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45 BEACON STREET BOSTON MASSACHUSETTS 02108

E-MAIL: EDITORINCHIEF@ametsoc.org

EDITOR IN CHIEF JEFF ROSENFELD
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SPECIAL EDITOR FOR STATE OF THE CLIMATE MICHAEL ALEXANDER

April 2021

Dear Reviewer:

The State of the Climate in 2020 is the next of a series of statements published annually as a supplement to the *Bulletin of the American Meteorological Society*. The report is prepared by a team of editors working with about 500 authors from more than 60 countries, who contribute based on their areas of expertise and/or region of interest in the world. Editors are responsible for one of six core chapters of the report: Global Climate, Global Oceans, The Tropics, The Arctic, Antarctica, and Regional Climates.

The primary and dominant focus of the report is on describing weather and climate conditions in 2020 and using past data to place these in historical perspective in order to enhance understanding of Earth's variable and changing climate.

Maintaining a strict schedule is essential to enable the report's timely completion and to keep the information useful and relevant. Therefore, analyses contained in the report are restricted to *previously* peer-reviewed and widely accepted methods, datasets, and monitoring techniques. The data may be updated and treated with techniques already published or simple, widely used statistical analysis (e.g. creating anomalies, etc). The report is not a venue for new types of analyses or research results. Sections within each chapter are tightly focused, and summaries are relatively brief (exception: "sidebars" may introduce an event or concept with which the BAMS readership is not fully familiar, and they are written in a more explanatory manner). In total, the peer review of this report is not expected to be time consuming or difficult; therefore we hope to receive your comments within two weeks.

For the sections you are assigned, please review the material not only for the scope described above, but also, in particular, please identify:

- errors in the author's summary of climate and climate-related conditions in 2020
- errors in the historical context within which the conditions are described
- important omissions, but bear in mind the report is already lengthy so consider balancing any recommended additions with recommendations for text to delete
- assertions of climatic state, dynamics, and data that do not reasonably appear to be founded upon previously peer-reviewed or widely-accepted methods, datasets, and monitoring techniques

Feel free to point out problems with the production quality of the images, grammatical errors, or the layout of the document, but keep in mind that a separate round of editing and production will address those issues before publication. Feel free also to make suggestions about the overall structure, style, balance, consistency, and sources of the report, but because of time and other logistical constraints, the authors are unlikely to be able to address fundamental comments until next year's State of the Climate report. Every effort will be made to correct and revise the report based on your review.

Your willingness to conduct this review is greatly appreciated. It will help guarantee the continued quality of this report and ensure it provides useful information on the state of Earth's climate to the scientific community, stakeholders, and decision makers.

Sincerely,

Michael Alexander, Special Editor for State of the Climate
Jeff Rosenfeld, BAMS Editor in Chief
and State of the Climate Development Team

1 AUTHORS & AFFILIATIONS

2 **Alin, Simone R.**, NOAA/OAR Pacific Marine Environmental Laboratory, Seattle,
3 Washington

4 **Amaya, Dillon J.**, Cooperative Institute for Research in Environmental Sciences,
5 University of Colorado, Boulder, Colorado

6 **Baringer, Molly O.**, NOAA/OAR Atlantic Oceanographic and Meteorological
7 Laboratory, Miami, Florida

8 **Boyer, Tim**, NOAA/NESDIS National Centers for Environmental Information, Silver
9 Spring, Maryland

10 **Brandt, Peter**, GEOMAR Helmholtz Centre for Ocean Research Kiel, Kiel, Germany

11 **Carter, Brendan R.**, University of Washington, Cooperative Institute for Climate,
12 Ocean, and Ecosystem Studies, and NOAA/OAR Pacific Marine Environmental Laboratory,
13 Seattle, Washington

14 **Cetinić, Ivona**, NASA Goddard Space Flight Center, Greenbelt, Maryland, and
15 Universities Space Research Association, Columbia, Maryland

16 **Chambers, Don P.**, College of Marine Science, University of South Florida, St.
17 Petersburg, Florida

18 **Cheng, Lijing**, International Center for Climate and Environment Sciences, Institute of
19 Atmospheric Physics, Chinese Academy of Sciences, Beijing, China

20 **Collins, Andrew U.**, University of Washington, Cooperative Institute for Climate, Ocean,
21 and Ecosystem Studies, Seattle, Washington

22 **Cosca, Cathy**, NOAA/OAR Pacific Marine Environmental Laboratory, Seattle,
23 Washington

24 **Domingues, Ricardo**, Cooperative Institute for Marine and Atmospheric Studies,
25 University of Miami, and NOAA/OAR Atlantic Oceanographic and Meteorological
26 Laboratory, Miami, Florida

27 **Dong, Shenfu**, NOAA/OAR Atlantic Oceanographic and Meteorological Laboratory,
28 Miami, Florida

29 **Feely, Richard A.**, NOAA/OAR Pacific Marine Environmental Laboratory, Seattle,
30 Washington

31 **Frajka-Williams, Eleanor**, National Oceanography Centre, United Kingdom

32 **Franz, Bryan A.**, NASA Goddard Space Flight Center, Greenbelt, Maryland

33 **Gilson, John**, Scripps Institution of Oceanography, University of California San Diego,
34 La Jolla, California

35 **Goni, Gustavo**, NOAA/OAR Atlantic Oceanographic and Meteorological Laboratory,
36 Miami, Florida

37 **Hamlington, Benjamin D.**, Center for Coastal Physical Oceanography, Old Dominion
38 University, Norfolk, Virginia

39 **Herrford, Josefine**, GEOMAR Helmholtz Centre for Ocean Research Kiel, Kiel,
40 Germany

41 **Hu, Zeng-Zhen**, NOAA/NWS NCEP Climate Prediction Center, College Park, Maryland

42 **Huang, Boyin**, NOAA/NESDIS National Centers for Environmental Information,
43 Asheville, North Carolina

44 **Ishii, Masayoshi**, Department of Atmosphere, Ocean and Earth System Modeling
45 Research, Meteorological Research Institute, Japan Meteorological Agency, Tsukuba, Japan

46 **Jevrejeva, Svetlana**, National Oceanography Centre, Liverpool, United Kingdom

47 **Johnson, Gregory C.**, NOAA/OAR Pacific Marine Environmental Laboratory, Seattle,
48 Washington

49 **Kennedy, John J.**, Met Office Hadley Centre, Exeter, United Kingdom

50 **Kersalé, Marion**, Cooperative Institute for Marine and Atmospheric Studies, University
51 of Miami, and NOAA/OAR Atlantic Oceanographic and Meteorological Laboratory, Miami,
52 Florida

53 **Killick, Rachel E.**, Met Office Hadley Centre, Exeter, United Kingdom

54 **Landschützer, Peter**, Max Planck Institute for Meteorology, Hamburg, Germany

55 **Lankhorst, Matthias**, Scripps Institution of Oceanography, University of California San
56 Diego, La Jolla, California

57 **Leuliette, Eric**, NOAA/NWS NCWCP Laboratory for Satellite Altimetry, College Park,
58 Maryland

59 **Locarnini, Ricardo**, NOAA/NESDIS National Centers for Environmental Information,
60 Silver Spring, Maryland

61 **Lumpkin, Rick**, NOAA/OAR Atlantic Oceanographic and Meteorological Laboratory,
62 Miami, Florida

63 **Lyman, John M.**, Joint Institute for Marine and Atmospheric Research, University of
64 Hawaii, Honolulu, Hawaii, and NOAA/OAR Pacific Marine Environmental Laboratory,
65 Seattle, Washington

66 **Marra, John J.**, NOAA/NESDIS National Centers for Environmental Information,
67 Honolulu, Hawaii

68 **Meinen, Christopher S.**, NOAA/OAR Atlantic Oceanographic and Meteorological
69 Laboratory, Miami, Florida

70 **Merrifield, Mark A.**, Joint Institute for Marine and Atmospheric Research, University of
71 Hawaii, Honolulu, Hawaii

72 **Mitchum, Gary T.**, College of Marine Science, University of South Florida, St.
73 Petersburg, Florida

74 **Moat, Ben I.**, National Oceanography Centre, United Kingdom

75 **Nerem, R. Steven**, Colorado Center for Astrodynamics Research, Cooperative Institute
76 for Research in Environmental Sciences, University of Colorado Boulder, Boulder, Colorado

77 **Perez, Renellys C.**, NOAA/OAR Atlantic Oceanographic and Meteorological
78 Laboratory, Miami, Florida

79 **Purkey, Sarah G.**, Scripps Institution of Oceanography, University of California San
80 Diego, La Jolla, California

81 **Reagan, James**, Earth System Science Interdisciplinary Center/Cooperative Institute for
82 Satellite Earth System Studies–Maryland, University of Maryland, College Park, Maryland,
83 and NOAA/NESDIS National Centers for Environmental Information, Silver Spring,
84 Maryland

85 **Sanchez-Franks, Alejandra**, National Oceanography Centre, United Kingdom

86 **Scannell, Hillary A.**, Lamont-Doherty Earth Observatory, Columbia University,
87 Palisades, New York

88 **Schmid, Claudia**, NOAA/OAR Atlantic Oceanographic and Meteorological Laboratory,
89 Miami, Florida

90 **Scott, Joel P.**, NASA Goddard Space Flight Center, Greenbelt, Maryland, and Science
91 Application International Corporation, Reston, Virginia

92 **Siegel, David A.**, University of California–Santa Barbara, Santa Barbara, California

93 **Smeed, David A.**, National Oceanography Centre, United Kingdom

94 **Stackhouse, Paul W.**, NASA Langley Research Center, Hampton, Virginia

95 **Sweet, William**, NOAA/NOS Center for Operational Oceanographic Products and
96 Services, Silver Spring, Maryland

97 **Thompson, Philip R.**, Joint Institute for Marine and Atmospheric Research, University
98 of Hawaii, Honolulu, Hawaii

99 **Triñanes, Joaquin A.**, Laboratory of Systems, Technological Research Institute,
100 Universidad de Santiago de Compostela, Santiago de Compostela, Spain; Cooperative
101 Institute for Marine and Atmospheric Studies, University of Miami, and NOAA/OAR
102 Atlantic Oceanographic and Meteorological Laboratory, Miami, Florida

103 **Volkov, Denis L.**, Cooperative Institute for Marine and Atmospheric Studies, University
104 of Miami, and NOAA/OAR Atlantic Oceanographic and Meteorological Laboratory, Miami,
105 Florida

106 **Wanninkhof, Rik**, NOAA/OAR Atlantic Oceanographic and Meteorological Laboratory,
107 Miami, Florida

108 **Weller, Robert A.**, Woods Hole Oceanographic Institution, Woods Hole, Massachusetts

109 **Wen, Caihong**, NOAA/NWS NCEP Climate Prediction Center, College Park, Maryland

- 110 **Westberry, Toby K.**, Oregon State University, Corvallis, Oregon
- 111 **Widlansky, Matthew J.**, Joint Institute for Marine and Atmospheric Research,
112 University of Hawaii, Honolulu, Hawaii
- 113 **Wilber, Anne C.**, Science Systems and Applications, Inc., Hampton, Virginia
- 114 **Yu, Lisan**, Woods Hole Oceanographic Institution, Woods Hole, Massachusetts
- 115 **Zhang, Huai-Min**, NOAA/NESDIS National Centers for Environmental Information,
116 Asheville, North Carolina

117 **3. Global Oceans**—R. Lumpkin and G. C. Johnson, Eds.

118 *a. Overview*—G. C. Johnson and R. Lumpkin

119 This chapter details 2020 global patterns in select observed oceanic physical, chemical,
120 and biological variables relative to long-term climatologies, their tendencies between 2020
121 and 2019, and puts 2020 observations in the context of the historical record. In this overview
122 we address a few of the highlights, first in haiku, then paragraph form:

123 *La Niña arrives,*
124 *shifts winds, rain, heat, salt, carbon:*
125 *Pacific—beyond.*

126 Global ocean conditions in 2020 reflected a transition from an El Niño in 2018–2019 to a
127 La Niña in late 2020. Pacific Trade Winds strengthened in 2020 relative to 2019, driving
128 anomalously westward Pacific equatorial surface currents. Sea-surface temperatures (SSTs),
129 upper ocean heat content, and sea-surface height all fell in the eastern tropical Pacific and
130 rose in the western tropical Pacific. Efflux of CO₂ from ocean to atmosphere was larger than
131 average across much of the equatorial Pacific, and both chlorophyll-*a* and phytoplankton
132 carbon concentrations were elevated across the tropical Pacific. Less rain fell and more water
133 evaporated in the western equatorial Pacific, consonant with increased sea-surface salinity
134 (SSS) there. SSS may also have increased as a result of anomalously westward surface
135 currents advecting salty water from the east. ENSO conditions have global ramifications that
136 reverberate throughout the report.

137 *Marine heatwave strikes*
138 *Northeast Pacific again,*
139 *twice in past decade*

140 Anomalously warm SSTs were especially prominent and persistent in the Northeast
141 Pacific, coincident with relatively fresh SSS anomalies, both increasing surface buoyancy and
142 strengthening upper ocean stratification in the remarkable 2019–2020 Northeast Pacific
143 Marine Heatwave (see Sidebar 3.1). The warm SSTs there were over 2 standard deviations
144 above normal in the second half of 2020, on par with 2013–2015’s “The Blob” peak
145 magnitudes, and were associated with ocean heat loss to the atmosphere in 2020. As SSTs
146 rise, marine heatwaves are likely to increase in size, magnitude, and duration, which brings us
147 to long-term context.

148 *Over the decades,*
149 *seas rise, warm, acidify,*
150 *Earth’s climate changes.*

151 Global average SST was 0.39°C above the 1981–2010 average, and the third warmest
152 year on record behind 2016 and 2019, consistent with El Niño years being anomalously warm
153 and La Niña years anomalously cool relative to an overall warming trend of
154 $0.10 \pm 0.01^\circ\text{C decade}^{-1}$ from 1950 to 2020. Global ocean heat content trends are generally
155 steadier than those of SST, with 4 out of 5 analyses indicating a record high for 2020 in both
156 the 0–700-m and 700–2000-m layers, and a total heat increase from 2019 to 2020 in those
157 two layers of $9.3 \pm 6.2 \text{ ZJ}$ (10^{21} Joules), entirely consistent with the long term (1993–2020)
158 trend of 0.58 to 0.78 W m^{-2} of excess heat energy applied to the surface area of Earth. While
159 the strength of the Atlantic Meridional Overturning Circulation (AMOC) exhibits no
160 significant trends in the North Atlantic, a blended satellite/in-situ analysis suggests a long
161 term (1993–2020) strengthening of the South Atlantic subtropical gyre, consistent with
162 warming in that basin. Global mean sea level was also at a record high in 2020, 91.3 mm
163 above the 1993 mean, with a linear trend of $3.3 \pm 0.4 \text{ mm yr}^{-1}$, and a statistically significant

164 acceleration over that time period. Anthropogenic carbon storage in the ocean was estimated
165 at 3.0 Pg C yr^{-1} in 2020, somewhat above the 1999–2019 average of $2.33 (\pm 0.52) \text{ Pg C yr}^{-1}$.

166

167 *b. Sea surface temperatures*—B. Huang, Z.-Z. Hu, J. J. Kennedy, and H.-M. Zhang

168 Sea surface temperature (SST) and its uncertainty over the global oceans (all water
169 surfaces, including seas and great lakes) in 2020 are assessed using four updated products of
170 SST. These products are the Extended Reconstruction Sea Surface Temperature version 5
171 (ERSSTv5; Huang et al. 2017, 2020a), Daily Optimum Interpolation SST version 2.1
172 (DOISST; Huang et al. 2020b), and two U. K. Met Office Hadley Centre SST products
173 (HadSST.3.1.1.0 and HadSST.4.0.0.0; Kennedy et al. 2011a,b, 2019). SST anomalies
174 (SSTAs) are calculated for each product relative to its own 1981–2010 climatology.
175 Magnitudes of SSTAs are compared against SST standard deviations (std. dev.) over 1981–
176 2010.

177 Averaged over the global oceans, ERSSTv5 analysis shows that SSTAs decreased slightly
178 ($-0.02^\circ \pm 0.05^\circ\text{C}$) from $0.41^\circ \pm 0.04^\circ\text{C}$ in 2019 to $0.39^\circ \pm 0.03^\circ\text{C}$ in 2020. ERSSTv5 uncertainties
179 are determined by a Student's t-test using a 500-member ensemble with randomly drawn
180 parameter values within reasonable ranges in the SST reconstructions (Huang et al. 2015,
181 2020a).

182 Annually averaged SSTAs in 2020 (Fig. 3.1a) were mostly above average, between
183 $+0.5^\circ\text{C}$ and $+1.5^\circ\text{C}$ in most of the North Pacific, between $+0.2^\circ\text{C}$ and $+0.5^\circ\text{C}$ in the western
184 South Pacific, and between -0.2°C and -0.5°C in the eastern tropical Pacific. In the Atlantic,
185 SSTAs were between $+0.2^\circ\text{C}$ and $+1.0^\circ\text{C}$ except south of Greenland (-0.2°C), a pattern linked
186 to a slowdown in the Atlantic Meridional Overturning Circulation (Caesar et al. 2018). In the

187 Indian Ocean, SSTAs were $+0.5^{\circ}\text{C}$ north of 25°S and between -0.2°C and -0.5°C in the
188 western South Indian Ocean. Along the Arctic coasts, SSTAs were between $+0.5^{\circ}\text{C}$ to
189 $+1.0^{\circ}\text{C}$.

190 In comparison with averaged SST in 2019 (Fig. 3.1b), the averaged SST in 2020
191 increased by approximately $+0.5^{\circ}\text{C}$ in the North Pacific between 30°N and 45°N , the Indo-
192 Pacific surrounding the Maritime Continent, the central South Pacific near 30°S , the western
193 equatorial and tropical North Atlantic, the western North Atlantic near 45°N , and the coasts
194 of the Arctic in the Euro-Asia sector. In contrast, the SST decreased by approximately -0.5°C
195 in the equatorial tropical Pacific, the western and eastern South Pacific, the North Pacific and
196 the Arctic regions surrounding Alaska, the western Indian Ocean, the North Atlantic regions
197 surrounding Greenland, and the South Atlantic near 30°S . These SST changes are statistically
198 significant at the 95% confidence level based on an ensemble analysis of 500 members.

199 The cooling tendency in the tropical Pacific is associated with the transition from a weak
200 El Niño in 2018–2019 to a moderate La Niña in 2020–2021 (see section 4b). The La Niña
201 cooling tendency started to be visible in JJA (Fig 3.1c) and continued strengthening
202 throughout SON (Fig 3.1d). The near-uniform SSTAs in the Indian Ocean resulted in a near-
203 neutral Indian Ocean dipole (IOD; Saji et al. 1999; see section 4h) in contrast to the strongly
204 positive IOD index seen in late 2019. The Atlantic Niño index (ATL3; Zebiak 1993) dropped
205 dramatically from $+1.5^{\circ}\text{C}$ in 2018–2019 to $+0.2^{\circ}\text{C}$ in the latter half of 2020, indicating a
206 transition from a strong Atlantic Niño in 2018-19 to more neutral conditions.

207 For the seasonal mean SSTAs in 2020, in most of the North Pacific, SSTAs were $+0.2^{\circ}\text{C}$
208 to $+1.0^{\circ}\text{C}$ ($+1$ to $+2$ std. dev.) in December–February (DJF) and March–May (MAM) (Figs.
209 3.2a,b). The anomalies increased to as high as $+2.0^{\circ}\text{C}$ ($+2$ std. dev.) in June–August (JJA)
210 and September–November (SON; Figs. 3.2c, d). In contrast, in the tropical and eastern South

211 Pacific, SSTAs were small in DJF, MAM, and decreased to -0.5°C to -1.0°C (-1 std. dev.) in
212 JJA and SON. In the western South Pacific, SSTAs decreased from $+1.5^{\circ}\text{C}$ ($+2$ std. dev.) in
213 DJF, to $+1.0^{\circ}\text{C}$ in MAM, and $+0.5^{\circ}\text{C}$ in JJA and SON. The pronounced SSTAs in the North
214 Pacific in JJA and SON (Sidebar 3.1; Scannell et al. 2020) and in the western South Pacific
215 east of New Zealand were associated with marine heatwaves (Hu et al. 2011; Oliver et al.
216 2017; Perkins-Kirkpatrick et al. 2019; Babcock et al. 2019).

217 In the Euro-Asian coasts of the Arctic, SSTAs were neutral in DJF and MAM due to sea
218 ice holding SSTs at the freezing point, but reached more than $+2.0^{\circ}\text{C}$ ($+2$ std. dev.) in JJA
219 and SON (Figs. 3.2c, d). In the Indian Ocean and Maritime Continent, SSTAs of
220 approximately $+0.5^{\circ}\text{C}$ ($+2$ std. dev.) were sustained throughout 2020 (Figs. 3.2a-d). In the
221 tropical Atlantic, SSTAs were approximately $+1.0^{\circ}\text{C}$ ($+2$ std. dev.) in DJF and MAM, and
222 decreased to between $+0.2^{\circ}\text{C}$ and 0.5°C ($+1$ std. dev.) in JJA and SON. In contrast, in the
223 western North Atlantic, SSTAs increased from between $+0.5^{\circ}\text{C}$ and 1.0°C ($+1$ std. dev.) in
224 DJF and MAM to between $+1.0^{\circ}\text{C}$ and 1.5°C ($+2$ std. dev.). In the South Atlantic, SSTAs
225 were near-neutral in DJF, became below normal (-0.5°C) in the west and above normal
226 ($+0.5^{\circ}\text{C}$) in the east in MAM, and became near-neutral again in JJA and SON.

227 The global oceans have exhibited an overall warming trend since the 1950s (Figs. 3.3a,b;
228 Table 3.1), albeit with slightly lower SSTAs in 2020 ($+0.39^{\circ}\text{C}$) than in 2019 ($+0.41^{\circ}\text{C}$) due in
229 part to the 2020–2021 La Niña. The year 2020 was the third-warmest after the record high of
230 2016 ($+0.44^{\circ}\text{C}$) and 2019. Linear trends of globally annually-averaged SSTAs were
231 $0.10 \pm 0.01^{\circ}\text{C decade}^{-1}$ over 1950–2020 (Table 3.1). Spatially, the warming was largest in
232 the tropical Indian Ocean (Fig. 3.3e; $0.14 \pm 0.02^{\circ}\text{C decade}^{-1}$) and smallest in the North
233 Pacific (Fig. 3.3d; $0.08 \pm 0.04^{\circ}\text{C decade}^{-1}$). Here, the uncertainty of the trends represents the
234 95% confidence level of the linear fitting uncertainty and 500-member data uncertainty.

235 In addition, interannual to interdecadal variabilities of SSTAs can be seen in all ocean
236 basins. The variation amplitudes are large in the North Atlantic (Fig. 3.3f), which may be
237 associated with the Atlantic Multidecadal Variability (Schlesinger and Ramankutty 1994),
238 with warm periods in the early 1950s and from the late 1990s to the 2010s, and a cold period
239 from the 1960s to the earlier 1990s. Similarly, SSTAs in the North Pacific (Fig. 3.3d)
240 decreased from the 1960s to the late 1980s, followed by an increase from the late 1980s to the
241 2010s.

242 We compare SSTAs in ERSSTv5 with those in DOISST, HadSST.3.1.1.0, and
243 HadSST.4.0.0.0, averaging all annually on a $2^\circ \times 2^\circ$ grid (Fig. 3.3). SSTA departures of
244 DOISST, HadSST.3.1.1.0, and HadSST.4.0.0.0 from ERSSTv5 are largely within 2 std. dev.
245 (gray shading, Fig. 3.3). Overall, HadSST.4.0.0.0 is more consistent with ERSSTv5 than
246 HadSST.3.1.1.0 before 1980, owing to its updated corrections to the SST observations from
247 ships (e.g., ship engine room intakes, ship bucket) that had been used in both HadSST.4.0.0.0
248 and ERSSTv5. In the 2000s–2010s, SSTAs were slightly higher in DOISST than in
249 ERSSTv5 in the Southern Ocean, tropical Atlantic Ocean, and tropical Indian Ocean, and
250 therefore SST trends were slightly higher in DOISST over 2000–2020 (Table 3.1). These
251 SSTA differences have been mostly attributed to the differences in bias corrections to ship
252 observations in those products (Huang et al. 2015; Kent et al. 2017), and have resulted in a
253 slightly weaker SSTA trend in HadSST.3.1.1.0 but a stronger SSTA trend in HadSST.4.0.0.0
254 over both 1950–2020 and 2000–2020 (Table 3.1).

255 [Sidebar 3.1 here]

256

257 *c. Ocean heat content*—G. C. Johnson, J. M. Lyman, T. Boyer, L. Cheng, J. Gilson, M. Ishii,
258 R. E. Killick, and S. G. Purkey

259 The oceans have been warming for decades owing to increases in greenhouse gases in
260 the atmosphere (Rhein et al. 2013), storing massive amounts of heat energy and expanding as
261 they warm to contribute about 40% of the increase in global average sea level (WCRP Global
262 Sea Level Budget Group 2018). This warming, while surface intensified, is not limited to the
263 upper ocean, having been widely observed from 4000–6000 m in the coldest, densest bottom
264 waters (Purkey and Johnson 2010). ENSO and ocean warming are related, as reflected in both
265 regional patterns and global integral values (Johnson and Birnbaum 2017). The overall
266 warming trend has increased the frequency and intensity of marine heat waves (Laufkötter et
267 al. 2020; see section 3b and Sidebar 3.1), which in turn have substantial effects on
268 ecosystems (Smale et al. 2019). Additionally, warmer upper ocean waters can drive stronger
269 hurricanes (Goni et al. 2009). Ocean warming has also been shown to increase rates of
270 melting of ice sheet outlet glaciers around Greenland (Castro de la Guardia et al. 2015) and
271 Antarctica (Schmidtko et al. 2014).

272 Maps of annual (Fig. 3.4) upper (0–700 m) ocean heat content anomaly (OHCA) relative
273 to a 1993–2020 baseline mean are generated from a combination of in situ ocean temperature
274 data and satellite altimetry data following Willis et al. (2004), but using Argo (Riser et al.
275 2016) data downloaded from an Argo Global Data Assembly Centre in January 2021. Near-
276 global average seasonal temperature anomalies (Fig. 3.5) versus pressure from Argo data
277 (Roemmich and Gilson 2009, updated) since 2004 and in situ global estimates of OHCA (Fig.
278 3.6) for three pressure layers (0–700 m, 700–2000 m, and 2000–6000 m) from five different
279 research groups are also discussed.

280 The 2019/20 tendency of 0–700-m OHCA (Fig. 3.4b) in the Pacific shows an increase in
281 the western tropical Pacific and a decrease in the central to eastern equatorial Pacific,
282 consistent with the onset of a La Niña in 2020. La Niña induces this pattern with a shoaling

283 of the equatorial thermocline in the central and eastern equatorial Pacific and a deepening of
284 the western tropical Pacific warm pool as a response to strengthened easterly trade winds (see
285 Fig. 3.13a), which also generate anomalous westerly surface currents on the equator (see
286 Figs. 3.18, 3.19b–d). As a result, in the equatorial Pacific, the 2020 anomalies (Fig. 3.4a) are
287 negative in the east and positive in the west. Outside of the tropics, the 2019/20 tendency is
288 towards higher values in the centers of the North and South Pacific basins, with some lower
289 values in the eastern portions of the basin, consistent with an intensified cool (negative) phase
290 of the Pacific Decadal Oscillation index in 2020 (see section 3.1). Upper OHCA in the
291 Pacific in 2020 is generally above the long-term average (Fig. 3.4a), with the most prominent
292 negative values limited to the central tropical Pacific and the Southern Ocean south of 60°S.

293 In the Indian Ocean, the 2019/20 tendency of 0–700-m OHCA (Fig. 3.4b) exhibits
294 increases in the eastern third of the basin, from the Bay of Bengal to the Antarctic
295 Circumpolar Current (ACC) and decreases in the center of the basin from the equator to the
296 ACC. Upper OHCA values for 2020 were above the 1993–2020 mean over almost all of the
297 Indian Ocean (Fig. 3.4a), with the higher values in the western half of the basin. The low
298 2020 upper OHCA values in the vicinity of the ACC in the west and the high values in the
299 east suggest a northward excursion of that current in the west and a southward excursion in
300 the east in 2020. The 2019/20 tendencies of 0–700-m OHCA (Fig. 3.4b) in the Atlantic
301 Ocean are towards cooling around the Caribbean Islands and Florida, offshore of some of the
302 east coast of North America, and in the Greenland–Iceland–Norwegian seas. In much of the
303 rest of the ocean, the tendency is weakly but generally towards warming. In 2020, almost the
304 entire Atlantic Ocean exhibits upper OHCA above the 1993–2020 average (Fig. 3.4a) with
305 especially warm conditions in the Gulf of Mexico, off the east coast of North America, and
306 across the southern subtropical South Atlantic.

307 The large-scale statistically significant (Fig. 3.4c) regional patterns in the 1993–2020
308 local linear trends of upper OHCA are quite similar to those from 1993–2019 (Johnson et al.
309 2020). The longer the period over which these trends are evaluated, the more of the ocean
310 surface area is covered by warming trends, either statistically significant or not, and the less
311 is covered by cooling trends (Johnson and Lyman 2020). The most prominent area with
312 statistically significant negative trends is found mostly south of Greenland in the North
313 Atlantic, a pattern that has been linked, together with the very strong warming trend off the
314 east coast of North America, to a decrease in the Atlantic Meridional Overturning Circulation
315 (Dima and Lohmann 2010; Caesar et al. 2018), although there are contributions from
316 variations in local air–sea exchange (strong winter cooling in the years around 2015) and
317 shortwave cloud feedbacks as well (Josey et al. 2018; Kiel et al. 2020). **Another prominent**
318 **cooling trend is found near the ACC in central South Pacific.** As noted in previous *State of*
319 *the Climate* reports, the warming trends in the western boundary currents and extensions
320 (Gulf Stream, Kuroshio, Agulhas, East Australia Current, and Brazil Current) are all quite
321 prominent and may be associated with poleward shifts of these currents driven by changes in
322 surface winds (Wu et al. 2012). Much of the Atlantic Ocean, the Indian Ocean, and the
323 western and central Pacific Ocean exhibit statistically significant warming trends as well.

324 Near-global average seasonal temperature anomalies (Fig. 3.5a) from the start of 2004
325 through the end of 2020 exhibit a clear surface-intensified, record-length warming trend (Fig.
326 3.5b) that exceeds $0.2^{\circ}\text{C decade}^{-1}$ at the surface. **The tendency towards cooling during 2020**
327 **in the upper 100 dbar, with warming from 100–400 dbar,** is consistent with the transition to a
328 La Niña in 2020. This pattern in the global average reflects a prominent large-scale regional
329 change, as the equatorial Pacific thermocline shoals in the east and deepens in the west (e.g.,
330 Roemmich and Gilson 2011; Johnson and Birnbaum 2017). The pattern can be seen in other

331 La Niña periods (e.g., 2007–08 and 2010–12). The opposite pattern is evident during El Niño
332 years (e.g., 2009–10 and 2015–16) when the east-west tilt of the equatorial Pacific
333 thermocline reduces as easterly trade winds subside, and even reverse at times.

334 As noted in previous reports, the analysis is extended back in time from the Argo period
335 to 1993, and expanded to examine greater depths, using sparser, more heterogeneous
336 historical data collected mostly from ships (e.g., Abraham et al. 2013). The different
337 estimates of annual globally integrated 0–700-m OHCA (Fig. 3.6a) all reveal a large increase
338 since 1993, with four of the five analyses reporting 2020 as a record high. The globally
339 integrated 700–2000-m OCHA annual values (Fig. 3.6b) vary somewhat among analyses, but
340 **four of the five analyses report 2020 as a record high**, and the long-term warming trend in this
341 layer is also clear. Globally integrated OHCA values in both layers vary more both from
342 year-to-year for individual years and from estimate-to-estimate in any given year prior to the
343 achievement of a near-global Argo array around 2005. The water column from 0–700 and
344 700–2000 m gained 5.4 (± 4.8) and 3.9 (± 3.9) ZJ, respectively (means and standard deviations
345 given) from 2019 to 2020. Causes of differences among estimates are discussed in Johnson et
346 al. (2015).

347 The estimated linear rates of heat gain for each of the five global integral estimates of 0–
348 700-m OHCA from 1993 through 2020 (Fig. 3.6a) ranges from 0.37 (± 0.05) to 0.41 (± 0.04)
349 W m^{-2} applied over the surface area of Earth, as is customary in climate science (Table 3.2);
350 not much different from results in previous reports, although with an increasing record length
351 trend uncertainties tend to decrease and differences among analyses tend to grow smaller.
352 Linear trends from 700 to 2000 m over the same time period range from 0.15 (± 0.04) to 0.31
353 (± 0.05) W m^{-2} . Trends in the 0–700-m layer all agree within their 5%–95% confidence
354 intervals, but as noted in previous reports one of the trends in the 700–2000-m layer, which is

355 quite sparsely sampled prior to the start of the Argo era (circa 2005), does not. Different
356 methods for dealing with under-sampled regions likely cause this disagreement. For 2000–
357 6000 m, the linear trend is $0.06 (\pm 0.03) \text{ W m}^{-2}$ from May 1992 to August 2011 (the global
358 average times of first and last sampling), using repeat hydrographic section data collected
359 from 1981 to 2020 to update the estimate of Purkey and Johnson (2010). Summing the three
360 layers (with their slightly different time periods), the full-depth ocean heat gain rate ranges
361 from 0.58 to 0.78 W m^{-2} .

362

363 *d. Salinity*—G. C. Johnson, J. Reagan, J. M. Lyman, T. Boyer, C. Schmid, and R. Locarnini

364 1) INTRODUCTION

365 Salinity is the measure of the mass of dissolved salts in a unit mass of seawater.
366 Temperature and salinity vary spatially and temporally in the ocean. Atmospheric freshwater
367 fluxes (namely evaporation and precipitation), advection, mixing, entrainment, sea ice
368 melt/freeze, and river runoff all modify salinity (e.g., Qu et al., 2011; Ren et al., 2011). Sea
369 surface salinity (SSS) and evaporation minus precipitation ($E-P$) have long been known to be
370 highly correlated (Wüst 1936). SSS patterns are maintained through a balance among
371 advection, mixing, and $E-P$ fluxes (Durack 2015). Roughly 86% of global evaporation and
372 78% of global precipitation occurs over the ocean (Baumgartner and Reichel 1975; Schmitt
373 1995), making the ocean Earth's largest rain gauge (Schmitt 2008). Evaporation-dominated
374 regions, such as the subtropical North Atlantic, are generally saltier, whereas precipitation-
375 dominated regions like the Intertropical Convergence Zone (ITCZ) are generally fresher.
376 Furthermore, changes in the hydrological cycle can be estimated by salinity changes (e.g.,
377 Durack and Wijffels 2010; Durack et al. 2012; Skliris et al. 2014).

378 Seawater density at a given pressure is a function of temperature and salinity. In cold
379 water, salinity variations tend to dominate density (Pond and Pickard 1983). Therefore,
380 changes in salinity at high latitudes can have large impacts on ocean stratification and even
381 alter the global thermohaline circulation (e.g., Gordon 1986; Broecker 1991). For example,
382 the Atlantic Meridional Overturning Circulation (section 3h) is vulnerable to changes in
383 salinity (e.g., Liu et al. 2017). Ocean stratification (i.e., vertical density gradients) has been
384 found to be increasing over the past 50 years (Li et al. 2020), which has likely reduced ocean
385 ventilation. Thus, diagnosing changes in surface and subsurface salinity is critical for
386 monitoring potential changes in the hydrological cycle and ocean dynamics.

387 To investigate interannual changes of subsurface salinity, all available salinity profile data
388 are quality controlled following Boyer et al. (2018) and then used to derive 1° monthly mean
389 gridded salinity anomalies relative to a long-term monthly mean for years 1955–2012 (World
390 Ocean Atlas 2013 version 2, WOA13v2, Zweng et al. 2013) at standard depths from the
391 surface to 2000 m (Boyer et al. 2013). In recent years, the largest source of salinity profiles is
392 the profiling floats of the Argo program (Riser et al. 2016). These data are a mix of real-time
393 (preliminary) and delayed-mode (scientific quality controlled) observations. Hence, the
394 estimates presented here could change after all data are subjected to scientific quality control.
395 The SSS analysis relies on Argo data downloaded in January 2021, with annual maps
396 generated following Johnson and Lyman (2012) as well as monthly maps of bulk (as opposed
397 to skin) SSS data from the Blended Analysis of Surface Salinity (BASS; Xie et al. 2014).
398 BASS blends in situ SSS data with data from the *Aquarius* (Le Vine et al. 2014; mission
399 ended in June 2015), Soil Moisture and Ocean Salinity (SMOS; Font et al. 2013), and the
400 Soil Moisture Active Passive (SMAP; Fore et al. 2016) satellite missions. Despite the larger
401 uncertainties of satellite data relative to Argo data, their higher spatial and temporal sampling

402 allows higher spatial and temporal resolution maps than are possible using in situ data alone
403 at present. All salinity values used in this section are dimensionless and reported on the
404 Practical Salinity Scale-78 (PSS-78) (Fofonoff and Lewis 1979).

405 2) SEA SURFACE SALINITY—G. C. Johnson and J. M. Lyman

406 As noted in previous reports, since salinity has no direct feedback to the atmosphere,
407 large-scale SSS anomalies can be quite persistent. This persistence contrasts with sea surface
408 temperature (SST) anomalies, which are often damped by air–sea heat exchange (e.g., an
409 anomalously warm ocean loses heat to the atmosphere, so SST cools). For example, one of
410 the largest fresh SSS anomalies in 2020, located in the northeastern Pacific (Fig. 3.7a), began
411 around 2016 in the central North Pacific (near 40°N between Hawaii and the Aleutian
412 Islands), shifting eastward over time and strengthening overall (see previous *State of the*
413 *Climate* reports). This upper ocean fresh anomaly increased density stratification and
414 stabilized the upper ocean, which, together with surface-intensified warming of marine heat
415 waves in the area that occurred in 2014–16 (e.g., Gentemann et al. 2017) and again in 2019–
416 20 (Scannell et al. 2020), perhaps prolonging and amplifying especially the second event
417 (Scannell et al. 2020; Sidebar 3.1).

418 Elsewhere in the Pacific Ocean, the fresh 2020 SSS anomaly (Fig. 3.7a) observed over
419 much of the ITCZ and SPCZ (South Pacific Convergence Zone) and extending north of
420 Hawaii in the Central Pacific began around 2015 (see previous *State of the Climate* reports).
421 In contrast, the more recent strong salinifying tendency along the equator north of the
422 Solomon Islands from 2019 to 2020 (Fig. 3.7b) is owing to the westward migration of the
423 fresh pool with the advent of La Niña in 2020 (section 4b), linked to the anomalous westward
424 currents across the equator in 2020 (see Fig. 3.18a), as well as westward shifts in
425 precipitation in the region (see Fig. 3.12d).

426 There was mostly freshening of SSS from 2019 to 2020 in the tropical Atlantic ITCZ
427 (punctuated by areas of strong salinification north of Brazil and Columbia) and in the Gulf of
428 Guinea (Fig. 3.7b). Elsewhere in the Atlantic in 2020, as in many previous years, the
429 relatively fresh regions (subpolar North Atlantic and under the ITCZ) were fresher than
430 climatology, and the relatively saltier regions (the subtropics) were saltier than climatology
431 (Fig. 3.7a).

432 Freshening in much of the tropical Indian Ocean from 2019 to 2020 (Fig. 3.7b) left most
433 of that region fresher than climatology in 2020 (Fig. 3.7 b). In a warming climate, the
434 atmosphere can hold more water, leading to expectations of more evaporation in regions
435 where evaporation is dominant over precipitation and more precipitation where precipitation
436 exceeds evaporation (Held and Soden 2006; Durack and Wijffels 2010). In the ocean this
437 translates to “Salty gets saltier and fresh gets fresher.” This pattern has been evident in *State*
438 *of the Climate* reports going back as far as 2006, the first year of the SSS section. In 2020
439 salty SSS anomalies are associated with the subtropical salinity maxima in the South Indian,
440 the South Pacific, and the North and South Atlantic Oceans (Fig. 3.7a), with fresh SSS
441 anomalies in the subpolar North Pacific, the eastern subpolar North Atlantic, and the ITCZs
442 of the Pacific and Atlantic. The 2005–20 SSS trends (Fig. 3.7c) reflect this pattern to some
443 extent as well, although the portions with trends statistically different from zero at the 5%–
444 95% confidence limits (Fig. 3.7c, unstippled areas) are somewhat limited. Still, there are
445 statistically significant freshening trends evident in the subpolar North Pacific and North
446 Atlantic, the Bay of Bengal, and the Pacific ITCZ. There are also statistically significant salty
447 trends in parts of the subtropics in all basins. The salty trends in the stratocumulus deck
448 regions west of California and Chile are interesting, as they are, to the best of our knowledge,
449 unexplained.

450 In 2020, the seasonal BASS (Xie et al. 2014) SSS anomalies (Fig. 3.8) show the year-
451 round persistence of fresh SSS anomalies in the North Pacific subpolar and tropical regions
452 and salty SSS anomalies in the subtropics of all the other basins. The western equatorial
453 Pacific starts out anomalously fresh, but becomes increasingly anomalously salty throughout
454 the year with the advent of La Niña. Similarly, much of the tropical Indian Ocean becomes
455 progressively less anomalously fresh during 2020. In the tropical Atlantic, fresh anomalies
456 build in the Gulf of Guinea in boreal spring 2020 and north and east of the Orinoco and
457 Amazon Rivers in boreal summer and autumn 2020. With their higher spatial and temporal
458 resolution, BASS data also reveal some features like the fresh anomaly near the North
459 Atlantic Current that are not as readily apparent in the Argo maps.

460 3) SUBSURFACE SALINITY—J. Reagan, T. Boyer, C. Schmid, and R. Locarnini

461 Salinity anomalies originating near the surface of the ocean often propagate into the
462 ocean's interior through mixing or through the sinking of water masses along isopycnals.
463 Thus, subsurface salinity anomalies can often be used as a tracer for what has happened at the
464 surface.

465 The 0–1000-m Atlantic basin-average monthly salinity anomalies for 2011–20 exhibit
466 large positive anomalies (>0.05) near the surface that weaken with depth to ~ 0.01 at 600 m
467 (Fig. 3.9a), a pattern that has persisted for over a decade and continued in 2020. From 2019 to
468 2020 there was salinification (≥ 0.015) from 50 to 125 m (Fig. 3.9b), with little change above
469 and below. Thus, the surface salinification between 2018 and 2019 (Reagan et al. 2020)
470 appears to have deepened to ~ 100 m between 2019 and 2020. Statistically significant (>1 std.
471 dev.) changes in zonally averaged salinity anomalies in the Atlantic (Fig. 3.9c) between 2019
472 and 2020 reveal large freshening (≤ -0.15) around 8°N in the upper 30 m and weaker
473 freshening (~ -0.03) in the upper 100 m near 35°S . Significant salinification (>0.03) is

474 centered at 40°S and extends from the surface to 500 m. Additional salinification (>0.06)
475 extends from the surface to 100-m depth centered at 45°N with subsurface pockets of
476 salinification (>0.03) from 50 to 150 m between 5°N and 30°N.

477 The 2020 basin-average monthly salinity anomalies for the Pacific continued the
478 persistent pattern that has been evident since mid-2014 (Fig. 3.9d). In 2020, fresh anomalies
479 (≤ -0.01) dominated the upper 100 m, with salty anomalies (>0.01) between 125 and 250 m,
480 and fresh anomalies (≤ -0.01) between 350 and 550 m. Changes from 2019 to 2020 (Fig. 3.9e)
481 reveal salinification in the upper 75 m (peak of ~ -0.015 at 30 m) with freshening from 75 to
482 200 m (peak of ~ -0.0075 at 125 m). The zonally averaged salinity changes from 2019 to
483 2020 (Fig. 3.9f) in the Pacific reveal significant salinification (>0.06) in the upper 100 m
484 centered at three latitudes: 0°, 15°N, and 62°N. Significant freshening (≤ -0.03) occurred
485 between the surface and 175 m between 27°N and 37°N and in a subsurface pocket between
486 175- and 275-m depths at 60°N.

487 Throughout 2020 in the Indian basin there were large (≤ -0.025) fresh anomalies in the
488 upper 75 m with salty anomalies (>0.005) between 100 and 200 m depths (Fig. 3.9g). Similar
489 to the salinity tendency exhibited from 2018 to 2019 (Reagan et al. 2020), there was strong
490 freshening in the upper 100 m (peak of ~ -0.028 at 50 m) from 2019 to 2020 (Fig. 3.9h).
491 Additionally, there was salinification between 100- and 200-m depths (peak ~ -0.0065 at 150
492 m) and more freshening between 200- and 500-m depths (peak ~ -0.0065 at 300 m). The
493 2019 to 2020 changes in zonally-averaged salinity anomalies in the Indian basin reveal
494 significant freshening (≤ -0.06) in the upper 100 m from $\sim 6^\circ\text{S}$ to 23°N, which was likely the
495 result of enhanced precipitation over the eastern Indian basin associated with the 2020 La
496 Niña event (see Fig. 3.12). Additional significant freshening (≤ -0.03) near 45°S from the
497 surface to 100 m is also evident. Significant salinification (>0.03) occurred between 0 and

498 125-m depths between 25°S and 15°S and in two subsurface pockets centered at 100 m and
499 ~7°N and at 200 m and 22°N, respectively.

500 Figure 3.10 shows the 2005–20 basin average salinity trends for the three oceans. The
501 Atlantic reveals significant salinification trends throughout the 0–1000-m water column, with
502 maximum values of 0.04 per decade at the surface. The Pacific experienced significant
503 freshening trends from 0 to 50 m (peak of ~ –0.02 per decade at 20 m), with salinification
504 trends between 75 and 250 m (peak of ~0.018 per decade at 150 m). The Indian Ocean
505 experienced significant subsurface salinification trends with a peak at 125 m (0.01 per
506 decade). The near-surface freshening in the Pacific (precipitation-dominated basin) and
507 salinification in the Atlantic (evaporation-dominated basin) supports the idea that the
508 hydrological cycle is amplifying in a warming world (Held and Soden 2006) and can be
509 traced by changes in salinity (Durack 2015). Furthermore, a recent study by Li et al. (2020)
510 shows that the ocean has become increasingly stratified over the last half century, which has
511 been primarily due to ocean temperatures rising faster at the surface than below creating less
512 dense surface water. Based on the 2005–20 trend analysis, the Atlantic salinity trends have
513 worked to destabilize the water column as salinity (and therefore density) increases the most
514 at the surface, whereas the Pacific and Indian salinity trends have worked in conjunction with
515 the temperature trends to stabilize the water column since there is freshening at the surface
516 (decreasing density) and salinification below (increasing density).

517

518 *e. Global ocean heat, freshwater, and momentum fluxes*—L. Yu, P. W. Stackhouse, A. C.
519 Wilber, C. Wen, and R. A. Weller

520 The ocean and atmosphere exchange heat, freshwater, and momentum at the surface.
521 These air–sea fluxes are the primary mechanisms for keeping the global climate system in

522 balance with the incoming insolation at Earth's surface. Most of the shortwave radiation
523 (SW) absorbed by the ocean's surface is vented into the atmosphere by three processes:
524 longwave radiation (LW), turbulent heat loss by evaporation (latent heat flux, or LH), and
525 conduction (sensible heat flux, or SH). Heat is stored in the ocean and transported by the
526 ocean circulation, forced primarily by wind stress. Evaporation connects heat and moisture
527 transfers, and the latter, together with precipitation, determines the local surface freshwater
528 flux. Identifying changes in air-sea fluxes is essential in deciphering observed changes in
529 ocean water properties and transport of mass, freshwater, and heat.

530 We examine air-sea heat flux, freshwater flux, and wind stress in 2020 and their
531 relationships with ocean surface variables. The net surface heat flux is: $Q_{net} = SW + LW +$
532 $LH + SH$. The net surface freshwater flux into the ocean (neglecting riverine and glacial
533 fluxes from land) is precipitation (P) minus evaporation (E). Wind stress is computed from
534 satellite wind retrievals using the bulk parameterization COARE version 3.5 (Fairall et al.
535 2003). We produce global maps of Q_{net} , $P-E$, and wind stress (Figs. 3.11–3.13) and the
536 long-term perspective of the change of the forcing functions (Fig. 3.14) by integrating efforts
537 of multiple groups. Ocean-surface LH, SH, E , and wind stress are from the Objectively
538 Analyzed air-sea Fluxes (OAFlux) project's high-resolution products (Yu and Weller 2007;
539 Yu 2020). Surface SW and LW radiative fluxes are from the Clouds and the Earth's Radiant
540 Energy Systems (CERES) Fast Longwave And Shortwave Radiative Fluxes (FLASHFlux)
541 version 4A product (Stackhouse et al. 2006). Global P is from the Global Precipitation
542 Climatology Project (GPCP) version 2.3 products (Adler et al. 2018). The CERES Energy
543 Balanced and Filled (EBAF) surface SW and LW version 4.1 products (Loeb et al. 2018;
544 Kato et al. 2018) are used in the time series analysis.

545 1) SURFACE HEAT FLUXES

546 The ocean received anomalous net heat (Q_{net} anomalies) in 2020 (Fig. 3.11a) from the
547 atmosphere (positive anomalies) in the eastern equatorial Indian Ocean ($>30 \text{ W m}^{-2}$), the
548 central and eastern equatorial Pacific ($\sim 10 \text{ W m}^{-2}$), the western North Pacific around 30°N
549 ($\sim 10 \text{ W m}^{-2}$), the northwest subtropical Atlantic ($\sim 10 \text{ W m}^{-2}$), and the midlatitude Southern
550 Ocean $30^\circ\text{--}50^\circ\text{S}$ ($\sim 10 \text{ W m}^{-2}$). The regions where the ocean had pronounced anomalous heat
551 loss to the atmosphere include the Arabian Sea ($<-25 \text{ W m}^{-2}$), the western tropical Pacific (\sim
552 -20 W m^{-2}), the subtropical eastern North Pacific ($\sim -20 \text{ W m}^{-2}$), and the tropical South
553 Atlantic Ocean ($\sim -15 \text{ W m}^{-2}$).

554 The 2020 minus 2019 Q_{net} tendencies (Fig. 3.11b) in the tropical Pacific reflect the
555 transition from a weak El Niño in 2019 to a moderate La Niña in 2020 (see section 4b;
556 compare Fig. 3.11b to SST tendencies in Fig. 3.1b). In general, Q_{net} tendencies were
557 dominated by the LH+SH tendencies (Fig. 3.11d), though both LH+SH and SW+LW (Fig.
558 3.11c) showed similar tendency structures over most of the global ocean. The net downward
559 SW+LW heating tendency increased along the Intertropical Convergence Zone (ITCZ) in the
560 equatorial Pacific and the South Pacific Convergence Zone (SPCZ) in the South Pacific. In
561 the latter, positive SW+LW tendencies stretched across the entire basin from the western
562 equatorial Pacific to the southeastern Pacific, with maximum magnitude ($\sim 10 \text{ W m}^{-2}$)
563 confined in a northwest-southeast tilted band between the dateline and 120°W . LH+SH
564 showed a similar warming tendency along the ITCZ and SPCZ, induced primarily by a
565 weakened LH heat loss (-10 W m^{-2}).

566 Outside of the equatorial Pacific, both SW+LW and LH+SH 2020 minus 2019
567 tendencies produced an anomalous warming along $40^\circ\text{--}50^\circ\text{S}$ in the Southern Ocean, in the
568 vicinity of the Kuroshio-Oyashio Extension in the North Pacific, and in a large area in the
569 eastern North Pacific ($170^\circ\text{E}\text{--}150^\circ\text{W}$, $20^\circ\text{--}40^\circ\text{N}$). In the latter, the band of SW+LW warming

570 tendencies ($\sim 5 \text{ W m}^{-2}$) was likely caused by a reduction of high clouds in 2020 relative to
571 2019. This location was on the southern edge of the 2019–20 Northeast Pacific marine
572 heatwave (Fig. 3.1; Sidebar 3.1), where LH+SH also showed warming tendencies ($\sim 10 \text{ W}$
573 m^{-2}) due to the weakened LH loss.

574 In the tropical Indian Ocean, the 2020 minus 2019 Qnet tendencies revealed anomalous
575 ocean cooling. As the 2020 minus 2019 SST tendencies (Fig. 3.1b) were mostly negative in
576 the west half of the Indian Ocean, there seems to be a causality relationship between the Qnet
577 forcing and SST. On the other hand, the SST tendencies in the eastern Indian Ocean did not
578 have the same sign as Qnet.

579 In the Atlantic Ocean, there was a tripole-like tendency pattern of Qnet featuring positive
580 Qnet tendencies in the Gulf Stream and extension and negative Qnet tendencies elsewhere
581 between 30°S and 60°N (Fig. 3.11d). The subpolar North Atlantic (north of 60°N) and the
582 South Atlantic (south of 30°S) gained heat ($\sim 10\text{--}15 \text{ W m}^{-2}$) from the atmosphere in 2020.
583 The source of heating was attributable primarily to the reduced LH+SH and secondly to the
584 net radiative heating ($< 5 \text{ W m}^{-2}$) in these regions.

585 2) SURFACE FRESHWATER FLUXES

586 The 2020 $P-E$ anomalies (Fig. 3.12a) reflect a basin-wide increase in the net freshwater
587 input ($\sim 20 \text{ cm year}^{-1}$ on average) to the tropical Indian Ocean (positive anomalies with green
588 colors; a freshening effect on the ocean), consonant with a local reduction of sea surface
589 salinity (SSS; see Fig. 3.7a). In most regions of the Pacific and Atlantic Oceans, the net
590 freshwater input was reduced (negative anomalies with brown colors; salinification effect on
591 the ocean) except for a few regions, such as the zonal freshening band just south of the
592 equator in the Pacific and the tilted southwest to northeast freshening bands in the central

593 North Pacific and North Atlantic. The maximum $P-E$ reduction ($\sim 80 \text{ cm year}^{-1}$) occurred in
594 the western equatorial Pacific where SSS increased dramatically (see Fig. 3.7b).

595 The 2020 $P-E$ tendency pattern in the tropical Pacific (Fig. 3.12b) resembles that of the
596 net surface radiation (SW+LW) tendency pattern (Fig. 3.11b), with the bands of the reduced
597 $P-E$ tendencies coinciding with the bands of increased SW+LW tendencies. The $P-E$
598 tendencies are attributable to the P tendencies (Fig. 3.12d), showing that SW+LW increased
599 in areas of reduced rainfall and conversely, SW+LW reduced in areas of increased rainfall.
600 Outside of the tropics, the largest evaporative tendencies occurred in the eastern subtropical
601 North Pacific ($\sim 80 \text{ cm year}^{-1}$), resulting from the reduction of P . This freshwater deficit was
602 concurrent with increased SW+LW tendencies (Fig. 3.11c).

603 3) WIND STRESS

604 Midlatitude westerly winds became weaker (negative wind stress anomalies; Fig. 3.13a)
605 in 2020 in both Northern and Southern Hemispheres. In the North Pacific and North Atlantic
606 Oceans, marked reduction of westerly winds occurred along 30° – 40° N and the magnitude of
607 negative anomalies was $< 0.04 \text{ N m}^{-2}$. In the Southern Hemisphere, negative wind anomalies
608 developed on the southern edge of the westerly winds (i.e., the Roaring Forties) along 50° –
609 60° S in the eastern Pacific, and the Atlantic and Indian sectors (from 120° W to 120° E), with
610 anomalies reaching -0.04 N m^{-2} in several locations. However, the change of the westerly
611 winds was not uniform across the circumpolar region; for instance, the westerly winds
612 actually became stronger in the western Pacific sector. Winds also became stronger in the
613 subpolar North Atlantic Ocean, where winds are predominantly easterlies.

614 The trade winds in 2020 strengthened ($< 0.025 \text{ N m}^{-2}$) in the tropical central Pacific as
615 expected with the transition to a La Niña (see section 4b), as well as the tropical southern

616 Pacific and Atlantic. In the North Indian Ocean, winds over the Arabian Sea accelerated
617 while winds over the Bay of Bengal slowed down.

618 The 2020 wind stress tendency map (Fig. 3.13b) further shows that the most noted
619 changes in winds are the strengthening of the trade winds in the three tropical basins, the
620 weakening of the westerly winds in the midlatitude Northern and Southern Hemispheres, and
621 the strengthening of the easterly winds in the subpolar North Atlantic. Surface winds were
622 stronger in the Gulf of Alaska associated with the evolving marine heatwave (Sidebar 3.1).

623 Winds vary considerably in space. The spatial variations of winds cause divergence and
624 convergence of the Ekman transport, leading to a vertical velocity, denoted by Ekman
625 pumping (downward) or suction (upward) velocity W_{EK} , at the base of the Ekman layer.
626 Computation of W_{EK} follows the equation: $W_{EK} = 1/\rho \nabla \cdot (\tau/f)$, where ρ is the water density
627 and f the Coriolis force. The 2020 W_{EK} anomaly pattern (Fig. 3.13c) is dominated by large
628 downwelling (negative) anomalies in the tropical South Indian Ocean and tropical South
629 Pacific Ocean, with maximum magnitude of $\sim -16 \text{ cm year}^{-1}$. The change indicates a
630 weakening of the typical upwelling conditions in the former and a strengthening of the typical
631 downwelling conditions in the latter. Outside of the tropical region, the 2020 W_{EK} anomalies
632 were generally weak and less organized. The 2020 W_{EK} tendency anomaly pattern (Fig.
633 3.13d) suggests the resuming of the typical upwelling conditions in the equatorial Indian
634 Ocean after the end of the major 2019 positive Indian Ocean dipole event (see Fig 3.1b).

635 4) LONG-TERM PERSPECTIVE

636 A long-term perspective on the change of ocean surface forcing functions in 2020 is
637 examined in the context of multi-decade annual mean time series of Q_{net} , $P-E$, and wind
638 stress averaged over the global ice-free oceans (Figs. 3.14a-c). The Q_{net} time series
639 commences in 2001, when CERES EBAF4.1 surface radiation products begin. The $P-E$ and

640 wind stress time series are each 33 years long, starting from 1988 when higher quality global
641 flux fields can be constructed from SSM/I satellite retrievals. Qnet anomalies are relative to
642 the 2001–15 climatology, and positive anomalies denote increased net downward heat flux
643 into the ocean that has a warming effect on the ocean. $P-E$ anomalies are relative to the
644 1988–2015 climatology, and positive anomalies denote increased freshwater flux into the
645 ocean that causes sea surface freshening. Wind stress anomalies are relative to the 1988–2015
646 climatology, and positive anomalies denote increased wind stress magnitude over the ocean.

647 Qnet did not change significantly between 2001 and 2007 but had large interannual
648 fluctuations thereafter. The total downward heat flux into the global ocean increased by about
649 3 W m^{-2} during 2011–16, when the tropical Pacific switched from a strong La Niña event in
650 2011 to strong El Niño events in 2015 and 2016. This period of increasing oceanic heat gain
651 coincided with an increase of the global mean SST by about 0.35°C (Fig. 3.3a). Qnet went up
652 slightly in 2019 after a sharp reduction of about 4 W m^{-2} during the 2017–18 La Niña, and
653 the 2020 Qnet remained at a similar level to its 2019 value. The $P-E$ time series shows
654 similar interannual variability to that of the Qnet time series, with the 2020 level more or less
655 the same as the 2019 level. The time series of wind stress was flat in the recent two decades
656 after a regime shift around 1999, and the 2020 winds were slightly but not significantly down
657 from the 2019 level. The error bars in the time series represent one standard deviation of
658 year-to-year variability.

659

660 *f. Sea level variability and change*—P. R. Thompson, M. J. Widlansky, E. Leuliette, W.
661 Sweet, D. P. Chambers, B. D. Hamlington, S. Jevrejeva, J. J. Marra, M. A. Merrifield, G. T.
662 Mitchum, and R. S. Nerem

663 Global mean sea level (GMSL) during 2020 had the highest annual average in the satellite
664 altimetry record (1993–present), 91.3 mm above 1993 (Fig. 3.15a). This marks the ninth
665 consecutive year (and 25th out of the last 27) that GMSL increased relative to the previous
666 year. The new high reflects an ongoing multi-decadal trend of $3.3 \pm 0.4 \text{ mm yr}^{-1}$ in GMSL
667 during the satellite altimetry era (Fig. 3.15a). A quadratic fit with corrections for the eruption
668 of Mount Pinatubo (Fasullo et al. 2016) and El Niño-Southern Oscillation (ENSO) effects
669 (Hamlington et al. 2020) yields an average (1993–2020) climate-driven trend of $3.0 \pm 0.4 \text{ mm}$
670 yr^{-1} and acceleration of $0.081 \pm 0.025 \text{ mm yr}^{-2}$ (updated from Nerem et al. 2018).

671 Variations in GMSL (Fig. 3.15a) result from changes in both the mass and density of the
672 global ocean (Leuliette and Willis 2011; Chambers et al. 2017). The steric (i.e., density-
673 related) sea level rise rate observed by the Argo profiling float array during 2005–20, $1.4 \pm$
674 0.2 mm yr^{-1} , which is mostly due to ocean warming, accounted for about one-third of the
675 GMSL trend of $3.7 \pm 0.4 \text{ mm yr}^{-1}$ since 2005. Increasing global ocean mass observed by the
676 NASA Gravity Recovery and Climate Experiment (GRACE) and GRACE Follow-On
677 (GRACE-FO) missions, contributed the remaining two-thirds, $2.6 \pm 0.4 \text{ mm yr}^{-1}$, of the
678 GMSL trend during 2005–20. The positive trend in ocean mass primarily resulted from
679 melting of glaciers and ice sheets (see sections 5e, 6d, 6e) with a small contribution, 0.3 ± 0.1
680 mm yr^{-1} , from terrestrial water storage (Frederikse et al. 2020).

681 Annually averaged GMSL from satellite altimetry increased by 3.5 mm from 2019 to
682 2020 (Fig. 3.15a) while annual global mean steric sea level observed by Argo (0–2000 m)
683 decreased by 0.75 mm from 2019 to 2020 (Fig. 3.15a). The decrease in global mean steric sea
684 level contrasts with the estimated year-over-year increase in the globally integrated ocean
685 heat content anomaly (OHCA; 0–2000 m) from an ensemble of OHCA products (see section

686 3c). One of the five estimates (e.g., the NCEI estimate, Fig. 3.6) shows little globally
687 integrated OHCA change from 2019 to 2020 and is not inconsistent with the year-over-year
688 reduction in total steric sea level given a modest salinification of the global ocean. Annual
689 global ocean mass from GRACE-FO decreased by 1.0 mm from 2019 to 2020, which was
690 primarily due to anomalous precipitation in eastern Africa during 2020 and associated
691 terrestrial water storage there (see sections 2d4, 2d9, 7e4).

692 The sea level budget based on observations from altimetry, Argo, and GRACE-FO did
693 not close during 2020 as annually averaged GMSL measured by satellite altimeters diverged
694 from the sum of the independently estimated steric and mass contributions by more than 5
695 mm (Fig. 3.15a). Previous discrepancies in the global sea level budget coincided with the
696 failure of an accelerometer onboard the original GRACE mission (Chen et al. 2020). A
697 similar issue may be affecting recent observations from GRACE-FO, because one
698 accelerometer has not functioned properly since launch. However, the reduction in global
699 ocean mass during 2020 can be directly attributed to terrestrial water storage, which is known
700 to produce fluctuations in global ocean mass (Boening et al. 2012). For 2020 specifically, the
701 reduction in global ocean mass is linked to increased water storage in eastern Africa (see
702 sections 2d, 7e4). **Given this link, errors in altimetry and/or salty drift in Argo observations**
703 **cannot be ruled out in accounting for recent discrepancies in the global sea level budget**
704 **(Chen et al. 2020).**

705 **Spatial structure in sea level change over the 28-year** altimeter record is due to a
706 combination of natural fluctuations in coupled modes of atmosphere–ocean variability (Han
707 et al. 2017) and spatial structure in the response of the ocean to anthropogenic radiative
708 forcing (Fasullo and Nerem 2018). It is difficult to disentangle these contributions to regional
709 differences in sea level change (Hamlington et al. 2019), but salient features can be attributed

710 to specific processes. For example, the east-west difference in sea level change across the
711 Pacific—e.g., the more than 100 mm difference between Palau and Los Angeles—is
712 associated with multidecadal variability in the strength of Pacific trade winds (e.g., Merrifield
713 2011). The region of enhanced sea level change in the high latitude South Pacific can be
714 attributed to regional warming of the ocean above 2000 m (Llovel and Terray 2016) and
715 below 2000 m (Volkov et al. 2017). Sea level change relative to land (i.e., relative sea level,
716 the quantity measured by tide gauges) is most relevant for societal impacts and can differ
717 substantially from satellite-derived changes in tectonically active regions (e.g., Japan) and
718 areas strongly affected by glacial isostatic adjustment (e.g., Alaska; Fig. 3.15b).

719 Due to long-term trends in GMSL (Fig. 3.15), annual sea level anomalies during 2020
720 were positive nearly everywhere (Fig. 3.16a). In the global tropics, the highest sea level
721 anomalies were in the western Indian Ocean (10–15 cm above normal), whereas the lowest
722 anomalies were in the central equatorial Pacific Ocean (0–5 cm). Sea level anomalies were
723 positive across most of the subtropics (i.e., approximately within 20°–30° of the equator),
724 except for small areas in the subtropical southern Indian Ocean, northwestern Pacific, and
725 Gulf of Mexico Loop Current System where the 2020 sea levels were below normal. Each
726 region of negative anomalies was near where some of the highest positive anomalies occurred
727 in the tropical and subtropical latitudes (e.g., northeast of Madagascar, around Hawaii, and
728 along the entire Gulf of Mexico Coast; anomalies 10–15 cm above normal). The 2020 annual
729 mean anomalies were even higher in parts of the midlatitudes, such as in the extension
730 regions of the Kuroshio and Gulf Stream Currents, although upwelling mesoscale eddy
731 activity also contributed to small-scale areas of negative sea level anomalies.

732 Development of La Niña conditions during 2020 (see section 4b) explains most of the
733 large-scale changes in the sea level compared to 2019 (Fig. 3.16b). Year-to-year sea level

734 increases exceeding 15 cm occurred around parts of Indonesia and the Philippines (i.e., in the
735 equatorial eastern Indian Ocean and tropical northwestern Pacific Ocean, respectively),
736 whereas in the central and eastern tropical Pacific sea levels during 2020 were 5–10 cm lower
737 relative to 2019. Elsewhere in the North Pacific Ocean, tendencies from 2019 to 2020 were
738 for higher sea levels in a broad region centered around Hawaii (15 cm year-over-year
739 increase) that extended both southwestward toward the Philippines and northeastward to near
740 the U.S. West Coast. This pattern is consistent with a positive Pacific Meridional Mode,
741 indicating weaker-than-normal trade winds (Long et al. 2020), consistent with 2020
742 observations of wind stress (Fig. 3.13b). The 2020 sea level tendency was also positive in the
743 southwestern and southcentral Pacific Ocean (greatest near 30°S), throughout most of the
744 Atlantic Ocean including along almost the entire U.S. Gulf and East Coasts, and in the
745 northern Indian Ocean (especially in the Bay of Bengal). Overall, these sea level changes
746 from 2019 to 2020 (Fig. 3.16b) are representative of the underlying OHCA tendencies in
747 these locations (Fig. 3.4b) but also incorporate the sea level response to year-to-year
748 variability of oceanic warming (Widlansky et al. 2020).

749 Besides development of La Niña and the associated falling sea levels that occurred in the
750 eastern half of the equatorial Pacific during 2020, the largest intra-seasonal changes (Figs.
751 3.16c,d) occurred in the tropical Indian Ocean. The year began with well above-normal sea
752 levels in the western Indian Ocean and well below-normal sea levels to the east (a gradient of
753 almost 30 cm during the December–February [DJF] season; Fig. 3.16c). By the September–
754 November (SON) season, the zonal gradient of sea level anomalies in the Indian Ocean had
755 mostly disappeared (Fig. 3.16d). This relaxation of the Indian Ocean sea level anomalies was
756 concurrent with the transition of the Indian Ocean dipole (IOD) index from positive at the
757 beginning of 2020 to near neutral for the remainder of the year (see section 4h). The 2020 sea

758 level tendency (Fig. 3.16b) in the tropical Indo-Pacific more closely resembles the end-of-
759 year pattern (Fig. 3.16d; SON), compared to the early-year pattern (Fig. 3.16c; DJF), which is
760 consistent with the abrupt termination of the positive IOD.

761 Ongoing trends and year-to-year changes in sea level impact coastal communities by
762 increasing the magnitude and frequency of positive sea level extremes that cause flooding
763 and erosion. In many areas, coastal infrastructure is exposed to minor high-tide flooding
764 when water levels exceed a threshold defined by the top 1% of observed daily maxima
765 (Sweet et al. 2014). Such thresholds are expected to be exceeded three to four times per year
766 but the heights of the thresholds vary geographically (Fig. 3.17a). The greatest numbers of
767 1%-threshold exceedances during 2020 occurred in regions that experienced the highest sea
768 level anomalies (Fig. 3.17b): the equatorial and northern Indian Ocean and coasts along the
769 western Pacific, the Hawaii Islands, along the Gulf of Mexico, the southeast United States,
770 and northern Europe. The number of threshold exceedances decreased by more than five days
771 from 2019 to 2020 at 17 of the 122 locations analyzed and increased by more than five days
772 at 31 locations (Fig. 3.16c). The largest year-over-year increases occurred in the equatorial
773 Indian Ocean, Hawaii, and northern Europe, while elevated numbers of exceedances in the
774 eastern Gulf of Mexico and southeast United States mostly represented a continuation of (or
775 decrease from) elevated exceedances during 2019.

776

777 *g. Surface currents*—R. Lumpkin, R. Domingues, and G. Goni

778 This section describes ocean surface current changes, transports derived from ocean
779 surface currents, and features such as rings inferred from surface currents. Surface currents
780 are obtained from in situ (global arrays of drogued drifters and moorings) and satellite
781 (altimetry and wind stress) observations. Transports are derived from a combination of sea

782 surface height anomalies (from altimetry) and hydrographic climatologies. See Lumpkin et
783 al. (2011) for details of these calculations. Zonal surface current anomalies are calculated
784 with respect to a 1993–2007 climatology and are discussed for individual ocean basins as
785 follows.

786 1) PACIFIC OCEAN

787 In 2020, the Pacific exhibited basin-wide annual mean zonal westward (negative) current
788 anomalies of 14–16 cm s⁻¹ from 150°E to 100°W (Fig. 3.18a) and the equator to 1°N,
789 associated with the 2020 La Niña (see sections 3b, 4b). These were driven by strengthened
790 easterly trade winds (Fig. 3.13a) and produced equatorial upper ocean heat anomalies that
791 were negative in the east and positive in the west (Fig. 3.4a). To the north, eastward
792 anomalies of 5 cm s⁻¹ at 150°E–120°W, 8°–10°N indicated a stronger and northward-shifted
793 North Equatorial Countercurrent (NECC, e.g., Johnson et al. 2002), which had a maximum
794 eastward speed of 28 cm s⁻¹ (total, not anomaly) at 6.6°N. This northward shift has been seen
795 since 2018, when the NECC was similar in strength to 2020; because it was slightly weaker
796 in 2019, the 2020 minus 2019 anomaly tendency (Fig. 3.18b) indicates weaker eastward
797 anomalies along this band.

798 Eastward anomalies of ~25 cm s⁻¹ were present in the western equatorial Pacific in
799 December–February, but reversed to strong (25 cm s⁻¹) westward anomalies across the basin
800 by March–May (Fig. 3.19), leading sea surface temperature (SST) anomalies (see Fig. 3.2) by
801 a season. These zonal surface current anomalies were strongest (25 cm s⁻¹) on the equator but
802 were present from 6°S–4°N. Also in March–May, the NECC accelerated and exhibited
803 eastward anomalies of ~10 cm s⁻¹ along 6°–7°N. By June–August, the equatorial westward
804 anomalies were primarily confined to the western third of the basin, while NECC anomalies
805 weakened except in a narrow longitude range 125°–150°W. During these months, the core of

806 the NECC was shifted north from its climatological location of 6.6°N to 8°N . As the year
807 waned (September–November), westward anomalies reappeared west of 100°W from 6°N –
808 5°S , with maxima of $\sim 25\text{ cm s}^{-1}$ on the equator.

809 In 2020, the global anomaly map (Fig. 3.18a) featured strong positive anomalies north of
810 and strong negative anomalies south of the mean Kuroshio Extension location, indicating a
811 shift to the north of 1.3° latitude (from 35.3°N to 36.6°N ; Figs. 3.20a,b), the most northern
812 annually-averaged location since 1993 (the start of satellite altimeter records). Long-term
813 shifts in the location of the Kuroshio Extension are associated with a decadal stable/unstable
814 oscillation (Qiu and Chen 2005). The Kuroshio Extension shifts to the north when it
815 intensifies and becomes stable thus lowering eddy kinetic energy (EKE). Averaged in the
816 downstream Kuroshio Extension region (141° – 153°E , 32°N – 38°N ; Qiu and Chen 2005),
817 EKE was low in 1993–95, elevated in 1999–2001, low in 2002–04, high in 2005–08, and low
818 in 2015–18 (Fig. 3.20c). EKE was close to its long-term average during 2019 and 2020. As
819 noted in the 2019 report, the northern location of the Kuroshio Extension and near-
820 climatological levels of EKE are so far inconsistent with a phase shift of the decadal mode
821 described by Qiu and Chen (2005).

822 2) INDIAN OCEAN

823 Annually-averaged zonal currents in the Indian Ocean exhibited 10 – 20 cm s^{-1} eastward
824 anomalies at 6°S – 2°N , 70° – 95°E and, in the same longitude range, westward anomalies of
825 10 – 15 cm s^{-1} at 8° – 14°S (Fig. 3.18a). Differences from 2019 (Fig. 3.18b) reflect the strong
826 westward anomalies at 55°E – 95°E , 2°S – 1°N seen in 2019 (and hence are positive anomalies
827 in the 2020 minus 2019 map). The 2020 eastward anomalies indicate an acceleration of the
828 seasonally varying eastward Wyrтки Jet, which climatologically is most prominent in May
829 and November (e.g., Nagura and McPhaden 2010). These anomalies developed in June–

830 August (Fig. 3.19c), when the Wyrki Jet typically weakens to a weakly reversed state
831 (Lumpkin and Johnson 2013), and persisted through September–November (Fig. 3.19d).

832 3) ATLANTIC OCEAN

833 Annual mean zonal currents in the tropical Atlantic Ocean in 2020 exhibited a similar
834 pattern to those in the Pacific, but zonal velocity anomalies were much weaker (Fig. 3.18a).
835 Averaged across the basin, eastward anomalies of 3–4 cm s⁻¹ at 6°–7°N indicate a slightly
836 accelerated and northward-shifted NECC, while westward anomalies of 3–5 cm s⁻¹ from the
837 equator to 4°N indicate an acceleration of the westward northern core of the SEC. These
838 westward anomalies rapidly developed in March–May (Fig. 3.19b) to maxima of ~10 cm s⁻¹,
839 weakened through June–August (Fig. 3.19c), and were gone by September–November (Fig.
840 3.19d).

841 The variability of key Atlantic Ocean currents is continuously monitored in near-real time
842 by leveraging relationships between in situ and satellite altimetry observations
843 (<https://www.aoml.noaa.gov/phod/indexes/index.php>). In the South Atlantic, the Agulhas
844 Current shed five rings, within the 1993–2020 average of four to six rings in a given year.
845 The annual transport of the Agulhas Current was slightly below the average by –1.4 Sv in a
846 cross section at ~28°E and between 34°S and 40°S. In the southwestern Atlantic, the Brazil-
847 Malvinas Confluence was for the fourth consecutive year displaced to the south with respect
848 to its mean location during 1993–2020. Since 1993, the Brazil-Malvinas Confluence has
849 shifted southward at decadal time scales (cf., Lumpkin and Garzoli 2011; Goni et al. 2011).
850 During 2020, the confluence was on average 0.5 degrees of latitude south of its 1993–2019
851 mean location, and over 1.5 degrees of latitude south of its average location in the early
852 1990s. This is important because the Brazil Current is the mechanism by which waters of
853 subtropical origin are transported into subpolar regions.

854 In the North Atlantic, the 2020 volume transports of the North Brazil Current, Yucatan
855 Current, and Florida Current were all below their 1993–2020 averages. The North Brazil
856 Current serves as an interhemispheric conduit for water masses and heat from the South
857 Atlantic into the North Atlantic. It also often sheds rings (Goni and Johns 2003) that can enter
858 the Caribbean Sea while carrying low salinity Amazon River waters (Ffield 2007), which are
859 known for creating barrier layer conditions that can often contribute to hurricane
860 intensification (e.g., Balaguru et al. 2012; Domingues et al. 2015). The North Brazil Current
861 exhibited a mean negative transport anomaly of -1.4 Sv in 2020, which is within the lowest
862 25th percentile in terms of its annual mean transport, with anomalies as low as -5 Sv
863 observed mostly during the first half of 2020. Farther to the north, the Yucatan Current and
864 Florida Current exhibited mean negative anomalies of -0.3 Sv and -0.7 Sv, respectively,
865 with positive anomalies reaching ~ 2 Sv in the first half of 2020 and negative anomalies as
866 low as -4 Sv during the second half of the year. Interestingly, the negative anomalies
867 observed in the North Brazil Current during the first quarter of 2020 are of similar magnitude
868 to the negative anomalies observed both in the Yucatan Current and Florida Current in the
869 latter half of the year. Because these currents are a critical part of the Atlantic Meridional
870 Overturning Circulation's surface pathway (section 3h), negative transport anomalies first
871 seen in the North Brazil Current may have subsequently propagated westward through the
872 Caribbean Sea, were then transported into the Gulf of Mexico by the Yucatan Current, and
873 then into the Florida Straits by the Florida Current in the latter half of 2020. A lower-than-
874 usual Florida Current transport is closely tied to higher coastal sea level and "sunny day"
875 flooding events along the southeast U.S. coast (Ezer and Atkinson 2014; Domingues et al.
876 2016; Volkov et al. 2020a), which may partly explain the 2020 increased number of high-
877 tide flooding days in the Gulf of Mexico and Southeast U.S. (Fig. 3.16b). Further studies

878 addressing the delayed North Brazil Current to Florida Current connection may help develop
879 early warnings for such flooding events.

880

881 *h. Meridional overturning circulation and heat transport in the Atlantic Ocean*—D. L.
882 Volkov, S. Dong, M. Lankhorst, M. Kersalé, A. Sanchez-Franks, C. Schmid, J. Herrford, R.
883 C. Perez, B. I. Moat, P. Brandt, C. S. Meinen, M. O. Baringer, E. Frajka-Williams, and D. A.
884 Smeed

885 The zonally integrated component of surface and deep currents, known as the Meridional
886 Overturning Circulation (MOC), plays an important role in Earth’s climate, because it
887 provides a mechanism for ocean meridional heat transport (MHT). The observing system for
888 the Atlantic MOC/MHT consists of several basin-wide moored arrays as well as the
889 combination of satellite altimetry and in situ (mainly Argo and XBT) measurements (Fig.
890 3.21a; e.g., Frajka-Williams et al. 2019). The currently active basin-wide moored arrays are
891 the Rapid Climate Change/MOC and Heatflux Array/Western Boundary Time Series
892 (RAPID/MOCHA/WBTS) array at 26.5°N (Moat et al. 2020a), the South Atlantic MOC
893 Basin-wide Array (SAMBA) at 34.5°S (Meinen et al. 2013, 2018), the Overturning in the
894 Subpolar North Atlantic Program (OSNAP) array between about 55°–60°N (Lozier et al.
895 2017, 2019), and the Tropical Atlantic Circulation and Overturning (TRACOS) array at 11°S
896 (Herrford et al. 2021).

897 The *State of the Climate in 2019* report included MOC/MHT estimates derived from
898 mooring measurements up to 2018 (Volkov et al. 2020b). The COVID-19 pandemic
899 negatively impacted the servicing of moorings, because most research cruises scheduled in
900 2020 were either postponed or canceled. Therefore, no updates are available as of this writing
901 for the basin-wide arrays in the North Atlantic (Figs. 3.21b,c). In this report, however, we

902 present novel MOC upper- and lower (“abyssal”) cell transport estimates from the
903 extended number of SAMBA moorings (Fig. 3.21e; Kersalé et al. 2020) and new results for
904 the TRACOS array (Fig. 3.21d; Herrford et al. 2021). Then we discuss the state of the Florida
905 Current (FC) at 27°N (Fig. 3.22a) and provide the new estimates of the North Atlantic
906 Current (NAC) volume transport (Fig. 3.22b; Lankhorst and Send 2020), which both
907 constitute the bulk of the upper limb northward MOC transport in the subtropical and
908 subpolar North Atlantic, respectively. Finally, we present updated MOC/MHT estimates
909 derived from blended in situ and satellite observations at different locations through 2020
910 (Fig. 3.23).

911 The Atlantic MOC consists of an upper cell and an abyssal cell. Preliminary SAMBA
912 efforts focused solely on the upper cell using two pressure-equipped inverted echo sounder
913 (PIES) moorings at 1350-dbar isobath on either side of the basin (Meinen et al. 2013, 2018).
914 Recently, both the upper and abyssal cell volume transports at 35.5°S from September 2013
915 to July 2017 were obtained using nine PIES (Fig. 3.21e; Kersalé et al. 2020). Both the upper
916 and abyssal cells exhibit a high degree of variability at time scales ranging from a few days to
917 a few weeks. The upper-cell transport variability obtained from nine PIES is about twice as
918 strong as the variability observed with only two PIES (std. devs. are 15.5 and 8.2 Sv,
919 respectively), due to a better representation of barotropic flows and mesoscale eddies. The
920 rather low (−0.4) correlation between the upper and abyssal cell daily transports suggests that
921 transport variability in the abyssal cell is largely independent of the variations in the upper
922 cell. Both cells exhibit positive, but statistically insignificant, transport trends.

923 TRACOS array data at 11°S were recently analyzed in Herrford et al. (2021). This array
924 consists of a western boundary current transport array (Hummels et al. 2015), an eastern
925 boundary current meter mooring (Kopte et al. 2017), and two sets of pressure gauges

926 deployed at 300-m and 500-m depth across the Brazilian continental slope and at the eastern
927 boundary off Angola. The MOC transport estimate is based on the combination of bottom
928 pressure measurements with satellite altimetry and wind stress data, and covers 2013–18 (Fig.
929 3.21d). Given the limitations of instruments and the shortness of time series, only the
930 seasonal variability of the MOC at 11°S was investigated. The seasonal peak-to-peak
931 amplitude of the MOC transport is 14 Sv, which is contributed by the upper-ocean
932 geostrophic and Ekman transport fluctuations with peak-to-peak amplitudes of 12 Sv and 7
933 Sv, respectively. The seasonal variability of the geostrophic contribution to the MOC at 11°S
934 is mainly modulated by oceanic adjustment to local and remote wind forcing.

935 The oldest MOC trans-basin array at 26.5°N (RAPID/MOCHA/WBTS) consists of tall
936 moorings between the Bahamas and Africa and measurements of the Florida Current (FC)
937 volume transport with a submarine cable. Although the COVID-19 pandemic made it
938 impossible to retrieve the mooring data and update the MOC estimates in 2020, cable
939 measurements of the FC (Fig. 3.22a) were not affected. In 2020, the annual mean FC
940 transport (31.2 ± 0.3 Sv) was stronger than in 2019 (30.1 ± 0.3 Sv), but close to the record
941 mean transport (31.8 ± 0.2 Sv). The FC transport has been rather stable over the entire
942 observational record, exhibiting only a small, statistically insignificant, negative trend (-0.03
943 ± 0.03 Sv yr⁻¹). Given the extremely **high value** of the FC measurements for monitoring the
944 Atlantic MOC at 26.5°N, backup observing systems have been investigated in case the cable
945 someday becomes inoperable. Transports estimated from bottom pressure measurements (8
946 July 2008–17 September 2014) on both sides of the Straits of Florida at 27°N explain roughly
947 55% of the daily cable transport variability (Meinen et al. 2020). Similarly, FC transports
948 derived from cross-stream sea level differences measured by satellite altimetry (blue curve in
949 Fig. 3.22a) account for up to 60% of the cable transport subsampled at the days of satellite

950 overpasses (Volkov et al. 2020a). Although pressure gauges provide unrivaled temporal
951 resolution, satellite altimetry yields a longer homogeneous data record (back to 1993) filling
952 in the existing gaps in cable data (e.g., 1998–2000).

953 While no updates are available for the OSNAP array in the subpolar North Atlantic since
954 the past year’s report (Fig. 3.21b), an estimate of the NAC volume transport across a section
955 between the Central Irminger Sea and the Porcupine Abyssal Plain (NAC section in Fig.
956 3.21a) was computed from in situ density profiles and satellite altimetry sea level anomalies
957 (Lankhorst and Send 2020). Similar to the FC in the subtropical gyre, the NAC is an
958 important contributor to the upper-ocean MOC transport in the subpolar gyre. The six-
959 monthly NAC transport estimates (Fig. 3.22b) suggest that there is a likely multi-decadal
960 oscillation exhibiting high values in the early 1990s, lower values throughout the 2000s, and
961 higher transports again in recent years (2015–20). Values in recent years are below the recent
962 maximum and may indicate the beginning of a downward tendency.

963 The only basin-integrated transports that were updated through 2020 are the blended
964 estimates derived from the combination of satellite altimetry and in situ hydrography (XBT,
965 Argo, etc.; Sanchez-Franks et al. 2021; McCarthy et al. 2020; Majumder et al. 2016; Dong et
966 al. 2015). A MOC time series at 26.5°N generated from the combination of altimetry and
967 Argo data using the method of Majumder et al. (2016) has been updated through 2020
968 (McCarthy et al. 2020; green curve in Fig. 3.23a). Another dynamically based method was
969 recently developed for estimating the MOC at 26.5°N using satellite altimetry, in situ density
970 profiles, and the ERA5 zonal wind stress (Sanchez-Franks et al. 2021). This latter MOC
971 estimate (black curve in Fig. 3.23a) captures 69% of the interannual MOC variability
972 observed by the RAPID/MOCHA/WBTS array (blue curve in Fig. 3.23a). The two satellite-
973 based estimates reasonably agree only after the advent of Argo data in 2004, which indicates

974 sensitivity to the amount of in situ data available for calibration and methodology used to
975 derive them. Both estimates suggest that the MOC in 2020 was 1–2 Sv stronger than in 2019,
976 but weaker than in 2018. It is too early to draw conclusions about the longer MOC
977 tendencies, in particular in relation to a possible MOC strengthening since 2010 reported in
978 Moat et al. (2020a).

979 Yearly blended MOC/MHT estimates at 20°S, 25°S, 30°S, and 34.5°S (Figs. 3.23b–e)
980 obtained following Dong et al. (2015) estimate that in 2020, the MOC and MHT were
981 somewhat lower than in 2019 at all latitudes. However, this change was statistically
982 significant only at 34.5°S and 20°S for the MOC and at 20°S for the MHT. Significant
983 positive trends in both the MOC and MHT over the entire observational period are observed
984 at 34.5°S (0.48 ± 0.29 Sv decade⁻¹ and 0.04 ± 0.02 PW decade⁻¹, respectively). Significant
985 negative trends in the MOC are observed at 30°S (-0.26 ± 0.16 Sv decade⁻¹) and 20°S (-0.37
986 ± 0.23 Sv decade⁻¹), with no significant trends in the MHT at other latitudes. These trends
987 suggest that there has been a strengthening of the South Atlantic subtropical gyre and
988 associated heat convergence in 1993–2020, consistent with the warming trend observed in the
989 region (e.g., Dong et al. 2020; Fasullo and Gent 2017; Fig. 3.4c).

990 Comparisons of the various blended satellite/in situ MOC estimates among each other and
991 the results from moored arrays (at 26.5°N and 34.5°S) usually yield low correlations and
992 different variances (not shown), suggesting that the estimates are sensitive to the
993 methodology used to derive them. In addition, differences between the MOC estimates from
994 the pilot (two PIES) and extended (nine PIES) SAMBA moorings suggest sensitivity to the
995 design of the observing array. To better determine the state of the MOC and understand its
996 variability, it is necessary to reconcile different estimates and investigate the sources of
997 uncertainties.

998

999 *i. Global ocean phytoplankton* —B. A. Franz, I. Cetinić, J.P. Scott, D. A. Siegel, and T.K.

1000 Westberry

1001 Photosynthetic production of carbon by marine phytoplankton fuels oceanic ecosystems
1002 and drives biogeochemical cycles (e.g., Falkowski et al. 1998; Field et al. 1998), contributing
1003 roughly 50% of global net primary production (NPP). Phytoplankton distribution, growth,
1004 and diversity are governed by the availability of light and nutrients (e.g., nitrogen,
1005 phosphorous, and iron) in the upper ocean euphotic zone, which in turn are influenced by
1006 physical factors such as ocean temperature and circulation processes (e.g., Behrenfeld et al.
1007 2006). Satellite ocean color sensors such as SeaWiFS (McClain 2009) and MODIS (Esaias et
1008 al. 1998) allow detection of spatial and temporal changes in the distribution of phytoplankton
1009 through measurements of near-surface concentrations of the phytoplankton pigment
1010 chlorophyll-*a* (Chl*a*; mg m⁻³) or phytoplankton carbon (C_{phy}, mg m⁻³). While C_{phy} is a direct
1011 measure of phytoplankton biomass, Chl*a* is an indicator of variability in both biomass and
1012 phytoplankton physiology. Discrepancies between their distributions (shifts in Chl*a*:C_{phy}
1013 ratios) thus provide valuable insight into physiological variability within the cells (due to the
1014 changes in light and nutrient conditions) or variability in species composition (Westberry et
1015 al. 2016; Siegel et al. 2013; Dierssen 2010; Geider et al. 1997). Taken together, these
1016 measurements provide a synoptic view of phytoplankton biomass, composition, and health in
1017 the ocean, as well as its response to climate-driven changes in the marine environment.

1018 Here we evaluate global Chl*a* and C_{phy} distributions for the one-year period from October
1019 2019 through September 2020 (the analysis year), within the context of the continuous 23-
1020 year record provided through the combined observations of SeaWiFS (1997–2010) and
1021 MODIS on Aqua (MODIS-A, 2002–present). The MODIS-A daytime SST (°C) is also

1022 assessed for the same time period to provide context on the physical state of the oceans. The
1023 *Chla* product was derived using the Ocean Color Index algorithm of Hu et al. (2012), while
1024 C_{phy} was derived from the particle backscattering coefficient, b_{bp} , at 443 nm (Generalized
1025 Inherent Optical Properties algorithm; Werdell et al. 2013) and a linear relationship between
1026 b_{bp} and C_{phy} as described in Graff et al. (2015). In combining the ocean color records, the
1027 overlapping period from 2003 through 2010 was used to assess and correct for residual bias
1028 between the two mission datasets.

1029 Changes in phytoplankton distribution were evaluated by subtracting monthly
1030 climatological means for MODIS-A (October 2002–September 2019) from their monthly
1031 mean values for MODIS-A *Chla* and C_{phy} in the analysis year. These monthly anomalies
1032 were then averaged to produce the global *Chla* and C_{phy} annual mean anomaly maps (Figs.
1033 3.24a,b). Similar calculations were performed on MODIS-A SST data to produce an
1034 equivalent SST annual mean anomaly for the same time period (Fig. 3.24c). The permanently
1035 stratified ocean (PSO) is defined as the region, spanning the tropical and subtropical oceans,
1036 where annual average sea surface temperature (SST) is greater than 15°C and surface mixed
1037 layers are typically low in nutrients and shallower than the nutricline (black lines near 40°N
1038 and 40°S in Fig. 3.24; Behrenfeld et al. 2006).

1039 A striking feature of the phytoplankton *Chla* anomaly distributions for this year is a
1040 strong hemispherical difference, with elevated concentrations in the south and depressed
1041 concentrations in the north, and with C_{phy} distributions showing a weaker but inverse
1042 hemispherical bias (Figs. 3.24a,b). Within the PSO, *Chla* concentrations (Fig. 3.24a) were
1043 consistently elevated 20%–40% throughout much of the subtropical Southern Hemisphere,
1044 with the largest positive anomalies in the southern Indian Ocean followed by the subtropical
1045 South Pacific and South Atlantic. These regions were generally characterized by anomalously

1046 cold water conditions, characteristic of the La Niña phase of the El Niño-Southern Oscillation
1047 (ENSO), with SST depressed -0.6 to -0.8°C (Fig. 3.24c). Negative SST anomalies in these
1048 stratified ocean regions typically correspond with a deepening of the surface mixed layer
1049 (Deser et al. 2010), which decreases the effective light exposure per unit of phytoplankton
1050 biomass within that mixed layer. The response of the phytoplankton to this decreased
1051 insolation is to increase cellular chlorophyll concentration and thus light-use efficiency
1052 (Behrenfeld et al. 2015). In combination with the physiological response to low-nutrient
1053 conditions in the PSO, this leads to increased cellular chlorophyll-to-carbon ratios (Westberry
1054 et al. 2016) and thus a decoupling of the $\text{Chl}a$ and C_{phy} anomalies. The C_{phy} anomalies (Fig.
1055 3.24b) show a reduction in phytoplankton biomass of 5%–10% in these elevated $\text{Chl}a$ (Fig.
1056 3.24a) regions of the subtropical southern PSO, demonstrating this decoupling. A weaker but
1057 opposite change in $\text{Chl}a$ and C_{phy} is observed in the subtropical North Pacific PSO region,
1058 with $\text{Chl}a$ generally depressed (0%–10%) and C_{phy} concentrations neutral to elevated (0%–
1059 5%) within anomalously warmer ocean waters (Fig. 3.24c; Sidebar 3.1). Large increases in
1060 C_{phy} were also observed in the Arabian Sea and Bay of Bengal, as well as the tropical
1061 Atlantic. In the tropical Pacific, both $\text{Chl}a$ and C_{phy} were weakly elevated, consistent with a
1062 transition to La Niña conditions. Outside of the PSO, phytoplankton anomalies (Figs.
1063 3.24a,b) showed larger spatial variability and patchiness, including some large patches of
1064 highly elevated (>50%) phytoplankton biomass anomalies in **the Southern Ocean**, but with
1065 $\text{Chl}a$ and C_{phy} generally covarying in these well mixed waters, consistent with previous
1066 studies (e.g., Franz et al. 2020).

1067 Seasonal changes in phytoplankton biomass in the PSO typically display two pronounced
1068 peaks, reflecting vernal increases in biomass in the Northern and Southern Hemispheres (Fig.
1069 3.25). Peaks in monthly climatological C_{phy} tend to lag peaks in $\text{Chl}a$ by roughly two to three

1070 months, reflecting a reduction in phytoplankton chlorophyll-to-carbon ratios as the seasonal
1071 bloom progresses (e.g., Westberry et al. 2016). During 2020, the Northern Hemisphere peak
1072 in *Chla* (Fig. 3.25c) occurred in March, followed by C_{phy} maximum in June (Fig. 3.25d),
1073 consistent with previous observations (Franz et al. 2020). Generally, monthly mean values of
1074 *Chla* and C_{phy} fell within the range of climatological norms, with the exception of depressed
1075 *Chla* concentrations observed during March–June. In the Southern Hemisphere, however,
1076 *Chla* concentrations were well above the climatological norms for much of the analysis
1077 period, with a delayed transition from the austral spring peak in October (2019) to the autumn
1078 minimum in March, while a weaker but inverse deviation from the climatology was observed
1079 in the C_{phy} seasonal cycle. These Southern Hemisphere seasonal trend deviations from the
1080 climatology are consistent with the mean anomalies observed in Fig. 3.24, and provide
1081 additional context for the progression of the anomaly through the year.

1082 Over the 23-year time series of spatially integrated monthly mean *Chla* within the PSO
1083 (Fig. 3.26a), concentrations vary by ~15% ($\pm 0.02 \text{ mg m}^{-3}$) around a long-term average of
1084 0.142 mg m^{-3} (Fig. 3.26a). This variability includes significant seasonal cycles in *Chla*
1085 distributions and responses to climatic events, as has been observed previously (e.g.,
1086 Behrenfeld et al. 2006; Franz et al. 2020). C_{phy} over the same 23-year period varies by ~7%
1087 ($\pm 1.5 \text{ mg m}^{-3}$) around an average of 23.7 mg m^{-3} (Fig. 3.26c). Seasonal cycles in C_{phy} are
1088 more clearly defined than those of *Chla*, consistent with the assertion that C_{phy} better
1089 represents variability of phytoplankton biomass, independent of the confounding influence of
1090 physiology.

1091 *Chla* monthly anomalies within the PSO (Fig. 3.26b) vary by $\pm 10\%$ ($\pm 0.015 \text{ mg m}^{-3}$)
1092 over the multi-mission time series, with the largest deviations generally associated with
1093 ENSO events, as demonstrated by the correspondence of *Chla* anomaly variations with the

1094 Multivariate ENSO Index (MEI; Wolter and Timlin 1998; presented in the inverse to
1095 illustrate the covariation). Over the last year, variability in monthly *Chla* anomalies was
1096 modest (−2% to +10%) and generally elevated, consistent with weak La Niña conditions (Fig.
1097 3.26b). Similar observations cannot be made of the C_{phy} anomalies, which were relatively flat
1098 and generally do not correlate well with the MEI through 2020 (Fig. 3.26d). The effect of the
1099 2020 La Niña on phytoplankton populations within the PSO was to increase *Chla*: C_{phy} ratios
1100 while leaving phytoplankton biomass largely unchanged.

1101 Observed trends and variability in C_{phy} reflect changes in phytoplankton biomass, while
1102 *Chla* variability can indicate changes in biomass, physiology, and community composition
1103 (e.g., Dierssen 2010). These properties are mechanistically linked to physical conditions of
1104 the upper ocean, as well as to ecological interactions between phytoplankton and their
1105 zooplankton predators. Our ability to track subtle variations in the distribution of *Chla* and
1106 C_{phy} on the global scale can help unravel the diversity and covariation of climate-driven
1107 changes in phytoplankton distributions. Future satellite missions, such as the upcoming
1108 hyperspectral Plankton, Aerosol, Cloud, ocean Ecosystem (PACE) mission, will enable a
1109 more precise identification of phytoplankton absorption features (Werdell et al. 2019) and
1110 separation of those features from non-algal optical contributions (Siegel et al. 2005), and
1111 thereby facilitate the assessment of changes in phytoplankton species or community
1112 composition. Such data will further advance our ability to disentangle the impacts of climate
1113 forcing on global phytoplankton communities that drive biogeochemical processes, govern
1114 the role of the oceans in the global carbon cycle, and through their productivity exert a
1115 controlling influence on marine ecosystems, food webs, and fisheries.

1116

1117 [Sidebar 3.2 here]

1118

1119

1120 *j. Global ocean carbon cycle* —R. A. Feely, R. Wanninkhof, P. Landschützer, B. R. Carter,

1121 J. A. Triñanes, and C. Cosca

1122 1) INTRODUCTION

1123 The oceans play major roles in the global carbon cycle, including taking up a substantial
1124 fraction of the excess carbon dioxide that humans release into the atmosphere. As a
1125 consequence of humankind’s collective CO₂ emissions into the atmosphere, referred to as
1126 “anthropogenic CO₂” (C_{anth}) emissions, atmospheric CO₂ concentrations have risen from pre-
1127 industrial levels of about 278 ppm (parts per million) to 415 ppm in 2020. Marine C_{anth} is the
1128 major cause of anthropogenic ocean acidification, with riverine C_{anth} and other atmospheric
1129 trace gases (e.g., nitrogen and sulfur gases) being other sources. Over the last decade the
1130 global ocean has continued taking up a substantial fraction of the C_{anth} emissions and
1131 therefore is a major mediator of global climate change. Of the 11.5 (±0.9) Pg C yr⁻¹ C_{anth}
1132 released from 2010 to 2019, about 2.5 (±0.6) Pg C yr⁻¹ (23%) accumulated in the ocean, 3.4
1133 (±0.6) Pg C yr⁻¹ (29%) accumulated on land, and 5.1 (±0.02) Pg C yr⁻¹ (44%) remained in
1134 the atmosphere with an imbalance of -0.1 Pg C yr⁻¹ (4%; Table 6 in Friedlingstein et al.
1135 2020). This decadal ocean carbon uptake consensus estimate combines measured decadal
1136 CO₂ inventory changes, models, and global air–sea CO₂ flux estimates based on surface

1137 ocean fugacity of CO₂ ($f\text{CO}_{2w}$)¹ measurements from ships and moorings. The oceanic
1138 anthropogenic carbon sink has grown from 1.0 (± 0.3) Pg C yr⁻¹ in the decade of the 1960s to
1139 2.6 (± 0.6) Pg C yr⁻¹ in 2019 (Friedlingstein et al. 2020).

1140 2) AIR–SEA CARBON DIOXIDE FLUXES

1141 Ocean uptake of CO₂ is estimated from the net air–sea CO₂ flux derived from the bulk
1142 flux formula with air (a)–surface seawater (w) differences in CO₂ fugacity ($\Delta f\text{CO}_2 = f\text{CO}_{2w} -$
1143 $f\text{CO}_{2a}$) and gas transfer coefficients as input. Gas transfer is parameterized with wind as
1144 described in Wanninkhof (2014). This provides a net flux estimate. To determine the C_{anth}
1145 fluxes into the ocean several other processes need to be considered. A steady contribution of
1146 carbon from riverine runoff, originating from organic and inorganic detritus from land, with
1147 estimates ranging from 0.45 to 0.78 Pg C yr⁻¹ (Resplandy et al. 2018) needs to be included.
1148 We use 0.6 Pg C yr⁻¹ as the river adjustment. We assume other factors such as natural carbon
1149 deposition into the sea floor and margins are small. C_{anth} flux is therefore defined here as the
1150 sum of the net flux minus the riverine adjustment. The data sources for $f\text{CO}_{2w}$ are annual
1151 updates of observations from the Surface Ocean CO₂ Atlas (SOCAT) composed of mooring,
1152 uncrewed surface vehicle (USV), and ship-based observations (Bakker et al. 2016), and the
1153 **LDEO** database with ship-based observations (Takahashi et al. 2020). The increased
1154 observations and improved mapping techniques, including neural network methods
1155 summarized in Rödenbeck et al. (2015), now provide global $f\text{CO}_{2w}$ fields on a 1° latitude \times 1°

¹ The fugacity is the partial pressure of CO₂ ($p\text{CO}_2$) corrected for non-ideality. They are numerically similar for surface waters with $f\text{CO}_2 \approx 0.997 p\text{CO}_2$.

1156 longitude grid at monthly time scales. This allows investigation of variability on monthly to
1157 decadal time scales.

1158 The monthly 2020 $\Delta f\text{CO}_2$ maps are based on the observation-trained neural network, NN
1159 (artificial intelligence, AI) approach of Landschützer et al. (2013, 2014). The 2020 values are
1160 projections using the NN predictor variables based on sea surface temperatures (SST) and sea
1161 surface salinity (SSS), satellite chlorophyll-a (*chl**a*), atmospheric CO₂ for 2020;
1162 climatological mixed layer depth product (de Boyer Montegut et al., 2004); and a neural
1163 network approach for $f\text{CO}_{2w}$ developed using SOCAT data from 1982 through December
1164 2019. The 2020 estimate uses the monthly ERA5 wind fields for the fluxes, as the Cross-
1165 Calibrated Multi-Platform winds (Atlas et al. 2011) used for previous years are not available
1166 (Fig. 3.27). An experimental product based on a different AI procedure, the Random Forest
1167 (RF) method, is also shown for 1998–2020. This product shows a similar multi-decadal
1168 uptake with some interannual differences that are under investigation.

1169 The NN results show an increasing ocean sink in the first part of the record from 1982 to
1170 1994, followed by a period of rapidly decreasing uptake from 1995 to 2000. Thereafter, both
1171 the NN and RF results show a strong increase in the ocean sink from 2001 onward that
1172 continues through 2020 with a 0.03 Pg C yr⁻¹ increase for the NN in 2020 over 2019.
1173 However, the RF approach shows a decrease of 0.08 Pg C yr⁻¹ in 2020 compared to 2019
1174 (Fig. 3.27). The amplitude of seasonal variability for the RF approach (≈ 0.8 Pg C) is slightly
1175 smaller than the NN approach (≈ 1 Pg C) but both show minimum uptake from June to
1176 September with a seasonal cycle amplitude exceeding interannual uptake variations. The C_{anth}
1177 flux of 3.0 Pg C yr⁻¹ for 2020 from the NN approach in 2020 is 29% above the 1999–2019
1178 average of 2.33 (± 0.52) Pg C yr⁻¹.

1179 The annual average flux map for 2020 (Fig. 3.28a) shows the characteristic pattern of
1180 effluxes (ocean-to-air CO₂ fluxes) in the tropics as well as coastal and open ocean upwelling
1181 zones. Coastal upwelling regions include the Arabian Sea and off the west coasts of North
1182 and South America. The western Bering Sea in the northwest Pacific was a strong CO₂ source
1183 as well in 2020. The region with the largest efflux is the upwelling region of the eastern and
1184 central equatorial Pacific. Cumulatively, the regions of effluxes are significant CO₂ sources to
1185 the atmosphere (≈ 1 Pg C). The primary uptake regions are in the subtropical and subpolar
1186 regions. The largest sinks are observed poleward of the subtropical fronts. The frontal
1187 positions determine the location of the maximum uptake. This sink is weaker in the Pacific
1188 sector of the Southern Ocean compared to the other basins.

1189 In the Northern Hemisphere, there is a significant asymmetry in fluxes in the sub-Arctic
1190 gyres, with the North Atlantic being a large CO₂ sink while the North Pacific is a CO₂ source.
1191 This difference is partly due to the position of the western boundary currents whose cooling
1192 waters are known to contribute to CO₂ sinks at high latitudes: The Gulf Stream/North
1193 Atlantic Drift in the Atlantic extends farther north than the Kuroshio in the Pacific (Takahashi
1194 et al. 2009).

1195 The ocean carbon uptake anomalies (Fig. 3.28c) in 2020 relative to the 1997–2018
1196 average are attributed to the increasing ocean CO₂ uptake with time due to atmospheric CO₂
1197 increases (Fig. 3.27) and to variations in large-scale climate modes. The long-term air–sea
1198 flux trend since the minimum uptake in 2000 is -0.72 Pg C decade⁻¹ (blue shading in Fig.
1199 3.28c). Despite this trend, there are several large regions showing positive anomalies for
1200 2020. Notably large positive anomalies are seen in the central equatorial Pacific; in a broad
1201 band running northwest across the subtropical northwest Pacific (from $\approx 20^\circ$ to 40° N)
1202 attributed to the North Pacific marine heatwave (Sidebar 3.1, see section 2b3); and in the

1203 western subtropical Atlantic. The increased effluxes in the central equatorial Pacific are
1204 related to the Oceanic Niño Index (ONI) turning negative in 2020, indicating La Niña
1205 conditions following a period of predominantly positive ONI (i.e., more El Niño-like
1206 conditions) in the preceding two years. The negative SST anomalies (Fig. 3.1a) indicate
1207 increased upwelling of waters with high CO₂ content in the central Pacific returning after a
1208 period of lower-than-normal upwelling. Of note, the eastern equatorial Pacific southeast of
1209 the Galapagos shows a negative CO₂ flux anomaly. This is an indication of the changing
1210 patterns of the El Niño-Southern Oscillation (ENSO) in the Pacific with the region of
1211 strongest upwelling and winds moving westward to the central equatorial Pacific. The
1212 positive anomalies in fluxes (i.e., more efflux/less influx in 2020 compared to the long-term
1213 mean) in the subtropics closely correspond to positive temperature anomalies (Fig. 3.1),
1214 showing that the flux anomalies in these regions are temperature driven. The difference in
1215 fluxes between 2020 and 2019 (Fig. 3.28b) are similar to the anomalies (Fig. 3.28c).

1216 The oceanic variability of the air–sea exchange fluxes in the tropical Pacific are largely
1217 controlled by the surface ocean variability and wind forcing influenced by the type and
1218 phasing of the ENSO events (e.g., Feely et al. 1999, 2002, 2006, 2019; Ishii et al. 2009, 2014,
1219 2020; Takahashi et al. 2009; Wanninkhof et al. 2013; Landschützer et al. 2014, 2016). The
1220 central and eastern equatorial Pacific is a major source of CO₂ to the atmosphere during
1221 neutral and La Niña periods; a weak source during weak El Niño periods; and near-neutral
1222 during strong El Niño periods. El Niño is characterized by a large-scale weakening of the
1223 trade winds, a decrease in upwelling of CO₂ and nutrient-rich subsurface waters; and a
1224 corresponding warming of SST in the eastern and central equatorial Pacific. La Niña is
1225 characterized by strong trade winds, cold tropical SSTs, and enhanced upwelling along the
1226 equator. During the strong eastern Pacific El Niño events of 1982–83, 1997–98, and 2015–16

1227 the cold waters of the eastern equatorial Pacific disappear and $f\text{CO}_2$ values are close to
1228 equilibrium with the atmosphere (Fig. 3.29), whereas during the weaker central Pacific El
1229 Niños of 1991–94, 2002–05, 2006–07, and 2009–10, the equatorial cold tongue is present but
1230 less pronounced, and $f\text{CO}_2$ values are higher than atmospheric values but lower than
1231 corresponding values for non-El Niño periods. The strong 1997–98 El Niño has SST
1232 anomalies exceeding 4°C and the lowest $f\text{CO}_2$ values throughout most of the equatorial
1233 Pacific. In contrast, the 2015–16 El Niño has SST anomalies that are similar to those seen
1234 during the 1997–98 event, yet the $f\text{CO}_2$ values were significantly higher because the
1235 upwelling-favorable winds were stronger in the easternmost and westernmost parts of the
1236 region. La Niña conditions returned in summer and autumn of 2020 (see section 4b) and were
1237 characterized by low SST and high $f\text{CO}_2$ levels throughout the entire tropical Pacific, but
1238 were mostly enriched in the central portion of the equatorial belt relative to previous years.

1239 3) LARGE-SCALE CARBON CHANGES IN THE OCEAN INTERIOR

1240 Global-scale CO_2 emissions from human activities are causing ocean interior C_{anth}
1241 increases and acidification. Delineating how the biogeochemical processes in the ocean
1242 interior will be affected by the changing heat content and C_{anth} uptake is essential for
1243 developing future mitigation and adaptation responses to climate change. Anthropogenic
1244 carbon accumulation occurs against a backdrop of vigorous natural marine carbon cycling. In
1245 the well-lit surface ocean photosynthesizing organisms take up dissolved inorganic carbon to
1246 form organic matter, and some organisms form their shells and hard parts out of carbonate
1247 minerals. A portion of the organic matter and carbonate mineral matter that is formed or
1248 precipitated sinks into the interior ocean where it is remineralized, releasing the carbon back
1249 into the interior ocean. This biological transport of dissolved inorganic carbon from the
1250 surface ocean into the interior ocean is called the “soft” and “hard” tissue pumps,

1251 respectively. Several recently-produced data products—i.e., interior ocean data products
1252 (Olsen et al. 2016, 2020), **seawater property estimation algorithms** (Carter et al. 2017), and
1253 circulation fields based on model simulations that assimilate interior-ocean observations
1254 (DeVries et al. 2017)—were combined to produce a new carbon data product containing
1255 estimates of the properties that seawater would have in the absence of this natural interior
1256 ocean biogeochemical cycling (Carter et al. 2021). The dissolved inorganic carbon
1257 accumulated from the hard and soft tissue pumps can be quantified as the difference between
1258 the observed values and those estimated from several seawater properties. These estimates
1259 suggest the ocean holds 1300 PgC of carbon from remineralized organic matter and 560 PgC
1260 from dissolution of carbonate mineral phases. This is ~500 PgC less carbon from organic
1261 matter than would be calculated using the assumption that all interior ocean water masses
1262 were initially 100% saturated with oxygen. The carbonate mineral dissolution accumulations
1263 found in this study are more evenly spread across the water column than those from previous
1264 estimates, suggesting a more uniform carbonate mineral dissolution rate with depth than was
1265 previously found.

1266

1267 **Sidebars**

1268 *Sidebar 3.1: The 2019–2020 Northeast Pacific Marine Heatwave* —H. A. Scannell and D. J.
1269 Amaya

1270 Following the warm years of the 2013–2015 marine heatwave (MHW) known as “The
1271 Blob” (Bond et al. 2015), the Northeast Pacific Ocean experienced another devastating
1272 MHW, which formed during the summer of 2019 and persisted through 2020 (Amaya et al.,
1273 2020; Scannell et al., 2020). A MHW is defined when sea surface temperatures (SSTs)
1274 exceed an extremely warm threshold (e.g., the 90th percentile) for an extended period of time

1275 (e.g., at least 5 days) (Hobday et al., 2016). In June 2019, a MHW developed in the
1276 Northeast Pacific Ocean and by August it grew to encompass an ocean area spanning from
1277 the Gulf of Alaska to the Hawaiian Islands (Fig. SB3.1a). The event was so unusual that the
1278 June–August SST anomalies, which were $> 2.5^{\circ}\text{C}$ above normal, broke a 40-year (1980–
1279 2019) summertime record (Amaya et al. 2020). Like “The Blob”, this event had local and
1280 regional impacts on marine ecosystems and fish redistributions (NOAA 2019). During 2019,
1281 the MHW along the U.S. West Coast initiated harmful algal blooms and coral reefs near
1282 Hawaii started to bleach under high thermal stress (Cornwall 2019). Off Oregon, warmer
1283 waters brought albacore tuna closer to shore, making them more accessible to recreational
1284 anglers, leading to record-breaking landings in September (Lambert 2019). Although many
1285 speculated that this summertime MHW would not last due to its shallow depth, its persistence
1286 into 2020 was unrelenting (see Sidebar 3.1 and Fig. SB3.1c) and its spatial scale rivaled its
1287 predecessor—The Blob (Bond et al. 2015).

1288 The factors contributing to the onset of the 2019–2020 Northeast Pacific MHW are
1289 described by Amaya et al. (2020) and are summarized here. SST that formed during the
1290 Summer 2019 were atmospherically forced. Remote influence from warm SST anomalies
1291 near the central equatorial Pacific contributed to a weakening of the North Pacific
1292 (atmospheric pressure) High and associated surface winds from April through August. A
1293 reduction in wind-driven upper-ocean mixing resulted in a record shallow mixed layer depth.
1294 Summertime surface heat fluxes more efficiently warmed the anomalously thin mixed layer,
1295 contributing to the rapid rise in SST. Downward heat fluxes were dominated by a reduction in
1296 latent heat loss from weakened surface winds and an increase in downwelling shortwave
1297 radiation due to diminished low-level clouds. In particular, the reduction in low cloud cover

1298 initiated a positive low-cloud-SST feedback, which amplified the intensity of the 2019
1299 summer MHW and contributed to its overall persistence.

1300 The spatial pattern of surface warming evolved in the Northeast Pacific over the course of
1301 2019 and 2020. This evolution was facilitated by remote influences from the tropics and
1302 extratropics. As described previously, warm anomalies in the central equatorial and
1303 subtropical Pacific in 2019 (Fig. SB3.1a) helped to weaken the mean state of the atmosphere
1304 over northern latitudes, leading to the MHW onset. A positive Pacific Meridional Mode
1305 (PMM) also likely helped modulate the surface heat fluxes over the North Pacific by shifting
1306 the Intertropical Convergence Zone farther north and further weakening the North Pacific
1307 High (Amaya et al. 2020). The transition to La Niña conditions in 2020 reversed the sign of
1308 anomalies near the equator. However, the Northeast Pacific remained in a MHW-like state
1309 (Fig. SB3.1b). La Niña can disrupt weather patterns in the Northern Hemisphere midlatitudes
1310 through a teleconnection associated with the negative phase of the Pacific/North American
1311 (PNA) pattern (Wallace and Gutzler 1981). The negative PNA can establish more
1312 atmospheric ridging over the Northeast Pacific Ocean, which diverts normal upper-level flow
1313 and is conducive to warming SSTs during boreal winter.

1314 Once the surface mixed layer is heated from the atmosphere, those temperature anomalies
1315 can be redistributed within the ocean and begin to propagate horizontally or downward
1316 (Scannell et al. 2020). The upper 200 m of the water column was anomalously warm
1317 throughout 2020, with maximum intensities contained within the upper 70 m (Fig. SB3.1c).
1318 An unusual fresh anomaly that extended to 120 m (Fig. SB3.1d) accompanied the near-
1319 surface warming and likely originated from a net freshwater input from precipitation in the
1320 Gulf of Alaska in 2018 (Reagan et al. 2019; Yu et al. 2019). The salinity anomaly from 2018
1321 through 2020 was the longest lasting, most intense, and deepest reaching fresh event

1322 observed since at least 2004. In contrast, the Blob in 2013–2015 had the warmest and most
1323 salty near-surface anomalies since at least 2004. The subsurface freshwater anomaly in 2019–
1324 2020 increased the buoyancy of the surface layer (Fig. SB3.1e). The decrease in surface
1325 density and resulting increase in stratification prevented the warm surface anomalies from
1326 penetrating as deeply as the Blob in 2013–2015. However, the surface MHW anomalies in
1327 2019–2020 mixed into the subsurface across both isobars and isopycnals (Scannell et al.
1328 2020). The subsurface burial and storage of surface MHW anomalies contributes to the long-
1329 lived persistence and memory of these events in the Northeast Pacific Ocean, and their
1330 possible seasonal reemergence.

1331 The Northeast Pacific Ocean has warmed significantly over the past half-century due to
1332 anthropogenic climate change (Bulgin et al. 2020). Increased ocean temperatures not only
1333 make MHWs more likely to occur in the North Pacific (Scannell et al. 2016), they also
1334 increase the intensity and duration of these events over time (Oliver 2019; Laufkötter et al.
1335 2020). Ocean warming has significantly contributed to a shoaling trend in North Pacific
1336 summertime mixed layers (~15% decrease) from 1980 to 2015 (Amaya et al. 2021).
1337 Shallower mixed layers reduce the effectiveness of detraining surface MHW anomalies into
1338 the subsurface and trap them near the surface (Amaya et al. 2020; Scannell et al. 2020). As a
1339 result, it is expected that MHWs will intensify in the coming decades as surface stratification
1340 increases and summertime mixed layers continue to shoal (Amaya et al. 2021; Li et al. 2020;
1341 Alexander et al., 2018).

1342 The 2019–2020 MHW was the latest event in a recent trend of increasing temperature
1343 extremes that has dominated the Northeast Pacific. Second to the Blob in 2013–2015, this
1344 event was the most expansive MHW since 1982, covering an ocean area roughly 6 times the
1345 size of Alaska in September 2020 (NWFSC 2020). However, the 2019–2020 event really

1346 stands out for developing during the summer, when mixed layers were anomalously shallow
1347 and the subsurface was extremely fresh (Amaya et al. 2020; Scannell et al. 2020). The
1348 combination of these factors likely helped to amplify the intensification of this event. It is an
1349 open question whether the physical mechanisms responsible for this MHW are broadly
1350 applicable to summer-initiated events. The Northeast Pacific Ocean has remained
1351 anomalously warm and fresh heading into 2021, and the subsurface has warmed substantially,
1352 likely as a result (Fig. SB3.1). This event’s persistence is being closely monitored as La Niña
1353 conditions continue to dominate the tropics.

1354

1355 *Sidebar 3.2: Ocean acidification status in Pacific Ocean surface seawater in 2020—S. R.*

1356 Alin, A. U. Collins, B. R. Carter, and R. A. Feely

1357 Underway carbon dioxide (CO₂) observations collected during 2020 by M/V *Bluefin*
1358 provide a synoptic look at carbonate chemistry and pH distributions in surface waters of the
1359 Pacific Ocean north of 15°S (Alin et al. 2021). From late February through early June, the
1360 *Bluefin* worked along the California Current System (CCS), the eastern equatorial Pacific,
1361 around Hawai’i, and in the Gulf of Alaska (Fig. SB3.2a). It spent late June to mid-August
1362 sailing west from the central Aleutians, along the Oyashio Current to ~38°N, and south to
1363 Guam. Late August to late October, the *Bluefin* worked the western then central tropical
1364 Pacific back to Hawai’i, finishing in Seattle.

1365 Combining underway CO₂ fugacity ($f\text{CO}_2$), temperature, and salinity measurements with
1366 total alkalinity estimates generated using the locally interpolated alkalinity regression
1367 (LIARv2) method, we calculated pH on the total scale (pH_{total}) using CO₂SYS (Carter et al.
1368 2018; van Heuven et al. 2011) to create a 2020 snapshot of ocean acidification status in
1369 Pacific surface waters (Fig. SB3.2b). We compared calculated values with published

1370 climatological average $f\text{CO}_2$ and pH_{total} values and seasonal amplitudes—the difference
1371 between maximum and minimum monthly averages for each parameter—to 2020
1372 observations to determine whether conditions around the Pacific were consistent with, higher,
1373 or lower than climatological conditions and variability typical of each region. For present
1374 purposes, “regions” were defined by the geographic extent of similar observed $f\text{CO}_2$ and pH
1375 conditions; thus, days spent within each region varied widely (3.3–40.7 days).

1376 Because it is an upwelling system, the CCS has high spatial variability in biogeochemical
1377 parameters. The Strait of Juan de Fuca (SJDF) is a major source of freshwater to the northern
1378 CCS. The *Bluefin* had 2020 observations in CCS and SJDF regions during winter, spring, and
1379 autumn. Average surface $f\text{CO}_2$ values in the CCS ranged from 371 to 400 μatm , below
1380 atmospheric values, with standard deviations (hereafter: \pm) of 21–70 μatm . SJDF $f\text{CO}_2$
1381 averages and variability were generally much higher (averages: 427–930 μatm ; ± 30 –150
1382 μatm). Seasonal pH_{total} values in the CCS averaged 8.03–8.07 (± 0.02 –0.07), with lower
1383 averages and higher variability in SJDF (7.68–8.02, ± 0.01 –0.15). Average February SJDF
1384 $f\text{CO}_2$ values were higher (and pH_{total} lower) than climatological averages but at the edges of
1385 seasonal amplitudes for the region, those from May agreed with climatological averages for
1386 the month, and October average $f\text{CO}_2$ values were well higher (and pH_{total} lower) than the
1387 range of monthly averages (Fassbender et al. 2018 [hereafter: F18]). Seasonal CCS
1388 observations for $f\text{CO}_2$ and pH_{total} variability fell within historical bounds as calculated by F18
1389 and Sutton et al. (2019, hereafter S19). Most of the highest highs and lowest lows in this 2020
1390 dataset occurred in the CCS or SJDF.

1391 Continuing north and west through subarctic waters ($>48^\circ\text{N}$) in the Gulf of Alaska and
1392 along the Aleutian Archipelago (to 165°E) through early summer, the *Bluefin* recorded
1393 moderate to high variability in carbonate chemistry. In the Gulf of Alaska, $f\text{CO}_2$ averaged 370

1394 $\pm 43 \mu\text{atm}$, and pH_{total} values were 8.06 ± 0.05 . Along the Aleutians $f\text{CO}_2$ values were $387 \pm$
1395 $63 \mu\text{atm}$ and pH_{total} values were 8.05 ± 0.07 . Outside of the CCS and SJDF, the lowest and
1396 highest $f\text{CO}_2$ and pH_{total} values were recorded near the Aleutians, which reflect strong
1397 physical mixing of the water column and resulting biological productivity as water masses
1398 pass from the North Pacific into the Bering Sea. Values of $f\text{CO}_2$ were on the high (and pH_{total}
1399 low) end of published climatological values for summer, likely a result of offsets between
1400 month and year of published climatologies and 2020 observations (Takahashi et al. 2014
1401 [T14]; Jiang et al. 2019 [J19]; S19).

1402 During mid-summer, the ship sailed southwest along the Oyashio Current, traversing a
1403 region of strong undersaturation of CO_2 relative to the atmosphere, with the lowest $f\text{CO}_2$
1404 (highest pH_{total}) average values on the *Bluefin*'s 2020 travels ($344 \pm 41 \mu\text{atm}$ and 8.10 ± 0.04
1405 μatm , respectively). While $f\text{CO}_2$ values were relatively low (and pH_{total} high) relative to other
1406 regions in 2020, $f\text{CO}_2$ was relatively high (and pH_{total} low) relative to climatological values
1407 for this region, which is known for strong primary production (J19; Midorikawa et al. 2010;
1408 Ono et al. 2019).

1409 South of 38°N , *Bluefin* surveyed waters in the western tropical-subtropical Pacific for 40
1410 days, recording relatively low variability in $f\text{CO}_2$ values of $413 \pm 24 \mu\text{atm}$, and pH_{total} values
1411 of 8.00 ± 0.02 . Values of $f\text{CO}_2$ were above (and pH_{total} below) annual climatological values
1412 for the region (J19). However, on central equatorial Pacific transects (10°S – 10°N , 165°E –
1413 140°W), steep meridional gradients in surface carbonate chemistry due to equatorial
1414 upwelling resulted in moderately high variability in both $f\text{CO}_2$ and pH_{total} . Variability of $f\text{CO}_2$
1415 (averages: 424 – $457 \mu\text{atm}$; ± 36 – $39 \mu\text{atm}$) and pH_{total} (averages: 8.00 – 8.02 , ± 0.03 within each
1416 season sampled) centered peak $f\text{CO}_2$ and minimum pH near or just south of the equator on
1417 each transect. The equatorial upwelling of high- CO_2 , low- pH water during La Niña

1418 conditions that developed late in 2020 extended farther westward than normal (see section
1419 4b).

1420 In the oligotrophic North Pacific Gyre (NPG), the lowest $f\text{CO}_2$ and pH variability was
1421 recorded during all 2020 cruises. In waters surrounding Hawai'i ($10^\circ\text{--}30^\circ\text{N}$), $f\text{CO}_2$ seasonal
1422 averages were 382–408 μatm ($\pm 9\text{--}19$ μatm) across seasons, with pH_{total} averages of 8.04–
1423 8.06 ($\pm 0.01\text{--}0.02$). *Bluefin* work in the northeastern subtropical to temperate Pacific recorded
1424 similar seasonal $f\text{CO}_2$ averages of 375–419 μatm ($\pm 10\text{--}14$ μatm), with pH_{total} averages of
1425 8.02–8.07 (± 0.01 per season). While spring NPG $f\text{CO}_2$ and pH_{total} were within range of
1426 climatological values, autumn values of $f\text{CO}_2$ were somewhat elevated (and pH_{total} depressed)
1427 relative to climatological values, likely also reflecting the late 2020 La Niña conditions (T14;
1428 J19; S19).

1429 Overall, 2020 $f\text{CO}_2$ and pH_{total} observations around the northern Pacific Ocean were
1430 consistent with historical observations in showing the highest variability and averages in
1431 northeastern Pacific ecosystems, followed by the central and eastern equatorial Pacific, and
1432 the lowest variability and moderate averages in the western Pacific low latitudes and the
1433 NPG. These differences in mean conditions and variability largely reflect the buffering
1434 effects of higher alkalinity in the southwestern Pacific compared to the northeastern North
1435 Pacific.

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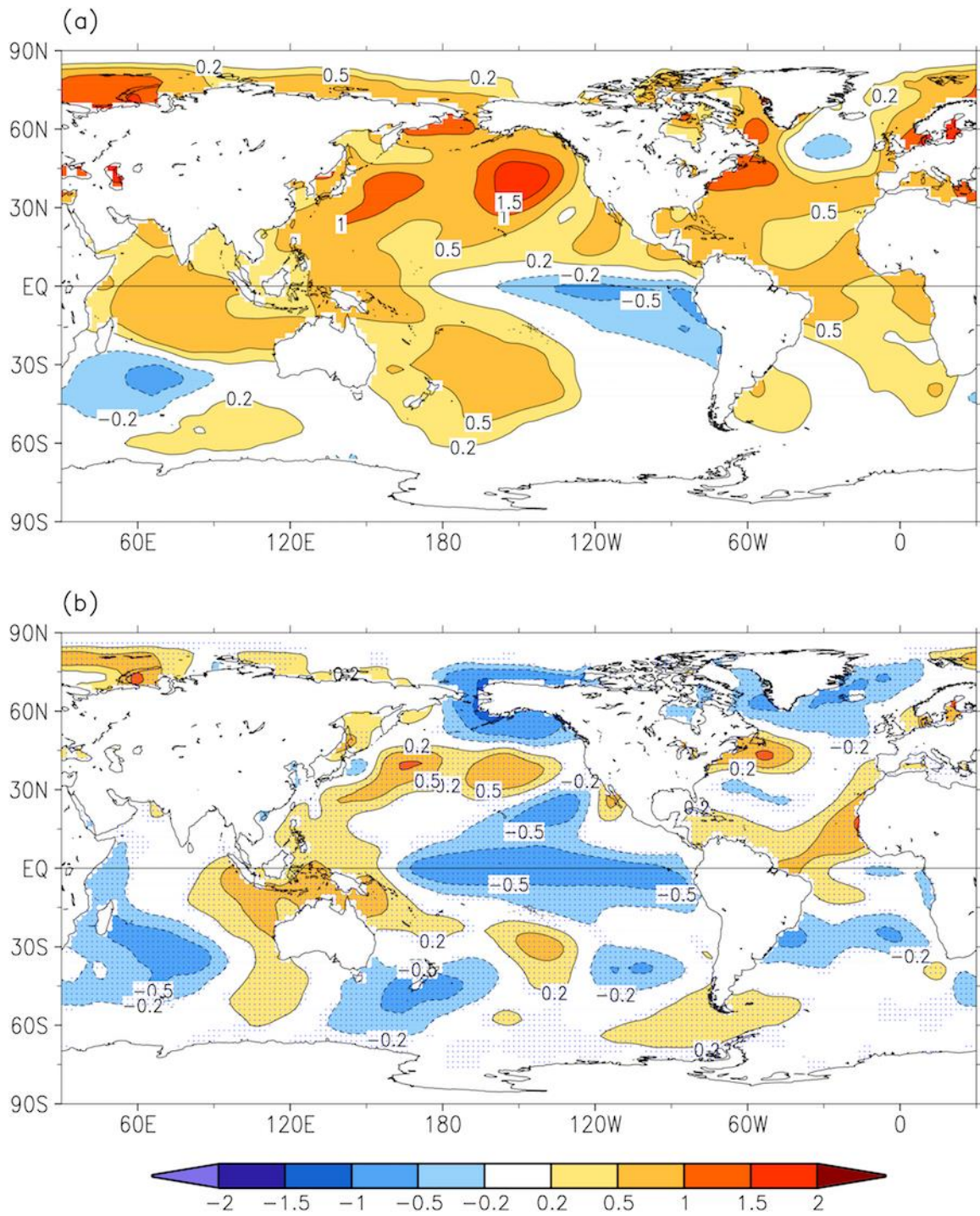
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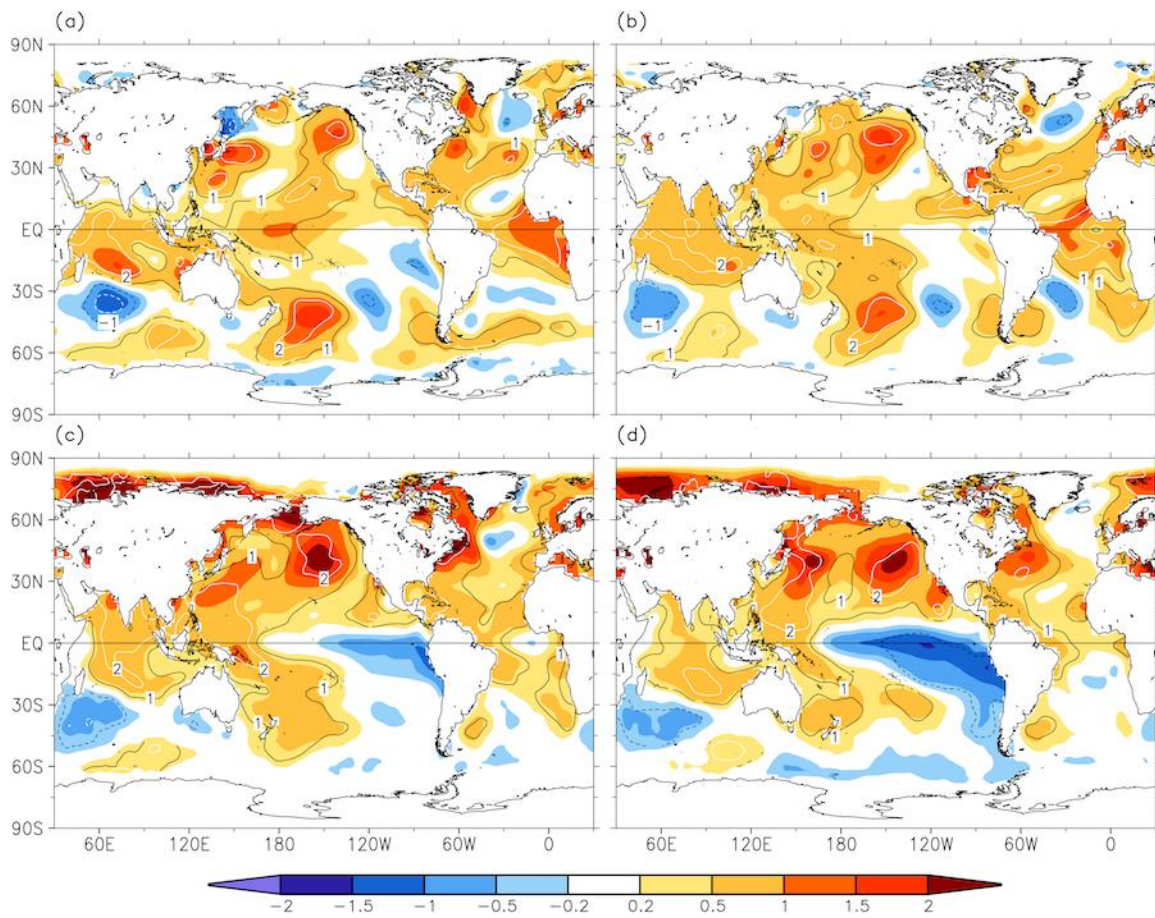


2051 **Fig. 3.1.** (a) Annually-averaged SSTAs (°C) in 2020 and (b) difference of annually averaged

2052 SSTAs between 2020 and 2019. Values are relative to a 1981–2010 climatology; and SST

2053 differences are significant at 95% level in stippled areas.

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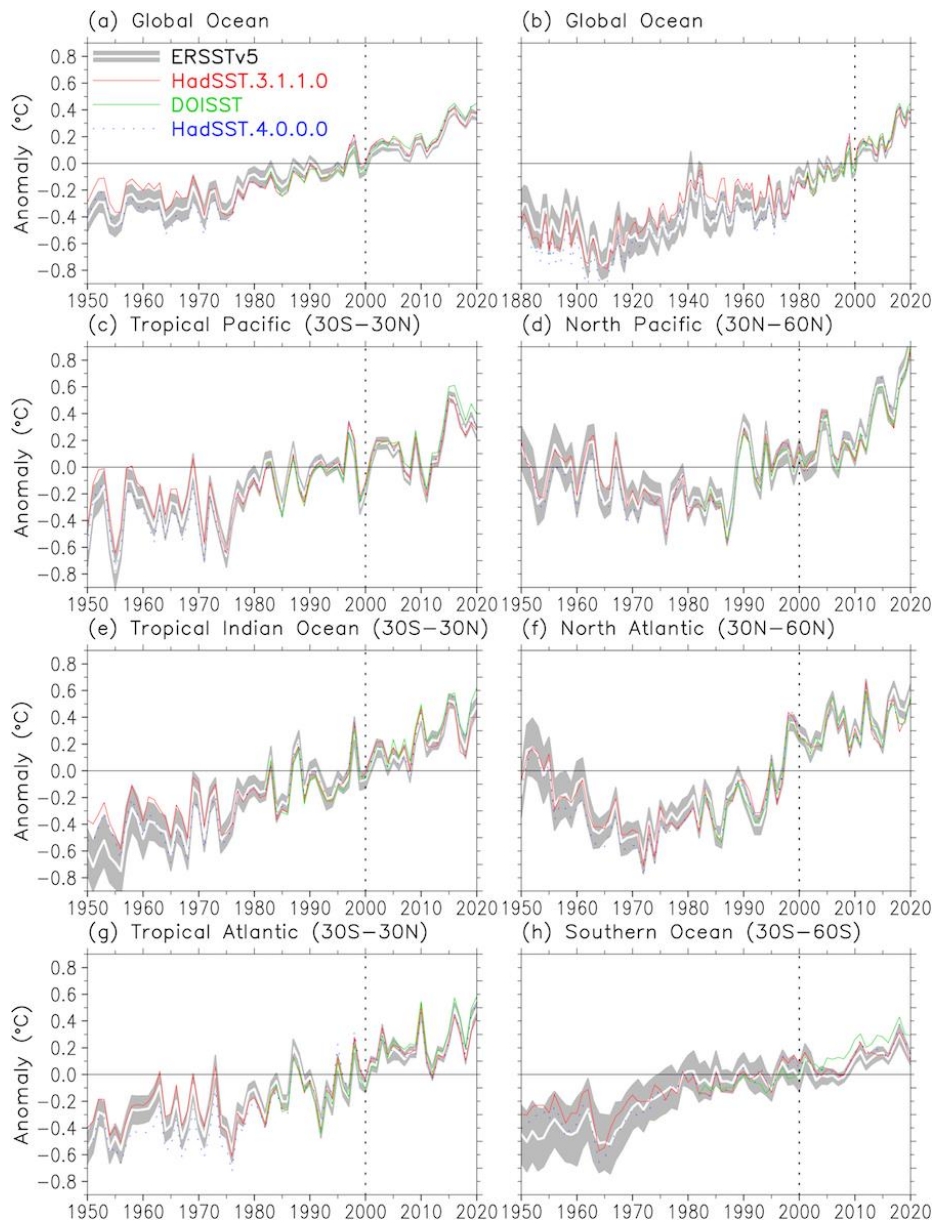
2056 **Fig. 3.2.** Seasonally-averaged SSTAs of ERSSTv5 (°C; shading) for (a) Dec 2019–Feb 2020,

2057 (b) Mar–May 2020, (c) Jun–Aug 2020, and (d) Sep–Nov 2020. Normalized seasonal mean

2058 SSTA based on seasonal mean standard deviations over 1981–2010 are contoured at values

2059 of **-2 (dashed white) -1 (dashed black), 1 (solid black), and 2 (solid white).**

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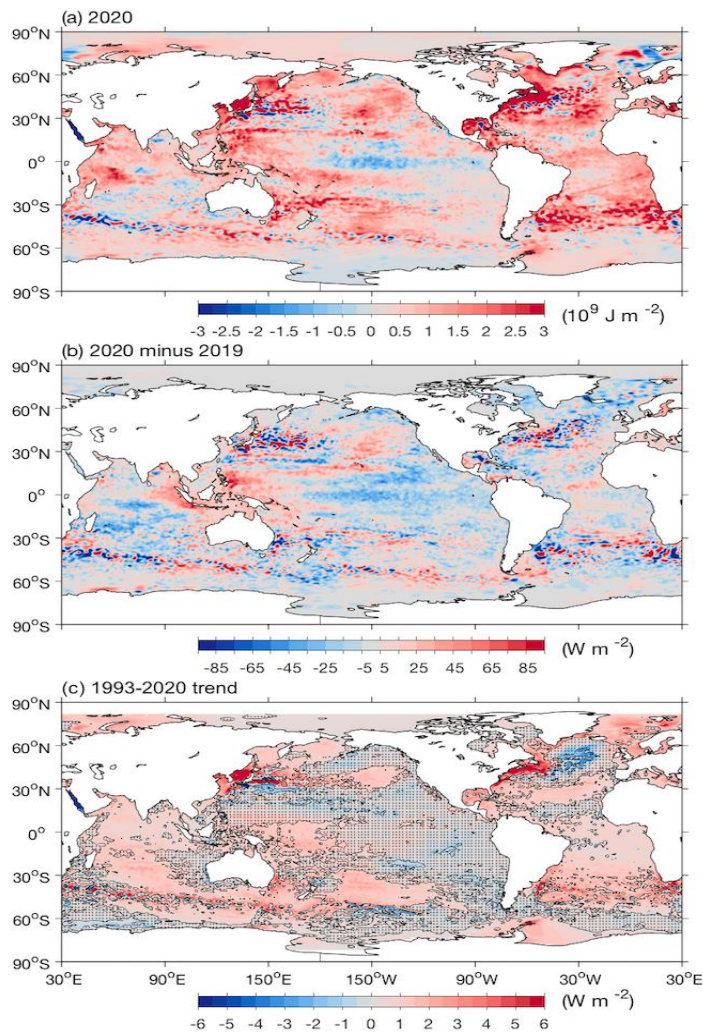
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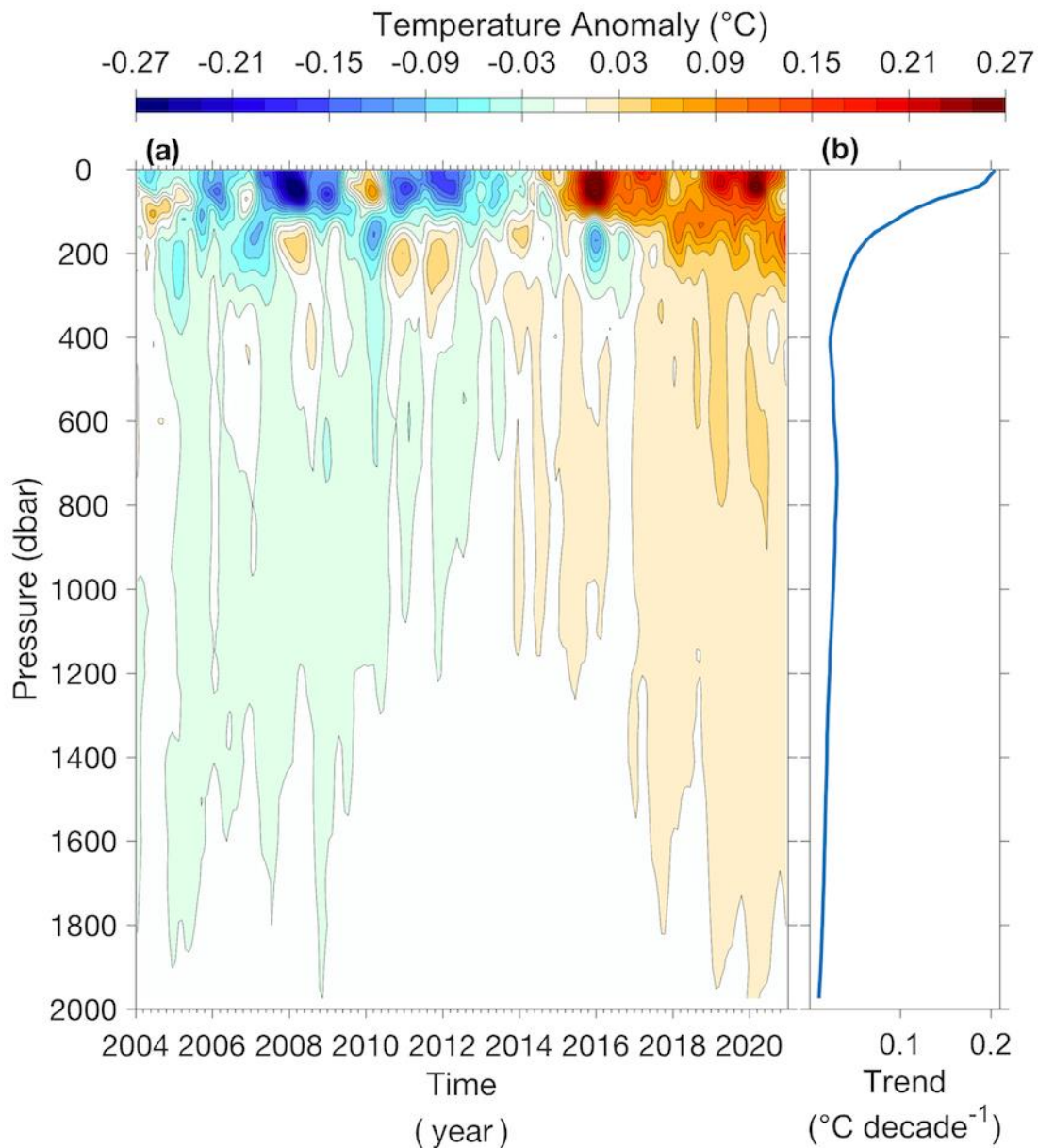
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Fig. 3.3. Annually-averaged SSTAs of ERSSTv5 (solid white) and 2 std. dev. (gray shading) of ERSSTv5, SSTAs of DOISST (solid green), and SSTAs of HadSST.3.1.1.0 (solid red) and HadSST.4.0.0.0 (dotted blue) during 1950–2020 except for (b). (a) Global oceans, (b) global oceans in 1880–2020, (c) tropical Pacific, (d) North Pacific, (e) tropical Indian, (f) North Atlantic, (g) tropical Atlantic, and (h) Southern Oceans. The 2 std. dev. envelope was derived from a 500-member ensemble analysis based on ERSSTv5 (Huang et al. 2020) and centered to SSTAs of ERSSTv5. The year 2000 is indicated by a vertical black dotted line.



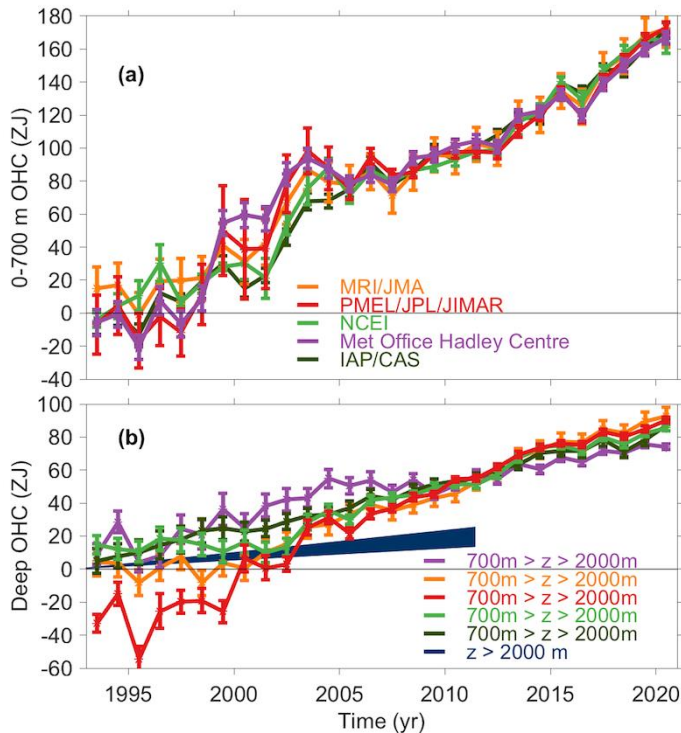
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2071 **Fig. 3.4.** (a) Combined satellite altimeter and in situ ocean temperature data estimate of upper
 2072 (0–700 m) OHCA ($\times 10^9 \text{ J m}^{-2}$) for 2020 analyzed following Willis et al. (2004) but using an
 2073 Argo monthly climatology and displayed relative to the 1993–2020 baseline. (b) 2020 minus
 2074 2019 combined estimates of OHCA expressed as a local surface heat flux equivalent (W
 2075 m^{-2}). For (a) and (b) comparisons, note that 95 W m^{-2} applied over one year results in a $3 \times$
 2076 10^9 J m^{-2} change of OHCA. (c) Linear trend from 1993–2020 of the combined estimates of
 2077 upper (0–700 m) annual OHCA (W m^{-2}). Areas with statistically insignificant trends are
 2078 stippled.



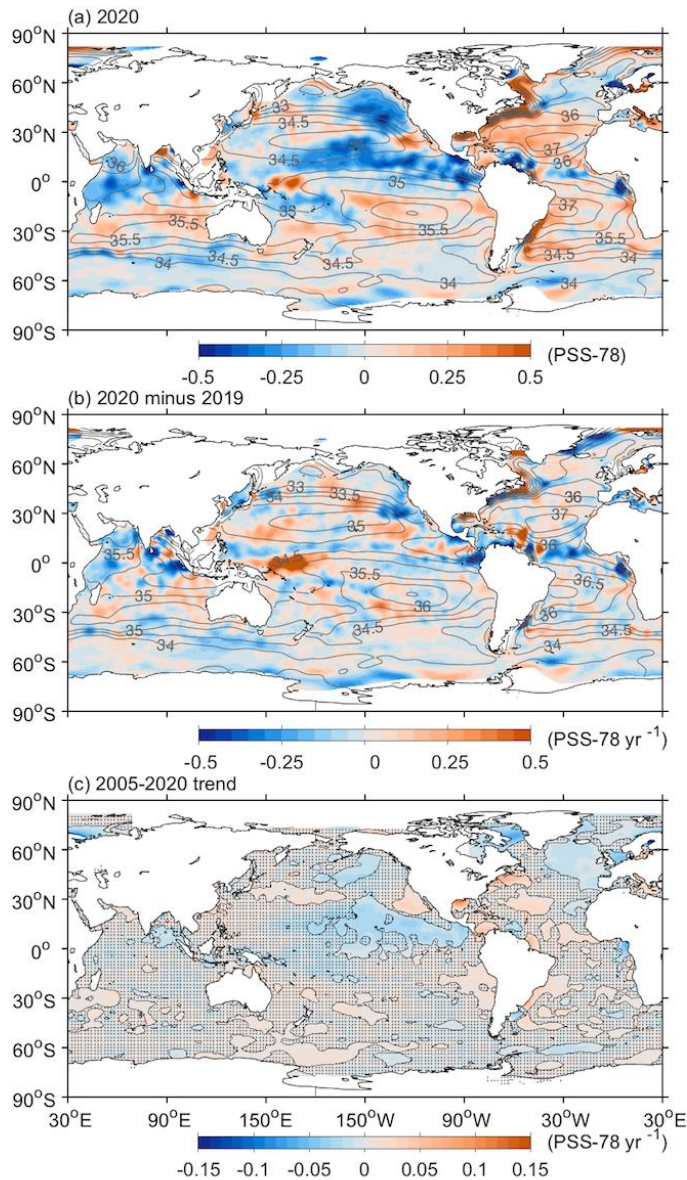
2080

2081 **Fig. 3.5.** (a) Near-global (65°S–80°N, excluding continental shelves, the Indonesian seas, and
 2082 the Sea of Okhostk) average monthly ocean temperature anomalies (°C; updated from
 2083 Roemmich and Gilson [2009]) relative to record-length average monthly values, smoothed
 2084 with a 5-month Hanning filter and contoured at odd 0.02°C intervals (see colorbar) vs.
 2085 pressure and time. (b) Linear trend of temperature anomalies over time for the length of the
 2086 record in (a) plotted vs. pressure in °C decade⁻¹ (blue line).



2087

2088 **Fig. 3.6.** (a) Annual average global integrals of in situ estimates of upper (0–700 m) OHCA
 2089 (ZJ; $1 \text{ ZJ} = 10^{21} \text{ J}$) for 1993–2020 with standard errors of the mean. The MRI/JMA estimate
 2090 is an update of Ishii et al. (2017). The PMEL/JPL/JIMAR estimate is an update and
 2091 refinement of Lyman and Johnson (2014). The NCEI estimate follows Levitus et al. (2012).
 2092 The Met Office Hadley Centre estimate is computed from gridded monthly temperature
 2093 anomalies (relative to 1950–2019) following Palmer et al. (2007). The ICCES estimate is
 2094 reported in Cheng et al. (2020). See Johnson et al. (2014) for details on uncertainties,
 2095 methods, and datasets. For comparison, all estimates have been individually offset (vertically
 2096 on the plot), first to their individual 2005–20 means (the best sampled time period), and then
 2097 to their collective 1993 mean. (b) Annual average global integrals of in situ estimates of
 2098 intermediate (700–2000 m) OHCA for 1993–2020 with standard errors of the mean, and a
 2099 long-term trend with one standard error uncertainty shown from 1992.4–2011.6 for deep and
 2100 abyssal ($z > 2000 \text{ m}$) OHCA following Purkey and Johnson (2010) but updated using all
 2101 repeat hydrographic section data available from <https://cchdo.ucsd.edu/> as of Jan 2021.



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2103 **Fig. 3.7.** (a) Map of the 2020 annual surface salinity anomaly (colors, PSS-78) with respect to

2104 monthly climatological 1955–2012 salinity fields from WOA13v2 (yearly average—gray

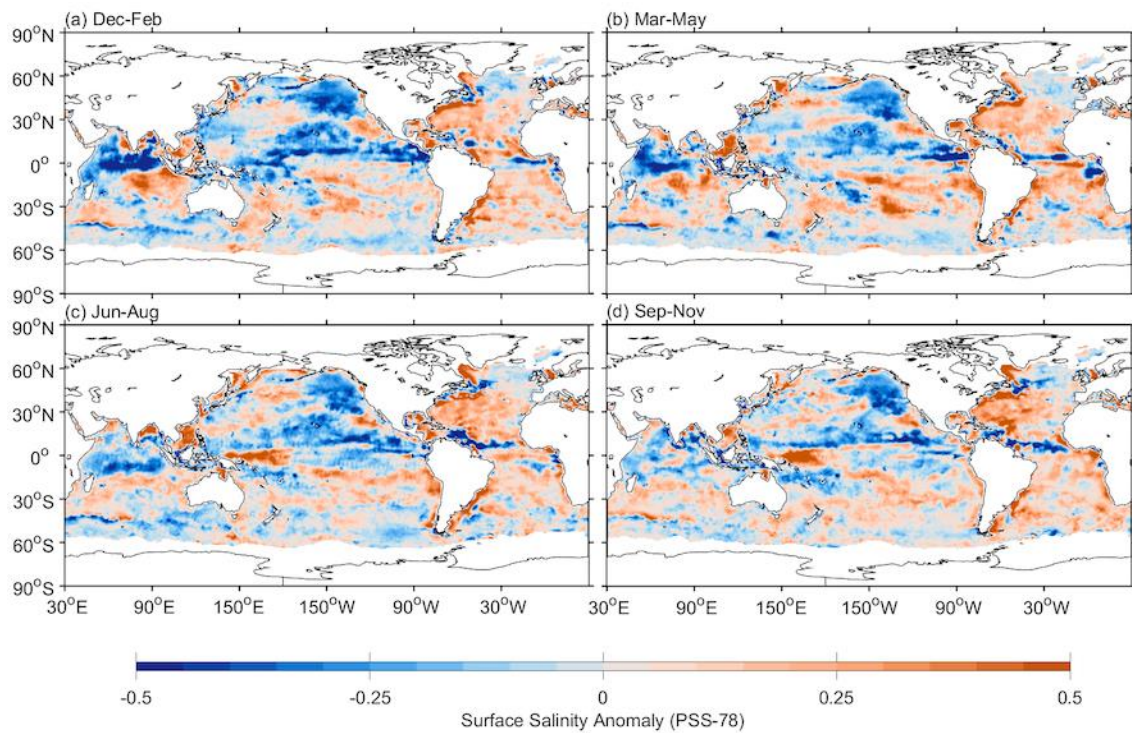
2105 contours at 0.5 intervals, PSS-78). (b) Difference of 2020 and 2019 surface salinity maps

2106 (colors, PSS-78 yr⁻¹). White ocean areas are too data-poor (retaining < 80% of a large-scale

2107 signal) to map. (c) Map of local linear trends estimated from annual surface salinity

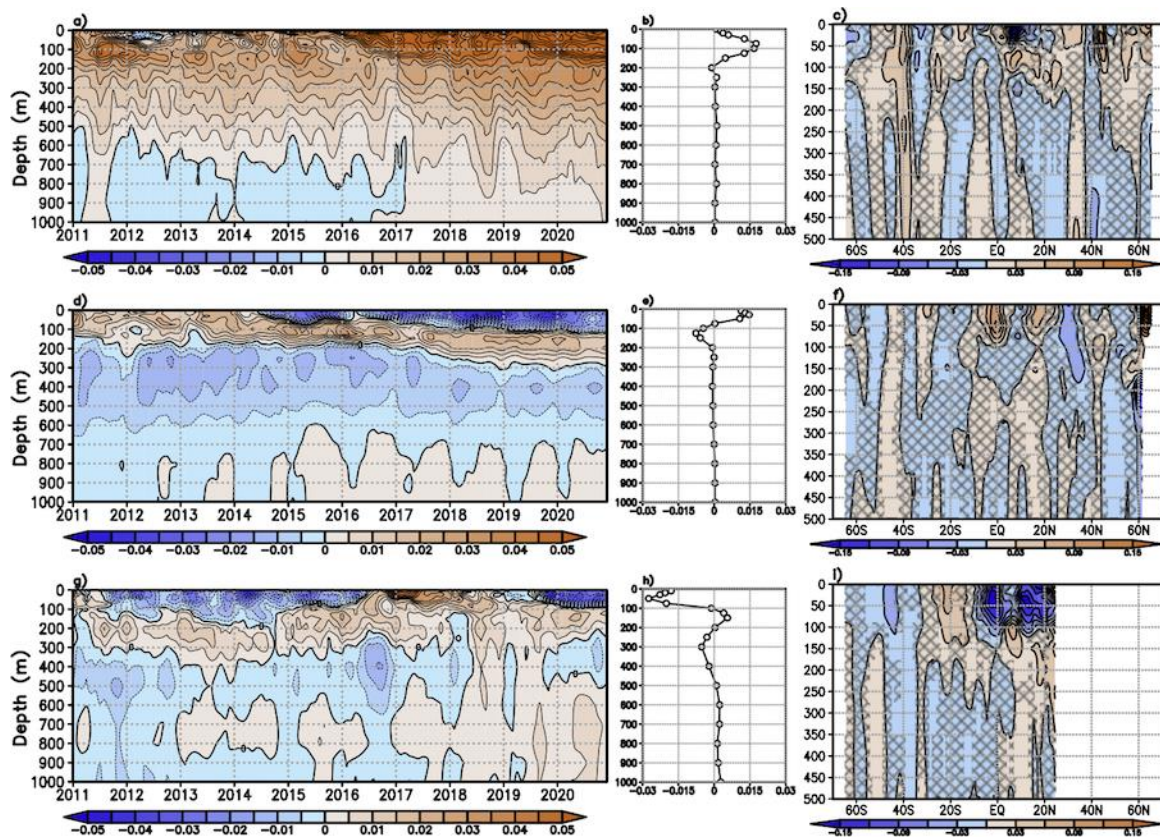
2108 anomalies for 2005–20 (colors, PSS-78 yr⁻¹). Areas with statistically insignificant trends at

2109 5%–95% confidence are stippled. **All maps are made using Argo data.**



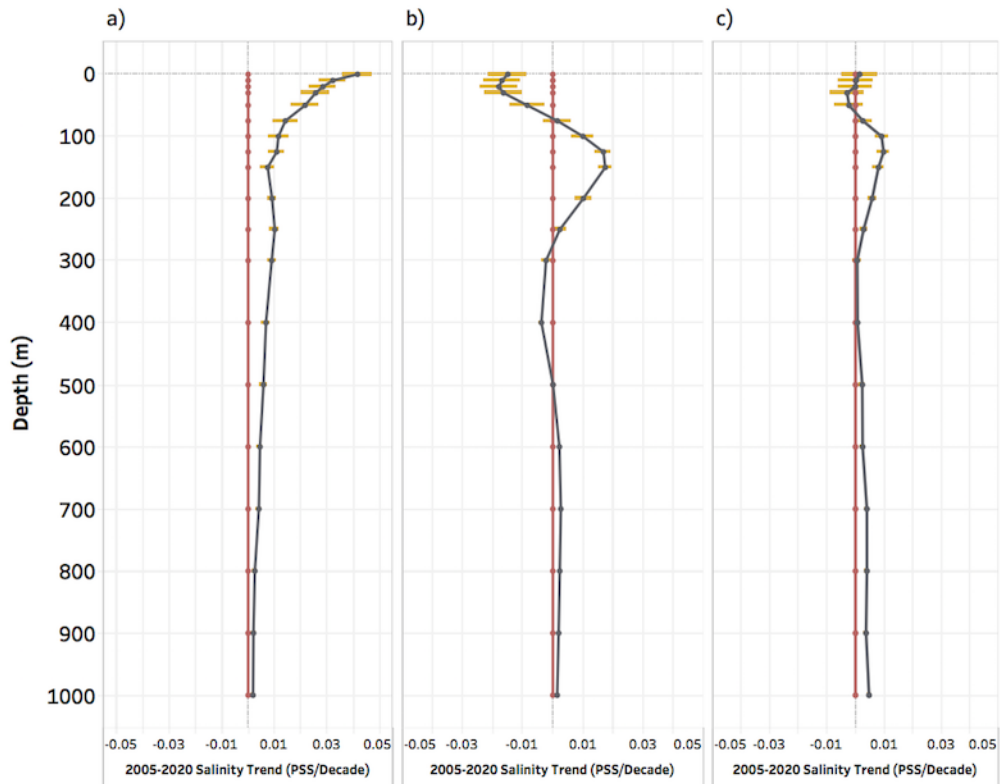
2110

2111 **Fig. 3.8.** Seasonal maps of SSS anomalies (colors) from monthly blended maps of satellite
 2112 and in situ salinity data (BASS; Xie et al. 2014) relative to monthly climatological 1955–
 2113 2012 salinity fields from WOA13v2 for (a) Dec 2019–Feb 2020, (b) Mar–May 2020, (c) Jun–
 2114 Aug 2020, and (d) Sep–Nov 2020. Areas with maximum monthly errors exceeding 10 PSS-
 2115 78 are left white.



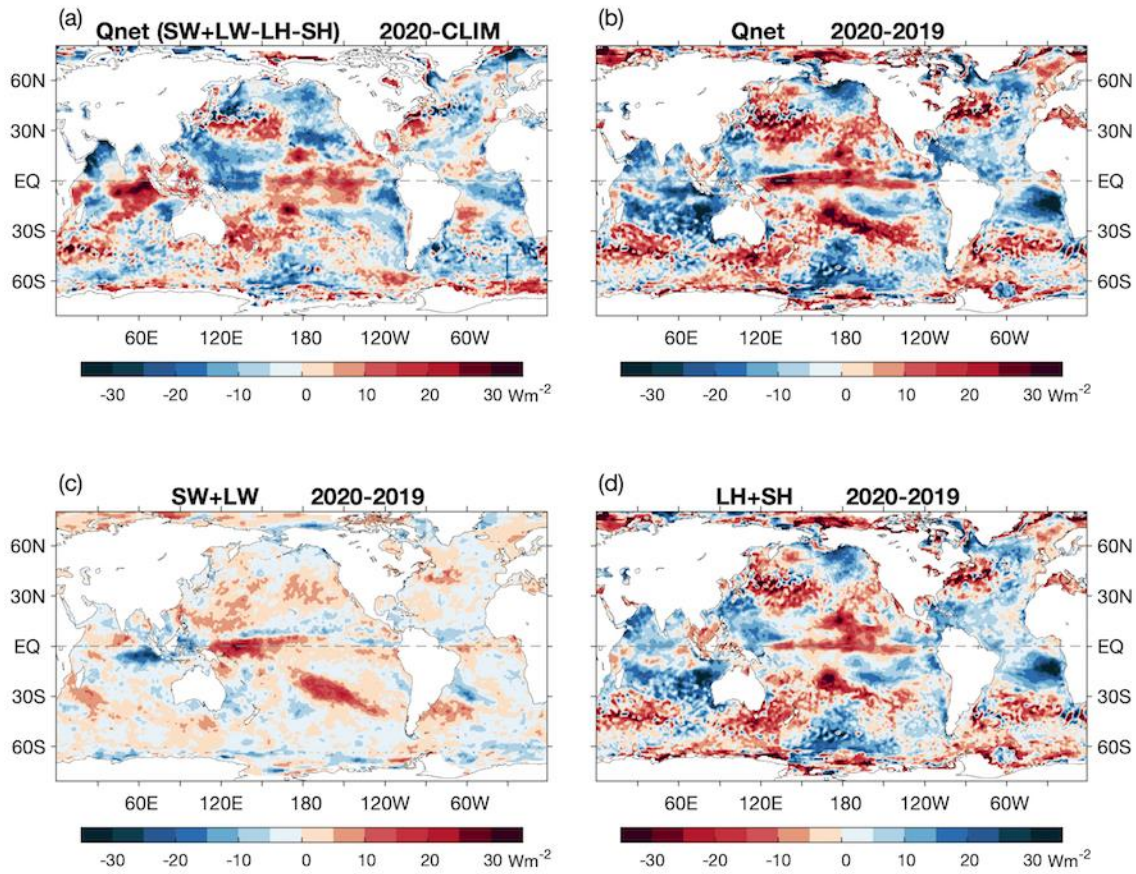
2116

2117 **Fig. 3.9.** Average monthly salinity anomalies from 0–1000 m for 2011–20 for the (a)
 2118 Atlantic, (d) Pacific, and (g) Indian Ocean basins. Change in salinity from 2019 to 2020 for
 2119 the (b) Atlantic, (e) Pacific, and (h) Indian Ocean basins. Change in the 0–500-m zonal-
 2120 average salinity from 2019 to 2020 in the (c) Atlantic, (f) Pacific, and (i) Indian Ocean basins
 2121 with areas of statistically insignificant change, defined as $< \pm 1$ std. dev. and calculated from
 2122 all year-to-year changes between 2005 and 2020, stippled in dark gray. Data were smoothed
 2123 using a 3-month running mean. Anomalies are relative to the long-term (1955–2012)
 2124 WOA13v2 monthly salinity climatology (Zweng et al. 2013).



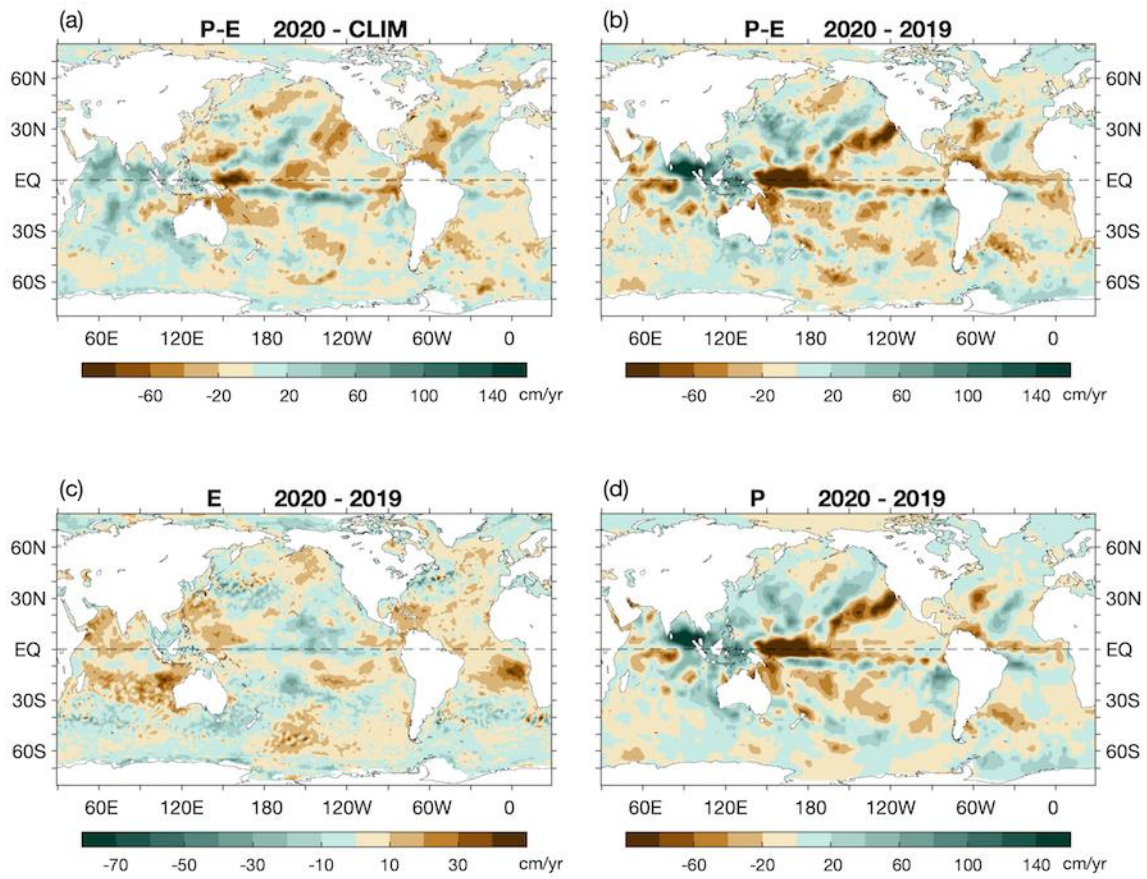
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2127 **Fig. 3.10.** Basin-average salinity trends from 2005 to 2020 (black line, PSS-78 decade⁻¹) with
 2128 95% confidence intervals (orange bars) at standard depths for (a) Atlantic, (b) Pacific, and (c)
 2129 Indian Ocean basins. Red line is the zero-trend line.



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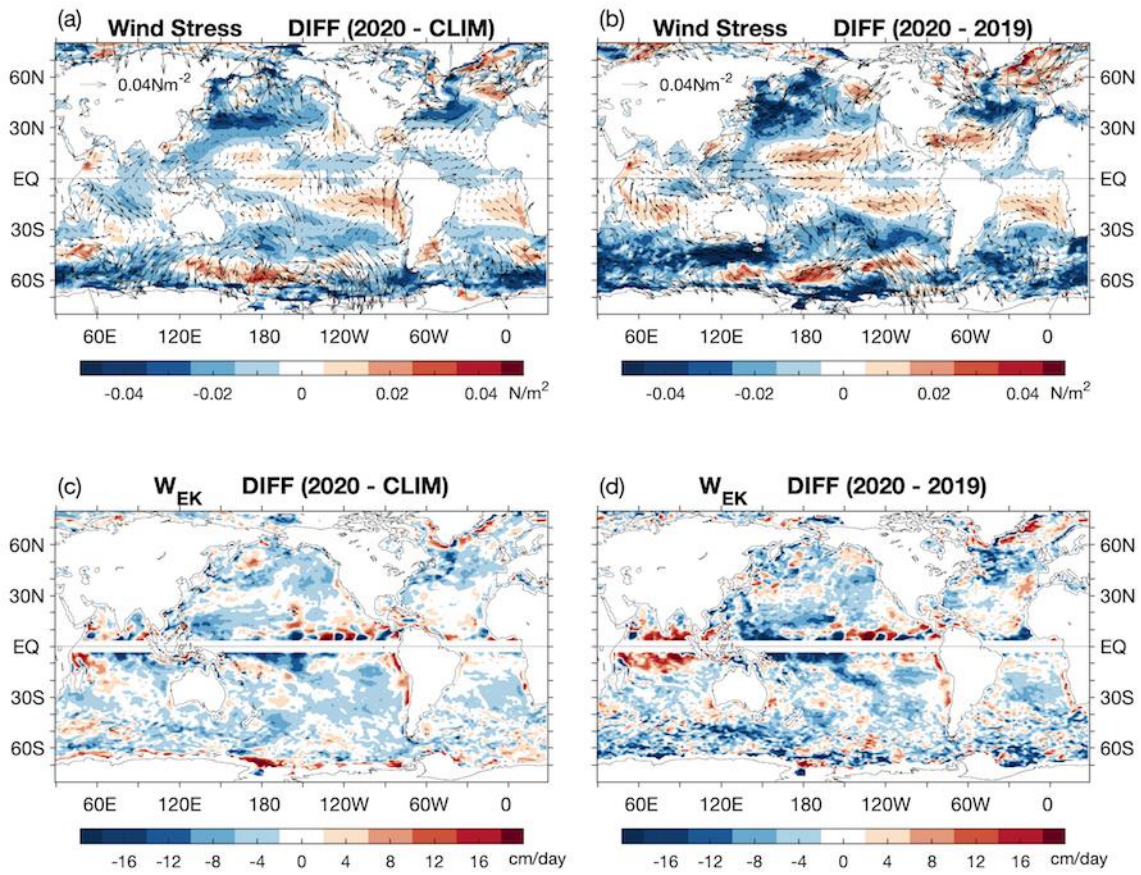
2131 **Fig. 3.11.** (a) Surface heat flux (Q_{net}) anomalies (W m^{-2}) for 2020 relative to the 2001–15
 2132 climatology. Positive values denote ocean heat gain. (b) 2020 minus 2019 tendency for Q_{net} ,
 2133 (c) surface radiation (SW+LW), and (d) turbulent heat fluxes (LH+SH), respectively. Positive
 2134 tendencies denote more ocean heat gain in 2020 than in 2019, consistent with the reversal of
 2135 the color scheme in (d). LH+SH are from OAFlux, and SW+LW is the NASA FLASHFlux
 2136 version 4A.



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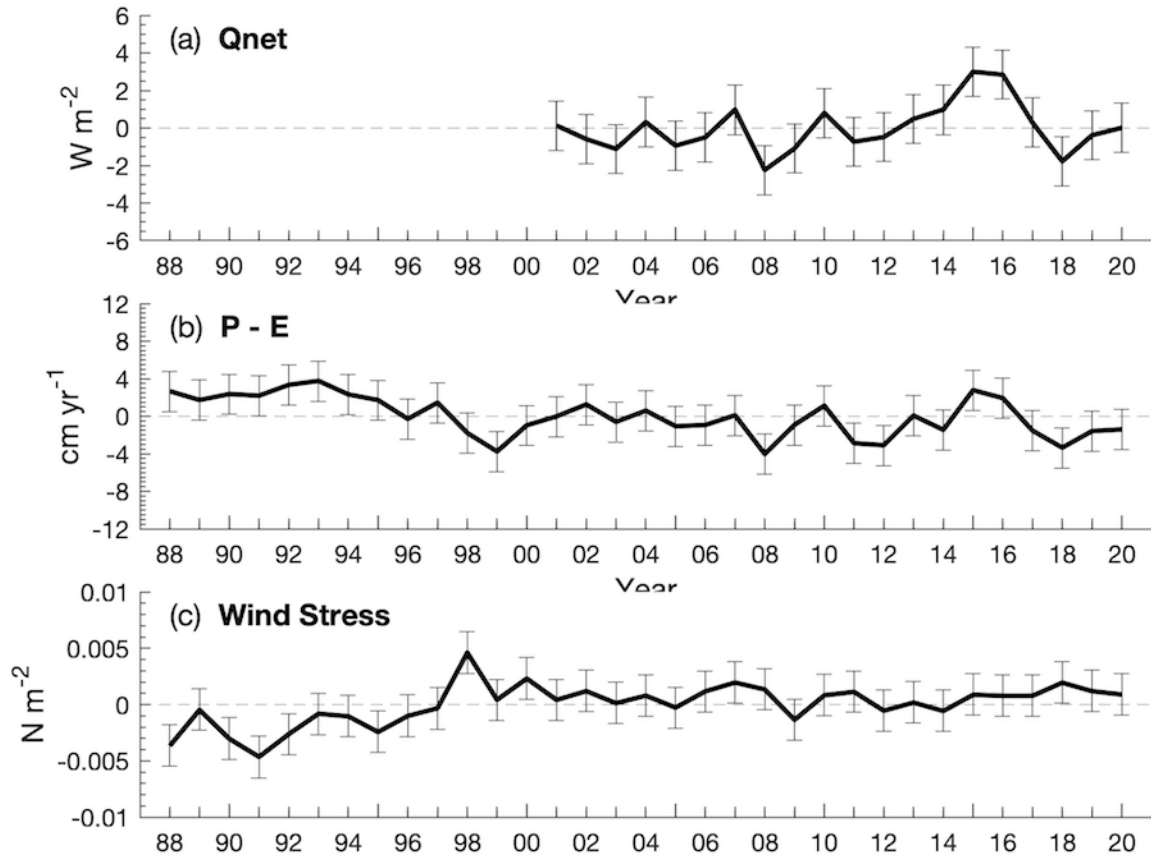
2138 **Fig. 3.12.** (a) Surface freshwater ($P-E$) flux anomalies (cm yr^{-1}) for 2020 relative to the
 2139 1988–2015 climatology. 2020 minus 2019 tendencies for (b) $P-E$, (c) evaporation (E), and
 2140 (d) precipitation (P). Green colors denote anomalous ocean moisture gain, and browns denote
 2141 loss, consistent with the reversal of the color scheme in (c). P is the GPCP version 2.3rB1
 2142 product, and E is from OAFlux.

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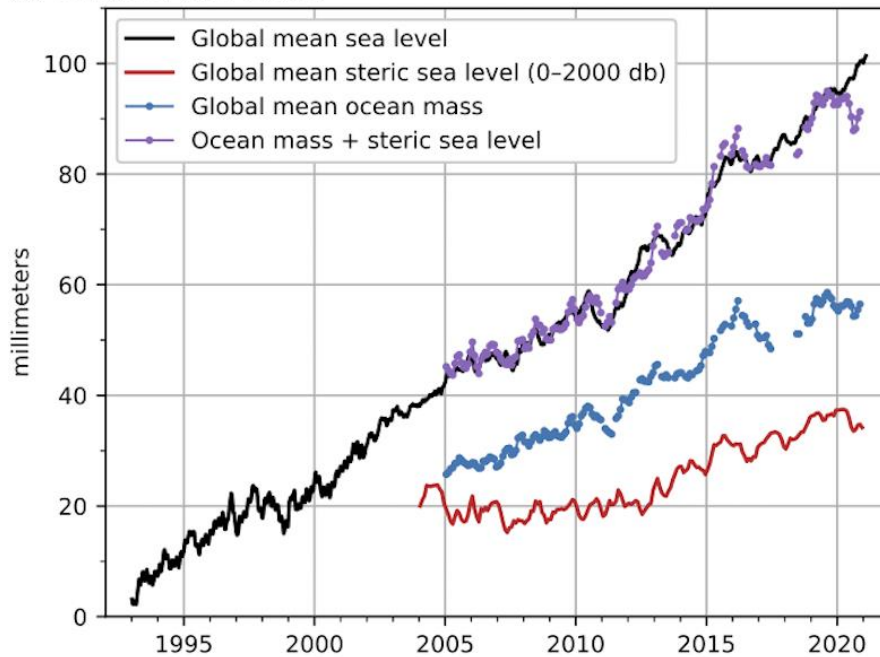
2145 **Fig. 3.13.** (a) Wind stress magnitude (colors) and vector anomalies (N m^{-2}) for 2020 relative
2146 to the 1988–2015 climatology, (b) 2020 minus 2019 tendencies in wind stress, (c) Ekman
2147 vertical velocity (W_{EK} ; cm day^{-1}) anomalies for 2020 relative to the 1988–2015 climatology,
2148 and (d) 2020 minus 2019 tendencies in W_{EK} . In (c) and (d), positive values denote upwelling
2149 tendency and negative downwelling tendency. Winds are computed from the OAFlux.



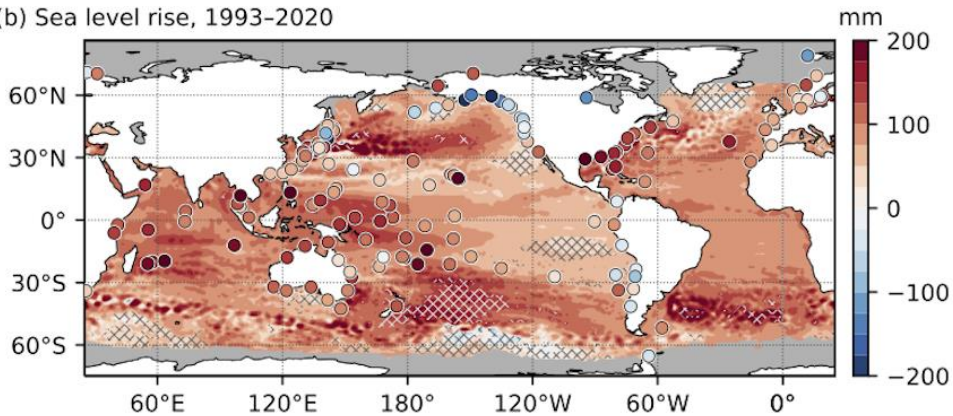
2150

2151 **Fig. 3.14.** Annual-mean time series of global averages of (a) net surface heat flux (Q_{net} ; W
 2152 m^{-2}) from the combination of CERES EBAF4.1 SW+LW and OAFflux LH+SH. The 2020
 2153 Q_{net} estimate is based on FLASHFlux and OAFflux. (b) net freshwater flux ($P-E$; $cm\ yr^{-1}$)
 2154 from the combination of GPCP P and OAFflux E , and (c) wind stress magnitude ($N\ m^{-2}$) from
 2155 OAFflux. **Shaded area denotes 1 std. dev. of annual-mean variability.**

(a) Global sea level budget



(b) Sea level rise, 1993–2020



2156

2157 **Fig. 3.15.** (a) Monthly averaged GMSL (mm) observed by satellite altimeters (black, 1993–

2158 2020 from the NOAA Laboratory for Satellite Altimetry), global ocean mass (blue, 2003–20

2159 from GRACE and GRACE-FO), global mean steric sea level (red, 2004–20 from the Argo

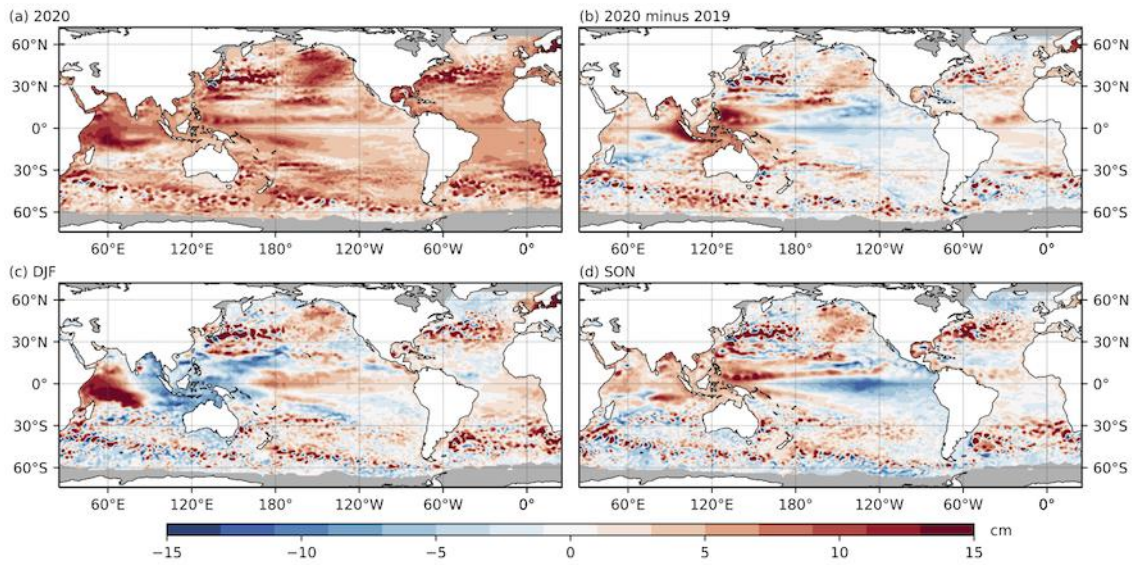
2160 profiling float array), mass plus steric (purple), and inferred global ocean mass (cyan)

2161 calculated by subtracting global mean steric sea level from global mean sea level. All time

2162 series have been smoothed with a 3-month filter. (b) Total local sea level change during

2163 1993–2020 as measured by satellite altimetry (contours) and tide gauges (circles). Hatching

2164 indicates local changes that are significantly different from the change in GMSL.



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2166

Fig. 3.16. (a) Annual average sea level anomaly during 2020 relative to average sea level at

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each location during 1993–2020. (b) Average 2020 minus 2019 sea level anomaly. (c)

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Average sea level anomaly during DJF 2020 relative to 1993–2020 average. (d) Same as (c),

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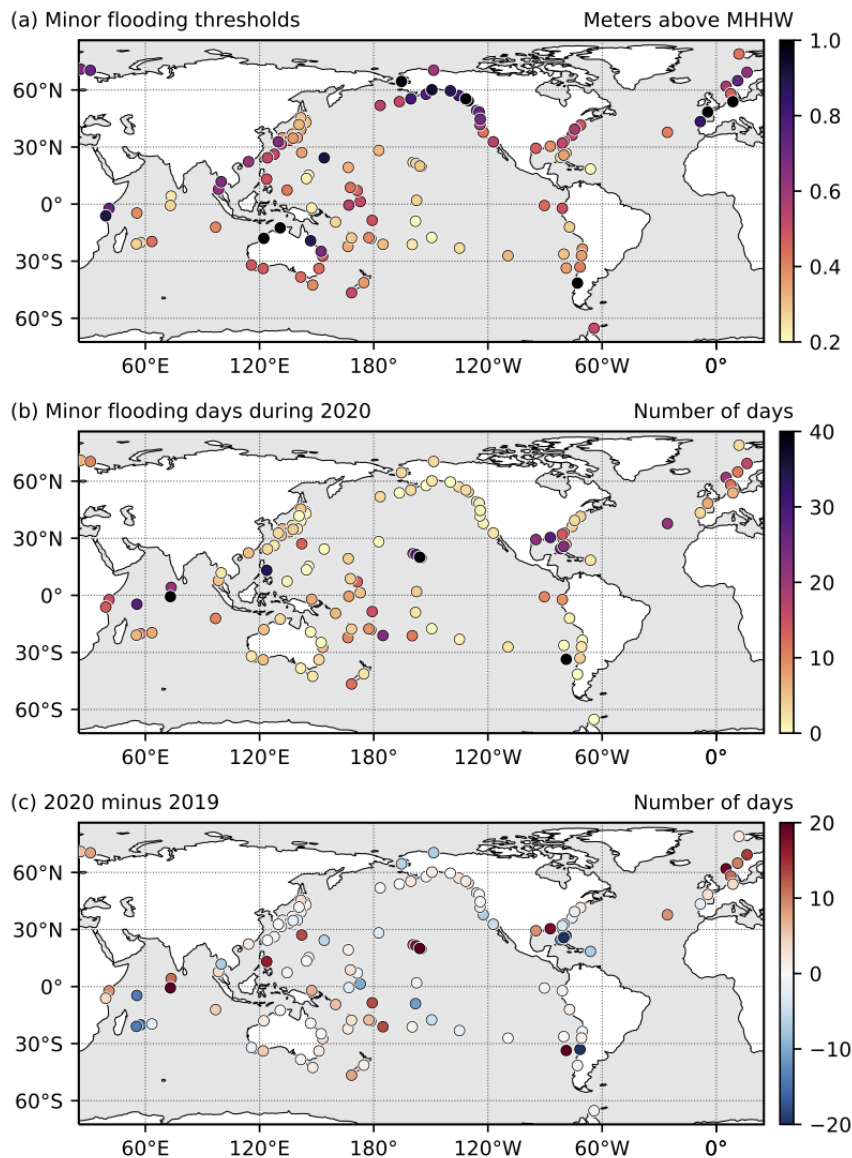
but for SON. GMSL was subtracted from panels (c),(d) to emphasize regional, non-secular

2170

change. Altimetry data were obtained from the gridded, multi-mission product maintained by

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the Copernicus Marine and Environment Monitoring Service (CMEMS).



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2173

Fig. 3.17. (a) Nuisance-level flooding thresholds defined by the level of the top 1% of

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observed daily maxima during 2000–18 from tide gauge records. Units are in meters above

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mean higher high water (MHHW) calculated over 2000–18. (b) Number of daily maximum

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water levels exceeding the thresholds in (a) during 2020. (c) Same as in (b), but for 2020

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minus 2019. Daily maximum water levels were calculated from hourly tide gauge

2178

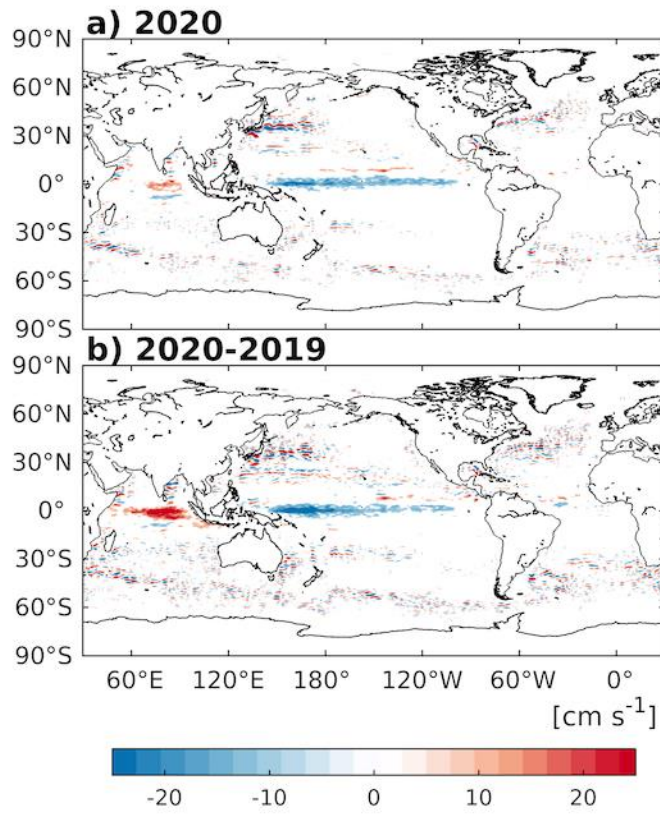
observations obtained from the University of Hawaii Sea Level Center Fast Delivery

2179

database. Only records with at least 80% completeness during 2000–18 and 80%

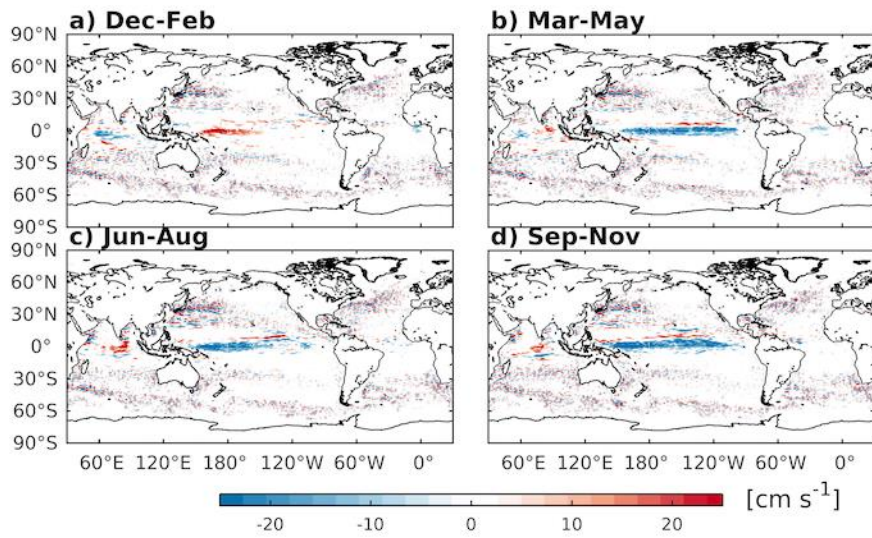
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completeness during 2020 were analyzed.



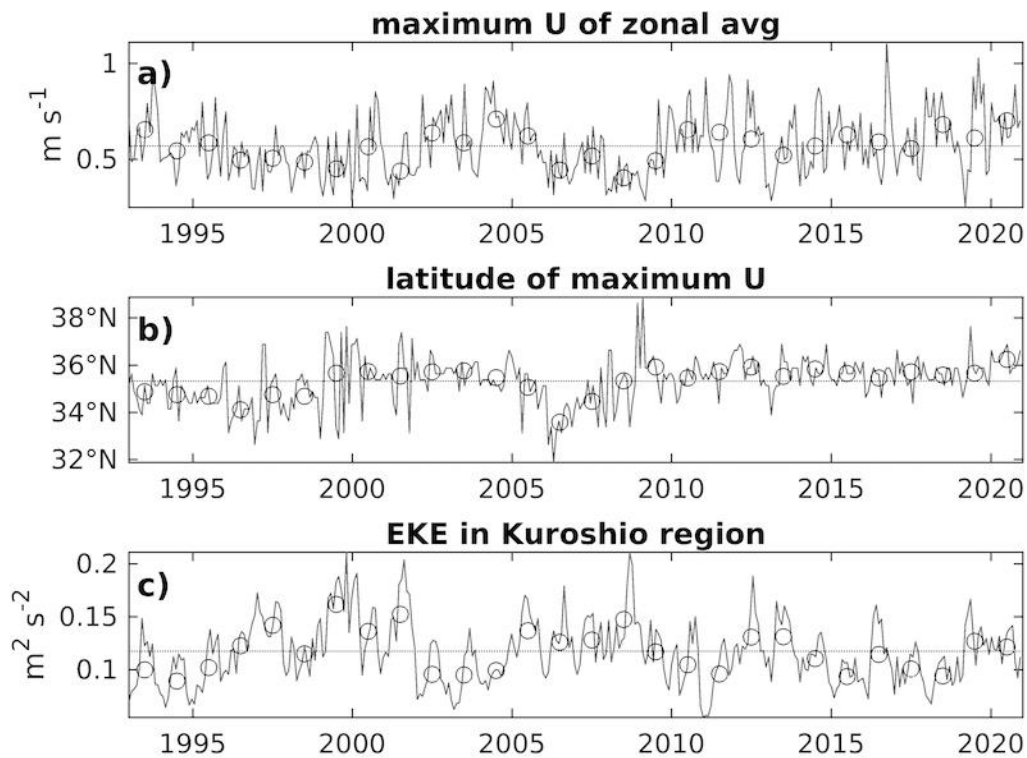
2181

2182 **Fig. 3.18.** Annually-averaged geostrophic zonal current anomalies (cm s^{-1}) for (a) 2020 and
 2183 (b) 2020 minus 2019 derived from a synthesis of drifters, altimetry, and winds. Values not
 2184 shown where they are not significantly different from zero.



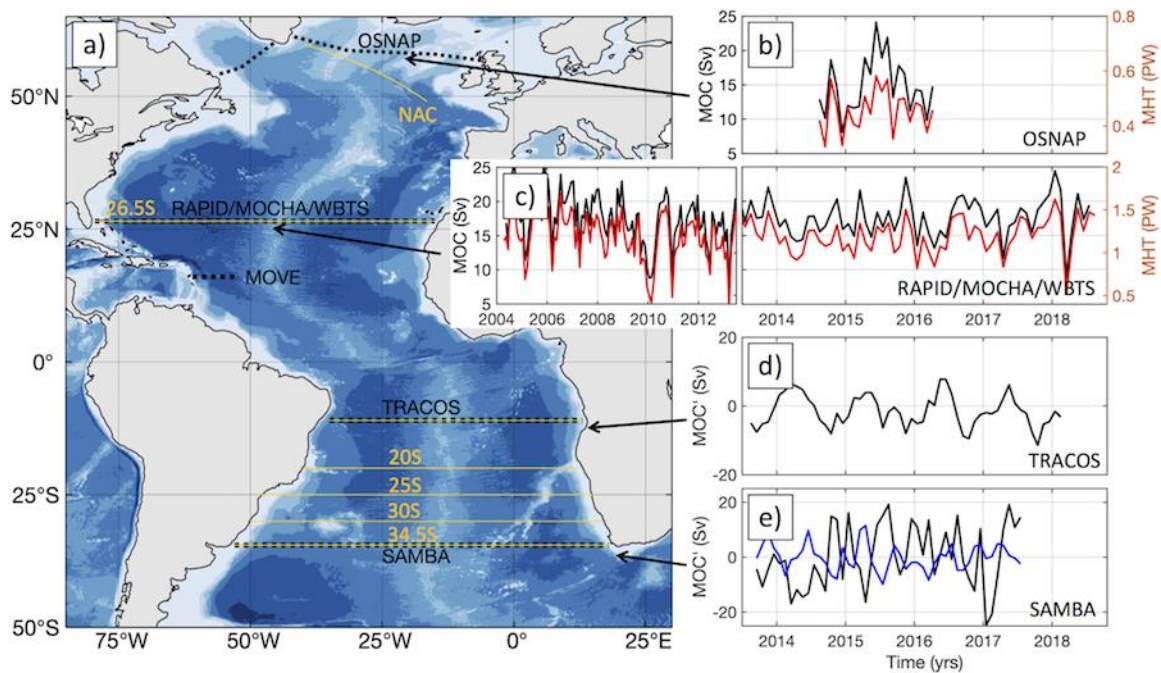
2185

2186 **Fig. 3.19.** Seasonally-averaged zonal geostrophic anomalies with respect to seasonal
 2187 climatology, for (a) Dec 2019–Feb 2020, (b) Mar–May 2020, (c) Jun–Aug 2020, and (d)
 2188 Sep–Nov 2020. Values not shown where they are not significantly different from zero.



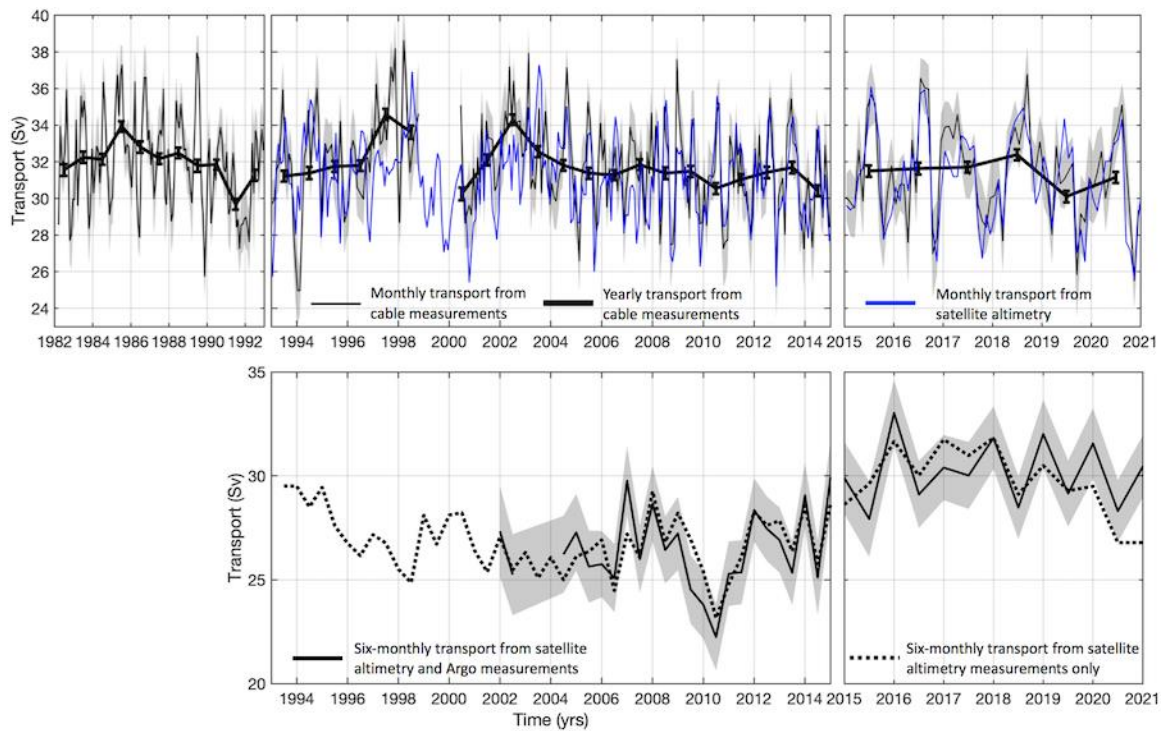
2189

2190 **Fig. 3.20.** (a) Maximum zonally-averaged value of total geostrophic zonal velocity (U) versus
 2191 time in the Kuroshio Extension region (141° – 153° E, 32° – 38° N; Qiu and Chen 2005). (b)
 2192 Latitude of the maximum velocity shown in (a). (c) Eddy kinetic energy (EKE) averaged in
 2193 the Kuroshio Extension region. In all plots, monthly values are shown in gray, annual
 2194 averages as black circles, and the time-mean is shown as a horizontal gray line.



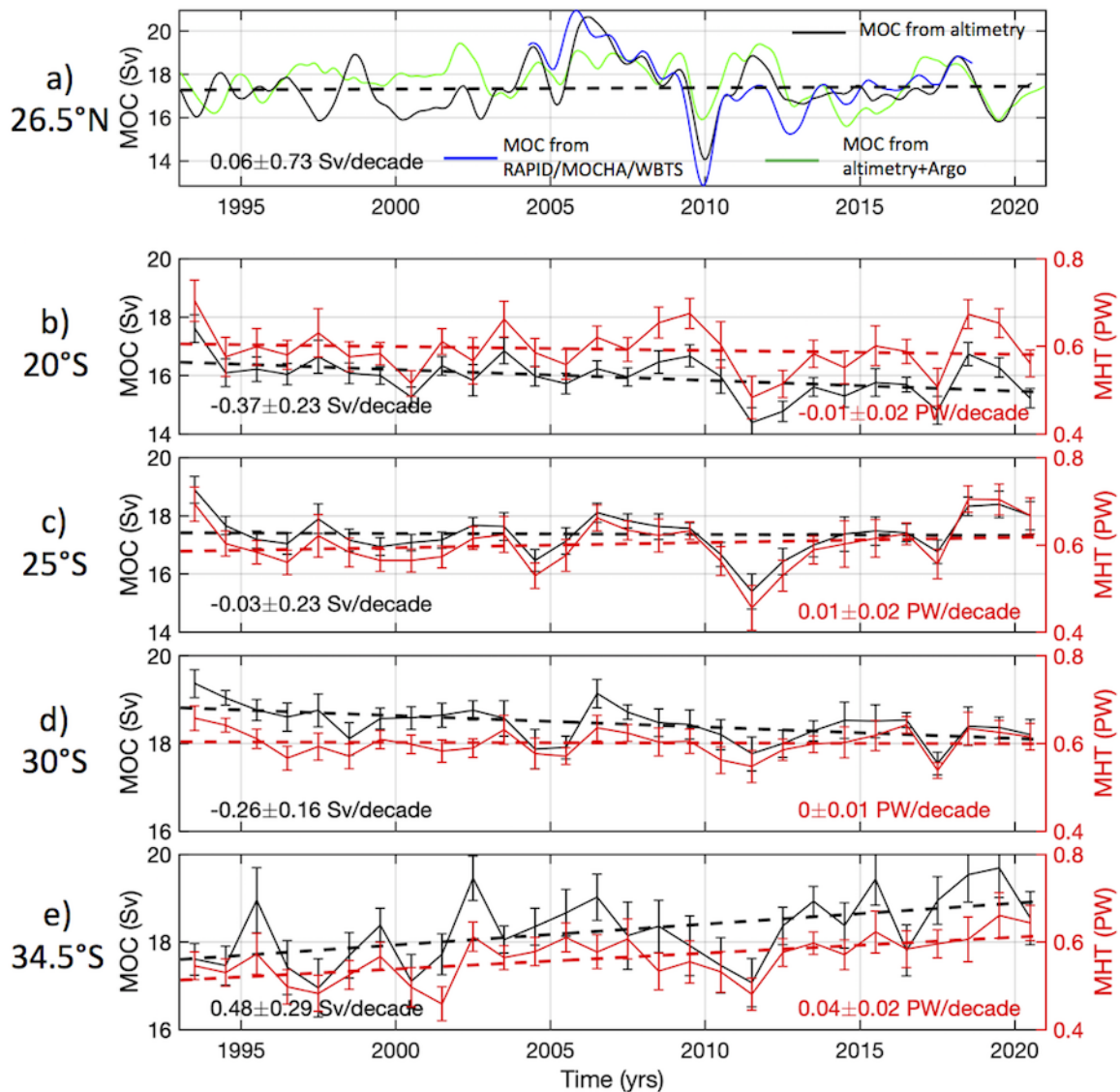
2196

2197 **Fig. 3.21.** (a) The Atlantic Ocean MOC observing system: moored arrays (dashed black
 2198 lines) and sections (yellow lines) across which the MOC is estimated by combining in situ
 2199 measurements (Argo, XBT, bottom pressure) with satellite altimetry data. (b) Monthly time
 2200 series of the MOC northward volume transport (black) and MHT (red) across the OSNAP
 2201 array (Lozier et al. 2019). (c) Monthly time series of the MOC northward volume transport
 2202 (black) and MHT (red) across the RAPID/MOCHA/WBTS array (Moat et al. 2020b). (d)
 2203 Monthly time series of the MOC northward volume transport anomaly across the TRACOS
 2204 array (Herrford et al. 2021). (e) Monthly time series of the MOC northward upper (black) and
 2205 abyssal cell (blue) volume transport anomalies across the SAMBA (Kersalé et al. 2020).



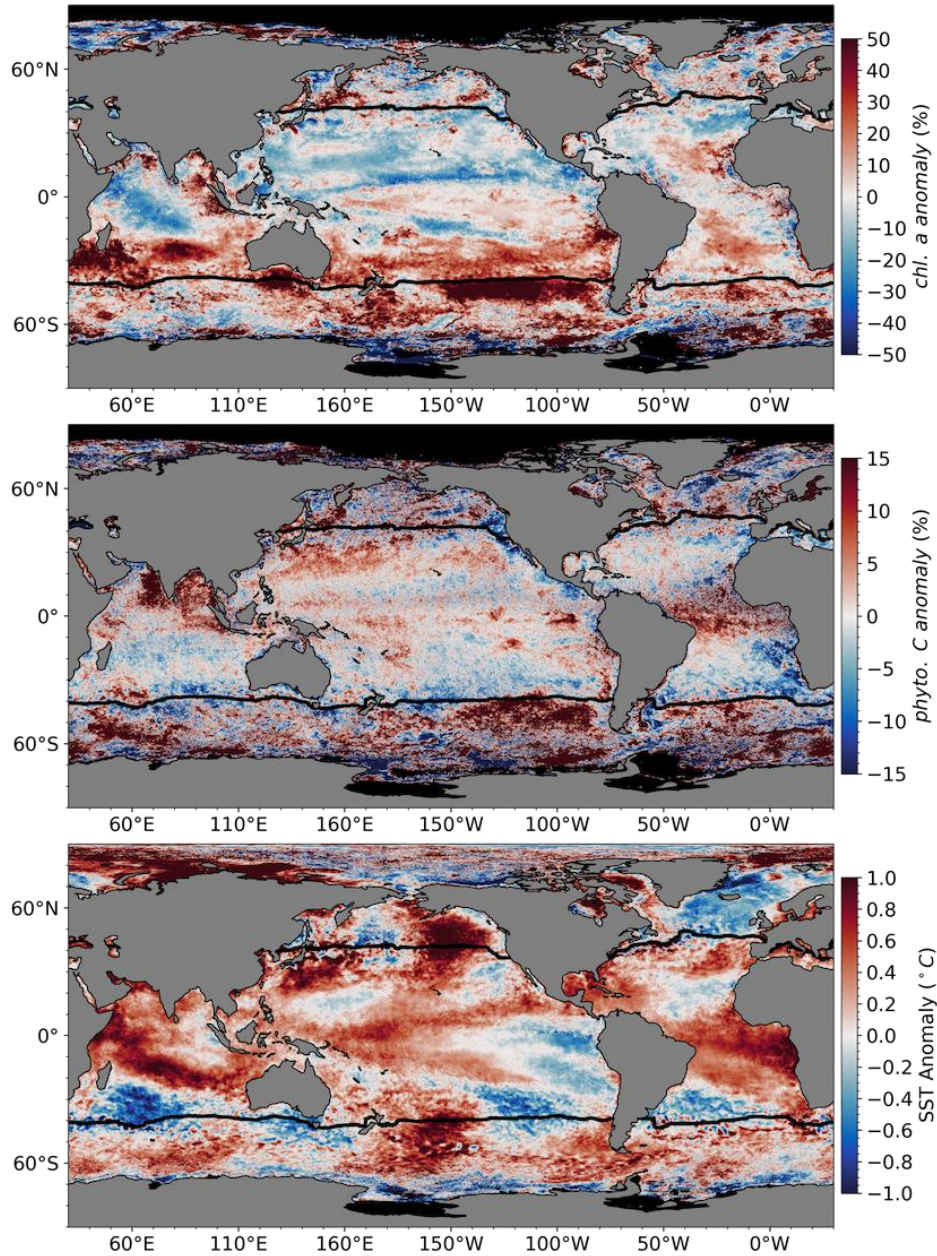
2206

2207 **Fig. 3.22.** (a) Monthly (thin black curve) and yearly (thick black curve) averages of the
 2208 Florida Current volume transport (Sv) derived from the cable measurements at 27°N with
 2209 associated uncertainties (gray shading and red error bars, respectively). Uncertainties include
 2210 the measurement error and the standard error of the mean. Monthly averaged Florida Current
 2211 volume transport derived from satellite altimetry (blue) following Volkov et al. (2020b). (b)
 2212 Six-monthly NAC volume transport across the NAC section (see Fig. 3.21a for location)
 2213 following Lankhorst and Send (2020): transport derived from satellite altimetry and Argo
 2214 measurements (solid curve) with uncertainties (gray shading) and transport derived from
 2215 satellite altimetry measurements only (dotted curve).



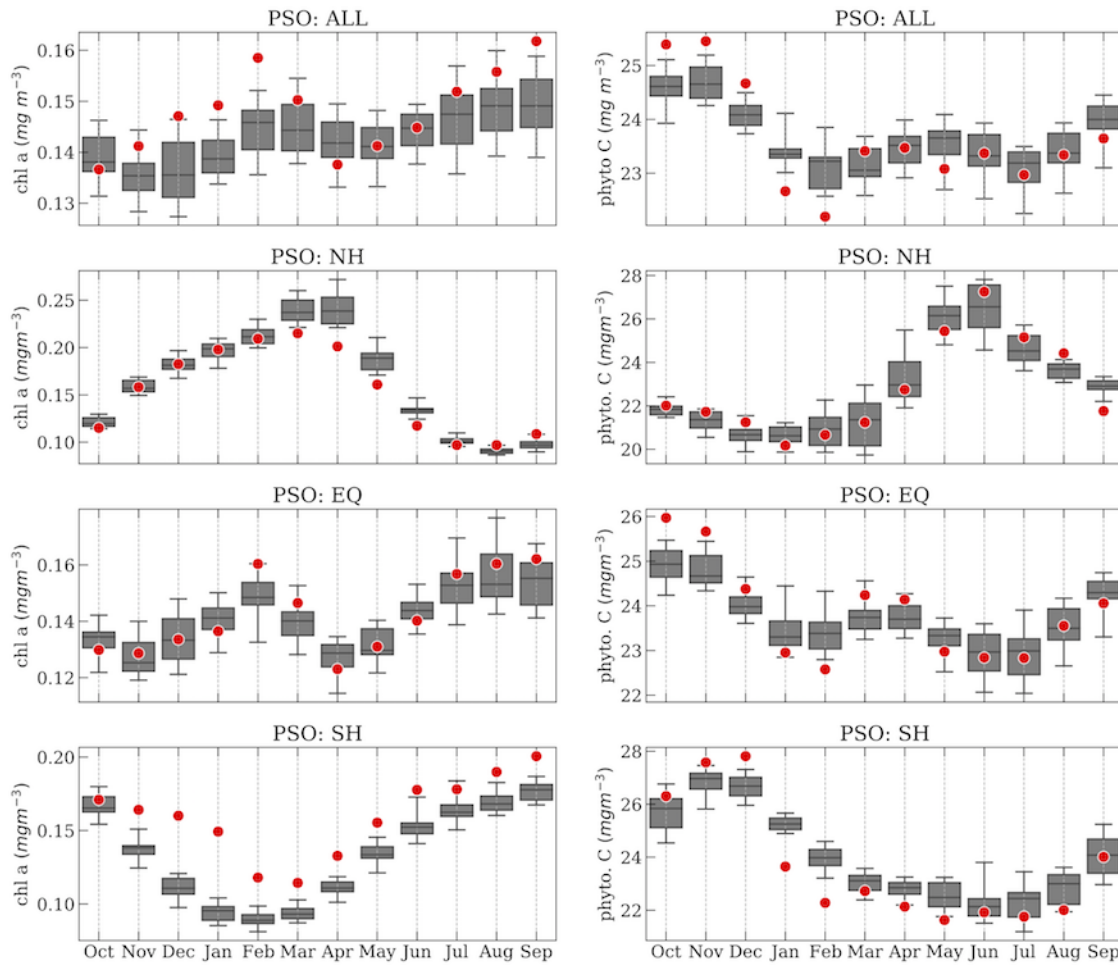
2217

2218 **Fig. 3.23.** Blended MOC estimates (Sv) based on combinations of satellite altimetry and in
 2219 situ hydrography data. (a) The MOC at 26.5°N derived from satellite altimetry (black),
 2220 satellite altimetry and Argo (green), and RAPID/MOCHA/WBTS observing array (blue). (b–
 2221 e) The yearly MOC (black) and MHT (red) averages at various latitudes in the South
 2222 Atlantic. Error bars in (b–e) show standard errors of the yearly means. Dashed lines show
 2223 linear trends over the observational period.



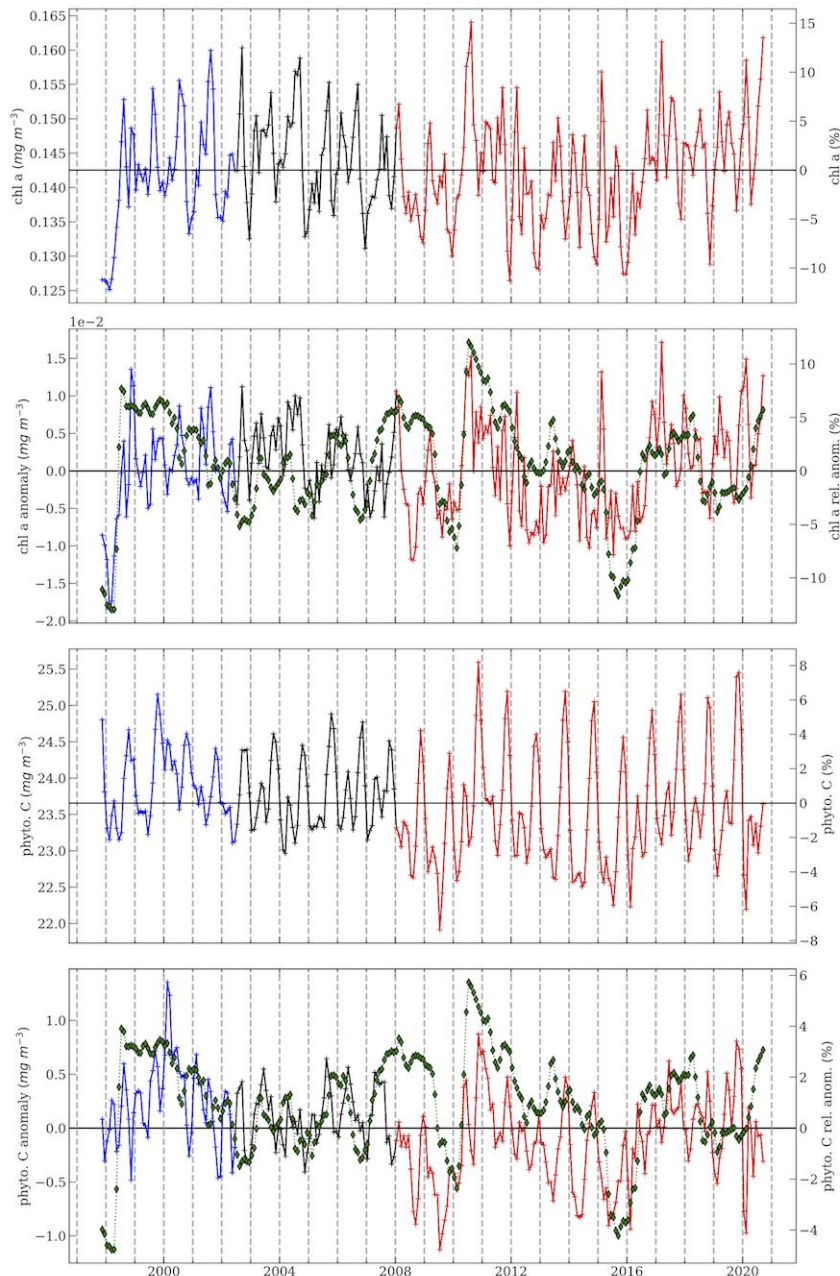
2224

2225 **Fig. 3.24.** Spatial distribution of average monthly (a) MODIS-A Chl*a* anomalies, (b)
 2226 MODIS-A C_{phy} anomalies, and (c) MODIS-A SST anomalies, where monthly differences
 2227 were derived relative to a MODIS-A 17-year climatological record (Oct 2002–Sep 2019).
 2228 Chl*a* and C_{phy} are stated as % difference from climatology, while SST is shown as an
 2229 absolute difference. Also shown in each panel is the location of the mean 15°C SST isotherm
 2230 (black lines) delineating the permanently stratified ocean (PSO).



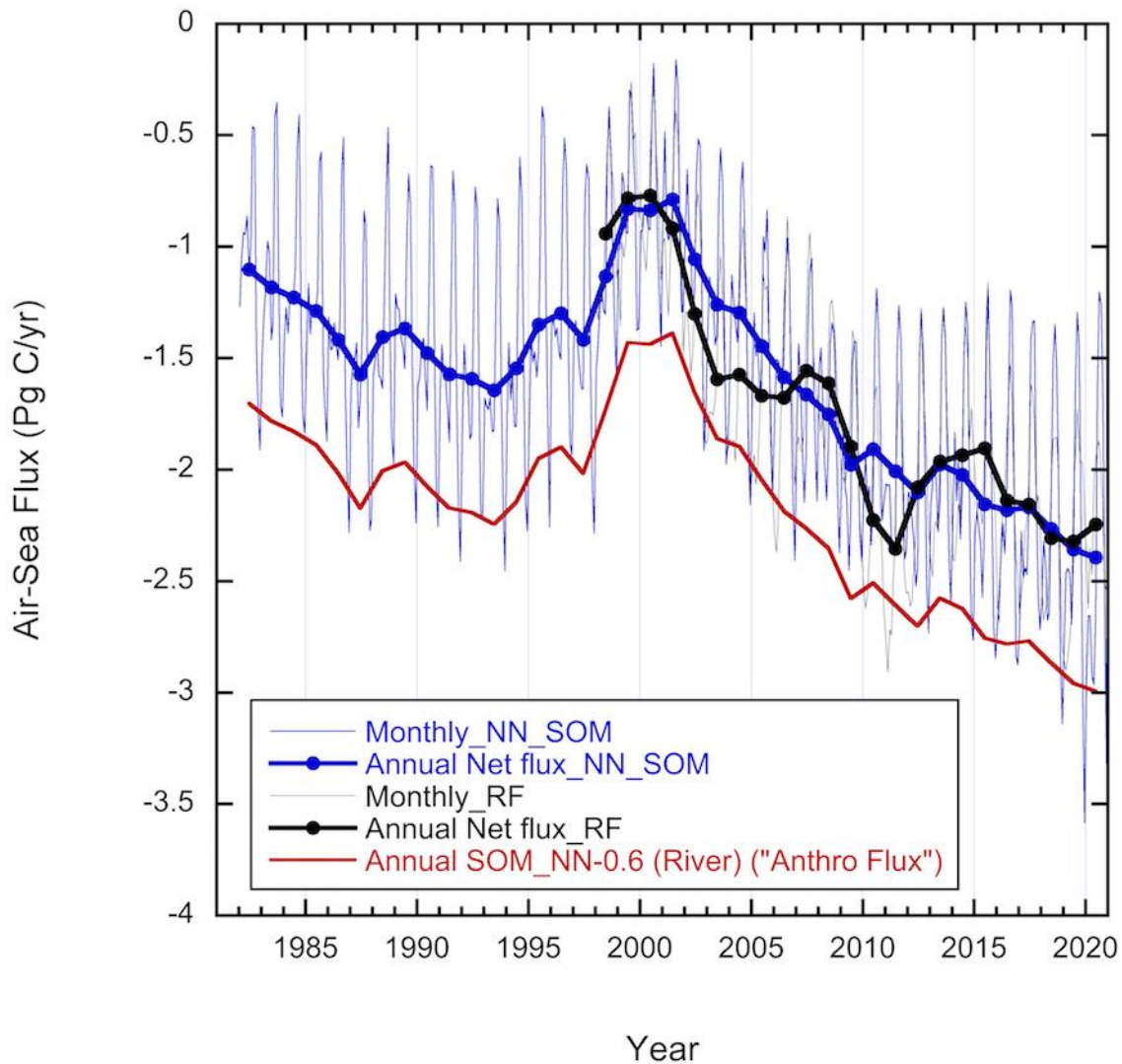
2231

2232 **Fig. 3.25.** Distribution of Oct 2019–Sep 2020 monthly means (red circles) for (a) MODIS-A
 2233 Chla and (b) MODIS-A C_{phy} for the permanently stratified ocean (PSO) region,
 2234 superimposed on the climatological values as derived from the combined time series of
 2235 SeaWiFS and MODIS-A over the 22-year period 1998–2019. Gray boxes show the
 2236 interquartile range of the climatology, with a black line for the median value and whiskers
 2237 extending to the 5th and 95th percentiles. Subsequent panels show latitudinally segregated
 2238 subsets of the PSO for the Northern Hemisphere, NH (c),(d), tropical $\pm 23.5^\circ$ latitude
 2239 subregion, EQ (e),(f), and Southern Hemisphere, SH (g),(h).



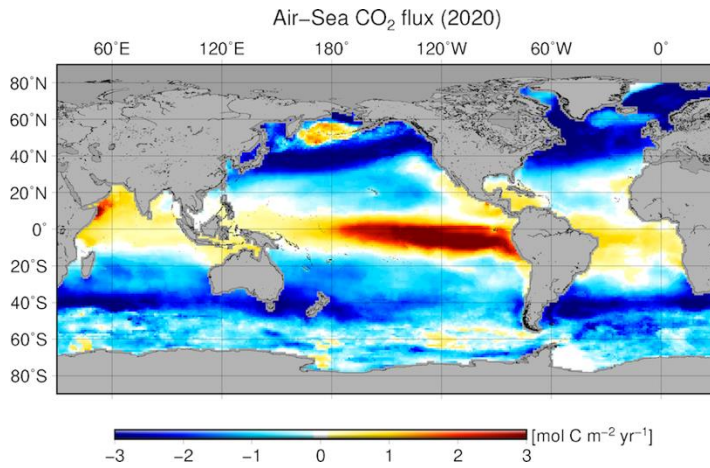
2240

2241 **Fig. 3.26.** 23-year, multi-mission record of Chl_a (mg m^{-3}) and C_{phy} (%) averaged over the
 2242 PSO for SeaWiFS (blue), MODIS-A (red), and combined (black). (a) Chl_a from each
 2243 mission, with the horizontal line indicating the multi-mission mean Chl_a concentration for
 2244 the region. (b) Monthly Chl_a anomalies from SeaWiFS and MODIS-A after subtraction of
 2245 the 22-year multi-mission climatological mean (Fig. 3.24). Both (c) and (d) show the same as
 2246 (a) and (b), respectively, but for C_{phy} . Green diamonds show the Multivariate ENSO Index,
 2247 inverted and scaled to match the range of the Chl_a and C_{phy} anomalies.

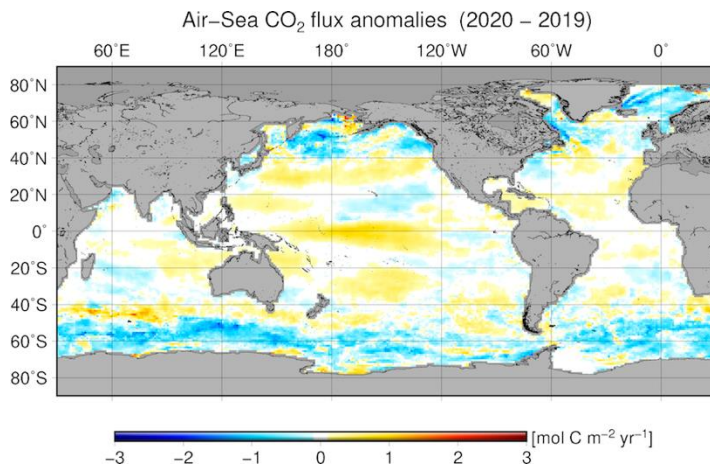


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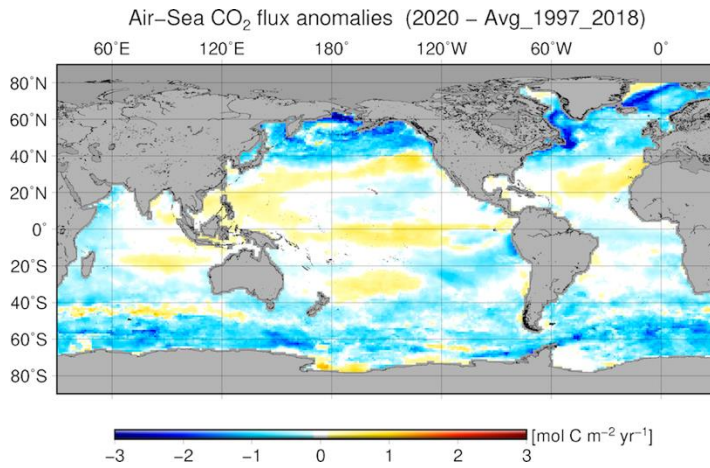
2250 **Fig. 3.27.** Global annual (thick blue line) and monthly (thin blue line) net CO₂ fluxes (Pg C
 2251 yr⁻¹) for 1982–2020 using a Neural Network (NN) approach. The thick and thin black lines
 2252 are the annual and monthly outputs from a Random Forest (RF) method, respectively. The
 2253 red line is the anthropogenic CO₂ flux, that is the net flux including a riverine adjustment of
 2254 -0.6 PgC. Negative values indicate CO₂ uptake by the ocean.



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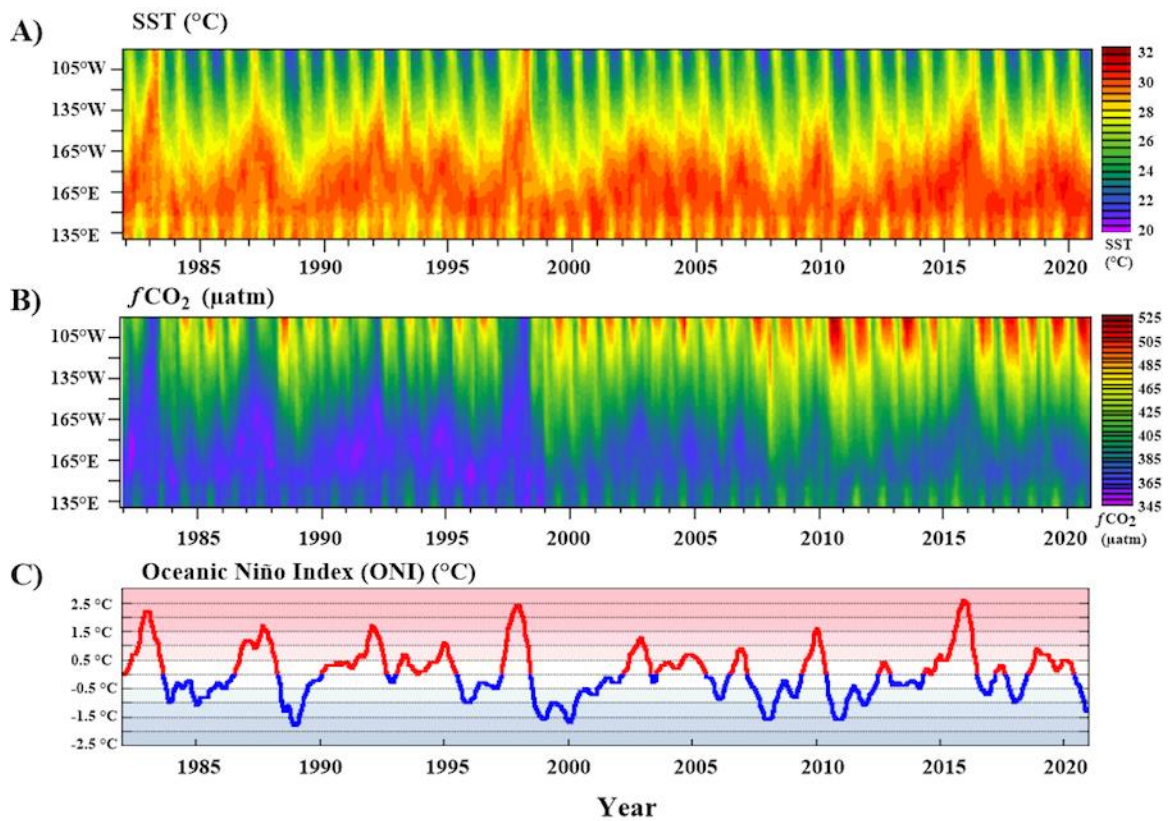


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2258 **Fig. 3.28.** Global map of (a) net air–sea CO₂ fluxes for 2020, with ocean CO₂ uptake regions
 2259 shown in blue. (b) Net air–sea CO₂ flux anomalies for 2020 minus 2019, and (c) net air–sea
 2260 CO₂ flux anomalies for 2020 relative to a 1997–2018 average values using the NN approach
 2261 of Landschützer et al. (2013). All maps have units of mol C m⁻² yr⁻¹.



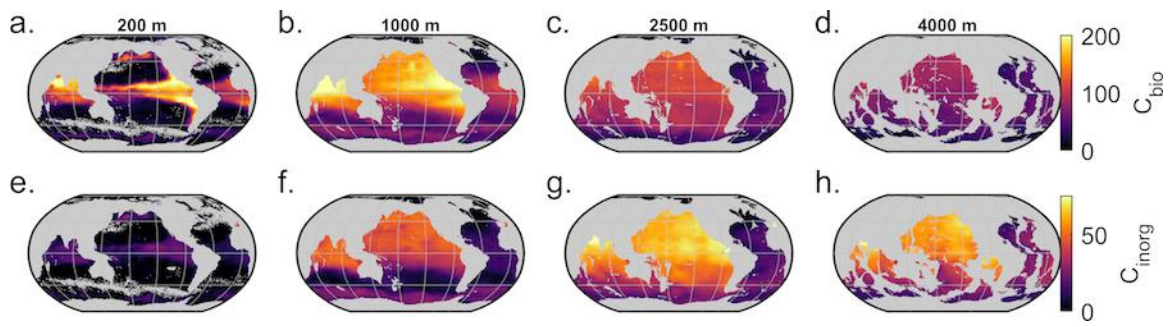
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2263

Fig. 3.29. Time–longitude plots of (a) SST ($^{\circ}\text{C}$), (b) $f\text{CO}_2$ (μatm) from 1982–2020 in the

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equatorial Pacific, and (c) the Oceanic Niño Index (ONI; $^{\circ}\text{C}$).

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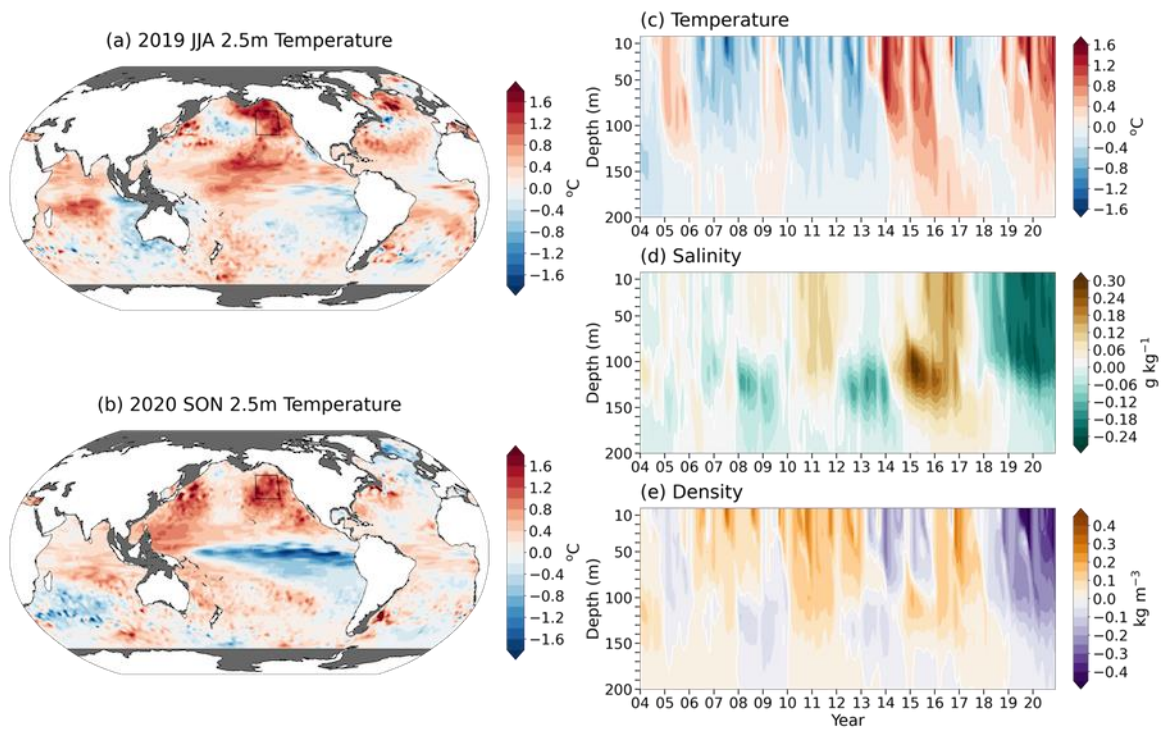
2266

2267 **Fig. 3.30.** Maps of the accumulation of dissolved inorganic carbon ($\mu\text{mol kg}^{-1}$) from (a–d)

2268 remineralized organic matter (C_{bio}) and from (e–h) dissolution of carbonate minerals (C_{inorg})

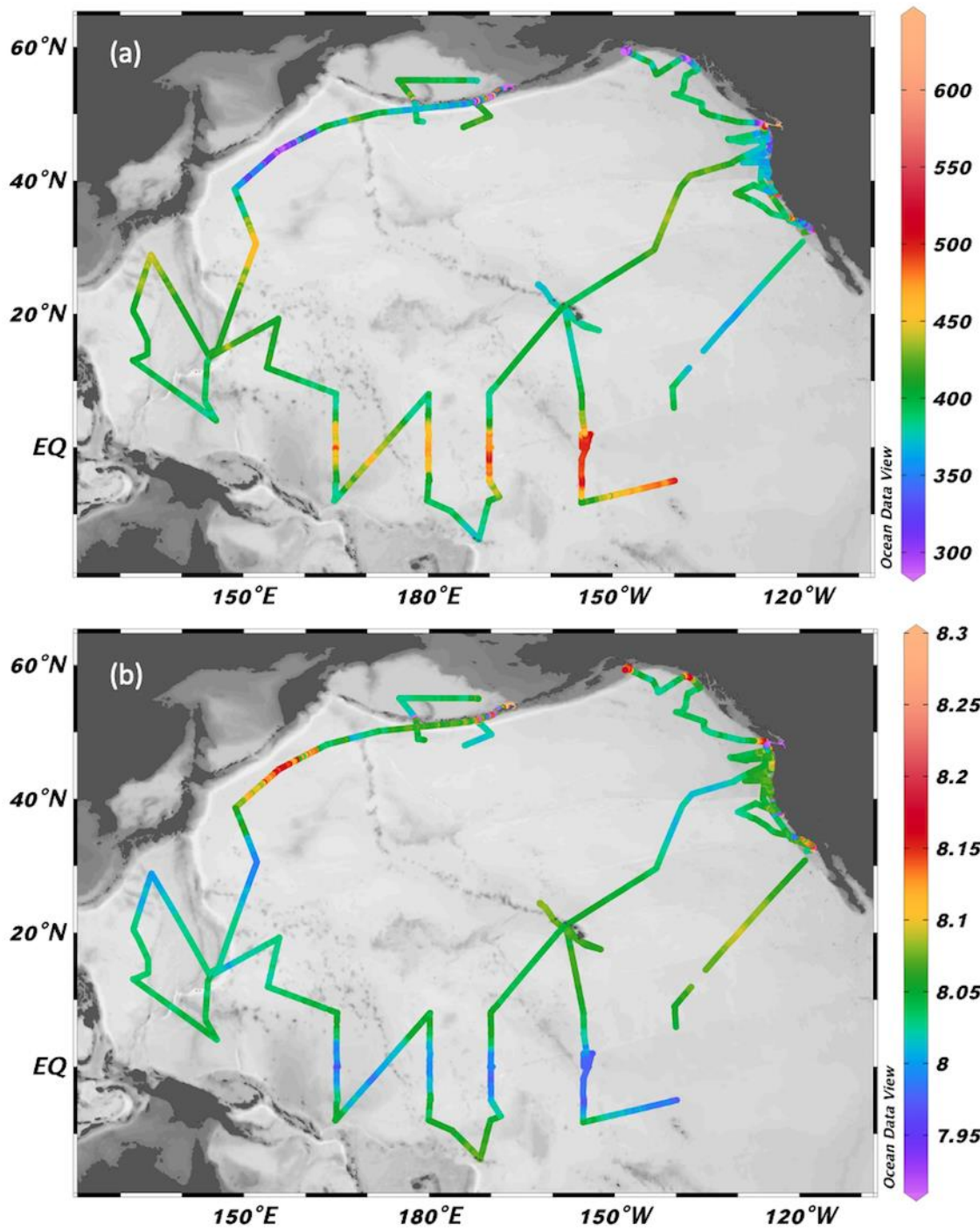
2269 at (a,e) 200 m, (b,f) 1000 m, (c,g) 2500 m, and (d,h) 4000 m.

2270



2271

2272 **Fig. SB3.1.** Seasonal 2.5-m temperature anomaly average over (a) JJA in 2019 and (b) SON
2273 in 2020. Time–depth plots of subsurface (c) temperature, (d) salinity, and (e) density
2274 anomalies averaged within the Northeast Pacific (35.5°–51.5°N, 135.5°–154.5°W; black box
2275 in (a) and (b) from Jan 2004 through Dec 2020. Subsurface observations are taken from the
2276 updated Roemmich-Gilson Argo Climatology (Roemmich and Gilson 2009) and monthly
2277 anomalies are computed with respect to the 2004–20 monthly means.



2278

2279 **Fig. SB3.2.** Pacific Ocean maps for 2020 of (a) CO₂ fugacity ($f\text{CO}_2$, μatm) and (b) pH_{total} .

2280 TABLES

2281 **Table 3.1.** Linear trends of annually and regionally averaged SSTAs ($^{\circ}\text{C decade}^{-1}$) from
2282 ERSSTv5, HadSST, and DOISST. Uncertainties at 95% confidence level are estimated by
2283 accounting for the effective sampling number quantified by lag-1 autocorrelation on the
2284 degrees of freedom of annually-averaged SST series.

Product	Region	2000–20	1950–2020
HadSST.3.1.1.0	Global	0.144 ± 0.058	0.088 ± 0.016
HadSST.4.0.0.0	Global	0.184 ± 0.062	0.117 ± 0.016
DOISST	Global	0.197 ± 0.054	N/A
ERSSTv5	Global	0.171 ± 0.069	0.103 ± 0.013
ERSSTv5	Tropical Pacific (30°S – 30°N)	0.177 ± 0.165	0.102 ± 0.027
ERSSTv5	North Pacific (30° – 60°N)	0.357 ± 0.137	0.081 ± 0.040
ERSSTv5	Tropical Indian Ocean (30°S – 30°N)	0.209 ± 0.089	0.143 ± 0.018
ERSSTv5	North Atlantic (30° – 60°N)	0.135 ± 0.090	0.110 ± 0.047
ERSSTv5	Tropical Atlantic (30°S – 30°N)	0.153 ± 0.093	0.111 ± 0.020
ERSSTv5	Southern Ocean (30° – 60°S)	0.116 ± 0.057	0.098 ± 0.016

2285

2286 **Table 3.2.** Trends of ocean heat content increase (in $W m^{-2}$ applied over the $5.1 \times 10^{14} m^2$
2287 surface area of Earth) from seven different research groups over three depth ranges (see Fig.
2288 3.6 for details). For the 0–700- and 700–2000-m depth ranges, estimates cover 1993–2020,
2289 with 5%–95% uncertainties based on the residuals taking their temporal correlation into
2290 account when estimating degrees of freedom (Von Storch and Zwiers 1999). The 2000–6000-
2291 m depth range estimate, an update of Purkey and Johnson (2010), uses data from 1981 to
2292 2020, while the global average is from May 1992 to Aug 2011, again with 5%–95%
2293 uncertainty.

Research Group	Global ocean heat content trends ($W m^{-2}$)		
	0–700 m	700–2000 m	2000–6000 m
MRI/JMA	0.37 ± 0.05	0.24 ± 0.04	
PMEL/JPL/JIMAR	0.39 ± 0.12	0.31 ± 0.05	
NCEI	0.39 ± 0.05	0.19 ± 0.05	
Met Office Hadley Centre	0.38 ± 0.12	0.15 ± 0.04	
IAP/CAS	0.41 ± 0.04	0.18 ± 0.01	
Purkey and Johnson			0.06 ± 0.03

2294