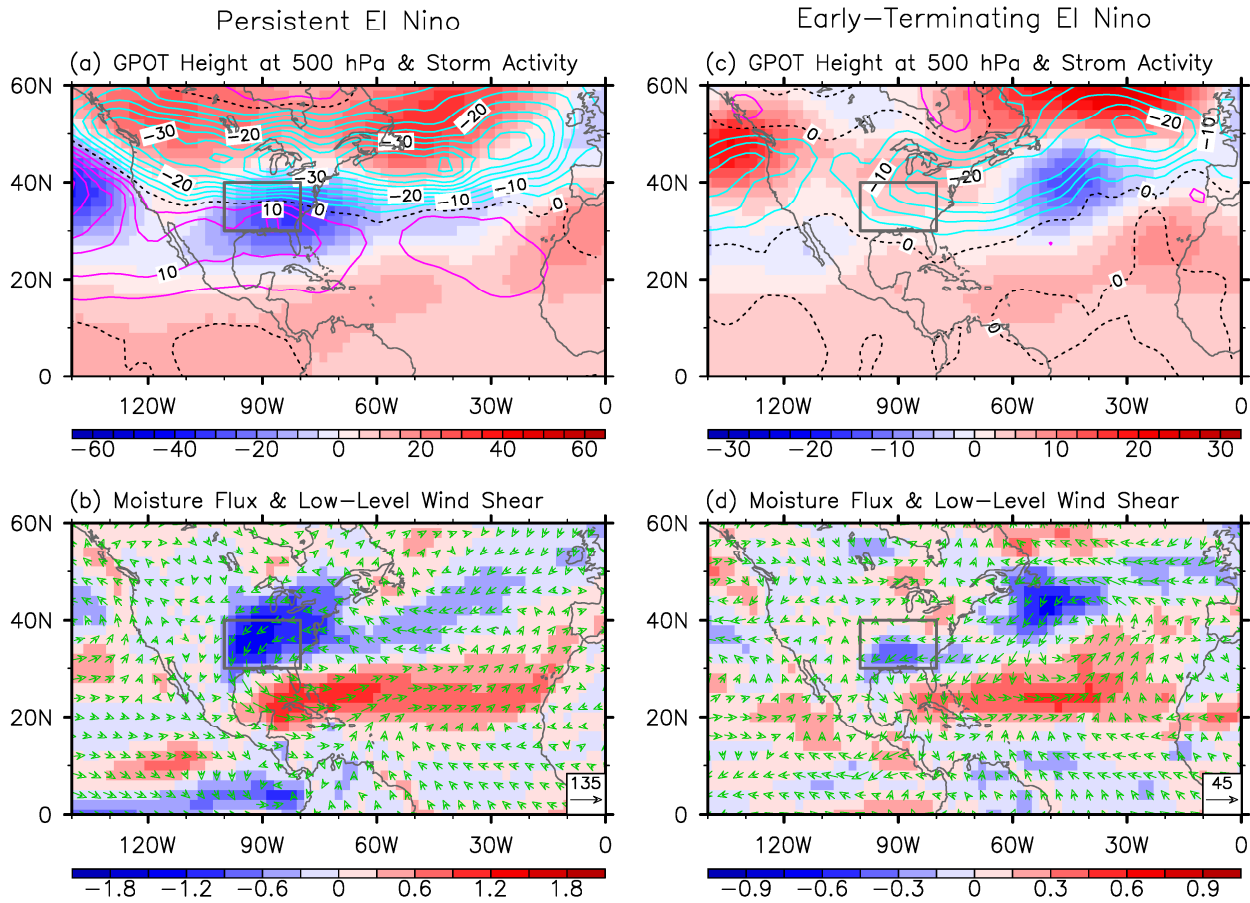
Transitioning La Nina [+1] Year: SSTA and Probability of Tornado Outbreak



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152 **Figure S6.** Composite (a-c) SSTAs for the transitioning El Niño case and (d-f) the corresponding  
153 probability of U.S. regional tornado outbreaks in (top row) March (+1), (middle row) April (+1)  
154 and (bottom row) May (+1). The gray dots in panels a-c indicate that the SSTAs are statistically  
155 significant at 90% based on a Student's *t*-test. The black dots in panels d-f indicate that the  
156 probability of tornado outbreaks is statistically significant at 90% based on a binomial test. The  
157 unit is in °C for the SSTAs and in % for the probability of tornado outbreaks.

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El Niño [+1] Year (MAM): Atmospheric Anomalies over the U.S.



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167 **Figure S7.** (upper row) Anomalous geopotential height at 500 hPa (color shades) and variance of  
 168 5day high-pass filtered meridional winds at 300 hPa (contours), and (lower row) anomalous  
 169 moisture transport (vectors) and low-level vertical wind shear (850 - 1000 hPa; color shades) in  
 170 MAM (+1) for (a,b) the persistent El Niño and (c,d) early-terminating El Niño cases. The units  
 171 are in gpm for geopotential height, in  $m^2 s^{-2}$  for variance of meridional winds, in  $kg m^{-1} s^{-1}$  for  
 172 moisture transport, and in  $m s^{-1}$  for vertical wind shear.

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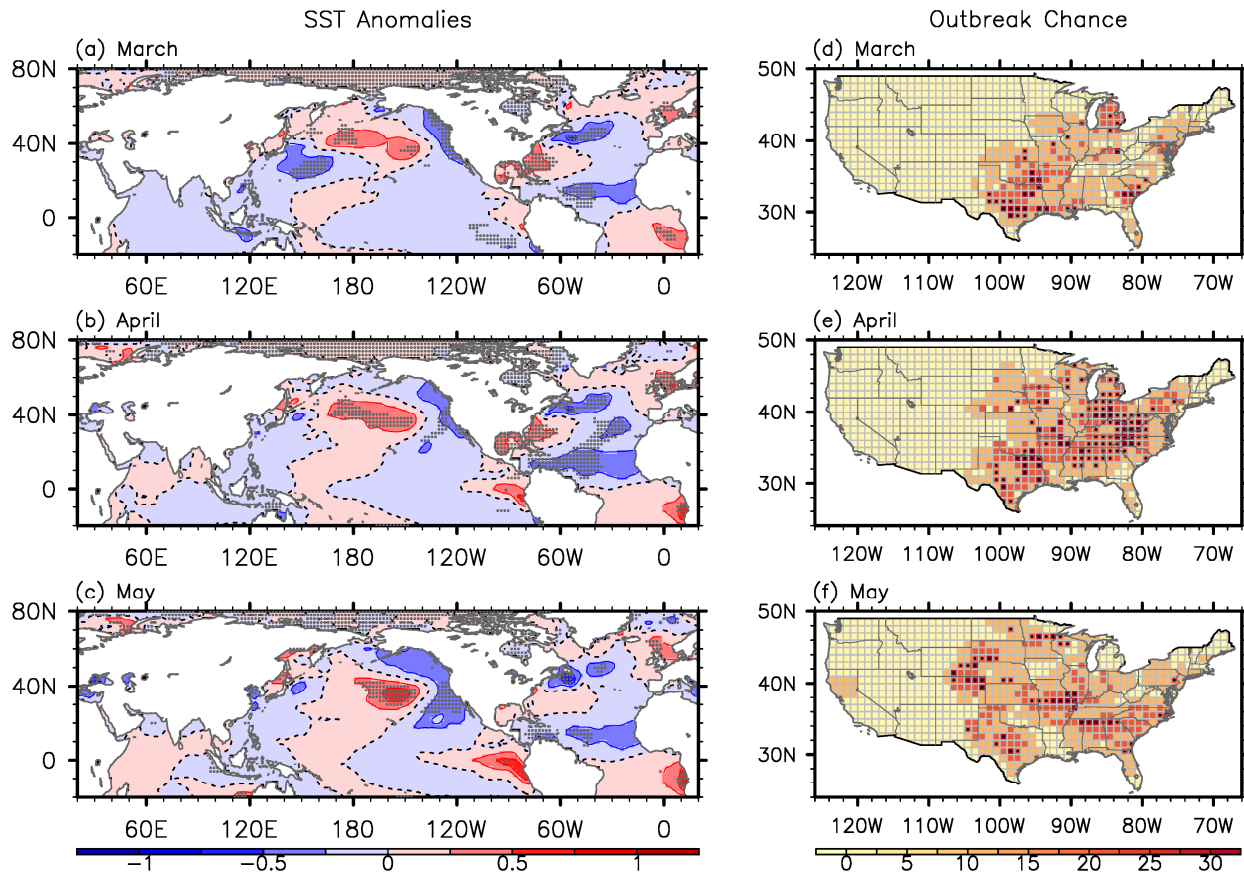
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## Active US Tornado Years: SSTA and Probability of Tornado Outbreak



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181 **Figure S8.** Composite (a-c) SSTAs for the 10 most active U.S. tornado years and (d-f) the  
182 corresponding probability of U.S. regional tornado outbreaks in (top row) March, (middle row)  
183 April and (bottom row) May. The gray dots in panels a-c indicate that the SSTAs are statistically  
184 significant at 90% based on a student-*t* test. The black dots in panels d-f indicate that the  
185 probability of tornado outbreaks is statistically significant at 90% based on a Chi-square test. The  
186 unit is in °C for the SSTAs and in % for the probability of tornado outbreaks.

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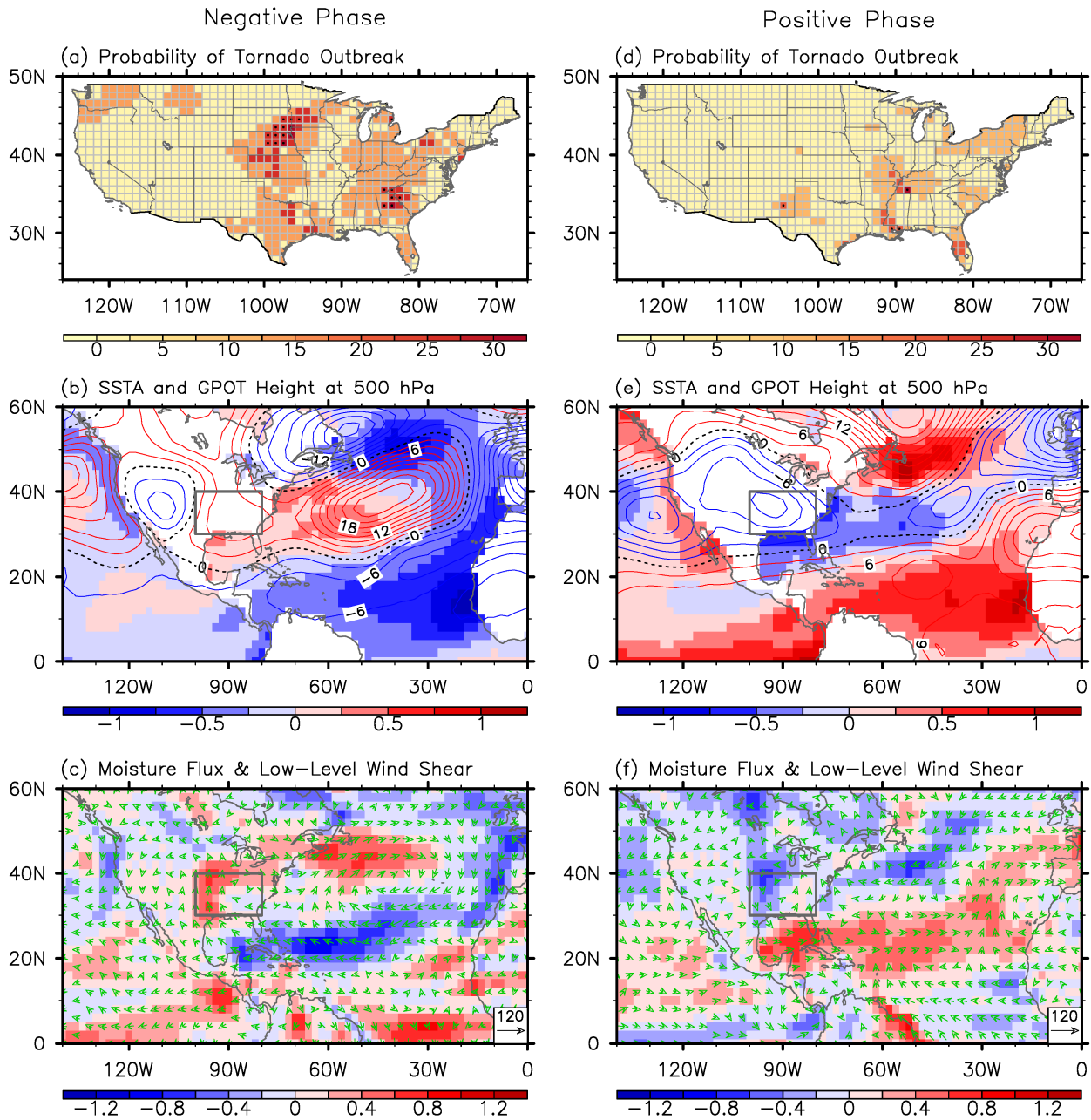
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NATL Tripole Mode (APR): Outbreak Chance, SSTA & Atmospheric Anomalies



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195 **Figure S9.** (top row) Probability of U.S. regional tornado, (middle row) composite SSTAs (color  
 196 shades) and geopotential height anomalies at 500 hPa (contours), and (bottom row) low-level  
 197 vertical wind shear anomalies (color shades) and moisture transport anomalies (vectors) in April  
 198 for (a-c) the negative and (d-f) positive North Atlantic SST tripole. The unit is in % for the  
 199 probability of tornado outbreaks, in °C for the SSTAs, in gpm for geopotential height, in kg m<sup>-1</sup>  
 200 s<sup>-1</sup> for moisture transport, and in m s<sup>-1</sup> for vertical wind shear.

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