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Necessary Conditions for Tropical Cyclone Rapid Intensification as Derived from 11 Years of TRMM Data

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**Necessary Conditions for Tropical Cyclone Rapid Intensification as Derived from 11 Years
of TRMM Data**

Haiyan Jiang*

Department of Earth & Environment, Florida International University, Miami, Florida

Ellen M. Ramirez

Department of Atmospheric Sciences, University of Utah, Salt Lake City, Utah

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*Corresponding author address: Dr. Haiyan Jiang, Department of Earth & Environment, Florida International University, 11200 SW. 8th Street, PC-342B, Miami FL. Phone: 305-348-2984; Fax: 305-348-3877; Email: haiyan.jiang@fiu.edu

Abstract

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Convective and rainfall properties of tropical cyclones (TCs) are statistically quantified for different TC intensity change categories by using Tropical Rainfall Measuring Mission (TRMM) data from December 1997 to December 2008. Four 24-h future intensity change categories are defined: rapidly intensifying (RI), slowly intensifying, neutral, and weakening. Maximum convective intensity in the inner core is not necessarily more intense prior to undergoing an RI episode than a slowly intensifying, neutral, or weakening episode. Instead, a minimum threshold of convective intensity, raining area, and total volumetric rain in the inner core has to be reached before a storm undergoes RI. The following necessary conditions for RI are found in the inner core: maximum near surface radar reflectivity > 40 dBZ, maximum 20 (30, 40) dBZ echo height > 8 (6, 4) km, minimum 85 (37) GHz polarization corrected brightness temperature (PCT) < 235 (275) K, minimum 10.8 μm brightness temperature < 220 K, total raining area > 3,000 km^2 , and total volumetric rain > 5,000 $\text{mm h}^{-1} \text{km}^2$. It is also found that total lightning activities in the inner core (outer rainband) have a negative (positive) relationship with storm intensification.

49 **1. Introduction**

50 Understanding and predicting intensity changes, especially rapid intensification (RI), of
51 tropical cyclones (TCs) require some understanding of not only the large-scale environment
52 condition, but also the convective and precipitation properties of the storm. It has been well
53 agreed that the necessary environmental conditions of TC intensification and RI include warmer
54 sea surface temperature, higher low to middle level moisture, and lower vertical wind shear
55 (Merrill 1988, Kaplan et al. 2010). As for the rate of TC intensity change, Hendricks et al. (2010)
56 found that it is only weakly dependent on environmental conditions. Therefore, they argued that
57 RI is more likely controlled by TC internal processes.

58 Convective and precipitation properties in the inner core are closely related to the latent
59 heating release which is crucial of storm development. Previous studies have shown some
60 relationships between TC intensification and inner core rainfall and convective properties such as
61 rain rate (Rao and MacAuthur 1994), convective bursts (Steranka et al. 1986), ice scattering
62 signatures (Cecil and Zipser 1999), hot towers (Hendricks et al. 2004; Kelley et al. 2004, 2005;
63 Montgomery et al. 2006) and lightning activities (Lyons and Keen 1994, Molinari et al. 1999).
64 An asymmetric paradigm of TC intensification has been proposed by Montgomery and Smith
65 (2011) in summarizing previous studies recognizing the importance of “vortical hot towers” (i.e.
66 rotating deep convection).

67 Fewer studies are documented with a focus on RI. Jiang (2012) compared the convective
68 properties, i.e., radar reflectivity profiles, infrared (IR) cloud top temperature, and passive
69 microwave ice scattering signature in the inner core for 24-h future rapidly intensifying, slowly
70 intensifying, neutral, slowly weakening and rapidly weakening storms. The hypothesis was that
71 extremely intense convection, such as hot towers in the inner core is the sufficient condition for

72 RI. She found that the minimum infrared (IR) brightness temperature, upper-level maximum
73 radar reflectivity, and maximum 20 dBZ radar echo height in the inner core are best associated
74 with the rate of TC intensity change among all the convective parameters examined. She also
75 found that the probability of RI increases slightly when these parameters show stronger than
76 average convective intensity in the inner core. However, Jiang (2012) argued that hot towers are
77 neither necessary nor sufficient condition for RI.

78 Kieper and Jiang (2012) demonstrated that a precipitative ring pattern around the TC
79 center is a very good predictor of RI. This seems support the symmetric intensification
80 mechanism as proposed by many theoretical studies (Ooyama 1969, Smith 1981, Shapiro and
81 Willoughby 1982, Nolan et al. 2007). Opposite to the asymmetric paradigm of TC
82 intensification, the symmetric mechanism emphasizes that a TC intensifies through an
83 axisymmetric heating mechanism, where the symmetric secondary circulation draws air from
84 outer radii above the boundary layer while conserving absolute angular momentum. Kieper and
85 Jiang (2012)'s results also suggest that some necessary conditions might exist for RI in terms of
86 the size of raining area and total precipitation in the inner core.

87 DeMaria et al. (2012) examined the relationships between lightning activity and RI
88 using 6 years of World Wide Lightning Location Network (WWLLN) data for Atlantic and
89 eastern North Pacific TCs. Surprisingly, they found that rapidly weakening TCs have larger
90 lightning density in the inner core (0-100 km) than RI storms, and the lightning density in the
91 rain band regions (200-300 km) is higher for storms that rapidly intensified in the following 24 h.
92 However, as indicated by DeMaria et al. (2012), the fixed radius distance used in their study
93 caused some structure loss, especially when studying a large sample of TCs with various storm
94 sizes, e.g., Atlantic vs. north Western Pacific storms. A question from here is whether their

95 results hold true when using a more appropriate method to separate inner core and rain band
96 regions.

97 Jiang et al. (2012, hereafter JRC) document the distributions of convective and rainfall
98 properties in TC inner cores and rainbands in the dataset used here. To account for varying TC
99 sizes, JRC manually separated inner core (IC), inner rainband (IB), and outer rainband (OB)
100 regions for all the Tropical Rainfall Measuring Mission (TRMM) satellite TC overpasses during
101 December 1997 through December 2008. The separation is based on convective structure, such
102 as the horizontal fields of radar reflectivity and passive microwave ice scattering. In this study,
103 the primary interest is on the relationship between both convective and rainfall properties in the
104 inner core and TC intensity changes, especially rapid intensification. A particular purpose is to
105 see if there are necessary conditions for RI in terms of rainfall and convective properties in the
106 inner core. If so, what are they? The parameters to be examined include radar reflectivity,
107 passive microwave brightness temperature at 85 and 37 GHz, IR cloud top brightness
108 temperature, lightning flash counts, raining area, rain rate, and total volumetric rain. The
109 lightning results will be compared with DeMaria et al. (2012). The organization of the remainder
110 of the paper is as follow. The data and methodology are briefly described on section 2. The
111 distributions of these convective and rainfall properties as a function of different TC intensity
112 change categories are presented in section 3. A series of necessary conditions for RI in terms of
113 these inner core properties are also presented in section 3. Discussions are provided in section 4,
114 and conclusions in section 5.

115

116 **2. Data and Methodology**

117 *2.1. TRMM TC data*

118 The same dataset from the TRMM Tropical Cyclone Precipitation Feature (TCPF)
119 database (Jiang et al. 2011) is used in this study as in JRC. It includes global TCs that were
120 observed by the TRMM satellite during December 1997 through December 2008. The
121 categorization of inner core (IC), inner rainband (IB), and outer rainband (OB) regions in TRMM
122 TC overpasses is described in section 2.1 of JRC. Same as JRC, two sets of samples are used in
123 this study in order to maximize the sample size and use the unique 3-D observations from the
124 radar: one is from TRMM overpasses within the TRMM Microwave Imager (TMI) swath, and
125 the other is within the Precipitation Radar (PR) swath. The selection of TRMM overpasses is
126 described in section 2.3 of JRC. In this study, TRMM derived parameters selected for the
127 analysis of TC samples in the TMI swath include minimum 85/37 GHz polarization corrected
128 brightness temperatures (PCTs, Spencer et al. 1989 and Cecil et al. 2002), minimum 11 μ m
129 brightness temperature (T_{B11}), percentage of pixels with 85 GHz PCT < 250 and 225 K, 2A12
130 (Kummerow et al. 1996) conditionally mean rain rate, raining area, and total volumetric rain, and
131 lightning flash counts. T_{B11} is observed by the TRMM Visible and Infrared Scanner (VIRS), and
132 lightning flash counts are observed by Lightning Imaging Sensor (LIS) on TRMM. All other
133 parameters are observed by the TMI. The swath widths of VIRS and LIS are similar to that of
134 TMI, while the PR has a much narrower swath. Parameters selected for the analysis of samples
135 in the PR swath include vertical profile of maximum radar reflectivity, maximum near surface
136 reflectivity, maximum height of 20, 30, and 40 dBZ radar echo, 2A25 (Iguchi et al. 2000)
137 conditionally mean rain rate, raining area, and total volumetric rain. All these parameters are
138 observed by the PR. Section 2.4 of JRC provided a review of the physical meanings of these
139 parameters and how they are referred as convective and rainfall proxies.

140 JRC compared the convective and rainfall properties among IC, IB, and OB regions in
141 terms of large precipitation features. However, in this study each TRMM overpass, i.e., each
142 storm is assigned a single value of rainfall and convective parameters for IC, IB, and OB regions,
143 respectively. Since multiple features usually exist in the IC, IB, or OB region of one TC, we
144 consider this storm-based approach is more appropriate for studying TC intensity changes. The
145 number of TMI (PR) TC overpasses used in this study is 2712 (1100). The geographic
146 distributions of these overpasses are given in Fig. 1. For parameters other than lightning flashes,
147 only the inner core region is examined for the relationships with TC intensity changes. For
148 lightning activities, both inner and rainbands are investigated to compare with the results of
149 DeMaria et al. (2012).

150

151 *2.2. Selection of TC intensity change categories*

152 The TCPF database includes all intensity stages of TCs that reached tropical storm intensity
153 level or above at least once in their lifetime. Therefore, each individual TRMM overpass
154 included here could be at either tropical depression, tropical storm, or hurricane stage. The 24-h
155 future storm intensity and intensity change corresponding to each overpass are interpolated from
156 the 6-h best track data from the National Hurricane Center (NHC) for TCs in the North Atlantic
157 and Eastern North Pacific basins and Joint Typhoon Warning Center (JTWC) for TCs in other
158 basins. The TMI and PR swath samples are separated in four intensity change categories: rapidly
159 intensifying (RI), slowly intensifying (SI), neutral (N), and weakening (W). Table 1 lists the four
160 intensity change categories, along with the range and sample size. The threshold for each
161 category is defined by following Jiang (2012).

162 Table 2 shows the distribution of the different intensity change samples as a function of the
163 initial TC intensity. For both TMI and PR swath samples, storms that are initially of tropical
164 storm intensity account for the largest percentage of RI cases while category 1-2 hurricanes
165 contribute the next largest percentage. Both tropical depressions and tropical storms account for
166 a larger percentage of slowly intensifying cases, while category 1-2 hurricanes account for the
167 most of weakening cases. In terms of different TC-prone basins (table 3), RI cases are the most
168 in the northwest Pacific basin, and the least in the north Indian Ocean basin. Note that the
169 distribution as a function of different basin is similar for different intensity change categories.

170 **3. Results**

171 *3.1 TMI and VIRS: brightness temperatures and 2A12 rainfall*

172 TRMM observations within the TMI swath are used in this section to compare convective
173 and rainfall characteristics in the inner core region for different intensity change categories. The
174 cumulative distribution functions (CDFs) of the minimum 37 GHz and 85 GHz PCTs and
175 minimum IR T_{B11} in the inner core for storms in different intensity change stages are presented in
176 Fig. 2. As described in JRC, low values of 85 and 37 GHz PCT tend to indicate strong ice
177 scattering signatures, and low values of IR T_{B11} indicate higher cloud tops. Therefore, all these
178 parameters are used here as convective proxies. It is seen from Fig. 2 that at weaker convective
179 spectrum, i.e., minimum 85 GHz PCT > ~150 K, minimum 37 GHz PCT > ~250 K, and
180 minimum T_{B11} > 180-185 K, RI storms have stronger ice scattering signature and higher cloud
181 top in the inner core than storms in other three intensity change categories, and slowly
182 intensifying storms show much stronger convective intensity than neutral and weakening storms.
183 However, at stronger convective spectrum, no significant difference among different intensity
184 change categories is seen in the distributions of these convective parameters.

185 From Fig. 2, it is also interesting to observe that the maximum values (when the CDFs
186 reach 100%) of the minimum 85 and 37 GHz PCT and IR T_{B11} for RI storms are much lower
187 than those corresponding maximum values for storms in other intensity change categories. For
188 example, the maximum value of minimum 85 GHz PCT (37 GHz PCT, IR T_{B11}) is about 235 K
189 (275 K, 220 K) for RI storms, but reaches 280 K (280 K, 260-280 K) for storms in other intensity
190 change stages. This indicates that a minimum threshold of convective intensity in the inner core
191 has to be reached before a storm undergoes RI. This threshold can be deemed as necessary
192 condition for RI. Therefore, the necessary conditions for RI derived from Fig. 2 are: 1) minimum
193 85 GHz PCT in the inner core is less than ~ 235 K (Fig. 2a), 2) minimum 37 GHz PCT in the
194 inner core is less than ~ 275 K (Fig. 2b), and 3) minimum IR T_{B11} in the inner core is less than
195 ~ 220 K (Fig. 2c). A threshold of 250 K for the 85 GHz PCT is considered as an indicator of
196 moderate rain (Spencer et al. 1989, Mohr and Zipser 1996a, b), and 85 GHz PCT < 225 has been
197 used as a criterion of convection (McGaughey et al. 1996, Mohr and Zipser 1996a, b). Therefore,
198 the 235 K threshold of minimum 85 GHz PCT found here represents moderate to heavy rain. The
199 traditional way to define deep convection from IR measurements is finding pixels with
200 brightness temperatures colder than a given temperature threshold from IR images. This
201 threshold could be 208 K (Mapes and Houze 1993, Hall and Vonder Haar 1999), 210 K
202 (Zuidema 2003), 218 K (Machado et al. 1998), or cold point tropopause temperature (Gettelman
203 et al. 2002). As shown in Gettelman et al. (2002), the cold point tropopause temperature over the
204 Tropics varies between 180 K and 206 K. The base of tropopause region is about 215 K.
205 Therefore, the 220 K of IR T_{B11} represents convective clouds that are close to, but not as deep as
206 overshooting convection (i.e., hot towers).

207 Minimum brightness temperatures are extreme values which represent just one pixel in
208 the inner core. The percentage of area satisfying a given PCT threshold is perhaps a more
209 appropriate convective proxy. Fig. 3a indicates that the inner core of RI storms contain a larger
210 percentage of area with 85 GHz PCT < 250 K (moderate rain), followed by slowly intensifying,
211 weakening, and neutral storms. This is also generally true for percentage of area with 85 GHz
212 PCT < 225 K (convection, Fig. 3b). However, the inner core of RI storms has the least
213 percentage of pixels with 85 GHz PCT < 150 K (Fig. 3c), which represents very strong
214 convection.

215 Fig. 4 shows the CDFs of TMI 2A12 (Kummerow et a. 1996) raining area, volumetric
216 rain, and conditionally mean rain rate in the inner core region for different intensity change
217 storms. It is obvious that storms that will undergo RI always have larger raining area and total
218 volumetric rain in the inner core region than storms that will slowly intensify, be neutral, or
219 weaken. Note that the x-axis of Fig. 4a &b is in log scale, therefore the difference is large even
220 for small spacing among the curves. Similar to what is found in Fig. 2, the necessary conditions
221 for RI found from Fig. 4 are: 1) total raining area in the inner core region is greater than 3,000
222 km² (Fig. 4a), and 2) the total volumetric rain in the inner core is greater than 5,000 mm h⁻¹km²
223 (Fig. 4b). However, although in the lower rain rate spectrum (< 7.5 mm h⁻¹) the CDFs in Fig. 4c
224 show that RI storms have higher conditionally mean rain rate in the inner core than storm in
225 other intensity change categories, in the higher rain rate spectrum (≥9.5 mm h⁻¹), RI storms have
226 lower conditionally mean rain rate in the inner core. No necessary conditions for RI in terms of
227 conditionally mean rain rate in the inner core are found.

228

229 *3.2 PR: Vertical Profiles of Radar Reflectivity and 2A25 Rainfall*

230 TRMM observations within the PR swath are used in this section to compare convective
231 and rainfall characteristics in the inner core for different intensity change storms. Fig. 5 shows
232 the contoured frequency by altitude diagrams (CFADs, Yuter and Houze 1995) of maximum
233 radar reflectivity in the inner core of TCs in different intensity change stages. The distribution is
234 highly concentrated around the median profile for storms that will undergo RI, while a wider
235 distribution is seen for storms in other intensity change categories. From Fig. 6, no significant
236 difference is seen in the top 10% of maximum radar reflectivity profiles among storms in
237 different intensity change categories, except that the weakening storms seem have stronger
238 reflectivities below the freezing level than RI storms. The main reason is that a large fraction of
239 weakening storms has stronger initial intensity (i.e., 28% major hurricanes and 38% category 1-2
240 hurricanes, table 2). As shown in JRC, stronger TCs, especially major hurricanes, have much
241 heavier near surface rain rate and lower-level reflectivities in the inner core, while extremely
242 strong convection (indicated by strong ice scattering signature and upper-level radar reflectivity)
243 is often seen in tropical storms and depressions. As seen in table 2, about 46% of RI storms are
244 tropical storms and 17% of them are tropical depressions. The median profile of RI storms shows
245 much higher reflectivities above 10 km than storms in other intensity change categories. The
246 median height of maximum 20 dBZ echo reaches about 14.5 km for RI storms, while this value
247 is only 12.5, 12, and 11 km for slowly intensifying, neutral, and weakening storms. The biggest
248 difference among different intensity change categories is in the bottom 10% of inner core
249 maximum reflectivity profiles. For RI storms, the bottom 10% profile is much stronger than that
250 for other intensity change categories and is almost as strong as the median profiles of neutral and
251 slowly intensifying storms. At near surface, the bottom 10% of maximum reflectivity in the inner
252 core of RI storms is 46 dBZ, which is 8, 12, and 12 dBZ stronger than that of slowly

253 intensifying, neutral, and weakening storms, respectively. The bottom 10% of maximum 20 dBZ
254 echo height in the inner core of RI storms is 10 km, which is 2, 2, and 4 km higher than that of
255 slowly intensifying, neutral, and weakening storms, respectively. Just like what is found in
256 section 3.1, Fig. 5 and 6 indicate that necessary conditions, i.e. minimum thresholds of
257 convective and rainfall intensities in the inner core have to be reached before a storm undergoes
258 RI.

259 Fig. 7 is to find out these necessary conditions using radar derived parameters. The box and
260 whisker plots in Fig. 7 represent the distributions of maximum near surface reflectivity,
261 maximum heights of 20, 30, and 40 dBZ radar echo in the inner core. The top of the box
262 represents the 75% percentile, the center line the median, and the bottom of the box the 25%
263 percentile. The whiskers extend to minimum and maximum of the range and outliers are plotted
264 individually with circles. The distributions are much narrower for RI storms than those for
265 storms in other intensity change categories. For RI storms, the maximum near surface reflectivity
266 in the inner core never go under 40 dBZ, and the maximum heights of 20, 30, and 40 dBZ radar
267 echo in the inner core never go lower than 8, 6, and 4 km, respectively. These are the necessary
268 conditions for RI.

269 Similar to the CDFs of TMI 2A12 rain area and volumetric rain shown in Fig. 4a and b,
270 the CDFs of PR 2A25 (Iguchi et al. 2000) raining area and volumetric rain (Fig. 8a and b) in the
271 inner core region show that RI storms always have larger raining area and total volumetric rain in
272 the inner core region than storms that will slowly intensify, be neutral, or weaken. Even though
273 differences exist between 2A12 (Fig. 4c) and 2A25 rain rates (Fig. 8c) due to the discrepancy
274 inherent within the PR 2A25 and TMI 2A12 algorithms, similarities between Fig. 4 and Fig. 8
275 are obvious. First of all, the necessary conditions for RI found from 2A25 raining area and total

276 volumetric rain are the same as found from the 2A12 retrievals, which are: 1) total 2A25 raining
277 area in the inner core region is greater than 3,000 km² (Fig. 8a), and 2) the total 2A25 volumetric
278 rain in the inner core is greater than 5,000 mm h⁻¹km² (Fig. 8b). Secondly, for the range of
279 conditionally mean rain rate < 7.5 mm h⁻¹, RI storms have higher 2A25 rain rate in the inner core
280 than storms in other intensity change categories, while in the higher rain rate spectrum (≥ 9.5 mm
281 h⁻¹), RI storms have lower conditionally mean rain rate in the inner core (Fig. 8c). Lastly, same
282 as Fig. 4c, no necessary conditions for RI in terms of conditionally mean rain rate (Fig. 8c) in the
283 inner core are found.

284

285 *3.3 Lightning*

286 TRMM observations within the TMI swath (similar swath width with LIS) are used in this
287 section to compare lightning characteristics in the inner core, inner rainband, and outer rainband
288 regions for different intensity change categories. Fig. 9a shows the percentage of TRMM TC
289 overpasses with lightning in different TC regions and intensity change categories. For the inner
290 core region with lightning, the percentage is the lowest for storms that will undergo RI and the
291 highest for weakening storms. There are only about 7% of RI storms having lightning in the
292 inner core, while the percentage is about 11-12% for storms in other intensity change categories.
293 For the outer rainband region, the reverse relationship is seen. The percentage of overpasses with
294 lightning in the outer rainband region is the highest for RI storms (37%), followed by storms in
295 slowly intensifying (33%), neutral (25%), and weakening (20%) categories.

296 Fig. 9b shows the flash count per 2A12 raining area for inner core, inner rainband, and
297 outer rainband regions of TCs in different storm intensity categories. After normalizing the flash
298 counts by 2A12 raining area, lightning production in the inner core region is the lowest for

309 storms that will undergo RI, second lowest for slowly intensifying storms, the third lowest for
300 weakening storms, and the highest for neutral storms. The lightning density per raining area in
301 the inner core for RI storms is more than a factor of 2 smaller than that for neutral and
302 weakening storms. Generally it is seen that the lightning density per raining area in the inner core
303 increases as the rate of intensification decreases. In the inner rainband region, the lightning
304 density (per raining area) is the highest for neutral storms and the lowest for weakening storms,
305 with RI and SI categories in between. In the outer rainband region, the order is almost the reverse
306 as in the inner core region. The lightning density per raining area in the outer rainband region
307 increases as the rate of intensity change increases. In the outer rainband region, storms that will
308 undergo RI have the highest flash count per raining area, while storms that will weaken have the
309 lowest flash density. Besides raining area, additional parameters, such as the 2A12 volumetric
310 rain, the area of 85 GHz PCT < 250 K, and the area of 85 GHz PCT < 225 K, are used to
311 normalize the flash counts. Similar to Fig. 9b, lightning density normalized by these additional
312 parameters in the inner core (outer rainband) decreases (increases) as the rate of storm
313 intensification increases (not shown).

314

315 **4. Discussions**

316 Results in section 3.1 and 3.2 indicate that RI storms do not necessarily have more
317 extremely intense convection in the inner core than non-RI storms. Instead, a minimum threshold
318 of convective intensity and total raining area and volumetric rain has to be achieved. This is
319 consistent with the well-agreed observation by TC forecasters and researchers that the storm
320 must be well-organized before intensifying and RI. As indicated by Fig. 2-8, the inner core
321 region must be largely filled with at least moderate convection and moderate to heavy

322 precipitation before RI. Some isolated asymmetric hot towers might exist and make contributions
323 to the rapid intensification process in providing latent heating, but the necessary condition of RI
324 is not those hot towers. As shown in Kieper and Jiang (2012), an early indicator of RI is the
325 symmetric precipitative ring pattern around the storm center. Hot towers could be within the
326 ring, but for most of the RI cases, the ring, which occurs 24 hours before RI, only contains
327 shallow convection and warm rain. The findings in this study, along those in Kieper and Jiang
328 (2012), support the notion that the azimuthally averaged latent heating release is much more
329 important for the vortex intensification than asymmetric heating (e.g. Nolan et al. 2007).

330 Results in section 3.3 (Fig. 9) are consistent with DeMaria et al. (2012), which showed that
331 lightning density in the inner core of rapidly weakening storms is larger than that for RI storms,
332 and the lightning density in the rainband regions is higher for RI storms. DeMaria et al. (2012)
333 tried to explain this behavior using the different interaction of inner core and rain band with the
334 environmental shear. Our results in section 3.1 and 3.2 may help explain this from a different
335 perspective. As shown above, the necessary condition for RI includes only moderate convective
336 intensity and moderate to heavy rainfall in the inner core. In order to increase the likelihood of
337 lightning, a fairly intense updraft is necessary to loft liquid droplets into the mixed phase region
338 and to provide the supply of liquid hydrometeors, ice crystals, and graupel (Rakov and Uman
339 2003; Saunders 2008). The inner core region in RI storms is therefore not optimal to produce
340 lightning. As hypothesized by DeMaria et al. (2012), the interaction of the environmental shear
341 with the inner core PV is largely responsible for the relationships between TC intensity changes
342 and lightning density. The environmental vertical shear can tilt the inner core PV and induce
343 asymmetric intense convection, which is favorable for both lightning and short-term storm

344 intensification. However, the negative effects of the vertical shear, and sometimes the
345 downdrafts and cold pools from the enhanced convection halt the short term intensification.

346 On the other hand, the outer rainband region is outside of the core of high PV. As indicated
347 by previous studies (Molinari et al. 1994, Houze 2010, DeMaria et al. 2012, JRC), the outer
348 rainband region is similar to the background environment. The lightning density in that region is
349 simply providing a measure whether or not the storm environment is favorable for atmospheric
350 convection. The result in Fig. 9 supports the notion that for RI storms, the environment is more
351 favorable for outer rainband convection than that for non-RI storms.

352

353 **5. Conclusions**

354 Using 11-yr TRMM passive microwave radiometer, infrared, radar, and lightning data,
355 this study has statistically quantified convective and rainfall properties of tropical cyclones (TCs)
356 for different storm intensity change categories. Four 24-h future intensity change categories are
357 defined: rapidly intensifying (RI), slowly intensifying, neutral, and weakening. The storm inner
358 core, inner rainband, and outer rainband regions were separated manually based on convective
359 structures by a previous work presented in Jiang et al. (2012, JRC).

360 It is found that at weaker convective spectrum, RI storms have stronger ice scattering
361 signature, higher cold cloud top, stronger radar reflectivity profile, and greater conditionally
362 mean rain rate in the inner core than non-RI storms. However, at stronger convective spectrum,
363 the convective intensity in the inner core of RI storms is not stronger than that for non-RI storms.
364 Instead, the inner core of RI storms have the least percentage of pixels with 85 GHz PCT < 150
365 K (very strong convection, Fig. 3), the lowest conditionally mean rain rate in the higher rain rate
366 spectrum (Fig. 4c and Fig. 8c), and the smallest near surface radar reflectivity at the 90%

367 percentile of radar reflectivity profile (Fig. 6). It is also found that RI storms always have larger
368 raining area and total volumetric rain in the inner core.

369 All of these findings indicate that the maximum convective intensity in the inner core is
370 not necessarily more intense prior to undergoing an RI episode than a slowly intensifying,
371 neutral, or weakening episode. Instead, a minimum threshold of convective intensity, raining
372 area, and total volumetric rain in the inner core has to be reached before a storm undergoes RI.
373 The following necessary conditions of inner rainfall and convective properties are found for RI:
374 maximum near surface radar reflectivity > 40 dBZ, maximum 20 (30, 40) dBZ echo height > 8
375 (6, 4) km, minimum 85 (37) GHz PCT < 235 (275) K, minimum IR $T_{B11} < 220$ K, total raining
376 area $> 3,000$ km², and total volumetric rain $> 5,000$ mm h⁻¹ km².

377 Above results are consistent with Jiang (2012), which demonstrated that extremely intense
378 convection (i.e., hot towers) in the inner core increases the chance of RI, but the increase is not
379 substantial. Similar to Kieper and Jiang (2012), this study supports the symmetric intensification
380 mechanism proposed by previous studies, which showed that the axisymmetric latent heating
381 release is more crucial for the vortex intensification than asymmetric heating (Ooyama 1969,
382 Smith 1981, Shapiro and Willoughby 1982, Nolan et al. 2007).

383 The lightning analysis in this study has shown that the percentage of TRMM TC
384 observations with lightning in the inner core decreases as the rate of storm intensification
385 increases. The percentage is the lowest for storms that will undergo RI and the highest for
386 weakening storms. However, for the outer rainband region, the reverse relationship is seen. The
387 percentage of overpasses with lightning in the outer rainband region is the highest for RI storms
388 (37%), followed by storms in slowly intensifying (33%), neutral (25%), and weakening (20%)
389 categories. The lightning density (per unit raining area) shows the similar relationship to the

390 storm intensification. Overall, total lightning activities in the inner core (outer rainband) have a
391 negative (positive) relationship with storm intensification. This is consistent with DeMaria et al.
392 (2012), which used an independent lightning dataset and showed similar relationships.

393

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401

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TABLES

Table 1. Definition of rapidly intensifying (RI), slowly intensifying (SI), netrual (N), and weakening (W) intensity change categories and respective TMI and PR observed TC overpasses. V_{max} and V_{max24} are the current (at the TRMM observation time) and future 24 h maximum wind speed intensity of the storm.

Intensity Change	Maximum Wind Speed Range [kts]	TMI TC overpasses	PR TC overpasses
RI	$V_{max24} - V_{max} \geq 30$	181	64
SI	$10 \leq V_{max24} - V_{max} < 30$	779	316
N	$-10 < V_{max24} - V_{max} < 10$	1183	490
W	$V_{max24} - V_{max} \leq -10$	569	230
Total		2712	1100

520

521 Table 2. The distribution of different intensity change samples as a function of different initial
522 TC intensities, i.e., tropical depression (TD), tropical storm (TS), category 1-2 (CAT12) and 3-5
523 (CAT35) hurricanes for TMI and PR swath samples, respectively.

	===== TMI swath =====				
	TD	TS	CAT12	CAT35	
525	RI	31	83	63	4
526	SI	312	306	114	47
527	N	502	395	184	102
528	W	8	188	215	158
529					
	===== PR swath =====				
	TD	TS	CAT12	CAT35	
530	RI	9	32	21	2
531	SI	127	120	48	21
532	N	200	166	86	38
533	W	4	73	87	66
534					
535					

536

537 Table 3. The distribution of different intensity change samples as a function of different TC
538 basins, i.e., Atlantic (ATL), east central Pacific (EPA), northwest Pacific (NWP), north Indian
539 Ocean (NIO), south Indian Ocean (SIO), and south Pacific (SPA) for TMI and PR swath
540 samples, respectively.

	===== TMI swath =====						
	ATL	EPA	NWP	NIO	SIO	SPA	
541	RI	26	23	72	7	3	15
542	SI	137	91	291	36	160	64
543	N	239	211	322	53	276	82
544	W	96	127	166	15	113	52
545							
	===== PR swath =====						
	ATL	EPA	NWP	NIO	SIO	SPA	
546	RI	11	6	30	0	12	5
547	SI	65	33	121	16	50	31
548	N	114	77	134	16	122	27
549	W	40	53	71	5	40	21
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FIGURE CAPTIONS

556 Figure 1. Locations of TRMM (a) TMI and (b) PR swath TC overpasses used in this study.

557 Figure 2. Cumulative distribution functions (CDFs) of (a) minimum 85 GHz PCT [K] (b)
558 minimum 37 GHz PCT [K], and (c) minimum T_{B11} [K] in the inner core of TCs in different
559 intensity change stages.

560 Figure 3. CDFs of percentage of pixels with 85 GHz PCT < (a) 250 K, (b) 225 K, and (c) 150 K
561 in the inner core of TCs in different intensity change stages.

562 Figure 4. CDFs of 2A12 (a) raining area, (b) volumetric rain, and (c) conditionally mean rain rate
563 in the inner core of TCs in different intensity change stages.

564 Figure 5. Contoured frequency by altitude diagrams (CFADs) of maximum radar reflectivity in
565 the inner core of TCs in (a) RI, (b) SI, (c) N, and (d) W intensity change stages. Bottom 10th
566 percentile (dash lines), median (solid lines), and 90th percentile (dotted lines) of vertical profiles
567 of maximum radar reflectivity are shown in each panel.

568 Figure 6. Bottom 10th percentile (dash lines), median (solid lines), and 90th percentile (dotted
569 lines) of vertical profiles of maximum radar reflectivity in the inner core of TCs in different
570 intensity change stages.

571 Figure 7. Box and whisker plots of 2A25 (a) maximum near surface radar reflectivity, (b)
572 maximum height of 20 dBZ radar echo, (c) maximum height of 30 dBZ radar echo, and (d)
573 maximum height of 40 dBZ radar echo in the inner core of TCs in different intensity change
574 stages. The top of the box represents the 75% percentile, the center line the median, and the
575 bottom of the box the 25% percentile. The whiskers extend to minimum and maximum of the
576 range and outliers are plotted individually with circles.

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578 Figure 8. CDFs of PR 2A25 (a) raining area, (b) volumetric rain, and (c) conditionally mean rain
579 rate in the inner core of TCs in different intensity change stages.

580 Figure 9. Figure 9. (a) Percentage of TC overpasses with lightning and (b) flash count per 2A12
581 raining area in IC, IB, and OB regions of TCs in different intensity change stages.

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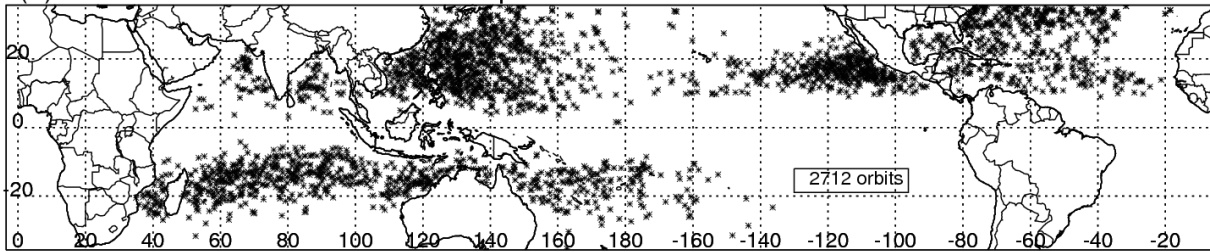
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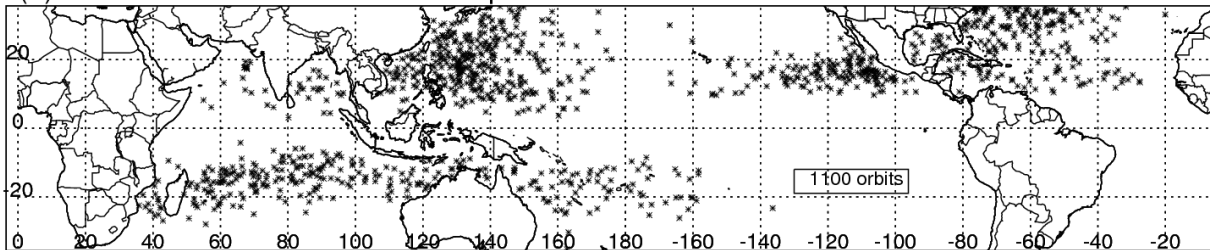
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(a) Locations of TRMM TMI TC Overpasses



(b) Locations of TRMM PR TC Overpasses

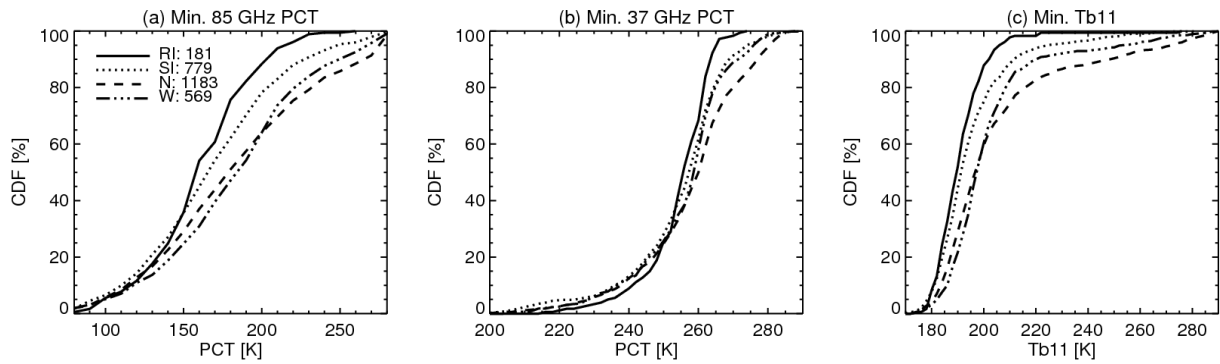


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596 Figure 1. Locations of TRMM (a) TMI and (b) PR swath TC overpasses used in this study.

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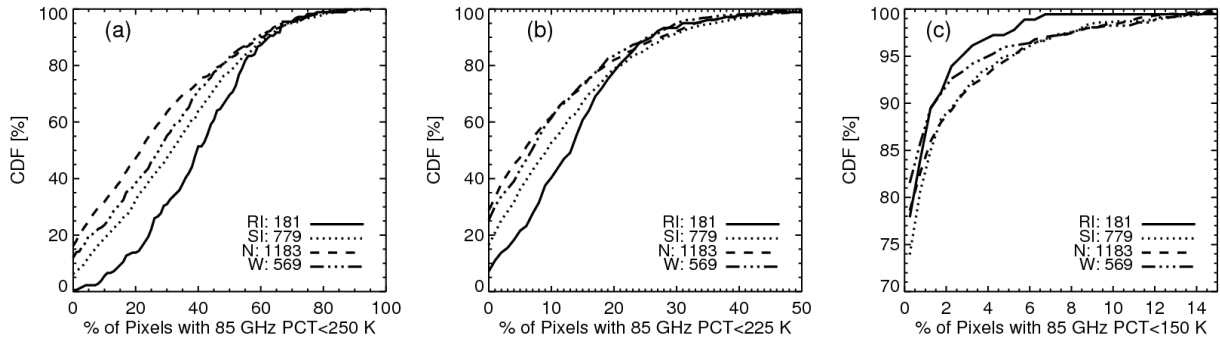
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intensity change stages.

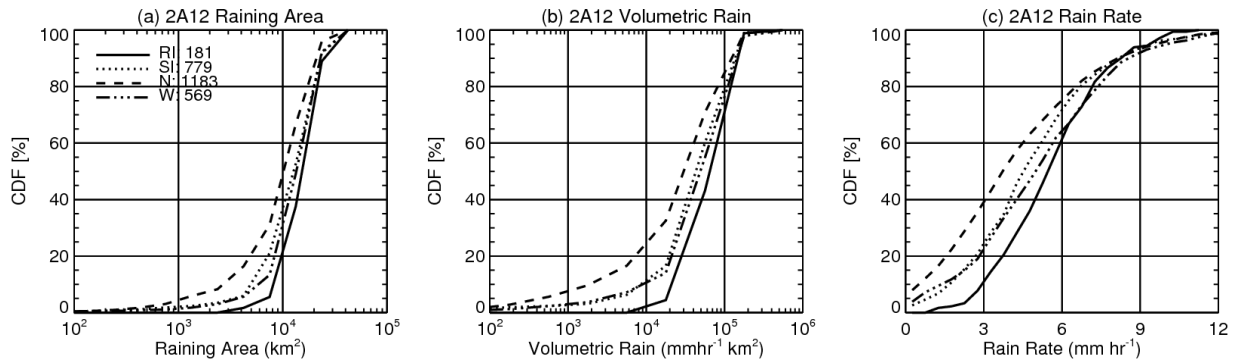


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606 Figure 3. CDFs of percentage of pixels with 85 GHz PCT < (a) 250 K, (b) 225 K, and (c) 150 K
 607 in the inner core of TCs in different intensity change stages.

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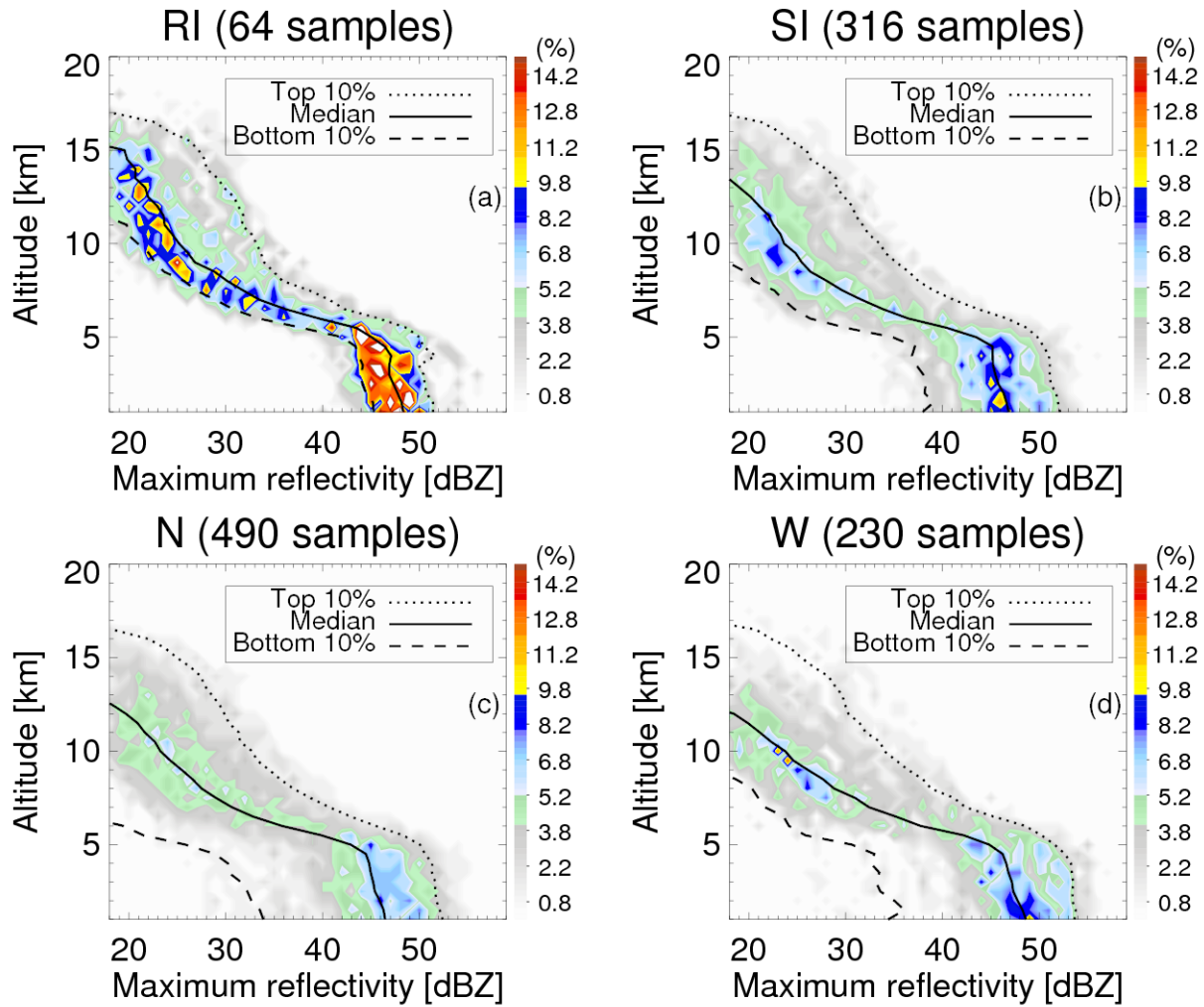


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611 Figure 4. CDFs of 2A12 (a) raining area, (b) volumetric rain, and (c) conditionally mean rain rate
 612 in the inner core of TCs in different intensity change stages.

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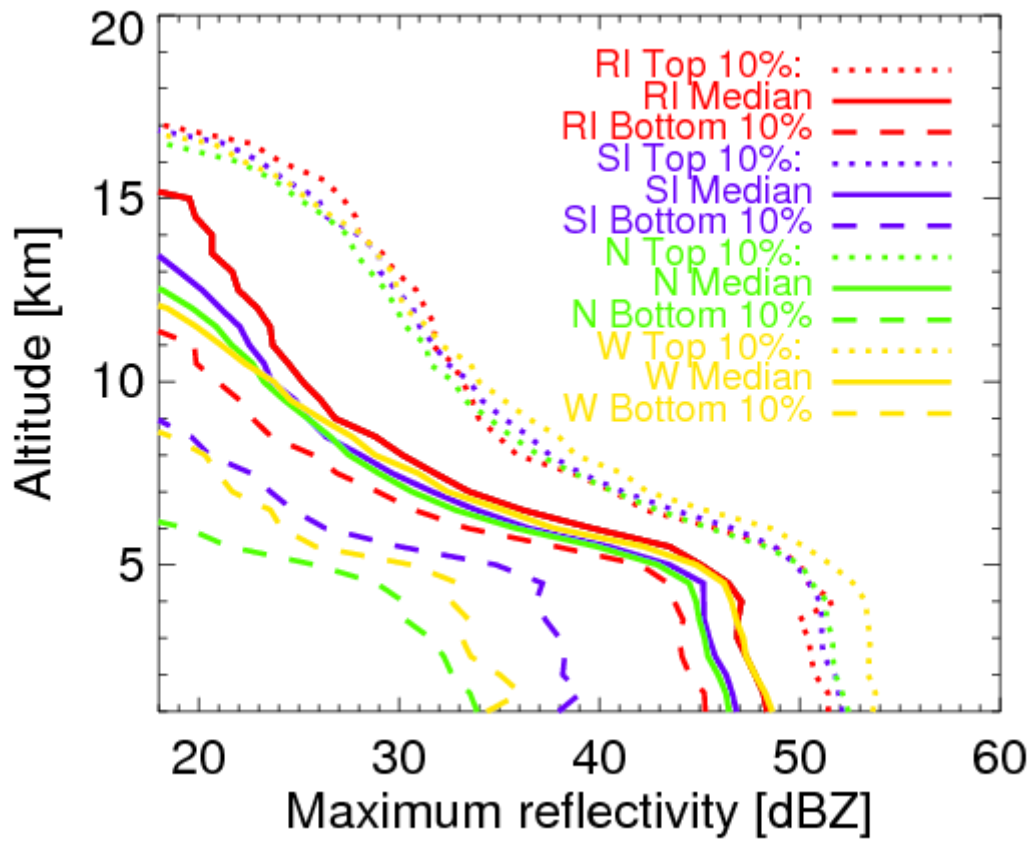
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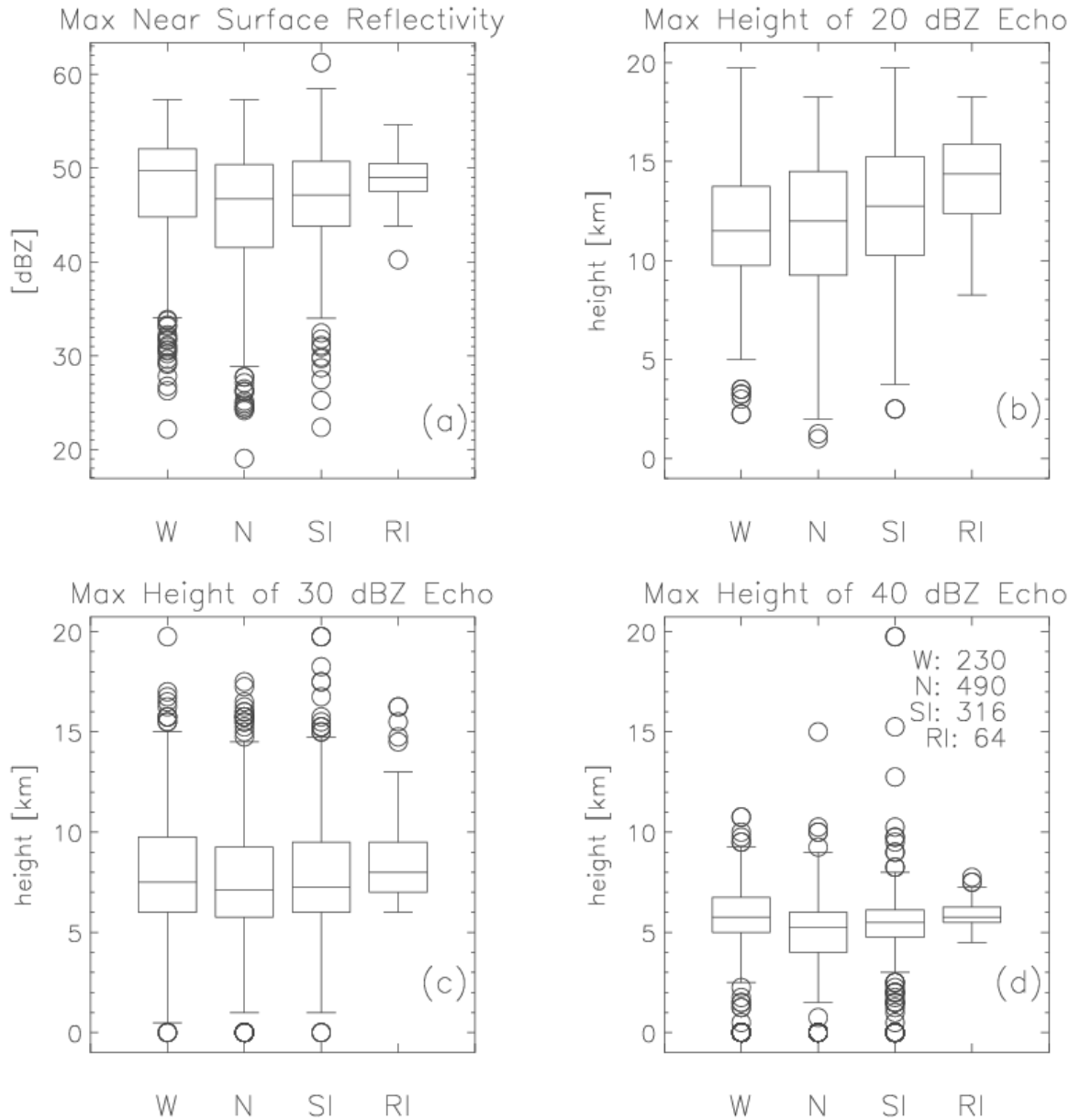
616 Figure 5. Contoured frequency by altitude diagrams (CFADs) of maximum radar reflectivity in
 617 the inner core of TCs in (a) RI, (b) SI, (c) N, and (d) W intensity change stages. Bottom 10th
 618 percentile (dash lines), median (solid lines), and 90th percentile (dotted lines) of vertical profiles
 619 of maximum radar reflectivity are shown in each panel.

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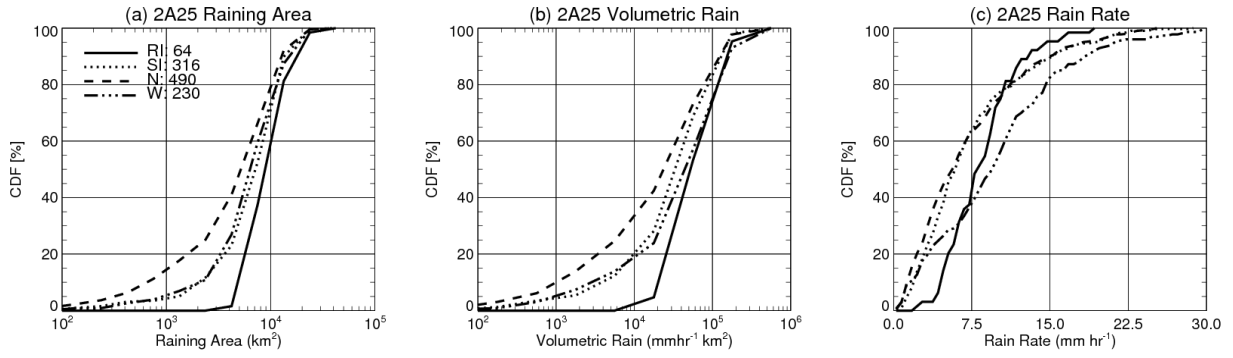
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Figure 6. Bottom 10th percentile (dash lines), median (solid lines), and 90th percentile (dotted lines) of vertical profiles of maximum radar reflectivity in the inner core of TCs in different intensity change stages.



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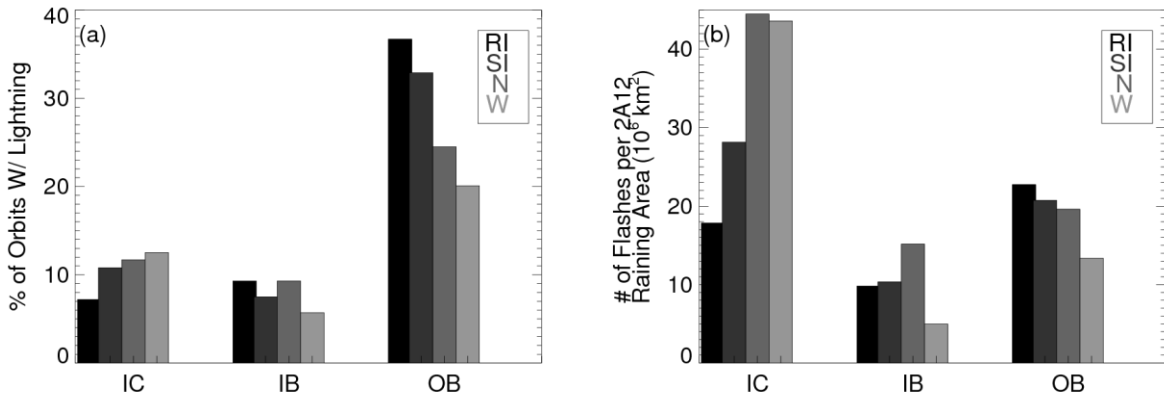
627 Figure 7. Box and whisker plots of 2A25 (a) maximum near surface radar reflectivity, (b)
628 maximum height of 20 dBZ radar echo, (c) maximum height of 30 dBZ radar echo, and (d)
629 maximum height of 40 dBZ radar echo in the inner core of TCs in different intensity change
630 stages. The top of the box represents the 75% percentile, the center line the median, and the
631 bottom of the box the 25% percentile. The whiskers extend to minimum and maximum of the
632 range and outliers are plotted individually with circles.
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635 Figure 8. CDFs of PR 2A25 (a) raining area, (b) volumetric rain, and (c) conditionally mean rain
 636 rate in the inner core of TCs in different intensity change stages.

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639 Figure 9. (a) Percentage of TC overpasses with lightning and (b) flash count per 2A12 raining
 640 area in IC, IB, and OB regions of TCs in different intensity change stages.

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