

# **Model Sensitivity in Idealized, Ocean-Coupled Hurricane Simulations**

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## Perturbations of Environment, Structure, and Model Physics Parameters

ALTUG AKSOY  
BRADLEY KLOTZ  
JUN ZHANG

Cooperative Institute for  
Marine and Atmospheric Studies  
University of Miami  
Miami, Florida



ERIC UHLHORN  
JOE CIONE

Hurricane Research Division  
NOAA/AOML  
Miami, Florida

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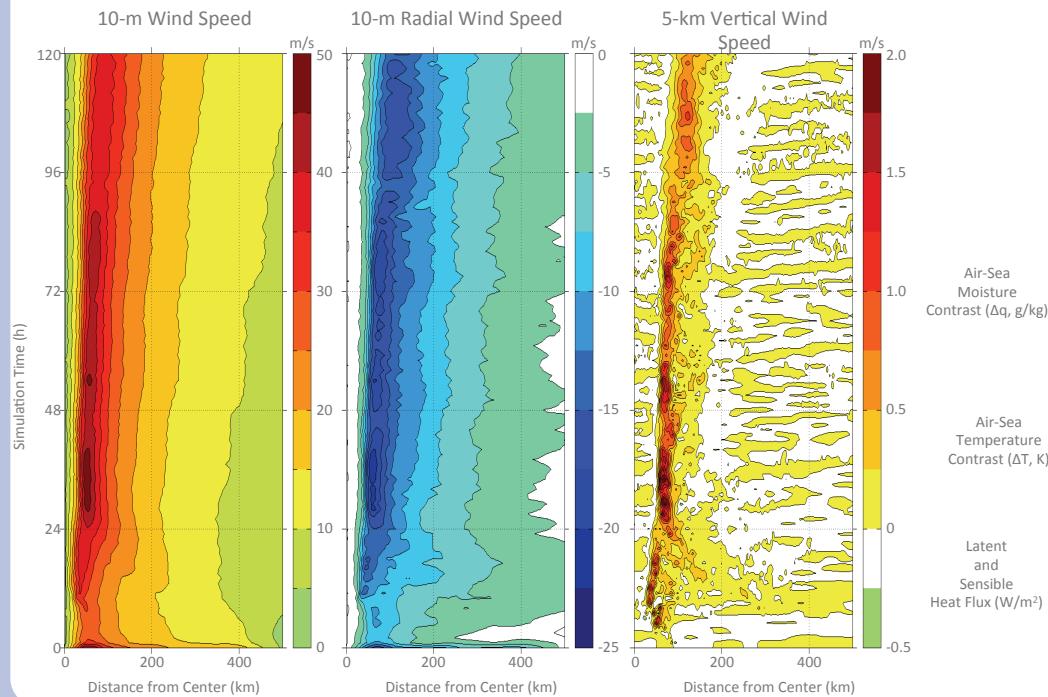
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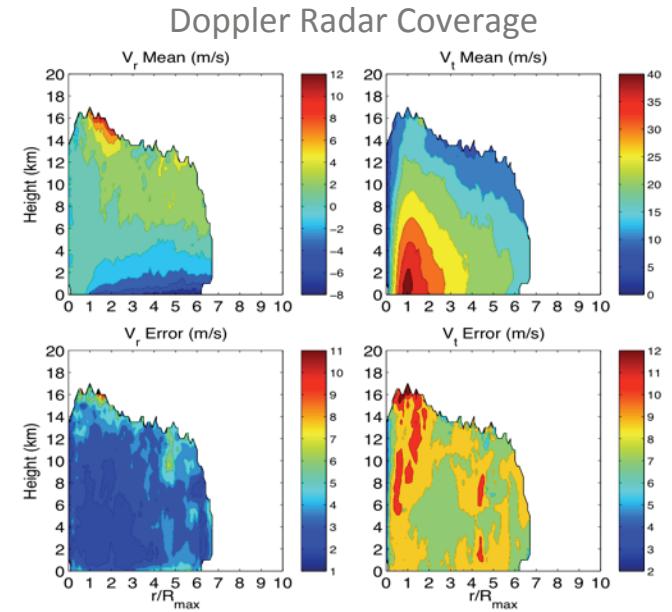
# Introduction: The Control Run

## Idealized HWRF Coupled with 1-d Ocean

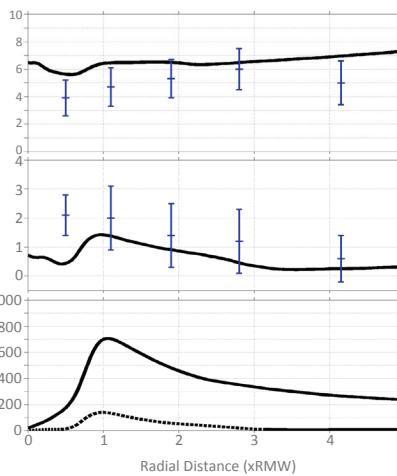
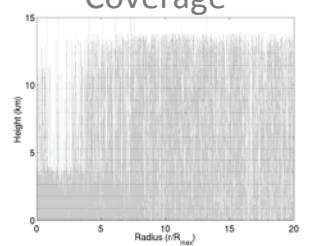
- 27/9/3 km, 10x10 degree inner nest
- 2012 operational HWRF physics settings
- *Entire globe* initialized with uniform Dunion (2011) moist tropical sounding
- Pure easterly flow with specified 850-200 mb shear & meridional mass adjustment
- No column-averaged mass transport (to keep the simulated storm near the center of domain)
- Coupled with HYCOM one-dimensional ocean model with specified initial 1-d temperature and salinity profiles; constant westerly ocean current (to mimic easterly storm motion relative to ocean)
- Composite, observation-based azimuthally averaged initial vortex



Air-Sea  
Moisture  
Contrast ( $\Delta q$ , g/kg)  
Air-Sea  
Temperature  
Contrast ( $\Delta T$ , K)  
Latent  
and  
Sensible  
Heat Flux (W/m<sup>2</sup>)



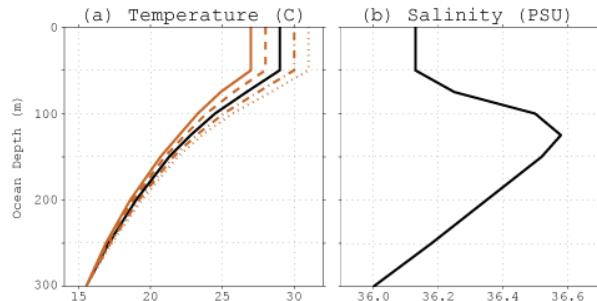
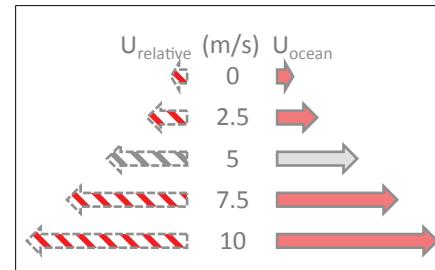
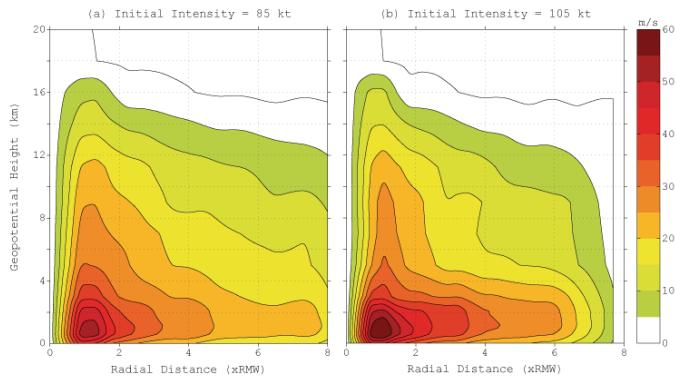
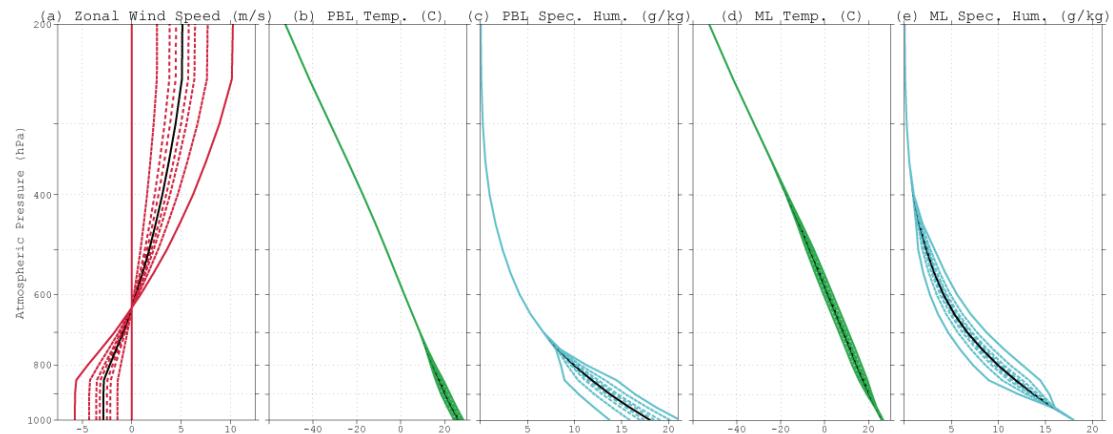
Dropsonde  
Coverage



# Introduction: Perturbations

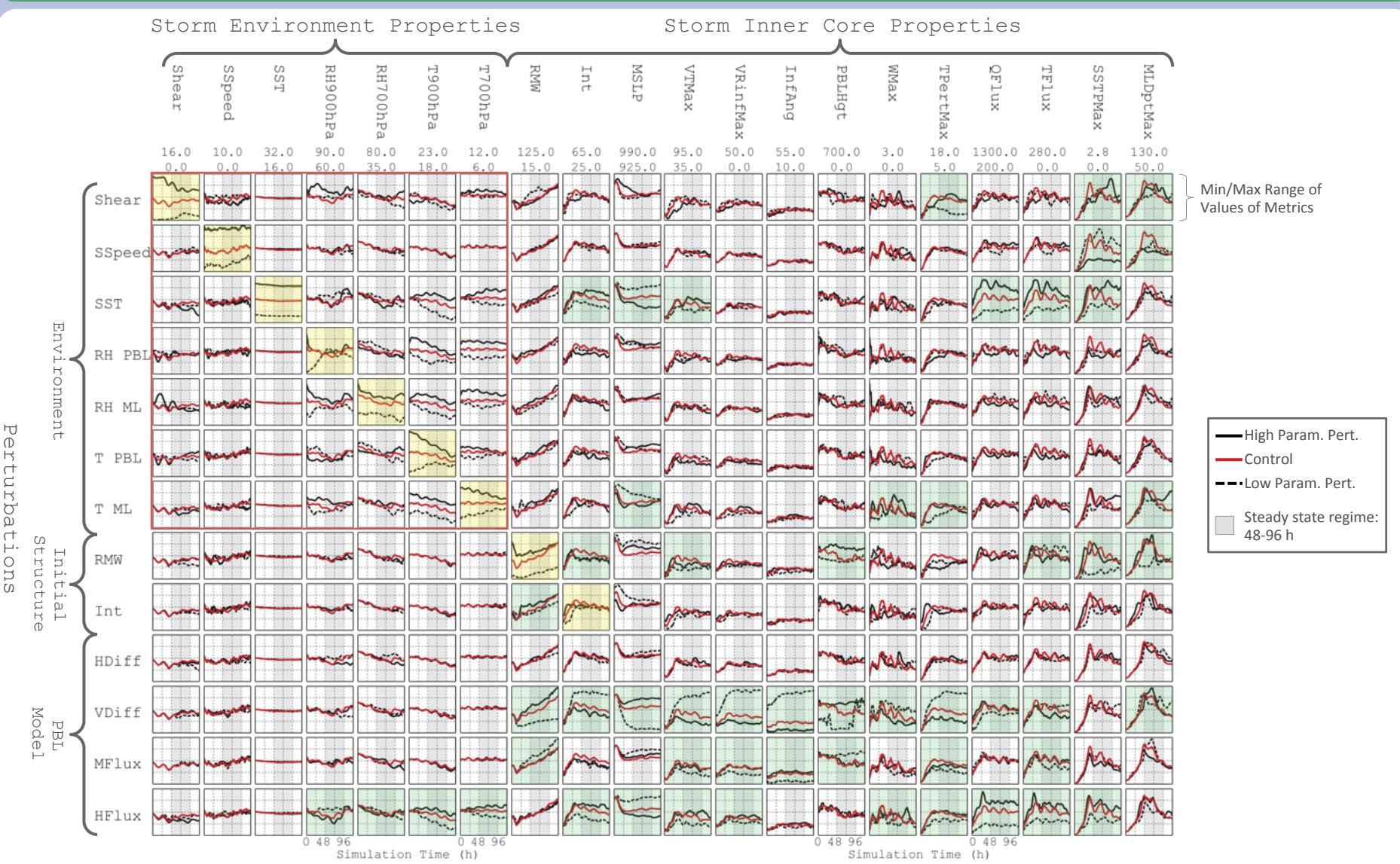
## Environment, Initial Vortex, and Model Parameters

PARAMETER PERTURBATION REALIZATIONS								
				Control				
<b>1. Storm Environment</b>								
- Zonal Westerly Shear (m/s)	0	4	6	7	8	9	10	12
- Westward Storm Speed (m/s)	0	2.5	3.75	4.375	5	5.625	6.25	7.5
- SST (C)	27	28	28.5	28.75	29	29.25	29.5	30
- Moisture Perturbations in PBL (%RH)	-20	-10	-5	-2.5	0	+2.5	+5	+10
- Moisture Perturbations in ML (%RH)	-20	-10	-5	-2.5	0	+2.5	+5	+10
- Temperature Perturbations in PBL (K)	-2	-1	-0.5	-0.25	0	+0.25	+0.5	+1
- Temperature Perturbation in ML (K)	-2	-1	-0.5	-0.25	0	+0.25	+0.5	+1
<b>2. Initial Vortex</b>								
- Vortex Size (RMW, km)	15	30	37.5	41.25	45	48.75	52.5	60
- Initial Intensity (kt)	65	75	80	82.5	85	87.5	90	95
<b>3. Model Parameters</b>								
- Vertical Eddy Diffusivity Multiplier	0.1	0.3	0.4	0.45	0.5	0.55	0.6	0.7
- Horizontal Diffusivity (namelist)	0	0.375	0.5625	0.6563	0.75	0.8438	0.9375	1.125
- Momentum Flux Multiplier	0.5	0.75	0.875	0.9375	1	1.0625	1.125	1.25
- Enthalpy Flux Multiplier	0.5	0.75	0.875	0.9375	1	1.0625	1.125	1.25



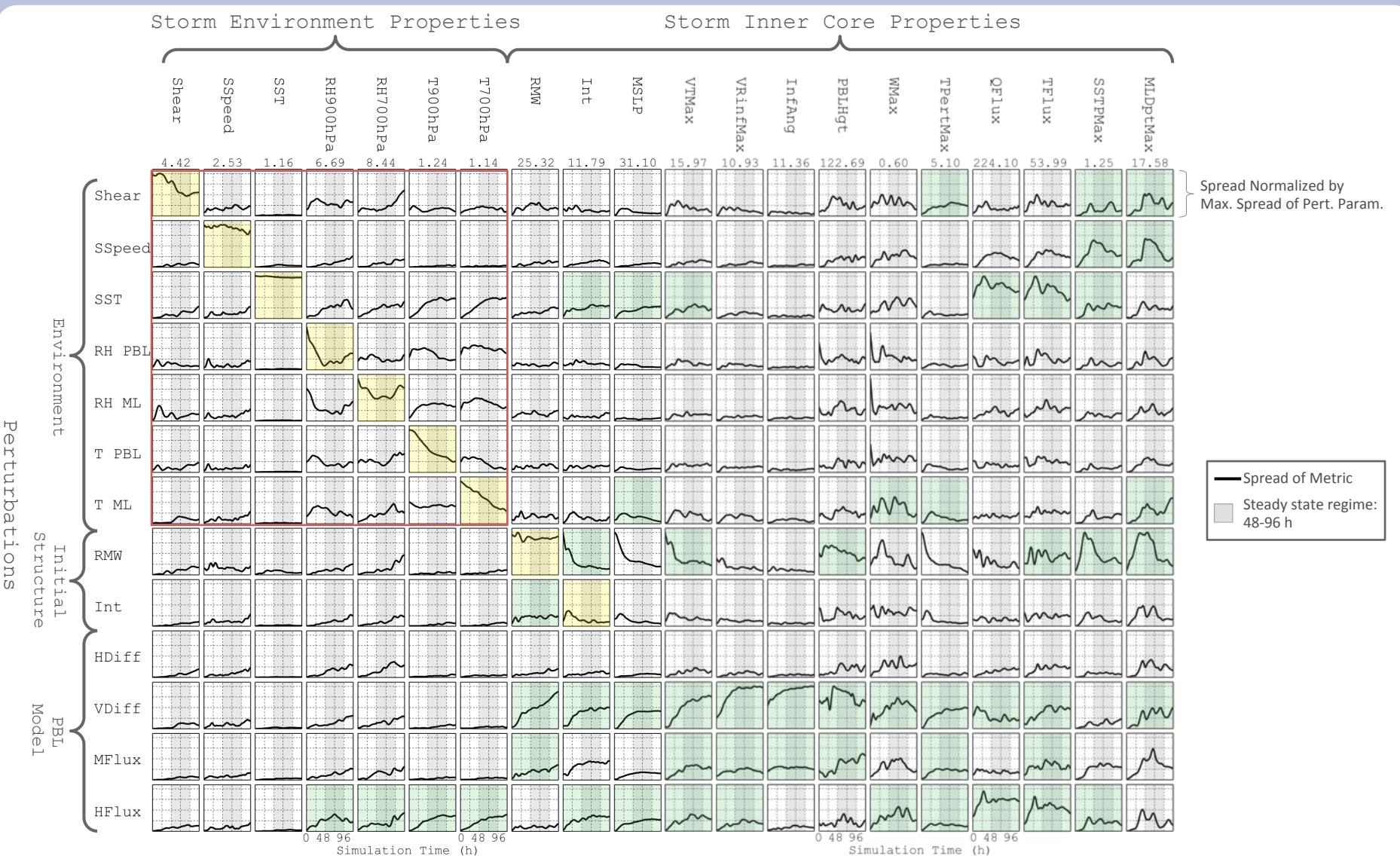
# Parameter Sensitivity

## Response to Parameter Extremes



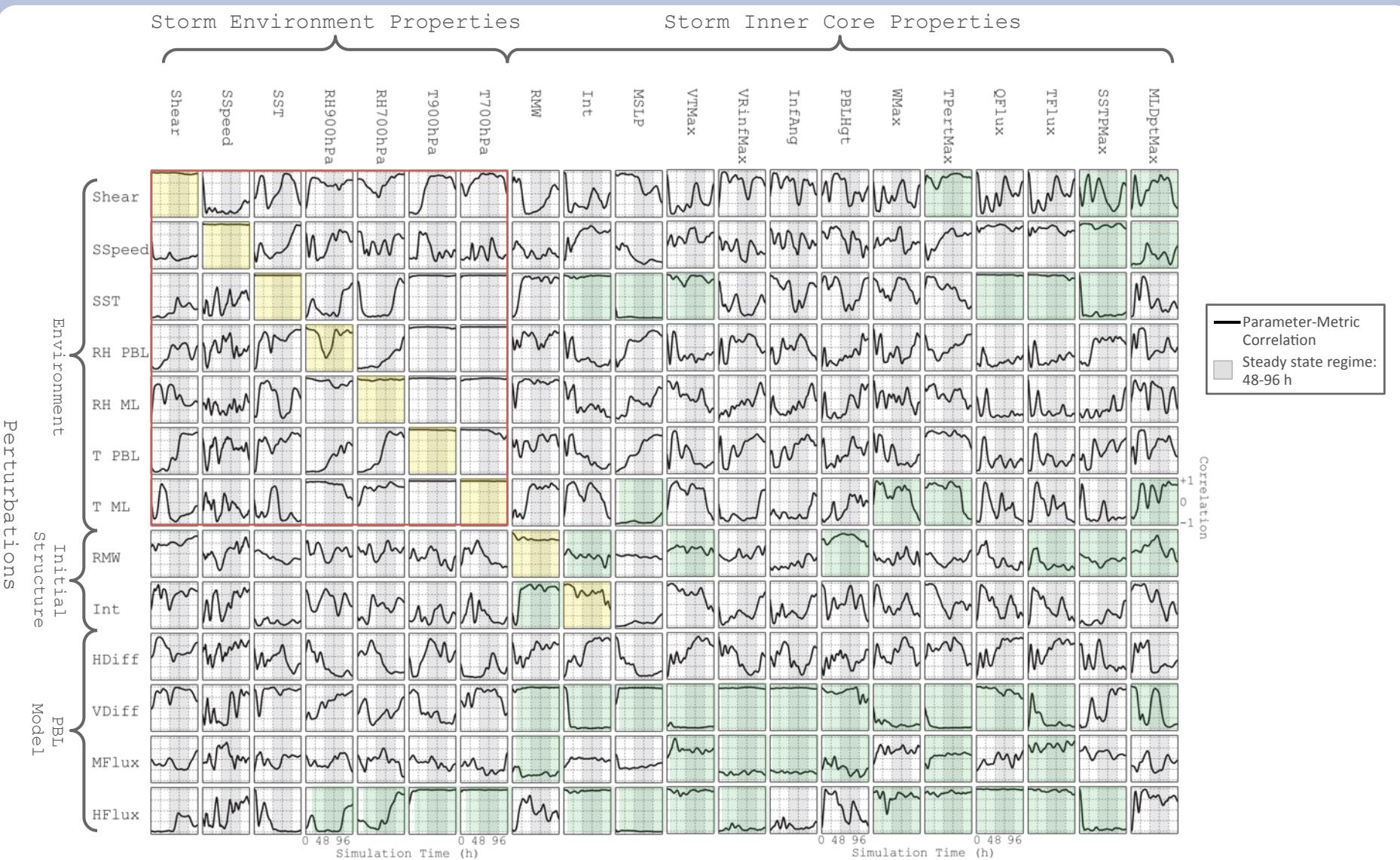
# Parameter Sensitivity

## Spread as a Measure of Variability



# Parameter Sensitivity

## Parameter-Simulation Correlations



# Measure of Sensitivity: First Alternative

## Cumulative Correlation Coefficient

### CORRELATION COEFFICIENT

- Indicates linear statistical relationship for a given metric and parameter combination  
(example: metric = intensity, parameter = shear)
- For each available time frame, computes correlation based on available samples between metric and parameter as a result of perturbations in that parameter
- Differences are normalized by the variances

$$C_{p,M}^t = \frac{1}{N_r} \frac{\sum_{r=1}^{N_r} (M_r^t - \bar{M}^t)(p_r^t - \bar{p}^t)}{\sigma_M^t \cdot \sigma_p^t}$$

M: Metric (intensity, MSLP, etc.)

p: Parameter (shear,  $C_d$ , etc.)

r: Specific realization of parameter perturbation

t: Time

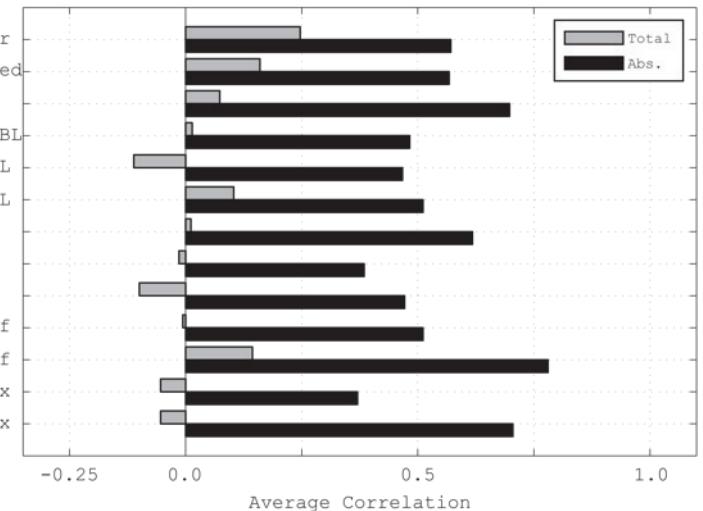
- Correlation coefficient averaged over 48-96 h and multiple metrics for cumulative impact:

$$c_p = \frac{1}{N_m} \sum_{M=1}^{N_m} \left[ \frac{1}{N_t} \sum_{t=1}^{N_t} c_{M,p}^t \right]$$

Metrics Included in Calculation		
Environment	Structure	
Shear magnitude	Intensity	Max. Core T Pert.
Shear direction	MSLP	RMW
Storm speed	Max. Vt	Latent heat flux
Storm direction	Max. Vr-Inflow	Sensible heat flux
SST	Inflow Angle	Max. SST Pert.
	PBL height	Max. Mixed Layer Depth
	Max. W	

→ Perturbed Parameter

Cumulative Correlation Coefficient



Strongest Cumulative Correlations			
Absolute	Total		
VDiff	T ML	Shear (+)	RH ML (-)
HFlux	Shear	Sspeed (+)	Int (-)
SST	SSpeed	Vdiff (+)	

# Measure of Sensitivity: Second Alternative

## Average Normalized Spread

### SPREAD (STANDARD DEVIATION)

- Works for a given metric and parameter combination  
(example: metric = intensity, parameter = shear)
- Measures the variability in a metric as a result of the parameter perturbation across all available samples (control and all parameter perturbations)

$$\sigma_{M,p}^t = \left( \frac{1}{(N_r - 1)} \sum_{r=1}^{N_r} (M_r^t - \bar{M}^t)^2 \right)^{1/2}$$

M: Metric (intensity, MSLP, etc.)

p: Parameter (shear,  $C_d$ , etc.)

r: Specific realization of parameter perturbation, including Control

t: Time -> 48-to-96-h hourly output

- We then apply time averaging to measure “persistent” variability.
- However, spread itself cannot be compared across metrics, unless some normalization is applied. Here, time-averaged spread is normalized by the maximum spread from any of the parameters, then averaged over all metrics for a given parameter:

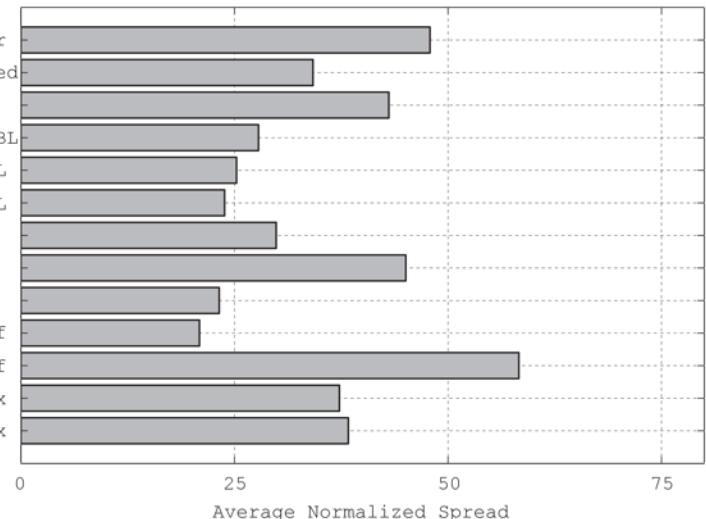
$$\tilde{\sigma}_{M,p} = \frac{\frac{1}{N_t} \sum_{t=1}^{N_t} \sigma_{M,p}^t}{\bar{\sigma}_M^{\max}}$$

$$\tilde{\sigma}_p = \frac{1}{N_m} \sum_{M=1}^{N_m} \tilde{\sigma}_{M,p}$$

Metrics Included in Calculation		
Environment	Structure	
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Shear direction	MSLP	RMW
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	Max. W	

Perturbed Parameter

Average Normalized Spread



Strongest Normalized Spread	
VDiff	SST
Shear	HFlux
RMW	MFlux

# Measure of Sensitivity: Second Alternative

## Cumulative Response Function

RESPONSE FUNCTION – Similar to Tong and Xue (2008, MWR)

- Works for a given metric and parameter combination  
(example: metric = intensity, parameter = shear)
- Compares the time series of the metric from a run with a realization of the parameter perturbation vs. the control run  
(example: realization of shear = 12 m/s)
- Differences are normalized by the variance of the control time series for fair comparison
- For display convenience,  $\log_{10}(J)$  will be plotted

$$J_{M,p}^r = \frac{1}{\langle \sigma \rangle_{M,c}^2} \left[ \frac{1}{N_t} \sum_{t=1}^{N_t} (M_p^{r,t} - M_c^{r,t})^2 \right]$$

M: Metric (intensity, MSLP, etc.)

p: Parameter (shear,  $C_d$ , etc.)

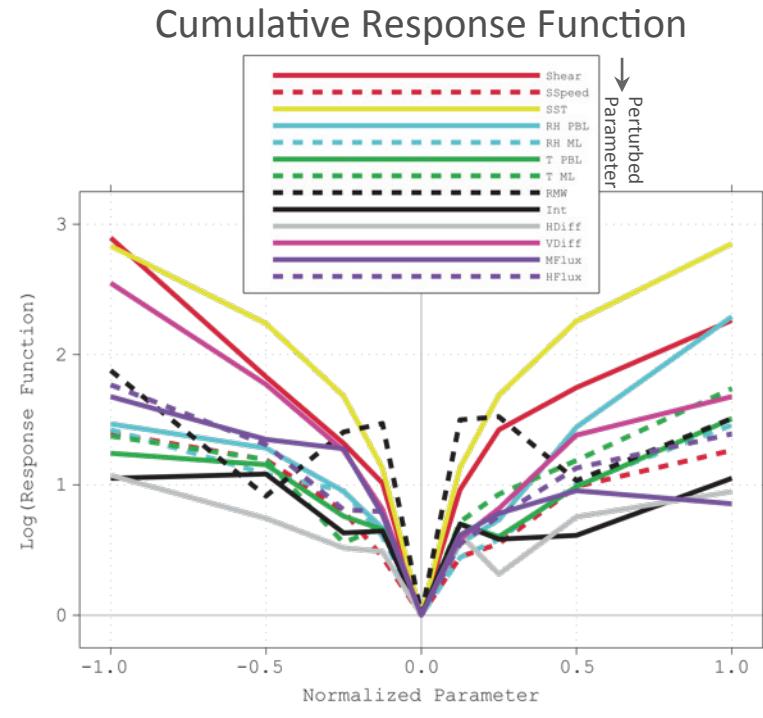
r: Specific realization of parameter perturbation

c: Control

t: Time -> 48-to-96-h hourly output

- Response function averaged over multiple metrics for cumulative impact:

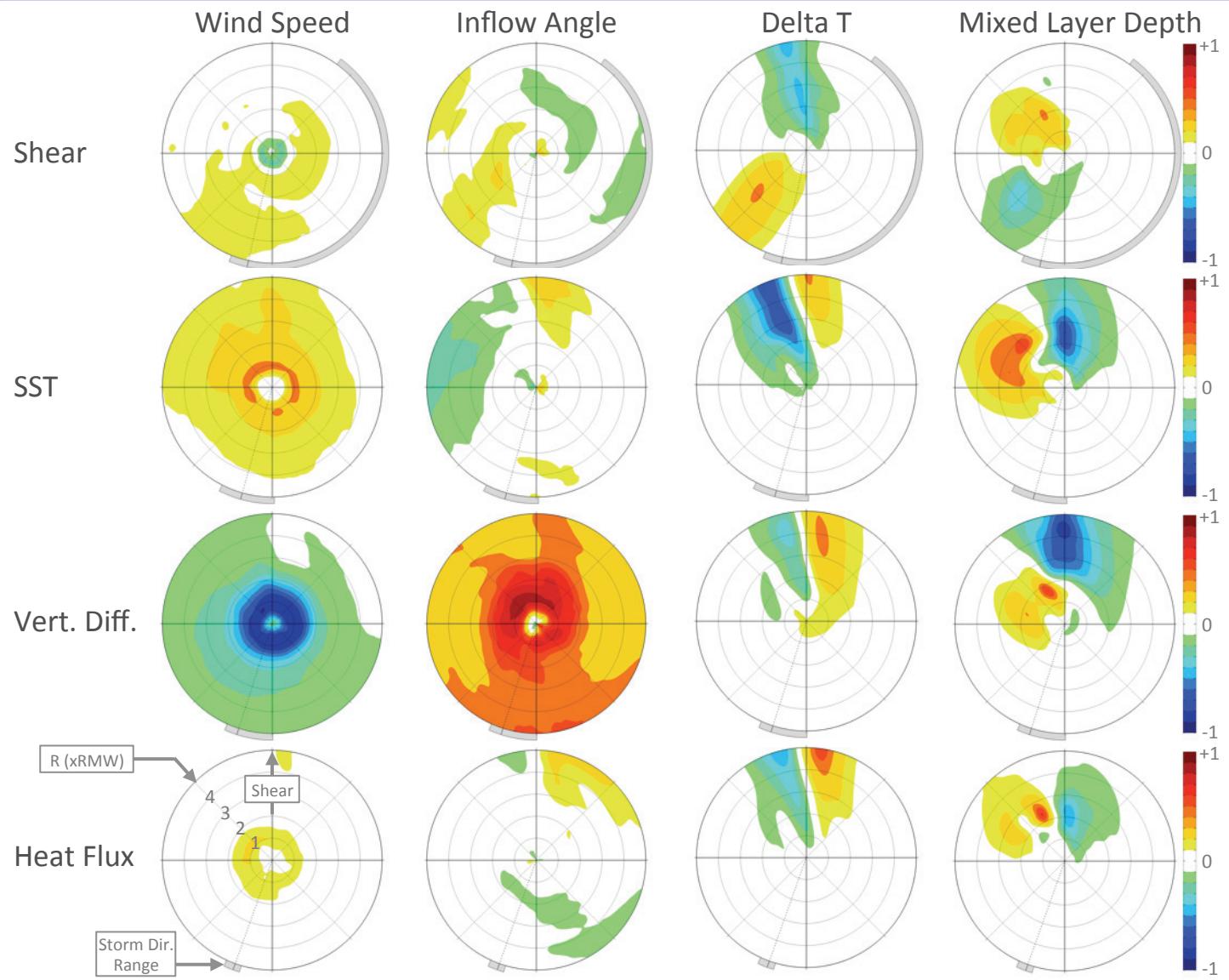
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	PBL height	Max. Mixed Layer Depth
	Max. W	



Strongest Cumulative Correlations				Strongest Response Function	
Absolute		Total			
VDiff	T ML	Shear (+)	RH ML (-)	SST	HFlux
HFlux	Shear	Sspeed (+)	Int (-)	Shear	RH PBL
SST	SSpeed	Vdiff (+)		VDiff	RMW

# Correlation Structures from Impactful Parameters

## Surface Features



Correlations Normalized by Maximum Spread of Shown Fields to Focus on Areas with Most Variability of Underlying Field

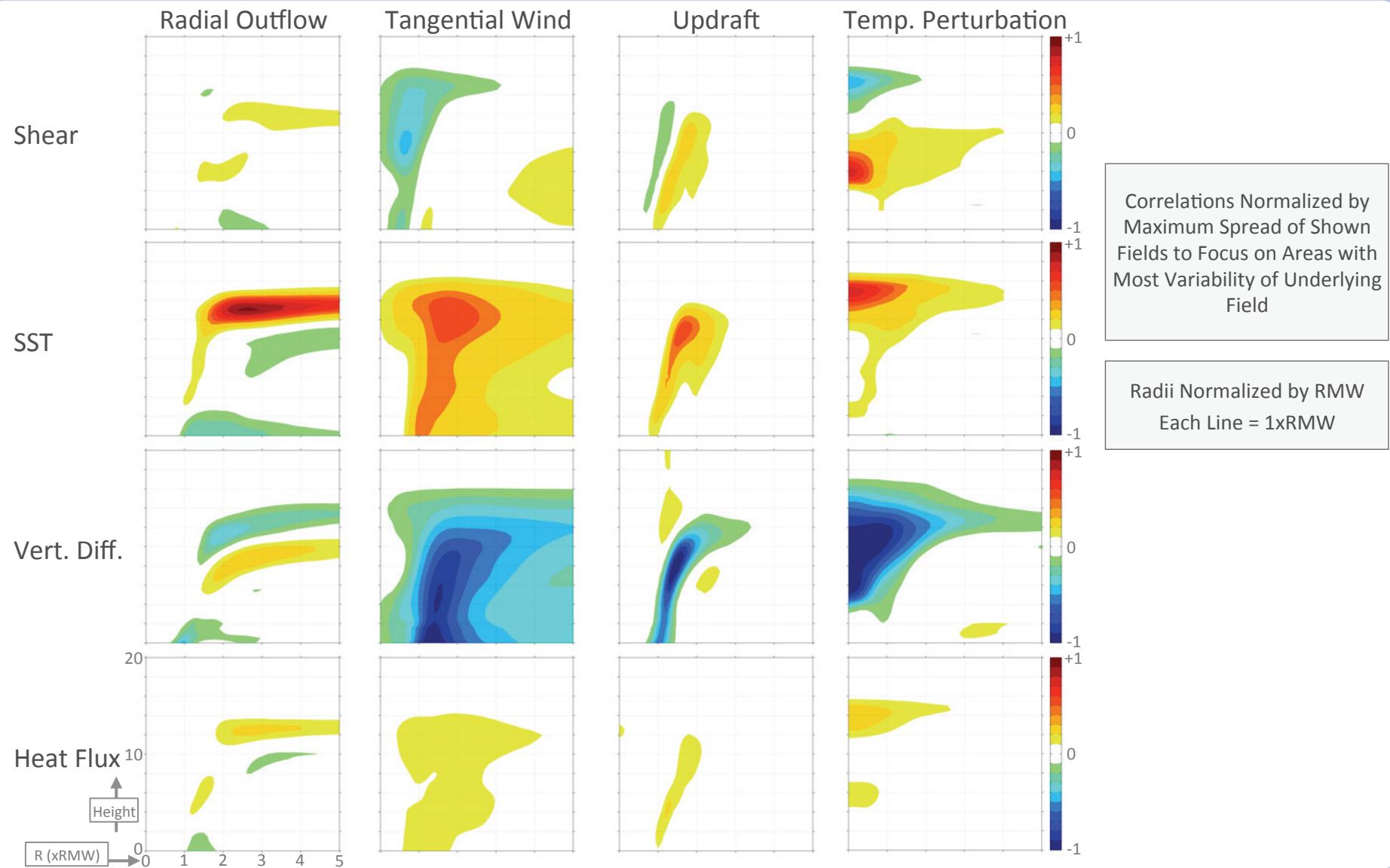
Radii Normalized by RMW  
Each Line = 1xRMW

Plots Are Relative to Shear  
Shear Direction Points Up

Variability of Storm Direction  
Shown in Gray Band  
at 5xRMW Circle

# Correlation Structures from Impactful Parameters

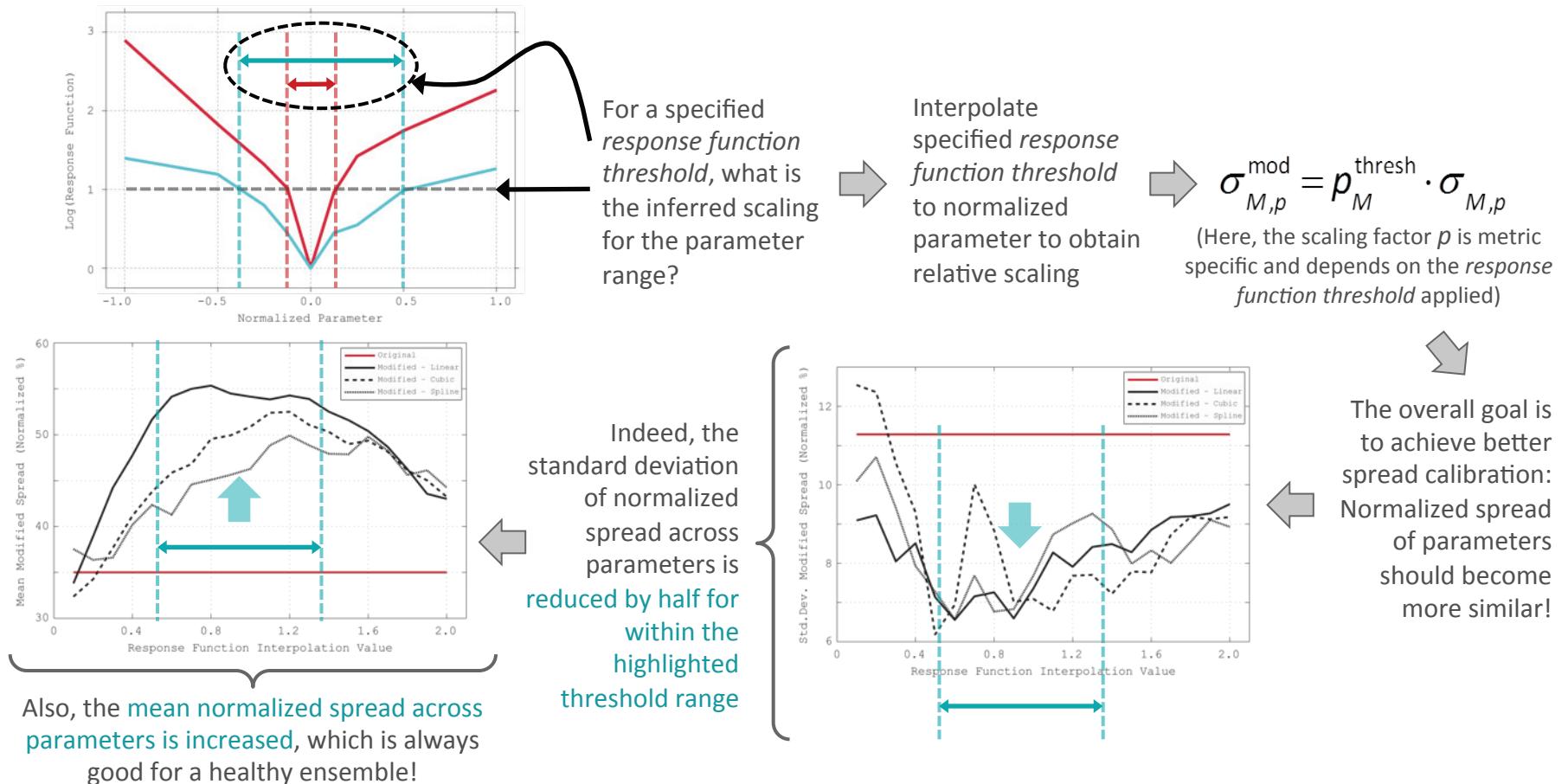
## Vertical, Azimuthally Averaged Features



# Calibrated Perturbations

## Use of Response Function to Calibrate Ensemble Spread

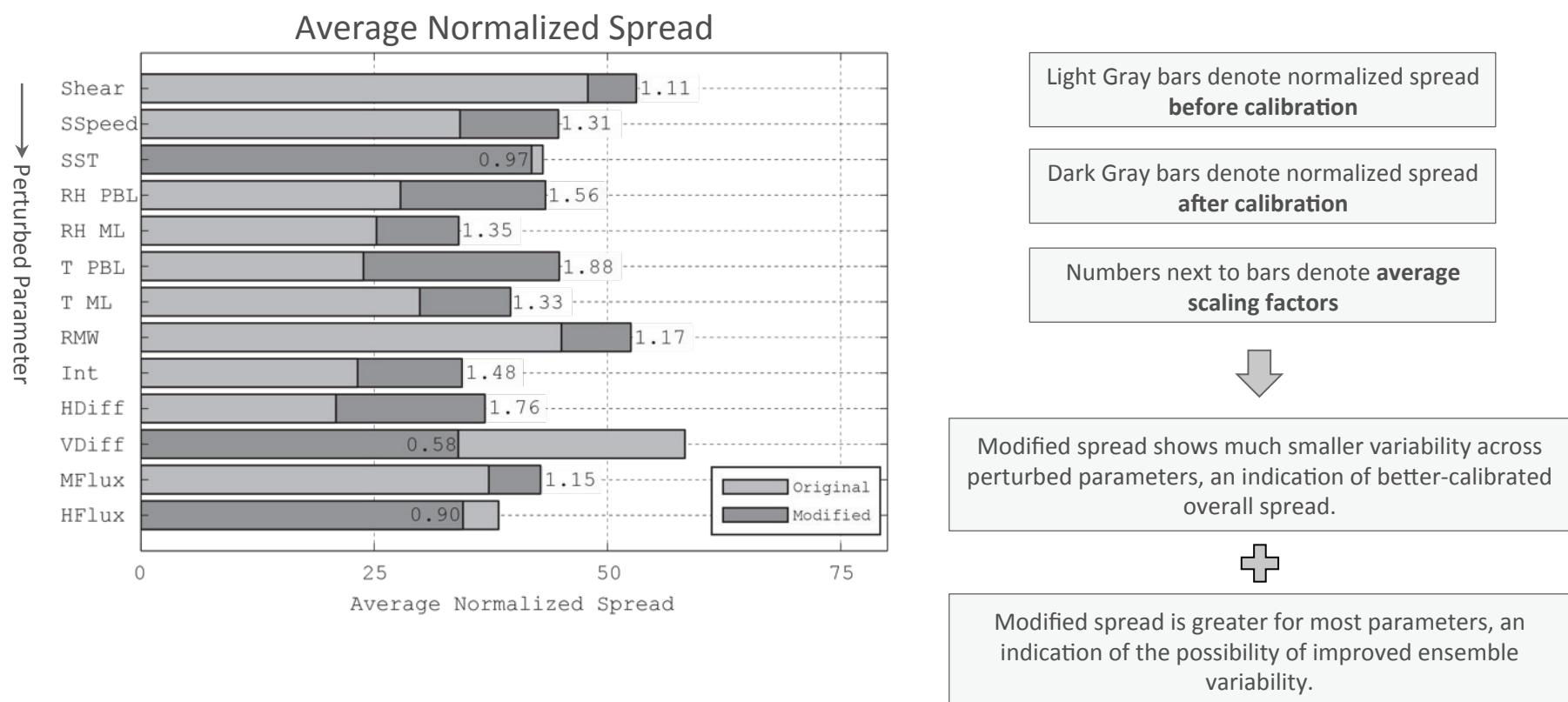
- Even after normalization, Average Normalized Spread is not a fair comparison of spreads, because:  
Maximum spread itself is impacted by the (somewhat) arbitrarily chosen parameter perturbation magnitudes
- Response function is hypothesized to be of value in accounting for the spread variability due to arbitrary nature of parameter perturbation magnitudes (plotted as “Reduced” in figure):  
Consider an example with two perturbed parameters, and their response functions as follows:



# Calibration Based on Response Function

## Impact on Normalized Spread

- We choose a response function threshold value of 0.6 with linear interpolation that seem to:
  - Maximize the reduction in the standard deviation of normalized spread (make normalized spreads the most similar across parameters)
  - Maximize the increase in the average normalized spread
- And recalculate Average Normalized Spread:



# Summary

- 1 Idealized (but realistic) hurricane simulations reveal significant model sensitivity to all types of perturbations including storm environment, initial structure, and model physics.
- 2 Sensitivity manifests itself in various ways, including, but not necessarily limited to, spread in various metrics, correlations with perturbed parameters, and response function.
- 3 Overall, perturbations in shear and SST (storm environment), RMW (initial structure), as well as vertical diffusivity, and momentum and enthalpy exchange coefficients (PBL physics) all appear to have a large impact on model solutions.
- 4 Further investigation of the structure of correlations also reveals significant differences in how various parameters impact the structure of a hurricane. This suggests that the perturbations from various sources are (mostly) orthogonal.
- 5 Response function is shown as a tool to calibrate for spread from various error sources. Assuming a linear response, optimization leads to a reduction in the variability of spread from various sources by almost half.