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Abstract: In order to identify and quantify intrinsic errors in the atmosphere-land and ocean-sea ice model components of the Community Earth System Model version 1 (CESM1) and their contributions to the tropical Atlantic sea surface temperature (SST) bias in CESM1, we propose a new method of diagnosis and apply it to a set of CESM1 simulations. Our analyses of the model simulations indicate that both the atmosphere-land and ocean-sea ice model components of CESM1 contain large errors in the tropical Atlantic. When the two model components are fully coupled, the intrinsic errors in the two components emerge quickly within a year with strong seasonality in their growth rates. In particular, the ocean-sea ice model contributes significantly in forcing the eastern equatorial Atlantic warm SST bias in early boreal summer. Further analysis shows that the upper thermocline water underneath the eastern equatorial Atlantic surface mixed layer is too warm in a stand-alone ocean-sea ice simulation of CESM1 forced with observed surface flux fields, suggesting that the mixed layer cooling associated with the entrainment of upper thermocline water is too weak in early boreal summer. Therefore, although we acknowledge the potential importance of the westerly wind bias in the western equatorial Atlantic and the low-level stratus cloud bias in the southeastern tropical Atlantic, both of which originate from the atmosphere-land model, we emphasize here that solving those problems in the atmosphere-land model alone does not resolve the equatorial Atlantic warm bias in CESM1.

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Dear Dr. Anne Marie Treguier,

We would like to sincerely thank you and the two reviewers for evaluating our manuscript and providing thoughtful comments and suggestions. The manuscript is now revised following the suggestions from the two reviewers.

We believe that this study provides a powerful tool to global climate model developers and users to identify and quantify intrinsic errors in the atmosphere-land and ocean-sea ice model components and their contributions to the tropical Atlantic sea surface temperature (SST) bias in fully coupled global climate models.

Sincerely yours,

Zhanya Song, Sang-Ki Lee, Chunzai Wang, Ben Kirtman and Fengli Qiao

Response to Reviewer #1

We would like to thank the reviewer #1 for thoughtful comments and suggestions. The manuscript is now revised following the reviewer's suggestions. Here, we briefly explain how we address each of the comment. The reviewer's comments are in italic font, and our replies are in normal font.

A well written concise analysis of the TA biases in one particular GCM. The results are not too surprising or novel, but they combine various results of others into one framework, and are therefore worth publishing.

I have only a couple of minor comments:

it should be called ocean model rather then ocean-seaice, the latter doesn't enter the study and it is confusing

Reply: We undertand this point. However, the sea ice model was coupled to the ocean model in all experiments except in the atmosphere-land model experiment. So, to be consistent, we still would like to call "ocean-sea ice coupled model" rather than "ocean model".

They authors discuss that their conclusions are dependent on the chosen forcing product for the OGCM; I think they should also add a paragraph on the effects of interpolation and resolution of the observations. Especially off Angola and Namibia the observations may not resolve the wind, and even if, the interpolation across land/ocn boundaries in CESM will deteriorate the quality in this context, I think, Small et al. 2014, JAMES, deserves a citation. They look at the upwelling biases with an ultra-high resolution version of CESM.

Reply: Thank you for this very thoughtful suggestion and introducing Small et al. (2014), which is important and very relevant to our paper. In the revised manuscript, the last sentence in the summary and discussion section is now revised to address this point. Small et al. (2014) is now discussed and referenced.

L493-497: "<u>Therefore, we recommend sensitivity studies on model resolutions (in both the</u>

horizontal and vertical directions), representation of surface flux fields especially off Angola and Namibia, vertical mixing schemes and isopycnal mixing schemes, using the ocean-sea ice model component of CESM1 and the diagnosis method proposed in this study."

L103-107: "Large and Danabasoglu (2006) suggested that the warm SST bias in the southeastern tropical Atlantic could be reduced by improving the simulation of coastal upwelling off the coasts of southwest Africa. Recently, Small et al. (2014) used a high-resolution AOGCM (0.1° resolution for the ocean model and 0.25° resolutionfor the atmosphere model) to demonstrate this hypothesis."

At various places the authors state that the mixed layer is too deep. This may well be, but this requires a more careful study. Furthermore, the spatial structure of the MLD biases may matter a lot. In this context Jochum et al 2013 JClim should be mentioned, they show that improving mixing processes in the ML can lead to shift in the ITCZ and a reduction of biases.

Reply: Jochum et al (2013) is now discussed and referened:

L99-101: "Jochum et al. (2013) showed that improving the upper ocean mixing in an ocean model could lead a reduction of the tropical Atlantic SST and rainfall biases."

Maybe adding a section with a detailed MLD biases description would make this a much stronger paper. To my knowledge this has not been done before for the TA, and it may give a hint which mixing processes are lacking.

Reply: We greatly appreciate this suggestion. In the revised manuscript, we further discuss about the equatorial Atlantic MLD bias and its link to the upper thermocline temperature bias in the ocean-sea ice model experiment. We also present a hypothesis on the casue of the MLD and upper thermocline temperature biases:

L395-406: "Fig. 8 also shows that the mixed layer depth is too deep in EXP_OCN. This suggests that the vertical turbulent mixing may be too intense in EXP_OCN. It is likely that the warmer-than-observed upper thermocline layer weakens the vertical stratification over the upper thermocline and thus increases turbulent mixing at the mixed layer base. This means that the mixed layer depth bias may be directly linked to the upper thermocline temperature bias. One hypothesis is that the spurious vertical diffusion in the thermocline layer due to vertical discretization in the ocean model brings too much heat into the upper thermocline layer from the mixed layer, which in turn weakens the vertical stratification and thus further increases the vertical mixing across the mixed layer base, a positive feedback mechanism. To further investigate what processes or parameterizations are responsible for the warmer-than-observed upper thermocline and deeper-than-observed mixed layer depth, it is necessary to perform sensitivity experiments by using the stand-alone ocean sea-ice model and the diagnostic methodology proposed in this study."

Response to Reviewer #2

We would like to thank the reviewer #2 for thoughtful comments and suggestions. The manuscript is now revised following the reviewer's suggestions. Here, we briefly explain how we address each of the comment. The reviewer's comments are in italic font, and our replies are in normal font.

This study explores intrinisc errors in the atmosphere and ocean components of the CESM1 model for the sea surface temperature (SST) bias observed in most coupled models in the tropical Atlantic.

The paper will be of great interest for the coupled modeling community in 2 important aspects:

1. *The use of an original methodeology to quantify the respective contributions of the ocean and atmosphere components of a coupled simulation for the generation of SST biases. This methodology is based on the computation of explicit SST errors in the stand-alone ocean and atmosphere components of CESM1 forced respectively by observed surface fluxes and SSTs, and on the analysis of their growth and fate when the two components are fully coupled.*

2. *The demonstration that the development of SST biases is trongly related to the growth of SST errors in the forced stand-alone ocean and atmosphere components, and that the ocean component of CESM1 is an important contributor to SST bias in the tropical Atlantic, in contrast with the general idea that SST biases in this region are mainly governed by errors in the atmosphere model (such as westerly wind biases in the western tropical Atlantic or low-level stratus cloud bias in the southeastern tropical Atlantic). The SST errors in the ocean component are shown to be related to a too warm upper thermocline in the eastern tropical Atlantic, that the authors attribute a too weak mixed-layer cooling associated with the entrainment of upper thermocline.*

The paper is concise, well organized and well written, and the illustrations are of good quality (despite a bad referencing to subfigures in may places throughout the paper). My *main criticism concerns the methodology, which to my opinion is an important novelty of the paper and whose limitations should be carefully addressed and discussed in a revised version of the paper.*

Major comment

The used methodology strongly lies on the precise quantification and interpretation of SST errors in stand-alone forced ocean (EXP_OCN) and atmosphere (EXP_ATM) models.

In the atmosphere, the net surface flxes anomalies with respect to observed surface fluxes from COREv2 are used to compute an implicit SST error evolution by using the mixed-layer depth, and are then integrated in time to estimate an implicit SST error (Eqn(1) in the paper).

In the ocean, the total SST error can be computed directly by comparison with observed SSTs, and processes responsible for this error can be assessed from the time integral of the SST evolution equation in the ocean model (Eqn(2) in the paper).

1. *Howeve, the estimate of SST error in EXP_ATM (and of the flux-related part of the implicit SST error in EXP_OCN) strongly depends upon the choice of the mixed-layer depth (MLD), which is arbitratily chosen to be the one from the EXP_OCN experiment. Is the MLD realistic in EXP_OCN? Is it possible to compute implicit SST errors due to MLD? Moderate errors in the MLD should have large impacts on the results presented in the paper, especially in the eastern tropical Atlantic where the MLD is shallow.*

Reply: We very much appreciate this thoughtful comment. The reviewer correctlty pointed out that the choice of MLD will affect the implicit bias in EXP_ATM. We can use either the observed MLD or the one from EXP_OCN. As shown in Fig 8. The MLD from EXP_OCN is deeper than the observed MLD along the equatorial Atlantic.Therefore, the implcit SST bias in EXP_ATM will be greater if the observed MLD is used instead of the MLD from the EXP_OCN. This is indeed one limitation in our estimate of the implcit SST bias in EXP_ATM. This limitation is now discussed in the revised mansucript:

L482-485: "<u>It should be also pointed out that the choice of the mixed layer depth used to</u>

determine the implicit SST bias in EXP_ATM (see Eq. (1)) is somewhat arbitrary, which is one of the limitations of the proposed method to diagnose the implicit SST bias in EXP_ATM.

2. *I guess from the paper that the SST errors in EXP_ATM and EXP_OCN are computed from the time average of the surface fluxes and MLD. Is this right (lines 202-203 should be clarified for instance)? If it is indeed the case, is this assumption valid, knowing the time variability of surface fluxes and MLD in the region?*

Reply: The non-seasonal time variability of surface fluxes and MLD is very weak in the stand-alone atmosphere-land and ocean sea-ice experiments since EXP_ATM and EXP_OCN are forced by climatological SSTs and surface flux fields, respectively. Nevertheless, we averaged the surface fluxes and MLD for the last 10 years of the model simulations to minimize uncertainties in our estimations of the implicit SST biases. We revised the following sentence to clarify this point:

L205-207: "This is computed by integrating the long-term averaged (i.e., averaging the last ten years of the model simulation) net heat flux bias in EXP_ATM from January 1 to December 31, then dividing it by 12 months."

3. *The authors claim that the implicit SST bias in EXP_ATM+EXP_OCN is independent from the observed surface heat flux product (lines 281-284). It is indeed true. However these fluxes are precisly the forcing of EXP_OCN and the reference for the computation of EXP_ATM SST errors, so the choice of the observed surface heat flux product will poentially impact the estimate of SST in EXP_ATM and EXP_OCN, and thus the conclusion about the role of the ocean model for SST biases in the CESM1. This should be acknowledged more clearly in the paper.*

Reply: We completely agree with the reviewer that this limitation of the proposed method should be clearly stated. Although we already discuss this point in section 6 and 7, we add the following two sentences in the revised manuscript to further stress this limiation:

L437-438: "In other words, the choice of the reference surface heat flux product will impact the estimates of implicit SST biases in EXP_ATM and EXP_OCN."

L487-489: "<u>In particular, the overall magnitude of the implicit SST bias can be attributed more to either the atmosphere-land model or the ocean sea-ice model depending on the reference surface flux product used</u>."

(4) A common color scale in the figures would greatly facilitate the comparison. Is it possible?

Reply: Note that we mainly use two color scales (-12 ~ 12 and -6 ~ -6), which are necessary to effectively illustrate the spatio-temporal structure of the implcit and explicit SST biases. As such, we think that using a common color scale throughout the figures is not very effective.

2. Minor comments

(1) Line 61: "amost all…". Does it mean that some models are able to reproduce the climatology of tropical Atlantic SSTs?

Reply: Some regional CGCMs use an ad hoc parameterization to tune the model to minimze the Atlantic SST bias. But, those models fail in other regions. Some CGCMs simply use flux adjustments to minimze the Atlantic SST bias.

(2) Line 124: "rarely": 3 references using this quantitative analysis are given in Line 161.

Reply: The three previous studies performed experiments to explore the development of SST biases in a fully coupled model run initialized with the data from uncoupled surface-forced atmosphere and ocean simulations. We do use a smilar strategy. However, our proposed methodlogy allows quantifications of the contributions of the atmosphere-land and ocean-sea ice model components to the tropical Atlantic SST bias in an AOGCM.

(3) Line 152: change "Figure 1b" to "Figure 1c".

Reply: Done.

(4) Line 161: the correct year for "Toniazzo and Woolnough" is 2014.

Reply: Done.

(5) Line 202-203: I do not understand the sentence (see major comment above).

Reply: The non-seasonal time variability of surface fluxes and MLD is very weak in the stand-alone atmosphere-land and ocean sea-ice experiments since EXP_ATM and EXP_OCN are forced by climatological SSTs and surface fluxes, respectively. Nevertheless, we averaged the surface fluxes and MLD for the last 10 years of the model simulations to minimize uncertainties in our estimations of the implicit SST biases. We revised the following sentence to clarify this point:

L205-207: "<u>This is computed by integrating the long-term averaged (i.e., averaging the last ten years of the model simulation) net heat flux bias in EXP_ATM from January 1 to December 31, then dividing it by 12 months</u>."

(6) Line 214: change "Fig. 2(c)" to "Fig. 2(b)".

Reply: Done.

(7) Line 216: change "Fig. 2(b)" to "Fig. 2(c)".

Reply: Done.

(8) Line 235: change "bulkuations" to "bulk formulae".

Reply: Done.

(9) Eqn (2): the vertical diffusion at the base of the mixed layer is known to be an important contributor to SST evolution in the tropics. This term should be included in the equation.

Reply: We would like to point out that the vertical diffusion is implicitely expressed by the entrainment term in our bulk mixed layer heat budget equation (Eqns. 2 and 3) as shown in

Moison and Niiller (1998) and Lee et al. (2007). It is true that turbulent mixing is represented by vertical diffusion in z-coordinate. However, in a bulk mixed layer heat budget equation, in which the mixed layer depth is a function of time and space, the turbulent mixing is expressed in terms of entrainment term ($w_e \times (T_m - T_e)$) where the entrainment rate (w_e) is typicaly parameterized based on Richardson number.

Moisan J. R. and P. P. Niiler, 1998: The Seasonal Heat Budget of the North Pacific: Net Heat Flux and Heat Storage Rates (1950–1990). J. Phys. Oceanogr., 28, 401–421.

Lee, S.-K., D. B. Enfield and C. Wang, 2007: What drives seasonal onset and decay of the Western Hemisphere warm pool? J. Climate, 20, 2133-2146.

(10) Line 286: change “Fig. 1(b)” to “Fig. 1(c)”.

Reply: Done.

(11) Line 303: change “Fig. 4(b)” to “Fig. 4(a)”.

Reply: Done.

(12) Line 305: change “Fig. 1(b)” to “Fig. 1(c)”.

Reply: Done.

(13) Lines 310-311 and 385-387: the vertical diffusion term at the base of the mixed layer dominates over the vertical entrainment in the eastern equatorial Atlantic (e.g. Jouanno et al. 2012).

Reply: As we stated in our reply to reviewer’s comment (9), we would like to point out that the vertical diffusion is implicitely expressed by the entrainment term in our bulk mixed layer heat budget equation (Eqns. 2 and 3) as shown in Moison and Niiller (1998) and Lee et al. (2007).

Jouanno et al. (2011) defined entrainment rate (w_e) using the following formula:

$$\frac{\partial h}{\partial t} = w_e,$$

where h is bulk mixed layer depth. However, entrainment rate should be defined using the following formula:

$$\frac{\partial h}{\partial t} + \frac{\partial uh}{\partial x} + \frac{\partial vh}{\partial y} = w_e.$$

Please also refer to A16 in Moison and Niiller (1998) for the correct definition of the entrainment rate, which is different from that in Jouanno et al. (2011). Again, it is true that turbulent mixing is represented by vertical diffusion in z-coordinate. However, in a bulk mixed layer heat budget equation as in Eqn 2 in our paper, in which the mixed layer depth is a function of time and space, the turbulent mixing is expressed in terms of entrainment term ($w_e \times (T_m - T_e)$).

Jouanno, J., Marin, F., du Penhoat, Y., Sheinbaum, J., Molines, J.-M., 2011. Seasonal heat balance in the upper 100 m of the equatorial Atlantic Ocean. J. Geophys. Res., 116, C09003, doi:10.1029/2010JC006912.

(14) Line 316 and 317: change "Fig. 1(b)" to "Fig. 1(c)".

Reply: Done.

(15) Line 339: "… is caused …". The assertion is probably too strong here.

Reply: We change this to "…. is mainly caused by …."

(16) Line 525: change "Saravana" to "Saravanan".

Reply: Done.

(17) Line 575: the right year is 2009.

Reply: Done.

(18) Line 619: the link is no longer valid.

Reply: The reference is corrected.

Contributions of the atmosphere-land and ocean-sea ice model components to the tropical Atlantic SST bias in CESM1

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Abstract

In order to identify and quantify intrinsic errors in the atmosphere-land and ocean-sea ice model components of the Community Earth System Model version 1 (CESM1) and their contributions to the tropical Atlantic sea surface temperature (SST) bias in CESM1, we propose a new method of diagnosis and apply it to a set of CESM1 simulations. Our ~~analysis~~analyses of the model simulations ~~indicates~~indicate that both the atmosphere-land and ocean-sea ice model components of CESM1 contain large errors in the tropical Atlantic. When the two model components are fully coupled, the intrinsic errors in the two components emerge quickly within a year with strong seasonality in their growth rates. In particular, the ocean-sea ice model contributes significantly in forcing the eastern equatorial Atlantic warm SST bias in early boreal summer. Further analysis shows that the upper thermocline water underneath the eastern equatorial Atlantic surface mixed layer is too warm in a stand-alone ocean-sea ice simulation of CESM1 forced with observed surface flux fields, suggesting that the mixed layer cooling associated with the entrainment of upper thermocline water is too weak in early boreal summer. Therefore, ~~while~~although we acknowledge the potential importance of the westerly wind bias in the western equatorial Atlantic and the low-level stratus cloud bias in the southeastern tropical Atlantic, both of which originate from the atmosphere-land model, we emphasize here that solving those problems in the atmosphere-land model alone does not resolve the equatorial Atlantic warm bias in CESM1.

Key Words: tropical Atlantic SST bias; implicit SST bias; CESM, atmosphere-land model experiment; ocean-ice model experiment

1. Introduction

Since the pioneering work of Manabe and Bryan (1969), coupled atmosphere-ocean general circulation models (AOGCMs) have been significantly improved. AOGCMs are now able to reproduce the basic features of the global climate system (Covey et al. 2003; Meehl et al. 2005), and thus become an important tool for seasonal forecasts, climate projections and other climate research in general. However, the tropical Atlantic biases typically characterized by warmer sea surface temperatures (SSTs) in the eastern equatorial ocean, a reversed zonal SST gradient along the equator, colder SSTs in the northwest and southwest tropical Atlantic, and warmer SSTs in the northeast and southeast tropical Atlantic, are common problems with most AOGCMs (e.g., Davey et al. 2002).

Model biases have been somewhat reduced in most recent models used in the Coupled Model Intercomparison Project Phase 5 (CMIP5) compared to those used in CMIP3 (e.g., Liu et al. 2013). Recent studies have also shown that improving the spatial resolution could potentially reduce such biases (Gent et al. 2010; Patricola et al. ~~2011~~2012; Kirtman et al. 2012; Small et al. 2014). Nevertheless, almost all of the state-of-the-art AOGCMs still cannot reproduce the climatology of tropical Atlantic SSTs (Mechoso et al. 1995; Davey et al. 2002; Covey et al. 2003; Huang et al. 2007; Richter and Xie 2008; Richter et al. 2012).

These systematic tropical Atlantic biases in AOGCMs will affect the models' ability to simulate and predict climate variability (Xie and Carton 2004). Studies have shown that the tropical Atlantic affects and modulates climate variability of the Western Hemisphere, such as the West African summer monsoon (Vizy and Cook 2001; Giannini et al. 2003; Gu and Adler 2004), moisture transport and rainfall over the American continents (Enfield et al. 2001; Wang et al. 2006) and Atlantic hurricane development and intensification (e.g., Goldenberg et al. 2001; Webster et al. 2005; Wang and Lee 2007). Therefore, in order to increase the seasonal-to-decadal climate predictability in the Western Hemisphere, it is important to accurately simulate the tropical Atlantic Ocean in AOGCMs. It is also worthwhile to point out that the tropical Atlantic problem in AOGCMs is one of the most critical obstacles for achieving confidence in our model-based future projection of the global SST warming patterns (e.g., Xie et al. 2010; Lee et al. 2011; DiNezio et al. 2012).

Many studies have diagnosed the large systematic errors in the tropical Atlantic, and attributed the errors to various atmospheric and/or ocean processes. Recent studies argued that the westerly wind bias over the western tropical Atlantic in boreal spring is the main cause of the tropical Atlantic biases (Richter and Xie 2008; Richter et al. 2012), and showed that the westerly wind bias also exists in the atmosphere general circulation models (AGCMs) forced by observed SSTs (DeWitt 2005; Chang et al. 2007; Richter and Xie 2008; Richter et al. 2012). These studies argued that the westerly wind bias in boreal spring deepens the thermocline in the eastern equatorial Atlantic and prevents the development of the cold tongue in boreal summer; then warm SST bias develops in the cold tongue and further amplifies due to the Bjerknes feedback.

Other studies have suggested that a likely source of the tropical Atlantic biases is the deficiency of AOGCMs in reproducing the low-level stratus cloud deck over the southeastern tropical Atlantic Ocean (Yu and Mechoso 1999; Large and Danabasoglu 2006; Saha et al. 2006; Huang et al. 2007; Hu et al. 2008; 2011; Richter and Xie 2008). These studies argue that the warm SST bias over the southeastern tropical Atlantic is mainly caused by the model’s inability to reproduce the observed amount of low-level cloud in the region, which in turn causes an excessive local shortwave radiative flux into the ocean. Wahl et al. (2011) explored this hypothesis by performing some sensitivity experiments using the Kiel Climate model. Wahl et al. (2011) concluded that the westerly wind bias over the western tropical Atlantic in spring and early summer is the key mechanism for the equatorial Atlantic SST bias, while the low-level cloud cover and associated excessive surface shortwave radiation contribute to the SST bias in the southeast tropical Atlantic Ocean.

There are also some studies suggesting that ocean processes could contribute to the tropical Atlantic biases. Hazeleger and Haarsma (2005), for example, suggested that the tropical Atlantic bias is strongly related to the upper ocean mixing. Jochum et al. (2013) showed that improving the upper ocean mixing in an ocean model could lead a reduction of the tropical Atlantic SST and rainfall biases. Seo et al. (2006) argued that properly representing equatorial Atlantic instability waves in climate models could enhance the equatorial upwelling and thus potentially reduce the equatorial Atlantic warm SST bias. Large and Danabasoglu (2006) suggested that the warm SST bias in the southeastern tropical Atlantic could be reduced by improving the simulation of coastal upwelling off the coasts of southwest Africa. Recently, Small et al. (2014) used a high-resolution AOGCM (0.1° resolution for the ocean model and 0.25° resolution for the atmosphere model) to demonstrate this hypothesis. Xu et al. (2014) stressed that the inability of AOGCMs in simulating the Angola–Benguela front is one the leading causes of the tropical Atlantic SST biases. Breugem et al. (2008) attributed the warm SST bias in the eastern and southeastern tropical Atlantic to the spurious barrier layer (BL), which forms due to the excessive regional rainfall and amplifies via coupled SST-precipitation-BL feedback and thus prevents surface cooling ~~via~~through strong salinity stratification. However, Richter et al. (2012) showed that the BL feedback described by Breugem et al. (2008) is not significant at least in the Geophysical Fluid Dynamics Laboratory (GFDL) coupled model. There are also other interesting hypotheses on the origin of the tropical Atlantic SST bias in the coupled models, such as the remote influence from higher latitudes (Lee and Wang 2008; Chang et al. 2007), the West African monsoon (Deser et al. 2006), rainfall over the Amazon and Africa (Davey et al. 2002; Chang et al. 2008; Okumura and Xie 2004), and air-sea turbulent flux (Ban et al. 2010).

Previous studies such as those briefly reviewed above have suggested a variety of potential causes of the tropical Atlantic SST biases in AOGCMs. However, these hypotheses (or conclusions) are derived mostly based on fully spun up AOGCM runs. Since the SST bias in an AOGCM could cause errors in the atmospheric circulation, which in turn also could feedback onto the tropical Atlantic SSTs via air-sea interaction, it is almost impossible to identify the exact processes responsible for the tropical Atlantic SST bias from fully spun up AOGCM runs. It is also worthwhile to note that a quantitative analysis on the contributions of the atmosphere-land model and ocean-sea ice model components to the tropical Atlantic SST bias in an AOGCM has rarely been done. Therefore, in an effort to better understand what causes the tropical Atlantic SST biases, here we propose a new methodology to analyze the SST bias focusing on the initial development of the SST bias by using the National Center for Atmospheric Research (NCAR) Community Earth System Model version 1 (CESM1), which suffers the same systematic tropical Atlantic SST bias as in other AOGCMs.

This paper is organized as follows. The model and numerical experiments design are described in section 2. The experiment results and analysis are presented in section 3 ~ 6, in which the SST bias and its development mechanism in CESM1 are analyzed by comparing results from three model experiments (to be described in section 2). Section 7 provides conclusions and discussion.

2. Model and model experiments

CESM1 is a state-of-the-art global earth system model that can provide simulations of the Earth's past, present, and future climate. It is the successor to the Community Climate System Model (CCSM), which was extended and renamed to CESM in June 2010. CESM1, which was released in November 2012, is a superset of CCSM4 in that its default configuration is the same science scenarios as CCSM4, although CESM1 also contains options for a terrestrial carbon cycle and dynamics, and ocean ecosystems and biogeochemical coupling, all necessary for an earth system model. In this paper, CESM1 is configured as a purely physical model, and is thus identical to CCSM4, since our focus here is on the physical processes.

Many improvements have been made in CESM1/CCSM4 simulations compared with the previous version of CCSM3, such as the frequency of the Madden~~–~~-Julian Oscillation (MJO) and ENSO variability, the annual cycle of SSTs in the eastern equatorial Pacific, and the Arctic sea-ice concentration (Gent et al. 2011). However, it still displays significant tropical Atlantic SST biases (Grodsky et al. 2012) as shown in Figure 1c. The observed SSTs in the equatorial Atlantic are warmer in the west and cooler in the east (Figure ~~1a~~1c). However, the SSTs in the CCSM4 control simulation with twentieth century forcing (CCSM4_20C hereafter), which is available from the CMIP5 archive, are warmer in the east and cooler in the west with the SST bias exceeding 3.0°C in the southeast tropical Atlantic along the east coast of Africa (Figure ~~1b~~1c). It is clear that CCSM4_20C fails to reproduce the equatorial Atlantic cold tongue and the zonal SST gradient along the equator, which are common deficiencies in AOGCMs.

The main objective of this study is to identify the processes responsible for the development of the tropical Atlantic SST biases in CESM1. Our approach to achieve this goal is to diagnose the development of biases in a fully coupled CESM1 run initialized with data from uncoupled surface-forced atmosphere and ocean only simulations. This approach is analogous to the methodology proposed in the Transpose-Atmospheric Model Intercomparison Project Phase II (T-AMIP2) as discussed in Williams et al. (2013). Similar methods were also used in previous studies (e.g., Huang et al. 2007; Toniazzo and Woolnough ~~2013~~2014; Voldoire et al. 2014).

Three numerical experiments are designed and performed using CESM1. These experiments are (1) dynamic atmosphere-land run forced by observed SSTs (EXP_ATM hereafter); (2) dynamic ocean-sea ice run forced by observed surface atmospheric fluxes (EXP_OCN hereafter); and (3) fully coupled atmosphere-land-ocean-sea ice run initialized with data from EXP_ATM and EXP_OCN (EXP_CPL hereafter).

The atmosphere model component is Community Atmosphere Model version 4 (CAM4; Neale et al. 2010) and the land model is Community Land Model version 4 (CLM4; Lawrence et al. 2011). Both CAM4 and CLM4 have horizontal resolution of 1.9° × 2.5°, and are forced by observed climatological monthly SSTs (Hurrell et al. 2008). ~~This experiment (~~EXP_ATM~~)~~ is integrated for 30 years and the last ten years are used for analysis. The ocean model is Parallel Ocean Program version 2 (POP2; Danabasoglu et al. 2012) and the sea-ice model is Community Ice Model version 4 (CICE4; Hunke and Lipscomb 2008). Both POP2 and CICE4 have a nominal 1° horizontal resolution, and are forced by Coordinated Ocean Reference Experiment phase 2 (COREv2) normal-year surface fluxes (Large and Yeager 2004; 2009). ~~This experiment (~~EXP_OCN~~)~~ is integrated for 210 years and the last ten years are used for analysis.

For the fully coupled experiment (EXP_CPL), 10-member ensemble experiments are performed to achieve statistically significant model results. The atmosphere and surface land models are initialized by using EXP_ATM~~,~~ while the ocean and sea-ice models are initialized by using EXP_OCN. The 10-member ensemble experiments are initialized by using the combination of the EXP_ATM and EXP_OCN obtained from the last 10 years of the model integrations, and integrated for five years. In the following sections, the ensemble-mean of EXP_CPL along with the results from EXP_ATM and EXP_OCN are analyzed to identify the processes that cause the development of the tropical Atlantic SST biases in CESM1.

3. Implicit SST bias in EXP_ATM and EXP_OCN

3.1 EXP_ATM

In order to understand and quantify the roles of the atmospheric-land model (EXP_ATM) in the generation of the tropical Atlantic SST bias, the net surface heat flux bias in EXP_ATM is integrated in time:

$$\Delta T_{\text{EXP\_ATM}}(t) = \int_0^t \frac{Q_{NET}[\text{EXP\_ATM}] - Q_{NET}[\text{OBS}]}{\rho_w C_{pw} D} dt$$

$$\Delta T_{\text{EXP\_ATM}}(t) = \int_0^t \frac{Q_{NET}[\text{EXP\_ATM}] - Q_{NET}[\text{OBS}]}{\rho_w C_{pw} D} dt , \quad (1)$$

Field Code Changed

where ρ_w is sea water density, C_{pw} is the specific heat of sea water, D is the mixed layer depth from EXP_OCN, Q_{NET}[EXP_ATM] and Q_{NET}[OBS] are the net surface heat fluxes from EXP_ATM and COREv2, respectively. Note that $\Delta T_{\text{EXP\_ATM}}$ represents SST bias, which could be potentially caused by the net surface heat flux bias for the duration of *t*, with assumptions that the atmosphere-land model is coupled with a perfect ocean (i.e., all oceanic heat flux terms are error-free) and there is no air-sea feedback to amplify or damp out the net surface heat flux bias. Obviously, the net heat flux bias in this case (EXP_ATM) does not change the model SSTs because the model SSTs are fixed. Therefore, it is referred to as *implicit SST bias* in EXP_ATM, hereafter.

Fig. 2(a) shows the annually averaged implicit SST bias in EXP_ATM due to the net surface heat flux bias. This is computed by integrating the long-term averaged (i.e., averaged the last ten years of the model simulation) net heat flux bias in EXP_ATM from January 1 to December 31, then dividing it by 12 months. Using a similar method, the annually averaged implicit SST bias in EXP_ATM due to the latent heat flux, shortwave radiative heat flux, and longwave radiative heat flux, are computed and shown in Figs. 2(b), (c), and (d), respectively. As shown in Fig. 2(a), the north-central equatorial Atlantic and ~~also~~ the southeastern tropical Atlantic between 20°S and the equator are characterized by warm (implicit) SST bias; while in other regions, especially in the south and north tropical Atlantic, there are two bands of cold (implicit) SST bias across the Atlantic basin. These results suggest that if the atmosphere-land model is coupled with a perfect ocean and the SST bias does not feedback onto the atmosphere-land model, warm SST bias is expected in the north-central equatorial Atlantic and the southeastern tropical Atlantic, whereas cold SST bias is expected in the north and south tropical Atlantic.

Fig. 2(~~c~~b) shows that the warm/cold implicit SST biases in EXP_ATM are mainly caused by weaker/stronger surface wind ~~stress~~ bias and associated positive (i.e., into the ocean)/negative (i.e., out of the ocean) latent heat flux bias. As shown in Fig. 2(~~b~~c), the shortwave radiative flux is larger than observations over the stratus cloud deck region of the south-central and southeastern tropical Atlantic Ocean, south of around 10°S (Large and Danabasoglu 2006; Huang et al. 2007; Grodsky et al. 2012). ~~Although not shown here,~~Note that CCSM4_20C also contains the positive shortwave radiative flux bias in the southeastern tropical Atlantic with about the same amplitude of that in EXP_ATM~~,~~ (not shown here), suggesting that the low-level cloud and shortwave radiation errors in CCSM4_20C are inherent to its atmospheric-land component.

3.2 EXP_OCN

Fig. 3 shows the SST bias in the surface-forced ocean-sea ice model experiment (EXP_OCN). Overall, the tropical Atlantic SSTs are reasonably well simulated with relatively low amplitude of SST bias. Nevertheless, the amplitude of warm SST bias in the southeastern tropical Atlantic especially near the west coast of Africa is quite large (up to 2°C). This suggests that inherent errors in the ocean-sea ice model can significantly contribute to the warm SST bias in CCSM4_20C, in agreement with earlier studies (Large and Danabasoglu 2006; Grodsky et al. 2012).

It is important to note that in EXP_OCN the ocean-sea ice model is forced with prescribed atmospheric conditions. Flux forms of atmospheric forcing, namely short and longwave radiative heat fluxes, precipitation rate and wind stress are directly used to force the ocean-sea ice model. For latent and sensible heat fluxes, however, ~~bulkuations~~bulk formulae are used to compute them interactively using wind speed, air humidity and air temperature at 10 m along with the model SSTs. Such a treatment of the turbulent heat fluxes ultimately relaxes the model SSTs toward the prescribed surface air temperature as discussed in earlier studies (e.g., Lee et al. 2007; Liu et al. 2012). Therefore, the SST bias in EXP_OCN shown in Fig. 3 is not a good measure of inherent errors in the ocean-sea ice model.

To better quantify the inherent errors in EXP_OCN, we attempt to compute implicit SST bias in EXP_OCN associated with spurious ocean dynamic processes. The equation for the surface mixed layer temperature bias in EXP_OCN can be written as

$$\frac{\partial \Delta T_m}{\partial t} = -\Delta\left(u_m \frac{\partial T_m}{\partial x} + v_m \frac{\partial T_m}{\partial y} + w_e\left(T_m - T_e\right)\right) + \frac{Q_{NET}[\text{EXP\_OCN}] - Q_{NET}[\text{OBS}]}{\rho_w C_{pw} D}$$

$$\frac{\partial \Delta T_m}{\partial t} = -\Delta\left(u_m \frac{\partial T_m}{\partial x} + v_m \frac{\partial T_m}{\partial y} + w_e\left(T_m - T_e\right)\right) + \frac{Q_{NET}[\text{EXP\_OCN}] - Q_{NET}[\text{OBS}]}{\rho_w C_{pw} D}, \qquad (2)$$

Field Code Changed

where ΔT_m is the difference in ocean mixed layer temperature between EXP_OCN and the observation, u_m and v_m are the ocean mixed layer currents in the x- and y-directions, w_e is the entrainment rate at the mixed layer base, T_e is the ocean temperature immediately below the mixed layer, and Q_{NET} [EXP_OCN] is the net surface heat flux in EXP_OCN (see Lee et al. 2007 for the derivation of the bulk mixed layer temperature equation). The first three terms on the right side of Eq. (2) can be regarded as the errors in ocean dynamic and mixing processes. Integrating Eq. (2) in time, after a minor manipulation, we get

$$\Delta T_{\text{EXP\_OCN}} \equiv -\int_0^t \Delta\left(u_m \frac{\partial T_m}{\partial x} + v_m \frac{\partial T_m}{\partial y} + w_e\left(T_m - T_e\right)\right)dt$$
$$= \Delta T_m - \int_0^t \frac{Q_{NET}[\text{EXP\_OCN}] - Q_{NET}[\text{OBS}]}{\rho_w C_{pw} D} dt.$$

Field Code Changed

$$\Delta T_{\text{EXP\_OCN}} \equiv -\int_0^t \Delta\left(u_m \frac{\partial T_m}{\partial x} + v_m \frac{\partial T_m}{\partial y} + w_e\left(T_m - T_e\right)\right)dt$$
$$= \Delta T_m - \int_0^t \frac{Q_{NET}[\text{EXP\_OCN}] - Q_{NET}[\text{OBS}]}{\rho_w C_{pw} D} dt. \qquad (3)$$

$\Delta T_{\text{EXP\_OCN}}$ represents the implicit SST bias in EXP_OCN due to the inherent errors in the ocean dynamic and mixing processes, including advection and turbulent mixing, for the duration of t with assumptions that there is no air-sea feedback to amplify or damp out the net surface heat flux bias.

Fig. 4(a) shows the annually averaged implicit SST bias in EXP_OCN linked to spurious ocean dynamic and mixing processes. Its amplitude is of the same order of magnitude as that in EXP_ATM (Fig. 2(a)). Comparing Fig. 4(a) with Fig. 2(a), in the southeastern and northeastern tropical Atlantic, especially near the west coast of Africa, the implicit SST bias due to spurious ocean dynamic and mixing processes is much larger than that due to net heat flux bias in EXP_ATM. This strongly suggests that the warm SST biases in CCSM4_20C over these regions (see Fig. 1(b)) are mainly associated with spurious ocean dynamic and mixing processes.

It is interesting to note that ocean dynamic cooling in EXP_OCN is too strong in the eastern equatorial Atlantic, but too weak in the central equatorial Atlantic. Given that vertical entrainment of cold thermocline water due to turbulent mixing is what maintains the cold tongue in the central equatorial Atlantic (e.g., Lee and Csanady 1999a; 1999b; Goes and Wainer 2003), it is possible that the parameterization of vertical mixing, and/or the mean state variables that affect the vertical mixing, namely vertical shear and stratification at the mixed layer base, are the source of the SST bias. It is also possible that ~~failing~~a failure to resolve equatorial Atlantic instability waves reduces the equatorial upwelling and is thus responsible for the warm implicit SST bias in the central equatorial Atlantic (Seo et al. 2006).

3.3 EXP_ATM + EXP_OCN

The linear combination of the implicit SST bias in EXP_ATM due to net surface heat flux bias (Eq. (1)) and the implicit SST bias in EXP_OCN due to spurious ocean dynamic and mixing processes (Eq. (3)) can be written as

$$\Delta T_{\mathrm{EXP\_ATM}} + \Delta T_{\mathrm{EXP\_OCN}} = \Delta T_m + \int_0^t \frac{Q_{NET}[\mathrm{EXP\_ATM}] - Q_{NET}[\mathrm{EXP\_OCN}]}{\rho_w C_{pw} D} dt.$$

$$\Delta T_{\mathrm{EXP\_ATM}} + \Delta T_{\mathrm{EXP\_OCN}} = \Delta T_m + \int_0^t \frac{Q_{NET}[\mathrm{EXP\_ATM}] - Q_{NET}[\mathrm{EXP\_OCN}]}{\rho_w C_{pw} D} dt. \qquad (4)$$

Field Code Changed

This total implicit SST bias is directly linked to the net surface heat flux mismatch between EXP_ATM and EXP_OCN, and is what is expected when the atmosphere-land model is joined together with the ocean-sea ice model but without any air-sea feedback. It is important to note that the implicit SST bias in EXP_ATM + EXP_OCN is independent from the observed surface heat flux product used in the analysis, and is thus not subject to uncertainty in the observed (or referenced) surface heat flux product used at least in a linear sense.

Fig. 4(b) shows the total implicit SST bias in EXP_ATM + EXP_OCN. Comparing this with the SST bias in CCSM4_20C (Fig. 1(~~b~~c)), their spatial patterns are surprisingly similar. In particular, in both CCSM4_20C and EXP_ATM + EXP_OCN, the southwestern and northwestern tropical Atlantic are characterized by cold SST bias, while the southeastern and northeastern tropical Atlantic are characterized by warm SST bias. This result mainly suggests that the cold/warm SST biases over these off-equatorial regions in CCSM4_20C originate from the intrinsic biases in the atmosphere-land and ocean-sea ice model components, and are further weakened/amplified by atmosphere-ocean coupling.

It is noted that the overall amplitude of the SST bias in CCSM4_20C is smaller than the amplitude of the total implicit SST bias in EXP_ATM + EXP_OCN. This is not unexpected because the total implicit bias in EXP_ATM + EXP_OCN estimates the extent to which the spurious atmosphere-ocean dynamics in the atmosphere-land and ocean sea-ice model components could *potentially* contribute to the SST bias once the air-sea coupling is initiated. For instance, in a region where the total implicit SST bias is positive, once the air-sea coupling is initiated, the model SSTs will increase initially. However, the increased SSTs will in turn enhance the longwave radiative and latent cooling at the surface to reduce the rate of SST warming. Therefore, it is highly unlikely that the SST bias will reach the full extent of the total implicit SST bias.

It is interesting to note that the implicit SST bias in EXP_OCN (Fig. 4(~~b~~a)) is slightly negative over the eastern equatorial Atlantic region. This is somewhat inconsistent with the SST bias in CCSM4_20C over the same region (Fig. 1(~~b~~c)). Therefore, to better understand the origin of the equatorial Atlantic SST bias in CCSM4_20C, in the next section we explore the initial development of the tropical Atlantic SST bias in EXP_CPL. It is shown in the next section that the ocean-sea ice model does contribute significantly in forcing the eastern equatorial Atlantic warm SST bias due to its spurious ocean dynamic and mixing processes. However, its influence is limited only in early boreal summer during which massive entrainment of the equatorial cold thermocline water into the surface mixed layer occurs (e.g., Lee and Csanady 1999a; 1999b).

4. Initial development of the SST bias in EXP_CPL

Fig. 4(c) shows the SST bias in EXP_CPL averaged over the first year. Overall, both the amplitude and spatial pattern of the SST bias in EXP_CPL developed over the first year are very similar to those of the annually averaged SST bias in CCSM4_20C (Fig. 1(~~b~~c)), suggesting that the tropical Atlantic SST bias develops very quickly (note the different scales used in Fig. 1(~~b~~c) and Fig. 4(c)).

Fig. 5 shows the bi-monthly SST bias development in the fully coupled model experiment (EXP_CPL) during the first and second years of the model integration. An interesting point is that the cold SST bias in the eastern equatorial Atlantic, which apparently originates from the ocean-sea ice model (Fig. 4(a)), persists only during the first four months of the coupled model integration. It disappears afterward and is completely masked by the warm SST bias in June of the first year. Among other features, perhaps the most striking is the fast development of the warm SST bias in the southeastern tropical Atlantic - the SST bias along the coast of Angola exceeds 6°C by June of the first year.

Although the tropical Atlantic SST bias in EXP_CPL develops very quickly within a year, largely due to the combined effect of intrinsic biases in EXP_ATM and EXP_OCN, in some regions the SST bias in the first year is further weakened or amplified, probably due to the active atmosphere-ocean coupling. For instance, the cold SST bias over the southwestern tropical Atlantic in the first year is much reduced in the second year due to the eastward expansion of the warm SST anomalies in the southeastern tropical Atlantic. It is also clear that the warm SST bias in the eastern equatorial Atlantic during the first year strengthens and expands westward in the second year.

In order to better describe the tropical Atlantic SST biases in EXP_CPL and how they are forced by EXP_ATM, EXP_OCN and the atmosphere-ocean coupling, the bi-monthly tropical Atlantic SST bias tendencies (°C month^{-1}) in EXP_CPL, EXP_ATM + EXP_OCN, EXP_ATM and EXP_OCN during the first year are shown in Fig. 6. It is clearly shown that the southeastern tropical Atlantic warm SST bias in EXP_CPL, which is largely forced in boreal spring, is mainly caused by EXP_OCN due to spurious ocean dynamic and mixing processes, with an assumption that the surface fluxes prescribed in EXP_OCN is error-free. It is also clear that the initial development of the eastern equatorial warm SST bias, which is mainly forced in early boreal summer, is also caused by EXP_OCN due to spurious ocean dynamic and mixing processes. By comparing the SST bias tendency in EXP_CPL and the implicit SST bias tendency in EXP_OCN, it is clear that the atmosphere-ocean coupling tends to weaken the implicit SST bias tendency in these regions. This clearly suggests that the atmosphere-ocean coupling is not the cause of the eastern equatorial warm SST bias at least in the first year of the coupling. These features in the equatorial Atlantic are much more clearly illustrated in Fig. 7, which shows the time evolutions of the SST bias tendencies (implicit SST bias tendencies) along the equatorial Atlantic and the contributions by the surface heat flux errors and by errors involving ocean dynamic and mixing processes in EXP_CPL (EXP_ATM and EXP_OCN). Therefore, we may conclude that the eastern equatorial and southeastern tropical Atlantic warm SST biases in EXP_CPL are mainly forced by EXP_OCN due to its spurious ocean dynamic and mixing processes during boreal spring and summer.

Richter and Xie (2008) analyzed CMIP3 models and argued that the westerly wind bias in boreal spring over the western equatorial Atlantic deepens the thermocline in the eastern equatorial Atlantic preventing the development of the cold tongue in boreal summer, and thus is the root cause of the equatorial Atlantic warm SST bias in CMIP3 models. Our analysis of the three CESM1 experiments, however, suggests that the ocean-sea ice model due to its spurious ocean dynamic and mixing processes may contribute more significantly than the atmosphere-land model to the eastern equatorial Atlantic warm SST bias in CCSM4/CESM1. Therefore, ~~while~~although we acknowledge the potential importance of the westerly wind bias in boreal spring over the western equatorial Atlantic, which originates from the atmosphere-land model (see Fig. 2(b)), here we stress that solving this problem in the atmosphere-land model alone does not resolve the equatorial Atlantic warm bias in CCSM4/CESM1.

Grodsky et al. (2012) showed that mean sea level pressure in CCSM4 is erroneously high by a few millibars in the subtropical highs and erroneously low in the polar lows similar to CCSM3, and thus the trade winds are 1 ~ 2 m s^{-1} too strong. Since the cold SST biases in the southwestern and northwestern tropical Atlantic are closely linked to the strength of the trade winds in

EXP_ATM, it is likely that their root cause is linked to the subtropical highs in the atmosphere-land model.

5. Equatorial Atlantic subsurface temperature bias in EXP_OCN

The methodology used in this study only provides a mean to estimate the integrated effects of the spurious ocean dynamic and mixing processes in EXP_OCN via “implicit SST bias”. To further understand what causes the spurious ocean dynamic and mixing processes, the equatorial Atlantic subsurface temperature bias in EXP_OCN is explored here. Figure 8 shows the monthly-averaged equatorial Atlantic temperature bias (averaged for 5ºS - 5ºN) in EXP_OCN for the upper 200 m. In order to compute the temperature bias, we use EN4, which is a global quality controlled ocean temperature data set provided by the Met Office Hadley Centre (Good et al. 2013). The green lines show the corresponding mixed layer depths obtained from EXP_OCN (solid line) and EN4 (dashed line).

This figure clearly shows that the temperature bias near the surface is quite small because the model-simulated surface temperature is strongly damped to the prescribed air temperature and specific humidity. However, at the ~~based~~base of the model-simulated mixed layer, the temperature bias increases up to 6^{o}C. This suggests that due to spurious ocean dynamic and mixing processes in the ocean-sea ice model, the upper thermocline water entrained into the mixed layer during early summer (e.g., Lee and Csanady 1999a; 1999b) is too warm. Therefore, once the ocean sea-ice model is fully coupled to the atmosphere-land model, the extra heat in the mixed layer caused by the entrainment of the warmer-than-observed upper thermocline layer will produces warm SST bias in the equatorial Atlantic upwelling region.

Fig. 8 also shows that the mixed layer depth is too deep in EXP_OCN. This suggests that the vertical turbulent mixing may be too intense in EXP_OCN. It is likely that the warmer-than-observed upper thermocline layer weakens the vertical stratification over the upper thermocline and thus ~~contributes to increase turbulent mixing at the mixed layer base.~~increases turbulent mixing at the mixed layer base. This means that the mixed layer depth bias may be directly linked to the upper thermocline temperature bias. One hypothesis is that the spurious vertical diffusion in the thermocline layer due to vertical discretization brings too much heat into the upper thermocline layer from the mixed layer, which in turn weakens the vertical stratification and thus further increases the vertical mixing across the mixed layer base, a positive feedback. To further investigate what processes or parameterizations are responsible for the warmer-than-observed upper thermocline and deeper-than-observed mixed layer depth, it is necessary to perform sensitivity experiments by using the stand-alone ocean sea-ice model and the diagnostic methodology proposed in this study.

6. Impact of uncertainty in the reference surface flux fields

It should be pointed out that our results are not entirely independent from uncertainty in the reference surface flux product used (i.e., COREv2). For instance, if the net surface heat flux in COREv2 is too large, it will contribute positively (negatively) to the implicit SST bias in EXP_OCN (EXP_ATM) according to Eqs. (1) and (3). Although considerable effort was invested to minimize errors (see Large and Yeager ~~2008~~2009 for more details), COREv2 is still far from error-free. Therefore, in a more strict sense, Eq. (3) should be considered as the implicit SST bias in EXP_OCN referenced to COREv2. Similarly, Eq. (1) should be considered as the implicit SST bias in EXP_ATM referenced to COREv2. Nevertheless, it should be noted that the total implicit SST bias in EXP_ATM + EXP_OCN is independent from the reference surface flux product used, and is thus not subject to uncertainty in the reference surface flux product at least in a linear sense (see Eq. (3)).

To better understand if and how the uncertainty in the reference surface flux product influences the implicit SST bias in EXP_ATM and EXP_OCN, two additional experiments are performed by forcing the stand-alone ocean sea-ice model for 120 years with the surface flux fields derived from the European Centre for Medium-Range Weather Forecasts Interim (ERA_INT) reanalysis (Dee et al. 2011), and the Modern-Era Retrospective Analysis for Research and Applications (MERRA) reanalysis (Rienecker et al. 2011)

As shown in Figs. 9(a), (d) and (g), the implicit SST bias in EXP_ATM referenced to COREv2 is generally more positive compared to that referenced to either ERA_INT or MERRA ~~is more negative compared to that referenced to COREv2.~~. On the contrary, the implicit SST bias in EXP_OCN referenced to COREv2 is generally more negative compared to that referenced to either ERA_INT or MERRA~~ is more positive compared to that referenced to COREv2.~~. What these mean is that the net surface heat flux into the tropical Atlantic is larger overall in ERA_INT and MERRA than that in COREv2. Nevertheless, the spatial patterns of the implicit SST bias in EXP_ATM referenced to the three surface flux products (i.e., COREv2, ERA_INT and MERRRA) are quite similar. As shown in Figs. 9(b), (e) and (h), the same conclusion can be drawn for the implicit SST bias in EXP_OCN.

In sum, the overall magnitude of the implicit SST bias can be attributed more to either the atmosphere-land model or the ocean sea-ice model depending on the reference surface flux product used. In other words, the choice of the reference surface heat flux product will impact the estimates of implicit SST biases in EXP_ATM and EXP_OCN. However, the spatial

~~pattern~~patterns of the implicit bias in EXP_ATM ~~(~~and EXP_OCN~~)~~ ~~is~~ are largely determined by inherent ~~deficiency~~deficiencies of the atmosphere-land~~ (~~, and ocean-sea ice~~)~~ model ~~component.~~components, respectively. As such, the total implicit SST bias in EXP_ATM + EXP_OCN is only minimally affected by the reference surface flux product used (see Figs. 9(c), (f) and (i)). Therefore, we can conclude that the total implicit bias in EXP_ATM + EXP_OCN is a reliable measure of inherent deficiency in CESM1.

7. Summary and Discussions

In order to better understand the initial development of the tropical Atlantic SST bias in AOGCMs, we ~~have~~ performed a series of model experiments using CESM1. These experiments are a forced atmosphere-land model experiment (EXP_ATM), a forced ocean-ice model experiment (EXP_OCN) and a fully coupled model experiment with its atmosphere-land model initialized using EXP_ATM and the ocean-ice model using EXP_OCN (EXP_CPL).

We propose and use a new method of diagnosis to identify and quantify intrinsic errors in the atmosphere-land and ocean-sea ice model components of CESM1. It is shown here that both the atmosphere-land and ocean-sea ice model components contain significant errors in the tropical Atlantic. In boreal summer, the ocean-sea ice model could cause large amplitudes of warm SST bias in the eastern equatorial and southeastern tropical Atlantic due to its spurious ocean dynamic and mixing processes even if it is coupled to a perfect atmosphere-land model and the SST bias does not feedback onto the ocean-sea ice model. In the atmosphere-land model, the trade winds and associated surface latent cooling are too strong in the northwestern and southwestern tropical Atlantic, while they are too weak in the northeastern and southeastern tropical Atlantic. Therefore, even if the atmosphere-land model is coupled to a perfect ocean-sea ice model and the

SST bias does not feedback onto the atmosphere-land model, warm (cold) SST bias could be generated in the northeastern (northwestern) and southeastern (southwestern) tropical Atlantic.

In the fully coupled model simulation with its atmosphere-land model initialized using EXP_ATM and the ocean-sea ice model using EXP_OCN, the tropical Atlantic SST bias develops very quickly within a year, and its seasonality and spatial pattern are largely determined by the linear combination of the implicit SST bias in EXP_ATM and EXP_OCN. In particular, it is shown ~~here~~ that the eastern equatorial and southeastern tropical Atlantic warm SST bias in the fully coupled simulation are forced in early boreal summer by the ocean-sea ice model due to its spurious ocean dynamic and mixing processes. Further analysis shows that the upper thermocline water underneath the eastern equatorial Atlantic surface mixed layer is too warm in EXP_OCN. This suggests that the mixed layer cooling in boreal summer associated with the equatorial entrainment of upper thermocline water is too weak.

The main emphasis in this paper is to explore how the tropical Atlantic SST bias in CESM1 is initiated and evolves. Here, we identify that the intrinsic errors in the ocean-sea ice model contribute significantly to the tropical SST bias in CESM1. However, this does not mean that the atmosphere-land model contributes less to the tropical SST bias. In addition to the intrinsic errors in the atmosphere-land model explored in this study, the equatorial Atlantic surface wind bias in EXP_ATM could affect the upper ocean dynamics in EXP_CPL, which may feedback ~~on to~~onto the equatorial Atlantic SST in EXP_CPL (Richter and Xie 2008). Therefore, we acknowledge the importance of improving critical problems in the atmosphere-land model. We only stress here that solving those problems in the atmosphere-land model alone does not resolve the equatorial Atlantic warm bias in CESM1. It should be also pointed out that the choice of the mixed layer depth used to determine the implicit SST bias in EXP_ATM (see Eq. (1)) is somewhat arbitrary, which is one of the limitations of the proposed method to diagnose the implicit SST bias in EXP_ATM.

~~It should be pointed~~Additionally, we would like to point out that our results are not entirely independent from uncertainty in the reference surface flux product used. In particular, the overall magnitude of the implicit SST bias can be attributed more to either the atmosphere-land model or the ocean sea-ice model depending on the reference surface flux product used. Nevertheless, the total implicit SST bias in EXP_ATM + EXP_OCN is only minimally affected by ~~uncertainty~~uncertainties in the reference surface flux product used, and thus is a reliable measure of inherent deficiency in CESM1. Further studies are also needed to trace the parameterizations and/or configurations in the ocean-sea ice model that are directly linked to the errors. Therefore, we recommend sensitivity studies on model resolutions (in both the horizontal and vertical directions), representation of surface flux fields especially off Angola and Namibia, vertical mixing schemes and isopycnal mixing schemes, using the ocean-sea ice model component of CESM1 and the diagnosis method proposed in this study.

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Figure captions

Fig. 1. Annually averaged climatological SSTs in the tropical Atlantic from (a) EN4, a global quality controlled ocean temperature data set provided by the Met Office Hadley Centre (Good et al. 2013), for 1949-2005, and (b) CCSM4 historical simulation for 1949-2005. The SST bias in CCSM4 is shown in (c). The unit is °C. The SST bias values higher than 6^{o}C are masked.

Fig. 2. Annually averaged implicit SST bias in EXP_ATM due to (a) the net surface heat flux bias, which is computed by integrating the net heat flux bias in EXP_ATM for one year from January 1 to December 31, then dividing it by 12 months. Contributions by (b) shortwave radiative heat flux bias, (c) latent heat flux bias and (d) longwave radiative heat flux bias. The vectors in (c) show the annually averaged surface wind stress bias. The unit for the implicit SST bias is °C.

Fig. 3. Annually averaged SST bias in EXP_OCN. The unit is °C.

Fig. 4. Annually averaged implicit SST bias in (a) EXP_OCN and (b) EXP_ATM + EXP_OCN. (c) Annually averaged SST bias in EXP_CPL during the first year. The unit is °C. The implicit SST bias values higher than 12^{o}C are masked.

Fig. 5. Time evolution of the SST bias in EXP_CPL during the first and second year. The unit is °C.

Fig. 6. (1st column) Time evolution of the SST bias tendency in EXP_CPL during the first year. Time evolution of the implicit SST bias tendency in (2nd column) EXP_ATM + EXP_OCN, (3rd column) EXP_ATM, and (4th column) EXP_OCN. The unit is °C month^{-1}.

Fig. 7. Time-longitude evolutions of (a) the SST bias tendencies along the equatorial Atlantic, and the contributions by (b) the surface heat flux errors and (c) errors involving ocean dynamic processes in EXP_CPL during the first year. Time-longitude evolutions of implicit SST bias tendencies in (d) EXP_ATM + EXP_OCN, (e) EXP_ATM and (f) EXP_OCN. The unit is °C month^{-1}.

Fig. 8. Time-depth evolutions of the equatorial Atlantic temperature bias (shaded) and mixed layer depth (green solid line) averaged for 5ºS-5ºN obtained from EXP_OCN. The green dashed line is the mixed layer depth obtained from EN4.

Fig. 9. Annually averaged implicit SST bias in (a,d,g) EXP_ATM, (b,e,h) EXP_OCN, and (c,f,i) EXP_ATM + EXP_OCN referenced to (a,b,c) COREv2, (d,e,f) ERA_INT, and (g,h,i) MERRA. The unit is °C. The SST bias values higher than 12^{o}C are masked.

Highlights: (85 character limit):

- This study explores intrinsic errors in the atmosphere & ocean components of CESM1.
- Both components contain large errors in the tropical Atlantic with strong seasonality.
- The ocean component mainly forces the eastern Atlantic SST bias in early summer.
- The equatorial Atlantic thermocline is too warm in a stand-alone ocean simulation.
- The ocean model must be improved to reduce the tropical Atlantic SST bias in CESM1.

Contributions of the atmosphere-land and ocean-sea ice model components to the tropical Atlantic SST bias in CESM1

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Abstract

In order to identify and quantify intrinsic errors in the atmosphere-land and ocean-sea ice model components of the Community Earth System Model version 1 (CESM1) and their contributions to the tropical Atlantic sea surface temperature (SST) bias in CESM1, we propose a new method of diagnosis and apply it to a set of CESM1 simulations. Our analyses of the model simulations indicate that both the atmosphere-land and ocean-sea ice model components of CESM1 contain large errors in the tropical Atlantic. When the two model components are fully coupled, the intrinsic errors in the two components emerge quickly within a year with strong seasonality in their growth rates. In particular, the ocean-sea ice model contributes significantly in forcing the eastern equatorial Atlantic warm SST bias in early boreal summer. Further analysis shows that the upper thermocline water underneath the eastern equatorial Atlantic surface mixed layer is too warm in a stand-alone ocean-sea ice simulation of CESM1 forced with observed surface flux fields, suggesting that the mixed layer cooling associated with the entrainment of upper thermocline water is too weak in early boreal summer. Therefore, although we acknowledge the potential importance of the westerly wind bias in the western equatorial Atlantic and the low-level stratus cloud bias in the southeastern tropical Atlantic, both of which originate from the atmosphere-land model, we emphasize here that solving those problems in the atmosphere-land model alone does not resolve the equatorial Atlantic warm bias in CESM1.

Key Words: tropical Atlantic SST bias; implicit SST bias; CESM, atmosphere-land model experiment; ocean-ice model experiment

1. Introduction

Since the pioneering work of Manabe and Bryan (1969), coupled atmosphere-ocean general circulation models (AOGCMs) have been significantly improved. AOGCMs are now able to reproduce the basic features of the global climate system (Covey et al. 2003; Meehl et al. 2005), and thus become an important tool for seasonal forecasts, climate projections and other climate research in general. However, the tropical Atlantic biases typically characterized by warmer sea surface temperatures (SSTs) in the eastern equatorial ocean, a reversed zonal SST gradient along the equator, colder SSTs in the northwest and southwest tropical Atlantic, and warmer SSTs in the northeast and southeast tropical Atlantic, are common problems with most AOGCMs (e.g., Davey et al. 2002).

Model biases have been somewhat reduced in most recent models used in the Coupled Model Intercomparison Project Phase 5 (CMIP5) compared to those used in CMIP3 (e.g., Liu et al. 2013). Recent studies have also shown that improving the spatial resolution could potentially reduce such biases (Gent et al. 2010; Patricola et al. 2012; Kirtman et al. 2012; Small et al. 2014). Nevertheless, almost all of the state-of-the-art AOGCMs still cannot reproduce the climatology of tropical Atlantic SSTs (Mechoso et al. 1995; Davey et al. 2002; Covey et al. 2003; Huang et al. 2007; Richter and Xie 2008; Richter et al. 2012).

These systematic tropical Atlantic biases in AOGCMs will affect the models' ability to simulate and predict climate variability (Xie and Carton 2004). Studies have shown that the tropical Atlantic affects and modulates climate variability of the Western Hemisphere, such as the West African summer monsoon (Vizy and Cook 2001; Giannini et al. 2003; Gu and Adler 2004), moisture transport and rainfall over the American continents (Enfield et al. 2001; Wang et al. 2006) and Atlantic hurricane development and intensification (e.g., Goldenberg et al. 2001;

Webster et al. 2005; Wang and Lee 2007). Therefore, in order to increase the seasonal-to-decadal climate predictability in the Western Hemisphere, it is important to accurately simulate the tropical Atlantic Ocean in AOGCMs. It is also worthwhile to point out that the tropical Atlantic problem in AOGCMs is one of the most critical obstacles for achieving confidence in our model-based future projection of the global SST warming patterns (e.g., Xie et al. 2010; Lee et al. 2011; DiNezio et al. 2012).

Many studies have diagnosed the large systematic errors in the tropical Atlantic, and attributed the errors to various atmospheric and/or ocean processes. Recent studies argued that the westerly wind bias over the western tropical Atlantic in boreal spring is the main cause of the tropical Atlantic biases (Richter and Xie 2008; Richter et al. 2012), and showed that the westerly wind bias also exists in the atmosphere general circulation models (AGCMs) forced by observed SSTs (DeWitt 2005; Chang et al. 2007; Richter and Xie 2008; Richter et al. 2012). These studies argued that the westerly wind bias in boreal spring deepens the thermocline in the eastern equatorial Atlantic and prevents the development of the cold tongue in boreal summer; then warm SST bias develops in the cold tongue and further amplifies due to the Bjerknes feedback.

Other studies have suggested that a likely source of the tropical Atlantic biases is the deficiency of AOGCMs in reproducing the low-level stratus cloud deck over the southeastern tropical Atlantic Ocean (Yu and Mechoso 1999; Large and Danabasoglu 2006; Saha et al. 2006; Huang et al. 2007; Hu et al. 2008; 2011; Richter and Xie 2008). These studies argue that the warm SST bias over the southeastern tropical Atlantic is mainly caused by the model's inability to reproduce the observed amount of low-level cloud in the region, which in turn causes an excessive local shortwave radiative flux into the ocean. Wahl et al. (2011) explored this hypothesis by performing some sensitivity experiments using the Kiel Climate model. Wahl et al. (2011) concluded that the westerly wind bias over the western tropical Atlantic in spring and early summer is the key mechanism for the equatorial Atlantic SST bias, while the low-level cloud cover and associated excessive surface shortwave radiation contribute to the SST bias in the southeast tropical Atlantic Ocean.

There are also some studies suggesting that ocean processes could contribute to the tropical Atlantic biases. Hazeleger and Haarsma (2005), for example, suggested that the tropical Atlantic bias is strongly related to the upper ocean mixing. Jochum et al. (2013) showed that improving the upper ocean mixing in an ocean model could lead a reduction of the tropical Atlantic SST and rainfall biases. Seo et al. (2006) argued that properly representing equatorial Atlantic instability waves in climate models could enhance the equatorial upwelling and thus potentially reduce the equatorial Atlantic warm SST bias. Large and Danabasoglu (2006) suggested that the warm SST bias in the southeastern tropical Atlantic could be reduced by improving the simulation of coastal upwelling off the coasts of southwest Africa. Recently, Small et al. (2014) used a high-resolution AOGCM (0.1° resolution for the ocean model and 0.25° resolution for the atmosphere model) to demonstrate this hypothesis. Xu et al. (2014) stressed that the inability of AOGCMs in simulating the Angola–Benguela front is one the leading causes of the tropical Atlantic SST biases. Breugem et al. (2008) attributed the warm SST bias in the eastern and southeastern tropical Atlantic to the spurious barrier layer (BL), which forms due to the excessive regional rainfall and amplifies via coupled SST-precipitation-BL feedback and thus prevents surface cooling through strong salinity stratification. However, Richter et al. (2012) showed that the BL feedback described by Breugem et al. (2008) is not significant at least in the Geophysical Fluid Dynamics Laboratory (GFDL) coupled model. There are also other interesting hypotheses on the origin of the tropical Atlantic SST bias in the coupled models, such as the remote influence from higher latitudes (Lee and Wang 2008; Chang et al. 2007), the West African monsoon (Deser et al. 2006), rainfall over the Amazon and Africa (Davey et al. 2002; Chang et al. 2008; Okumura and Xie 2004), and air-sea turbulent flux (Ban et al. 2010).

Previous studies such as those briefly reviewed above have suggested a variety of potential causes of the tropical Atlantic SST biases in AOGCMs. However, these hypotheses (or conclusions) are derived mostly based on fully spun up AOGCM runs. Since the SST bias in an AOGCM could cause errors in the atmospheric circulation, which in turn also could feedback onto the tropical Atlantic SSTs via air-sea interaction, it is almost impossible to identify the exact processes responsible for the tropical Atlantic SST bias from fully spun up AOGCM runs. It is also worthwhile to note that a quantitative analysis on the contributions of the atmosphere-land model and ocean-sea ice model components to the tropical Atlantic SST bias in an AOGCM has rarely been done. Therefore, in an effort to better understand what causes the tropical Atlantic SST biases, here we propose a new methodology to analyze the SST bias focusing on the initial development of the SST bias by using the National Center for Atmospheric Research (NCAR) Community Earth System Model version 1 (CESM1), which suffers the same systematic tropical Atlantic SST bias as in other AOGCMs.

This paper is organized as follows. The model and numerical experiments design are described in section 2. The experiment results and analysis are presented in section 3 ~ 6, in which the SST bias and its development mechanism in CESM1 are analyzed by comparing results from three model experiments (to be described in section 2). Section 7 provides conclusions and discussion.

2. Model and model experiments

CESM1 is a state-of-the-art global earth system model that can provide simulations of the Earth's past, present, and future climate. It is the successor to the Community Climate System Model (CCSM), which was extended and renamed to CESM in June 2010. CESM1, which was released in November 2012, is a superset of CCSM4 in that its default configuration is the same science scenarios as CCSM4, although CESM1 also contains options for a terrestrial carbon cycle and dynamics, and ocean ecosystems and biogeochemical coupling, all necessary for an earth system model. In this paper, CESM1 is configured as a purely physical model, and is thus identical to CCSM4, since our focus here is on the physical processes.

Many improvements have been made in CESM1/CCSM4 simulations compared with the previous version of CCSM3, such as the frequency of the Madden-Julian Oscillation (MJO) and ENSO variability, the annual cycle of SSTs in the eastern equatorial Pacific, and the Arctic sea-ice concentration (Gent et al. 2011). However, it still displays significant tropical Atlantic SST biases (Grodsky et al. 2012) as shown in Figure 1c. The observed SSTs in the equatorial Atlantic are warmer in the west and cooler in the east (Figure 1c). However, the SSTs in the CCSM4 control simulation with twentieth century forcing (CCSM4_20C hereafter), which is available from the CMIP5 archive, are warmer in the east and cooler in the west with the SST bias exceeding 3.0°C in the southeast tropical Atlantic along the east coast of Africa (Figure 1c). It is clear that CCSM4_20C fails to reproduce the equatorial Atlantic cold tongue and the zonal SST gradient along the equator, which are common deficiencies in AOGCMs.

The main objective of this study is to identify the processes responsible for the development of the tropical Atlantic SST biases in CESM1. Our approach to achieve this goal is to diagnose the development of biases in a fully coupled CESM1 run initialized with data from uncoupled surface-forced atmosphere and ocean only simulations. This approach is analogous to the methodology proposed in the Transpose-Atmospheric Model Intercomparison Project Phase II (T-AMIP2) as discussed in Williams et al. (2013). Similar methods were also used in previous studies (e.g., Huang et al. 2007; Toniazzo and Woolnough 2014; Voldoire et al. 2014).

Three numerical experiments are designed and performed using CESM1. These experiments are (1) dynamic atmosphere-land run forced by observed SSTs (EXP_ATM hereafter); (2) dynamic ocean-sea ice run forced by observed surface atmospheric fluxes (EXP_OCN hereafter); and (3) fully coupled atmosphere-land-ocean-sea ice run initialized with data from EXP_ATM and EXP_OCN (EXP_CPL hereafter).

The atmosphere model component is Community Atmosphere Model version 4 (CAM4; Neale et al. 2010) and the land model is Community Land Model version 4 (CLM4; Lawrence et al. 2011). Both CAM4 and CLM4 have horizontal resolution of $1.9° \times 2.5°$, and are forced by observed climatological monthly SSTs (Hurrell et al. 2008). EXP_ATM is integrated for 30 years and the last ten years are used for analysis. The ocean model is Parallel Ocean Program version 2 (POP2; Danabasoglu et al. 2012) and the sea-ice model is Community Ice Model version 4 (CICE4; Hunke and Lipscomb 2008). Both POP2 and CICE4 have a nominal 1° horizontal resolution, and are forced by Coordinated Ocean Reference Experiment phase 2 (COREv2) normal-year surface fluxes (Large and Yeager 2004; 2009). EXP_OCN is integrated for 210 years and the last ten years are used for analysis.

For the fully coupled experiment (EXP_CPL), 10-member ensemble experiments are performed to achieve statistically significant model results. The atmosphere and surface land models are initialized by using EXP_ATM, while the ocean and sea-ice models are initialized by using EXP_OCN. The 10-member ensemble experiments are initialized by using the combination of the EXP_ATM and EXP_OCN obtained from the last 10 years of the model integrations, and integrated for five years. In the following sections, the ensemble-mean of EXP_CPL along with the results from EXP_ATM and EXP_OCN are analyzed to identify the processes that cause the development of the tropical Atlantic SST biases in CESM1.

3. Implicit SST bias in EXP_ATM and EXP_OCN

3.1 EXP_ATM

In order to understand and quantify the roles of the atmospheric-land model (EXP_ATM) in the generation of the tropical Atlantic SST bias, the net surface heat flux bias in EXP_ATM is integrated in time:

$$\Delta T_{\mathrm{EXP\_ATM}}(t) = \int_0^t \frac{Q_{NET}[\mathrm{EXP\_ATM}] - Q_{NET}[\mathrm{OBS}]}{\rho_w C_{pw} D} dt, \quad (1)$$

where ρ_w is sea water density, C_{pw} is the specific heat of sea water, D is the mixed layer depth from EXP_OCN, Q_{NET}[EXP_ATM] and Q_{NET}[OBS] are the net surface heat fluxes from EXP_ATM and COREv2, respectively. Note that $\Delta T_{\mathrm{EXP\_ATM}}$ represents SST bias, which could be potentially caused by the net surface heat flux bias for the duration of t, with assumptions that the atmosphere-land model is coupled with a perfect ocean (i.e., all oceanic heat flux terms are error-free) and there is no air-sea feedback to amplify or damp out the net surface heat flux bias. Obviously, the net heat flux bias in this case (EXP_ATM) does not change the model SSTs because the model SSTs are fixed. Therefore, it is referred to as *implicit SST bias* in EXP_ATM, hereafter.

Fig. 2(a) shows the annually averaged implicit SST bias in EXP_ATM due to the net surface heat flux bias. This is computed by integrating the long-term averaged (i.e., averaged the last ten years of the model simulation) net heat flux bias in EXP_ATM from January 1 to December 31, then dividing it by 12 months. Using a similar method, the annually averaged implicit SST bias in EXP_ATM due to the latent heat flux, shortwave radiative heat flux, and longwave radiative heat flux, are computed and shown in Figs. 2(b), (c), and (d), respectively. As shown in Fig. 2(a), the north-central equatorial Atlantic and the southeastern tropical Atlantic between 20°S and the equator are characterized by warm (implicit) SST bias; while in other regions, especially in the south and north tropical Atlantic, there are two bands of cold (implicit) SST bias across the Atlantic basin. These results suggest that if the atmosphere-land model is coupled with a perfect ocean and the SST bias does not feedback onto the atmosphere-land model, warm SST bias is expected in the north-central equatorial Atlantic and the southeastern tropical Atlantic, whereas cold SST bias is expected in the north and south tropical Atlantic.

Fig. 2(b) shows that the warm/cold implicit SST biases in EXP_ATM are mainly caused by weaker/stronger surface wind bias and associated positive (i.e., into the ocean)/negative (i.e., out of the ocean) latent heat flux bias. As shown in Fig. 2(c), the shortwave radiative flux is larger than observations over the stratus cloud deck region of the south-central and southeastern tropical Atlantic Ocean, south of around 10°S (Large and Danabasoglu 2006; Huang et al. 2007; Grodsky et al. 2012). Note that CCSM4_20C also contains the positive shortwave radiative flux bias in the southeastern tropical Atlantic with about the same amplitude of that in EXP_ATM (not shown here), suggesting that the low-level cloud and shortwave radiation errors in CCSM4_20C are inherent to its atmospheric-land component.

3.2 EXP_OCN

Fig. 3 shows the SST bias in the surface-forced ocean-sea ice model experiment (EXP_OCN). Overall, the tropical Atlantic SSTs are reasonably well simulated with relatively low amplitude of SST bias. Nevertheless, the amplitude of warm SST bias in the southeastern tropical Atlantic especially near the west coast of Africa is quite large (up to 2°C). This suggests that inherent errors in the ocean-sea ice model can significantly contribute to the warm SST bias in CCSM4_20C, in agreement with earlier studies (Large and Danabasoglu 2006; Grodsky et al. 2012).

It is important to note that in EXP_OCN the ocean-sea ice model is forced with prescribed atmospheric conditions. Flux forms of atmospheric forcing, namely short and longwave radiative heat fluxes, precipitation rate and wind stress are directly used to force the ocean-sea ice model. For latent and sensible heat fluxes, however, bulk formulae are used to compute them interactively using wind speed, air humidity and air temperature at 10 m along with the model SSTs. Such a treatment of the turbulent heat fluxes ultimately relaxes the model SSTs toward the prescribed surface air temperature as discussed in earlier studies (e.g., Lee et al. 2007; Liu et al. 2012). Therefore, the SST bias in EXP_OCN shown in Fig. 3 is not a good measure of inherent errors in the ocean-sea ice model.

To better quantify the inherent errors in EXP_OCN, we attempt to compute implicit SST bias in EXP_OCN associated with spurious ocean dynamic processes. The equation for the surface mixed layer temperature bias in EXP_OCN can be written as

$$\frac{\partial \Delta T_m}{\partial t} = -\Delta\left(u_m \frac{\partial T_m}{\partial x} + v_m \frac{\partial T_m}{\partial y} + w_e\left(T_m - T_e\right)\right) + \frac{Q_{NET}\left[\text{EXP\_OCN}\right] - Q_{NET}\left[\text{OBS}\right]}{\rho_w C_{pw} D}, \quad (2)$$

where ΔT_m is the difference in ocean mixed layer temperature between EXP_OCN and the observation, u_m and v_m are the ocean mixed layer currents in the x- and y-directions, w_e is the entrainment rate at the mixed layer base, T_e is the ocean temperature immediately below the mixed layer, and Q_{NET} [EXP_OCN] is the net surface heat flux in EXP_OCN (see Lee et al. 2007 for the derivation of the bulk mixed layer temperature equation). The first three terms on the right side of Eq. (2) can be regarded as the errors in ocean dynamic and mixing processes. Integrating Eq. (2) in time, after a minor manipulation, we get

$$\Delta T_{\text{EXP\_OCN}} \equiv -\int_0^t \Delta\left(u_m \frac{\partial T_m}{\partial x} + v_m \frac{\partial T_m}{\partial y} + w_e\left(T_m - T_e\right)\right) dt \\ = \Delta T_m - \int_0^t \frac{Q_{NET}\left[\text{EXP\_OCN}\right] - Q_{NET}\left[\text{OBS}\right]}{\rho_w C_{pw} D} dt. \quad (3)$$

$\Delta T_{\text{EXP\_OCN}}$ represents the implicit SST bias in EXP_OCN due to the inherent errors in the ocean dynamic and mixing processes, including advection and turbulent mixing, for the duration of t with assumptions that there is no air-sea feedback to amplify or damp out the net surface heat flux bias.

Fig. 4(a) shows the annually averaged implicit SST bias in EXP_OCN linked to spurious ocean dynamic and mixing processes. Its amplitude is of the same order of magnitude as that in EXP_ATM (Fig. 2(a)). Comparing Fig. 4(a) with Fig. 2(a), in the southeastern and northeastern tropical Atlantic, especially near the west coast of Africa, the implicit SST bias due to spurious ocean dynamic and mixing processes is much larger than that due to net heat flux bias in EXP_ATM. This strongly suggests that the warm SST biases in CCSM4_20C over these regions (see Fig. 1(b)) are mainly associated with spurious ocean dynamic and mixing processes.

It is interesting to note that ocean dynamic cooling in EXP_OCN is too strong in the eastern equatorial Atlantic, but too weak in the central equatorial Atlantic. Given that vertical entrainment of cold thermocline water due to turbulent mixing is what maintains the cold tongue in the central equatorial Atlantic (e.g., Lee and Csanady 1999a; 1999b; Goes and Wainer 2003), it is possible that the parameterization of vertical mixing, and/or the mean state variables that affect the vertical mixing, namely vertical shear and stratification at the mixed layer base, are the source of the SST bias. It is also possible that a failure to resolve equatorial Atlantic instability waves reduces the equatorial upwelling and is thus responsible for the warm implicit SST bias in the central equatorial Atlantic (Seo et al. 2006).

3.3 EXP_ATM + EXP_OCN

The linear combination of the implicit SST bias in EXP_ATM due to net surface heat flux bias (Eq. (1)) and the implicit SST bias in EXP_OCN due to spurious ocean dynamic and mixing processes (Eq. (3)) can be written as

$$\Delta T_{\mathrm{EXP\_ATM}} + \Delta T_{\mathrm{EXP\_OCN}} = \Delta T_m + \int_0^t \frac{Q_{NET}\left[\mathrm{EXP\_ATM}\right] - Q_{NET}\left[\mathrm{EXP\_OCN}\right]}{\rho_w C_{pw} D} dt. \quad (4)$$

This total implicit SST bias is directly linked to the net surface heat flux mismatch between EXP_ATM and EXP_OCN, and is what is expected when the atmosphere-land model is joined together with the ocean-sea ice model but without any air-sea feedback. It is important to note that the implicit SST bias in EXP_ATM + EXP_OCN is independent from the observed surface heat flux product used in the analysis, and is thus not subject to uncertainty in the observed (or referenced) surface heat flux product used at least in a linear sense.

Fig. 4(b) shows the total implicit SST bias in EXP_ATM + EXP_OCN. Comparing this with the SST bias in CCSM4_20C (Fig. 1(c)), their spatial patterns are surprisingly similar. In particular, in both CCSM4_20C and EXP_ATM + EXP_OCN, the southwestern and northwestern tropical Atlantic are characterized by cold SST bias, while the southeastern and northeastern tropical Atlantic are characterized by warm SST bias. This result mainly suggests that the cold/warm SST biases over these off-equatorial regions in CCSM4_20C originate from the intrinsic biases in the atmosphere-land and ocean-sea ice model components, and are further weakened/amplified by atmosphere-ocean coupling.

It is noted that the overall amplitude of the SST bias in CCSM4_20C is smaller than the amplitude of the total implicit SST bias in EXP_ATM + EXP_OCN. This is not unexpected because the total implicit bias in EXP_ATM + EXP_OCN estimates the extent to which the spurious atmosphere-ocean dynamics in the atmosphere-land and ocean sea-ice model components could *potentially* contribute to the SST bias once the air-sea coupling is initiated. For instance, in a region where the total implicit SST bias is positive, once the air-sea coupling is initiated, the model SSTs will increase initially. However, the increased SSTs will in turn enhance the longwave radiative and latent cooling at the surface to reduce the rate of SST warming. Therefore, it is highly unlikely that the SST bias will reach the full extent of the total implicit SST bias.

It is interesting to note that the implicit SST bias in EXP_OCN (Fig. 4(a)) is slightly negative over the eastern equatorial Atlantic region. This is somewhat inconsistent with the SST bias in CCSM4_20C over the same region (Fig. 1(c)). Therefore, to better understand the origin of the equatorial Atlantic SST bias in CCSM4_20C, in the next section we explore the initial development of the tropical Atlantic SST bias in EXP_CPL. It is shown in the next section that the ocean-sea ice model does contribute significantly in forcing the eastern equatorial Atlantic warm SST bias due to its spurious ocean dynamic and mixing processes. However, its influence is limited only in early boreal summer during which massive entrainment of the equatorial cold thermocline water into the surface mixed layer occurs (e.g., Lee and Csanady 1999a; 1999b).

4. Initial development of the SST bias in EXP_CPL

Fig. 4(c) shows the SST bias in EXP_CPL averaged over the first year. Overall, both the amplitude and spatial pattern of the SST bias in EXP_CPL developed over the first year are very similar to those of the annually averaged SST bias in CCSM4_20C (Fig. 1(c)), suggesting that the tropical Atlantic SST bias develops very quickly (note the different scales used in Fig. 1(c) and Fig. 4(c)).

Fig. 5 shows the bi-monthly SST bias development in the fully coupled model experiment (EXP_CPL) during the first and second years of the model integration. An interesting point is that the cold SST bias in the eastern equatorial Atlantic, which apparently originates from the ocean-sea ice model (Fig. 4(a)), persists only during the first four months of the coupled model integration. It disappears afterward and is completely masked by the warm SST bias in June of the first year. Among other features, perhaps the most striking is the fast development of the warm SST bias in the southeastern tropical Atlantic - the SST bias along the coast of Angola exceeds 6°C by June of the first year.

Although the tropical Atlantic SST bias in EXP_CPL develops very quickly within a year, largely due to the combined effect of intrinsic biases in EXP_ATM and EXP_OCN, in some regions the SST bias in the first year is further weakened or amplified, probably due to the active atmosphere-ocean coupling. For instance, the cold SST bias over the southwestern tropical Atlantic in the first year is much reduced in the second year due to the eastward expansion of the warm SST anomalies in the southeastern tropical Atlantic. It is also clear that the warm SST bias in the eastern equatorial Atlantic during the first year strengthens and expands westward in the second year.

In order to better describe the tropical Atlantic SST biases in EXP_CPL and how they are forced by EXP_ATM, EXP_OCN and the atmosphere-ocean coupling, the bi-monthly tropical Atlantic SST bias tendencies (°C month^{-1}) in EXP_CPL, EXP_ATM + EXP_OCN, EXP_ATM and EXP_OCN during the first year are shown in Fig. 6. It is clearly shown that the southeastern tropical Atlantic warm SST bias in EXP_CPL, which is largely forced in boreal spring, is mainly caused by EXP_OCN due to spurious ocean dynamic and mixing processes, with an assumption that the surface fluxes prescribed in EXP_OCN is error-free. It is also clear that the initial development of the eastern equatorial warm SST bias, which is mainly forced in early boreal summer, is also caused by EXP_OCN due to spurious ocean dynamic and mixing processes. By comparing the SST bias tendency in EXP_CPL and the implicit SST bias tendency in EXP_OCN, it is clear that the atmosphere-ocean coupling tends to weaken the implicit SST bias tendency in these regions. This clearly suggests that the atmosphere-ocean coupling is not the cause of the eastern equatorial warm SST bias at least in the first year of the coupling. These features in the equatorial Atlantic are much more clearly illustrated in Fig. 7, which shows the time evolutions of the SST bias tendencies (implicit SST bias tendencies) along the equatorial Atlantic and the contributions by the surface heat flux errors and by errors involving ocean dynamic and mixing processes in EXP_CPL (EXP_ATM and EXP_OCN). Therefore, we may conclude that the eastern equatorial and southeastern tropical Atlantic warm SST biases in EXP_CPL are mainly forced by EXP_OCN due to its spurious ocean dynamic and mixing processes during boreal spring and summer.

Richter and Xie (2008) analyzed CMIP3 models and argued that the westerly wind bias in boreal spring over the western equatorial Atlantic deepens the thermocline in the eastern equatorial Atlantic preventing the development of the cold tongue in boreal summer, and thus is the root cause of the equatorial Atlantic warm SST bias in CMIP3 models. Our analysis of the three CESM1 experiments, however, suggests that the ocean-sea ice model due to its spurious ocean dynamic and mixing processes may contribute more significantly than the atmosphere-land model to the eastern equatorial Atlantic warm SST bias in CCSM4/CESM1. Therefore, although we acknowledge the potential importance of the westerly wind bias in boreal spring over the western equatorial Atlantic, which originates from the atmosphere-land model (see Fig. 2(b)), here we stress that solving this problem in the atmosphere-land model alone does not resolve the equatorial Atlantic warm bias in CCSM4/CESM1.

Grodsky et al. (2012) showed that mean sea level pressure in CCSM4 is erroneously high by a few millibars in the subtropical highs and erroneously low in the polar lows similar to CCSM3, and thus the trade winds are 1 ~ 2 m s^{-1} too strong. Since the cold SST biases in the southwestern and northwestern tropical Atlantic are closely linked to the strength of the trade winds in EXP_ATM, it is likely that their root cause is linked to the subtropical highs in the atmosphere-land model.

5. Equatorial Atlantic subsurface temperature bias in EXP_OCN

The methodology used in this study only provides a mean to estimate the integrated effects of the spurious ocean dynamic and mixing processes in EXP_OCN via "implicit SST bias". To further understand what causes the spurious ocean dynamic and mixing processes, the equatorial Atlantic subsurface temperature bias in EXP_OCN is explored here. Figure 8 shows the monthly-averaged equatorial Atlantic temperature bias (averaged for 5ºS - 5ºN) in EXP_OCN for the upper 200 m. In order to compute the temperature bias, we use EN4, which is a global quality controlled ocean temperature data set provided by the Met Office Hadley Centre (Good et al. 2013). The green lines show the corresponding mixed layer depths obtained from EXP_OCN (solid line) and EN4 (dashed line).

This figure clearly shows that the temperature bias near the surface is quite small because the model-simulated surface temperature is strongly damped to the prescribed air temperature and specific humidity. However, at the base of the model-simulated mixed layer, the temperature bias increases up to 6^{o}C. This suggests that due to spurious ocean dynamic and mixing processes in the ocean-sea ice model, the upper thermocline water entrained into the mixed layer during early summer (e.g., Lee and Csanady 1999a; 1999b) is too warm. Therefore, once the ocean sea-ice model is fully coupled to the atmosphere-land model, the extra heat in the mixed layer caused by the entrainment of the warmer-than-observed upper thermocline layer will produces warm SST bias in the equatorial Atlantic upwelling region.

Fig. 8 also shows that the mixed layer depth is too deep in EXP_OCN. This suggests that the vertical turbulent mixing may be too intense in EXP_OCN. It is likely that the warmer-than-observed upper thermocline layer weakens the vertical stratification over the upper thermocline and thus increases turbulent mixing at the mixed layer base. This means that the mixed layer depth bias may be directly linked to the upper thermocline temperature bias. One hypothesis is that the spurious vertical diffusion in the thermocline layer due to vertical discretization brings too much heat into the upper thermocline layer from the mixed layer, which in turn weakens the vertical stratification and thus further increases the vertical mixing across the mixed layer base, a positive feedback. To further investigate what processes or parameterizations are responsible for the warmer-than-observed upper thermocline and deeper-than-observed mixed layer depth, it is necessary to perform sensitivity experiments by using the stand-alone ocean sea-ice model and the diagnostic methodology proposed in this study.

6. Impact of uncertainty in the reference surface flux fields

It should be pointed out that our results are not entirely independent from uncertainty in the reference surface flux product used (i.e., COREv2). For instance, if the net surface heat flux in

COREv2 is too large, it will contribute positively (negatively) to the implicit SST bias in EXP_OCN (EXP_ATM) according to Eqs. (1) and (3). Although considerable effort was invested to minimize errors (see Large and Yeager 2009 for more details), COREv2 is still far from error-free. Therefore, in a more strict sense, Eq. (3) should be considered as the implicit SST bias in EXP_OCN referenced to COREv2. Similarly, Eq. (1) should be considered as the implicit SST bias in EXP_ATM referenced to COREv2. Nevertheless, it should be noted that the total implicit SST bias in EXP_ATM + EXP_OCN is independent from the reference surface flux product used, and is thus not subject to uncertainty in the reference surface flux product at least in a linear sense (see Eq. (3)).

To better understand if and how the uncertainty in the reference surface flux product influences the implicit SST bias in EXP_ATM and EXP_OCN, two additional experiments are performed by forcing the stand-alone ocean sea-ice model for 120 years with the surface flux fields derived from the European Centre for Medium-Range Weather Forecasts Interim (ERA_INT) reanalysis (Dee et al. 2011), and the Modern-Era Retrospective Analysis for Research and Applications (MERRA) reanalysis (Rienecker et al. 2011)

As shown in Figs. 9(a), (d) and (g), the implicit SST bias in EXP_ATM referenced to COREv2 is generally more positive compared to that referenced to either ERA_INT or MERRA. On the contrary, the implicit SST bias in EXP_OCN referenced to COREv2 is generally more negative compared to that referenced to either ERA_INT or MERRA. What these mean is that the net surface heat flux into the tropical Atlantic is larger overall in ERA_INT and MERRA than that in COREv2. Nevertheless, the spatial patterns of the implicit SST bias in EXP_ATM referenced to the three surface flux products (i.e., COREv2, ERA_INT and MERRRA) are quite similar. As shown in Figs. 9(b), (e) and (h), the same conclusion can be drawn for the implicit SST bias in EXP_OCN.

In sum, the overall magnitude of the implicit SST bias can be attributed more to either the atmosphere-land model or the ocean sea-ice model depending on the reference surface flux product used. In other words, the choice of the reference surface heat flux product will impact the estimates of implicit SST biases in EXP_ATM and EXP_OCN. However, the spatial patterns of the implicit bias in EXP_ATM and EXP_OCN are largely determined by inherent deficiencies of the atmosphere-land, and ocean-sea ice model components, respectively. As such, the total implicit SST bias in EXP_ATM + EXP_OCN is only minimally affected by the reference surface flux product used (see Figs. 9(c), (f) and (i)). Therefore, we can conclude that the total implicit bias in EXP_ATM + EXP_OCN is a reliable measure of inherent deficiency in CESM1.

7. Summary and Discussions

In order to better understand the initial development of the tropical Atlantic SST bias in AOGCMs, we performed a series of model experiments using CESM1. These experiments are a forced atmosphere-land model experiment (EXP_ATM), a forced ocean-ice model experiment (EXP_OCN) and a fully coupled model experiment with its atmosphere-land model initialized using EXP_ATM and the ocean-ice model using EXP_OCN (EXP_CPL).

We propose and use a new method of diagnosis to identify and quantify intrinsic errors in the atmosphere-land and ocean-sea ice model components of CESM1. It is shown here that both the atmosphere-land and ocean-sea ice model components contain significant errors in the tropical Atlantic. In boreal summer, the ocean-sea ice model could cause large amplitudes of warm SST bias in the eastern equatorial and southeastern tropical Atlantic due to its spurious ocean dynamic and mixing processes even if it is coupled to a perfect atmosphere-land model and the SST bias does not feedback onto the ocean-sea ice model. In the atmosphere-land model, the trade winds and associated surface latent cooling are too strong in the northwestern and southwestern tropical Atlantic, while they are too weak in the northeastern and southeastern tropical Atlantic. Therefore, even if the atmosphere-land model is coupled to a perfect ocean-sea ice model and the SST bias does not feedback onto the atmosphere-land model, warm (cold) SST bias could be generated in the northeastern (northwestern) and southeastern (southwestern) tropical Atlantic.

In the fully coupled model simulation with its atmosphere-land model initialized using EXP_ATM and the ocean-sea ice model using EXP_OCN, the tropical Atlantic SST bias develops very quickly within a year, and its seasonality and spatial pattern are largely determined by the linear combination of the implicit SST bias in EXP_ATM and EXP_OCN. In particular, it is shown that the eastern equatorial and southeastern tropical Atlantic warm SST bias in the fully coupled simulation are forced in early boreal summer by the ocean-sea ice model due to its spurious ocean dynamic and mixing processes. Further analysis shows that the upper thermocline water underneath the eastern equatorial Atlantic surface mixed layer is too warm in EXP_OCN. This suggests that the mixed layer cooling in boreal summer associated with the equatorial entrainment of upper thermocline water is too weak.

The main emphasis in this paper is to explore how the tropical Atlantic SST bias in CESM1 is initiated and evolves. Here, we identify that the intrinsic errors in the ocean-sea ice model contribute significantly to the tropical SST bias in CESM1. However, this does not mean that the atmosphere-land model contributes less to the tropical SST bias. In addition to the intrinsic errors in the atmosphere-land model explored in this study, the equatorial Atlantic surface wind bias in EXP_ATM could affect the upper ocean dynamics in EXP_CPL, which may feedback onto the equatorial Atlantic SST in EXP_CPL (Richter and Xie 2008). Therefore, we acknowledge the importance of improving critical problems in the atmosphere-land model. We only stress here that solving those problems in the atmosphere-land model alone does not resolve the equatorial Atlantic warm bias in CESM1. It should be also pointed out that the choice of the mixed layer depth used to determine the implicit SST bias in EXP_ATM (see Eq. (1)) is somewhat arbitrary, which is one of the limitations of the proposed method to diagnose the implicit SST bias in EXP_ATM.

Additionally, we would like to point out that our results are not entirely independent from uncertainty in the reference surface flux product used. In particular, the overall magnitude of the implicit SST bias can be attributed more to either the atmosphere-land model or the ocean sea-ice model depending on the reference surface flux product used. Nevertheless, the total implicit SST bias in EXP_ATM + EXP_OCN is only minimally affected by uncertainties in the reference surface flux product used, and thus is a reliable measure of inherent deficiency in CESM1. Further studies are also needed to trace the parameterizations and/or configurations in the ocean-sea ice model that are directly linked to the errors. Therefore, we recommend sensitivity studies on model resolutions (in both the horizontal and vertical directions), representation of surface flux fields especially off Angola and Namibia, vertical mixing schemes and isopycnal mixing schemes, using the ocean-sea ice model component of CESM1 and the diagnosis method proposed in this study.

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Figure captions

Fig. 1. Annually averaged climatological SSTs in the tropical Atlantic from (a) EN4, a global quality controlled ocean temperature data set provided by the Met Office Hadley Centre (Good et al. 2013), for 1949-2005, and (b) CCSM4 historical simulation for 1949-2005. The SST bias in CCSM4 is shown in (c). The unit is °C. The SST bias values higher than 6^{o}C are masked.

Fig. 2. Annually averaged implicit SST bias in EXP_ATM due to (a) the net surface heat flux bias, which is computed by integrating the net heat flux bias in EXP_ATM for one year from

January 1 to December 31, then dividing it by 12 months. Contributions by (b) shortwave radiative heat flux bias, (c) latent heat flux bias and (d) longwave radiative heat flux bias. The vectors in (c) show the annually averaged surface wind stress bias. The unit for the implicit SST bias is °C.

Fig. 3. Annually averaged SST bias in EXP_OCN. The unit is °C.

Fig. 4. Annually averaged implicit SST bias in (a) EXP_OCN and (b) EXP_ATM + EXP_OCN. (c) Annually averaged SST bias in EXP_CPL during the first year. The unit is °C. The implicit SST bias values higher than 12°C are masked.

Fig. 5. Time evolution of the SST bias in EXP_CPL during the first and second year. The unit is °C.

Fig. 6. (1st column) Time evolution of the SST bias tendency in EXP_CPL during the first year. Time evolution of the implicit SST bias tendency in (2nd column) EXP_ATM + EXP_OCN, (3rd column) EXP_ATM, and (4th column) EXP_OCN. The unit is °C month^{-1}.

Fig. 7. Time-longitude evolutions of (a) the SST bias tendencies along the equatorial Atlantic, and the contributions by (b) the surface heat flux errors and (c) errors involving ocean dynamic processes in EXP_CPL during the first year. Time-longitude evolutions of implicit SST bias tendencies in (d) EXP_ATM + EXP_OCN, (e) EXP_ATM and (f) EXP_OCN. The unit is °C month^{-1}.

Fig. 8. Time-depth evolutions of the equatorial Atlantic temperature bias (shaded) and mixed layer depth (green solid line) averaged for 5ºS-5ºN obtained from EXP_OCN. The green dashed line is the mixed layer depth obtained from EN4.

Fig. 9. Annually averaged implicit SST bias in (a,d,g) EXP_ATM, (b,e,h) EXP_OCN, and (c,f,i) EXP_ATM + EXP_OCN referenced to (a,b,c) COREv2, (d,e,f) ERA_INT, and (g,h,i) MERRA. The unit is °C. The SST bias values higher than 12^{o}C are masked.

Figure-1

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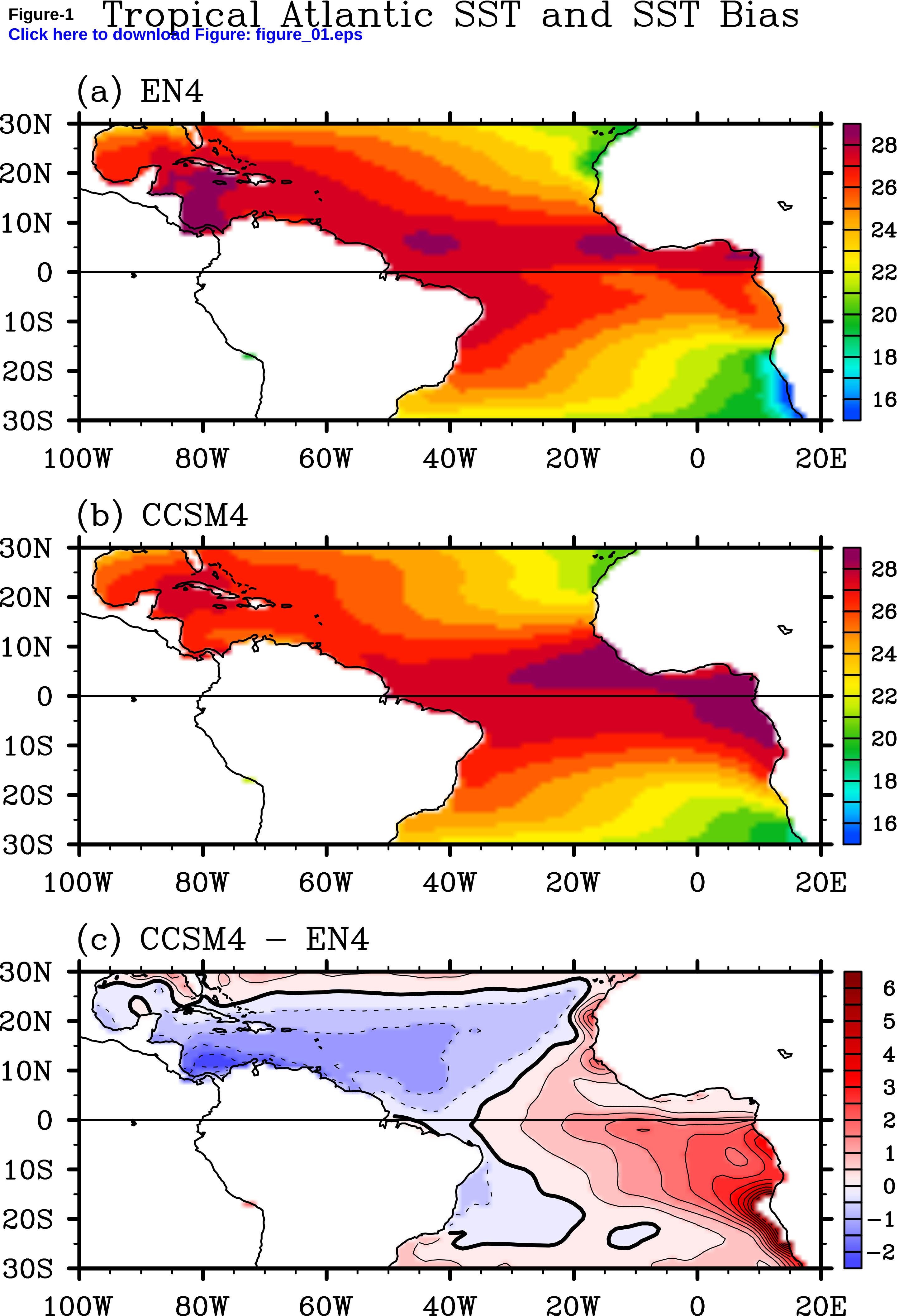

Figure-2

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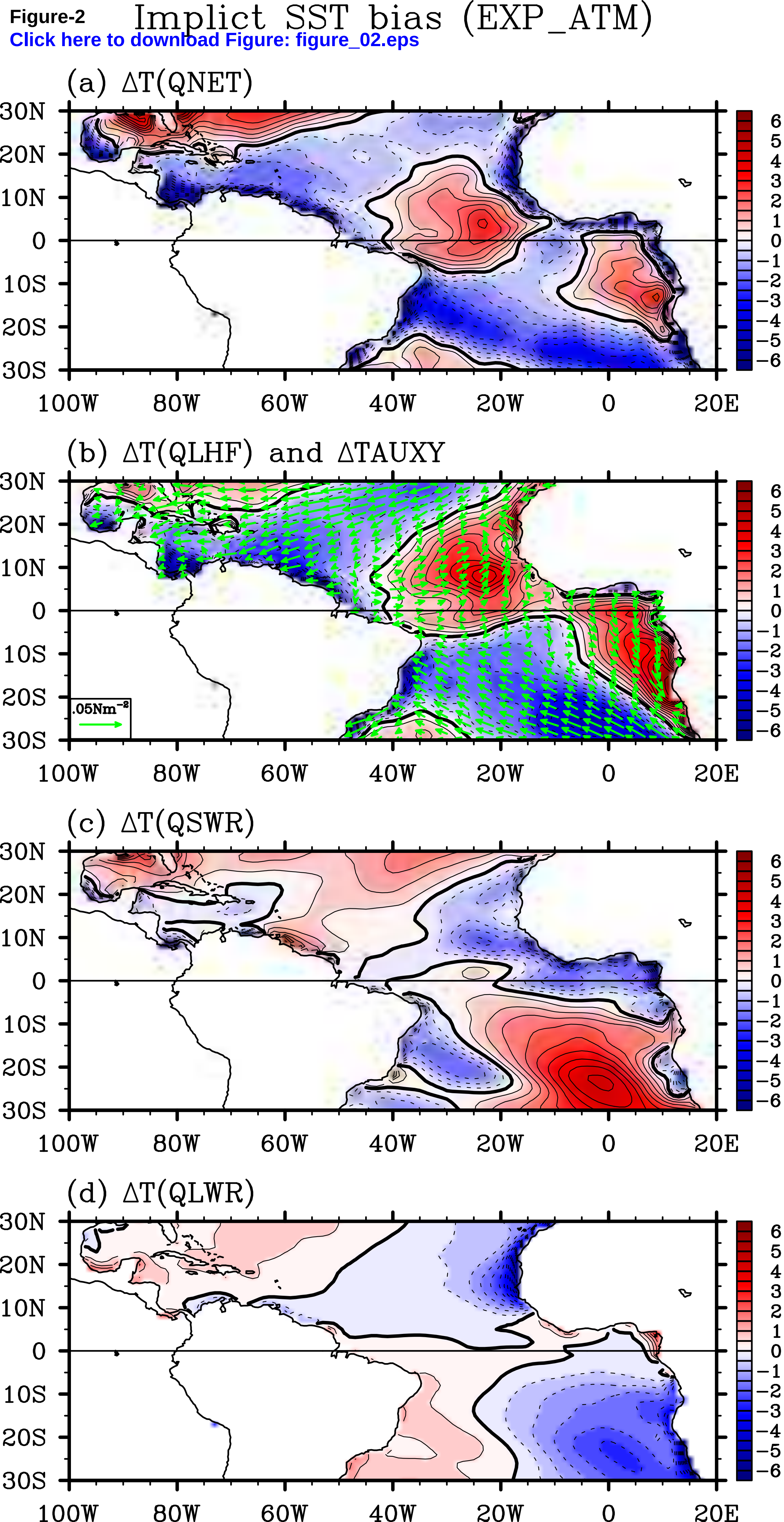

Figure-3
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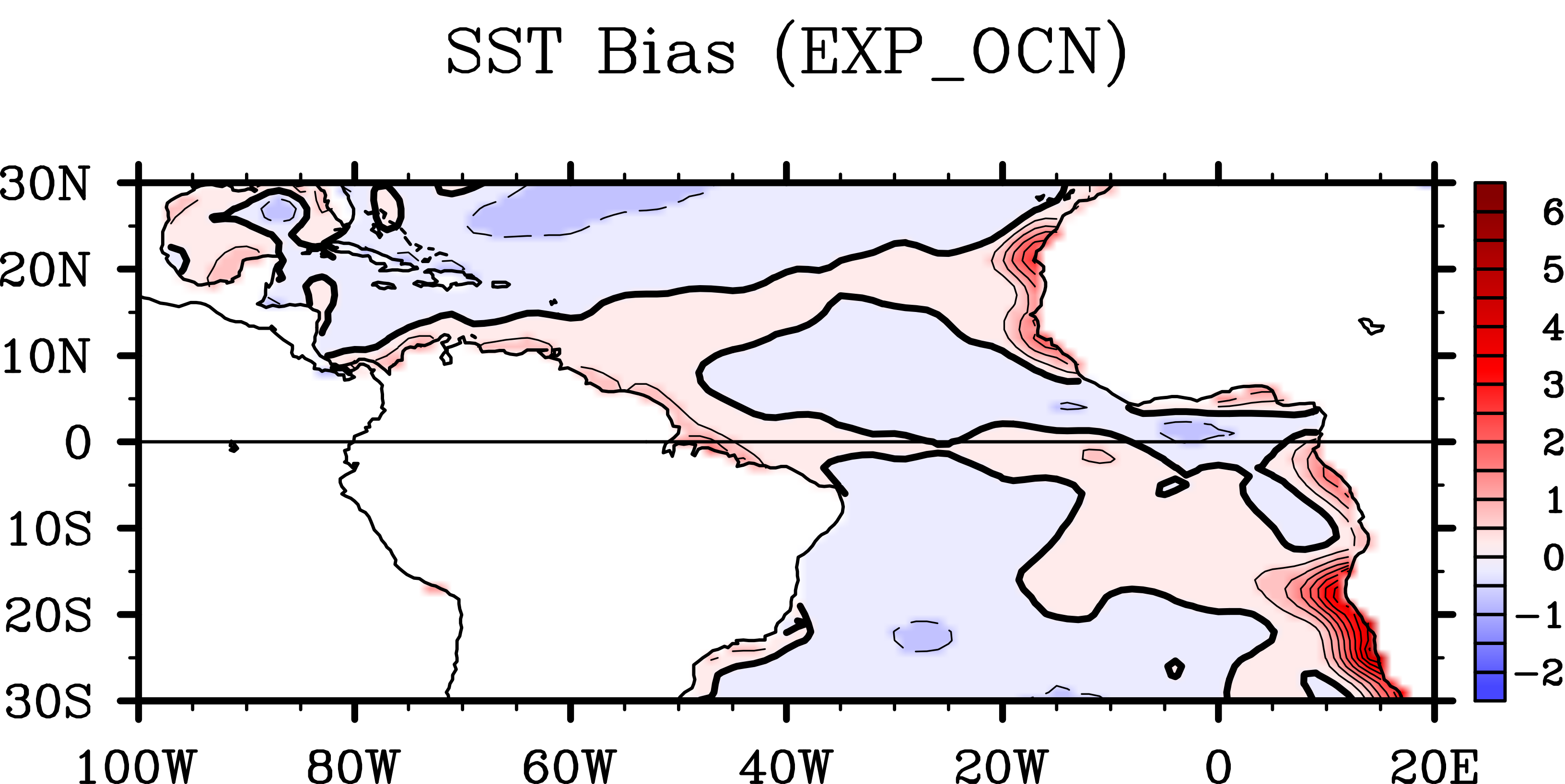

Figure 4
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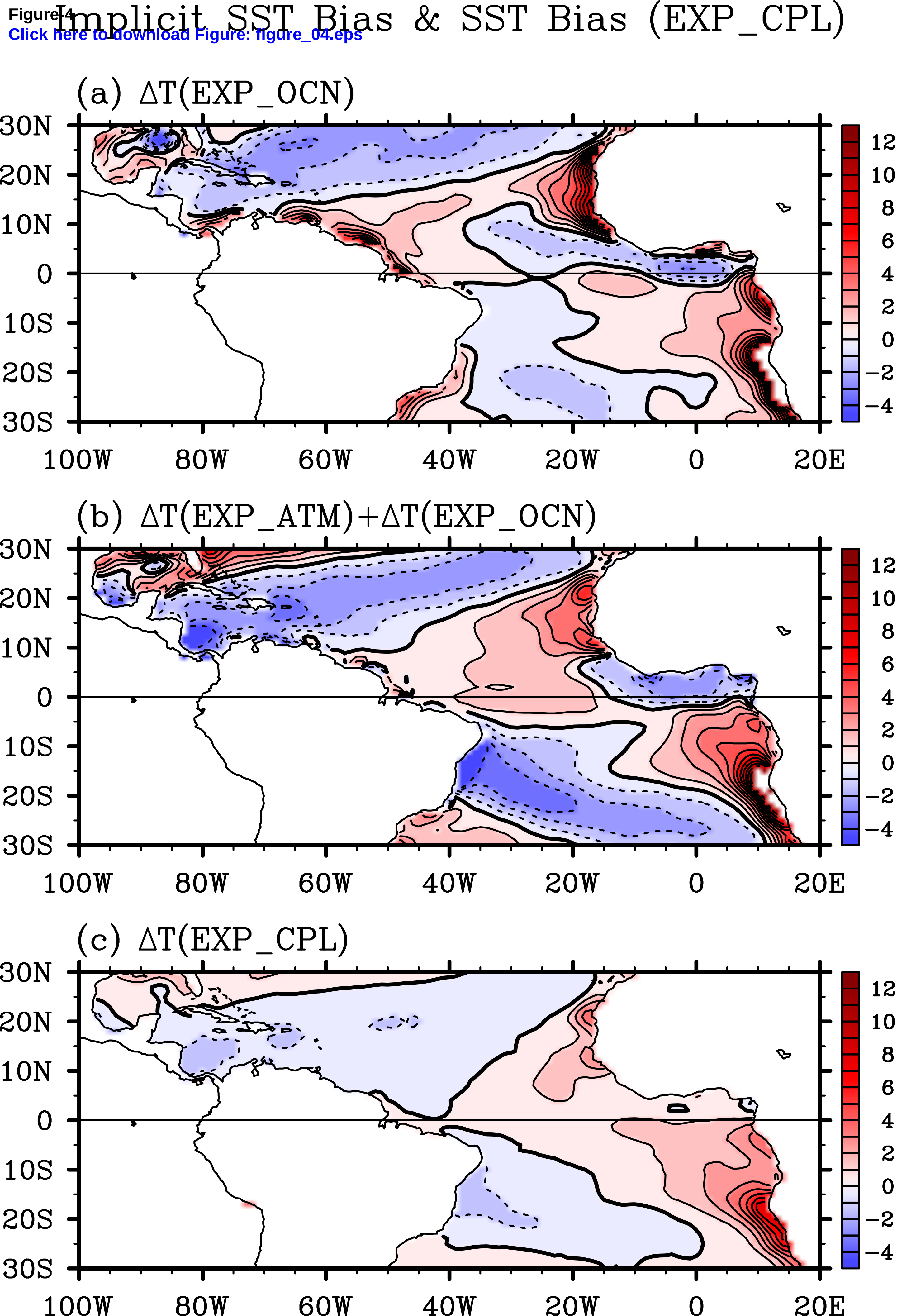

Figure-5

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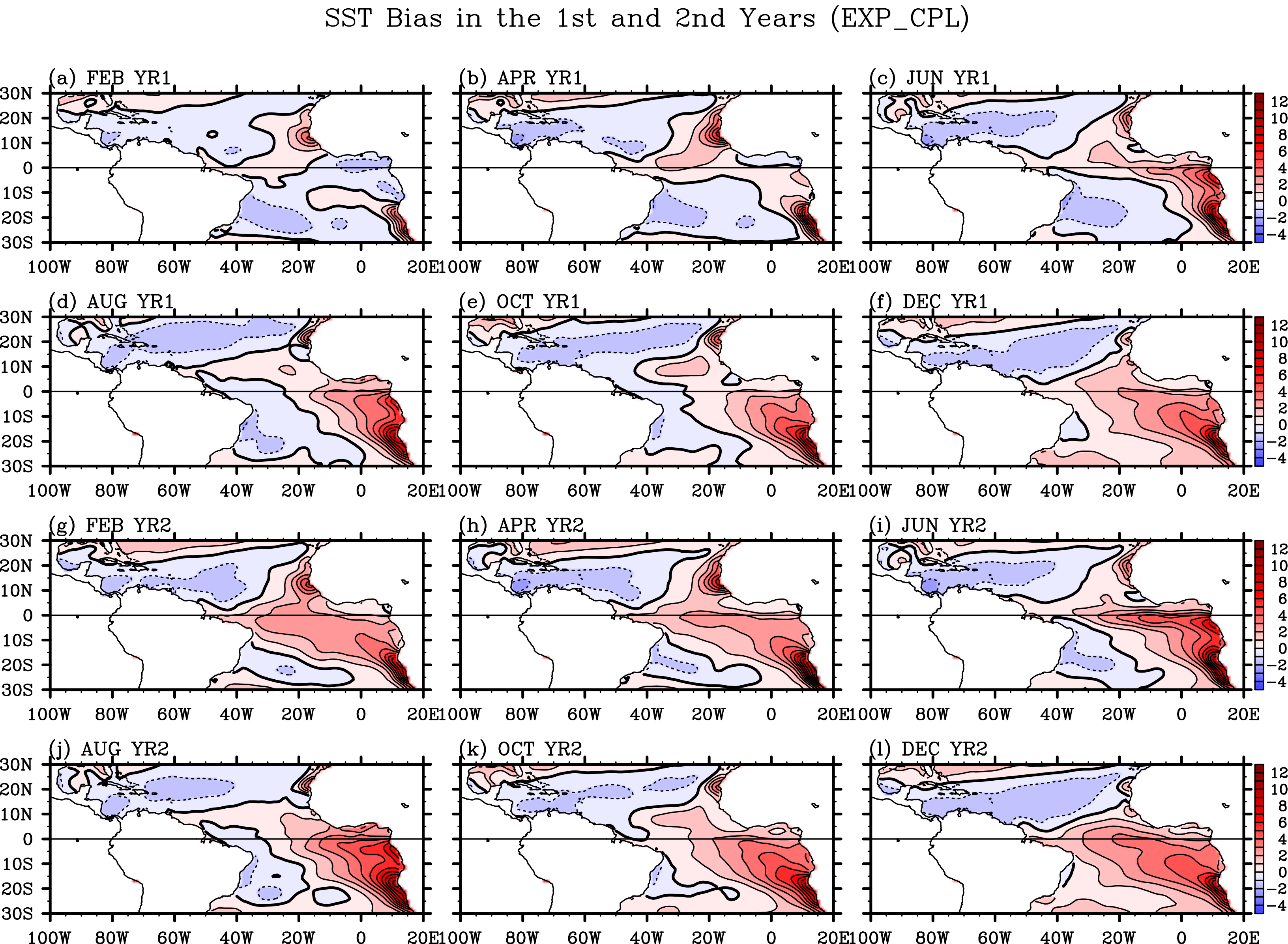

Figure-6

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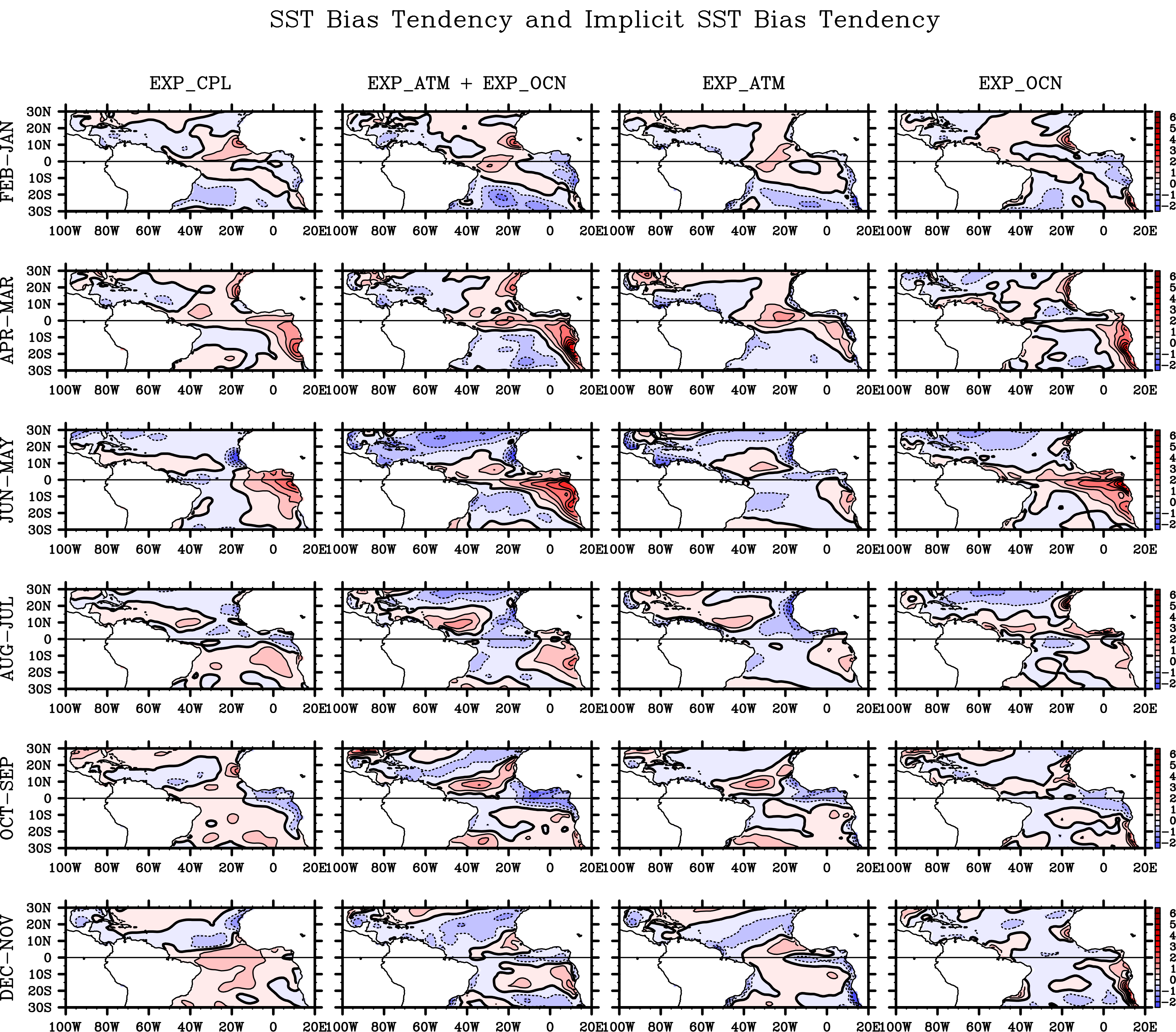

Figure-7

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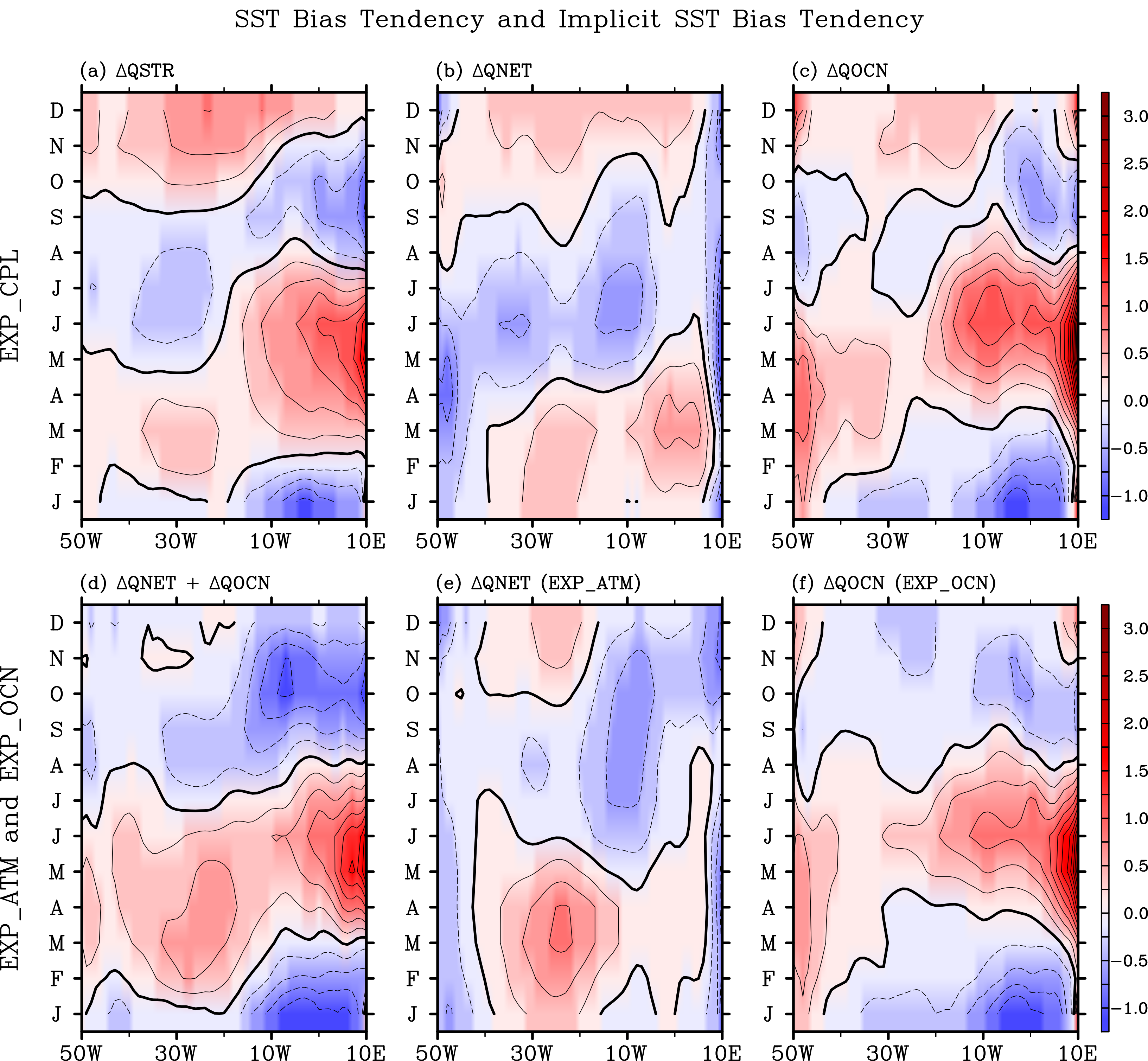

Figure-8

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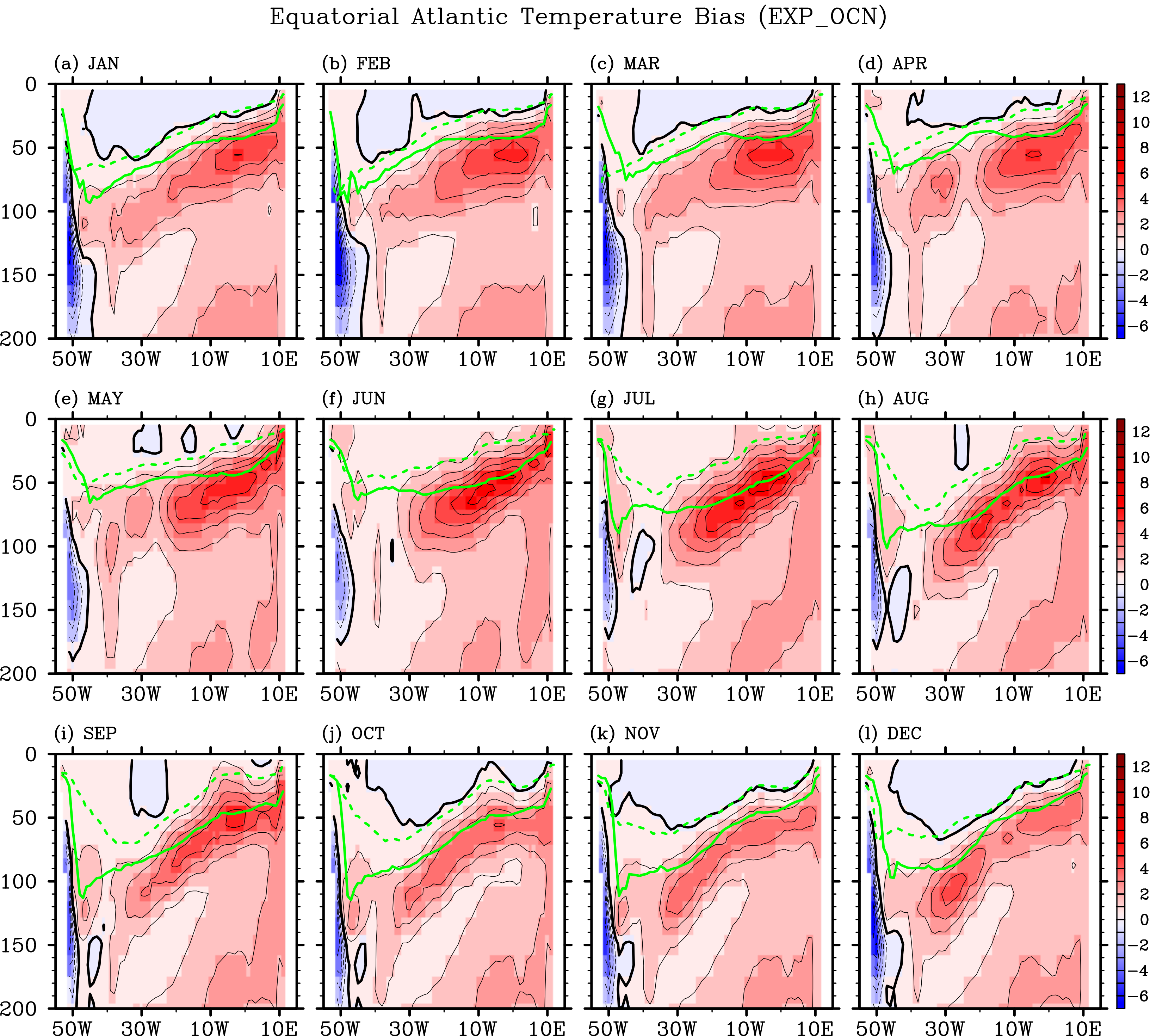

Figure-9

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