

# The Tropical Atlantic Observing System

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## Abstract

5 The tropical Atlantic is home to multiple coupled climate variations covering a wide range of timescales and impacting societally relevant phenomena such as continental rainfall, Atlantic hurricane activity, oceanic biological productivity, and atmospheric circulation in the equatorial Pacific. The tropical Atlantic also connects the southern and northern branches of the Atlantic meridional overturning circulation and receives freshwater input from some of the world's largest rivers. To address these diverse, unique, and interconnected research challenges, a rich network of ocean observations has developed, building on the backbone of the Prediction and Research Moored Array in the Tropical Atlantic (PIRATA). This network has evolved naturally over time and out of necessity in order to address the most important outstanding scientific questions and to improve predictions of tropical Atlantic severe weather and global climate variability and change. The tropical Atlantic observing system is motivated by goals to understand and better predict phenomena such as tropical Atlantic interannual to decadal variability and climate change; multidecadal variability and its links to the meridional overturning circulation; air-sea fluxes of CO<sub>2</sub> and their implications for the fate of anthropogenic CO<sub>2</sub>; the Amazon River plume and its interactions with biogeochemistry, vertical mixing, and hurricanes; the highly productive eastern boundary and equatorial upwelling systems; and oceanic oxygen minimum zones, their impacts on biogeochemical cycles and marine ecosystems, and their feedbacks to climate. Past success of the tropical Atlantic observing system is the result of an international commitment to sustained observations and scientific cooperation, a willingness to evolve with changing research and monitoring needs, and a desire to share data openly with the scientific community and operational centers. The observing system must continue to evolve in order to meet an expanding set of research priorities and operational challenges. This paper discusses the tropical Atlantic observing system, including emerging scientific questions that demand sustained ocean observations, the potential for further integration of the observing system, and the requirements for sustaining and enhancing the tropical Atlantic observing system.

**Keywords:** tropical Atlantic Ocean, observing system, weather, climate, hurricanes, biogeochemistry, ecosystems, coupled model bias

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## 1 Introduction

35 Many developing countries surrounding the tropical Atlantic Ocean face societal challenges that are  
compounded by climate variability and change (Figure 1). Rainfall in South America and West Africa  
and Atlantic hurricanes are highly sensitive to conditions in the tropical Atlantic. These conditions are  
driven by complex interactions between the ocean, atmosphere, and land, and between other ocean  
basins and the tropical Atlantic. Strong and societally relevant variability occurs on seasonal to  
40 multidecadal timescales. The background state on which these fluctuations occur is changing, as are the  
two-way connections between the tropical Atlantic and the Pacific. In the tropical Atlantic Ocean,  
significant changes in physical and biogeochemical variables, including temperature, oxygen, nutrient  
availability and pH, are also occurring, and it is unclear how these changes will affect marine  
ecosystems and biodiversity. Short-term predictions and longer decadal and century-scale projections  
of the tropical Atlantic are made more challenging by persistent biases in climate models that have seen  
45 little progress over the past two decades.

The tropical Atlantic observing system has progressed substantially over the past 20 years (Figure 2),  
yet many challenges remain. Observing systems must continue to monitor the climate system and  
provide measurements to aid in weather and climate prediction, scientific research, and ocean state  
50 estimates. There are new emerging threats that will require additional scientific knowledge and  
monitoring capabilities. These include the increasing occurrence of weather and climate extremes and  
tipping points in ocean biogeochemistry and ecosystems. This paper summarizes the societal issues that  
demand tropical Atlantic climate monitoring and prediction, their scientific drivers, and the current  
observing system. It concludes with key recommendations for the future tropical Atlantic observing  
55 system.

## 2 Societal drivers

60 Tropical Atlantic variability influences a wide range of societally important phenomena on different  
timescales that span the physical, biogeochemical, and ecological systems and their interactions. This  
section summarizes the key societal drivers in the tropical Atlantic.

### 2.1 Rainfall

65 One of the most important climate- and weather-related societal drivers is continental rainfall. The  
impacts of tropical Atlantic climate variability on rainfall are strongest in South America and West  
Africa. The West African monsoon (WAM) brings most of the rainfall to West Africa during the year.  
Its onset is typically in late June or early July and it ends normally from late September to October  
70 (Sultan and Janicot, 2003). Knowledge of the development of the WAM is important for agricultural  
planning, as an unanticipated late onset and early demise of the monsoon can lead to crop failure. There  
is stronger interannual variability at the Guinea Coast compared to the Sahel, and rainfall anomalies  
often extend zonally across West Africa (Giannini et al., 2005), affecting large populations. A recent  
example is the 2011-2012 severe Sahel drought and famine, which was caused by below-normal and  
75 erratic rainfall in 2011 and poor harvests in 2011 and 2012  
(<https://news.un.org/en/story/2012/05/411752-un-relief-coordinator-warns-over-humanitarian-crisis-africas-drought-hit-sahel>).

The Sahel region also experiences strong rainfall variability on decadal and multidecadal timescales. There was a period of severe drought between the 1960s and 1980s that strongly impacted West African agriculture and economies. Rainfall in the Sahel has increased since the 1980s, but has not recovered to pre-drought levels (Nicholson et al., 2000; Dong and Sutton, 2015; Berntell et al., 2018). Through its impacts on soil moisture, vegetation, and albedo, the rainfall received during a given year affects the likelihood of drought the following year, acting to enhance decadal-multidecadal variations of Sahel rainfall (Zeng et al., 1999). The long-term trend of Sahel precipitation in response to the intensification of the hydrological cycle due to climate change is still unclear and may have significant consequences for West African populations (Druyan, 2011; Monerie et al., 2016).

The semiarid region of Northeast Brazil has also experienced strong rainfall variations and extreme droughts. This region is highly susceptible to drought because its short March-May rainy season is dependent on seasonal sea surface temperature (SST) and rainfall patterns in the tropical Atlantic. During 2011-2016, Northeast Brazil experienced its most severe and prolonged drought since 1981 (Brito et al., 2018). Prior to the recent drought period, Northeast Brazil experienced a severe flood in 2009 that has been linked to anomalous SSTs in the tropical Atlantic (Foltz et al., 2012). There is strong interannual and decadal variability of Northeast Brazil rainfall (Nobre and Shukla, 1996), along with a downward long-term trend of precipitation and increasing trend in air temperature (Lacerda et al., 2015).

During the years 2005-2012, the Amazon region experienced some of the most severe droughts and floods in its recorded history. The two record droughts of 2005 and 2010 were regarded as “once in a century” climate extremes at the times of their occurrences and were linked to anomalous SSTs in the tropical Atlantic (Figure 1; Zeng et al., 2008; Marengo et al., 2008; Lewis et al., 2011). The droughts occurred during the dry season (June-September), when the ecosystem is particularly vulnerable to stressors. Normally the Amazon is a carbon sink, absorbing CO<sub>2</sub> from the atmosphere. However, during 2005-2008 the Amazon was a net carbon source due to drought-stressed and dying trees, combined with increased occurrence of fires (Zeng et al., 2008; Yang et al., 2018). At the other extreme, the Amazon floods of 2009 and 2012 were the largest going back several decades (Satyamurty et al., 2013; Filizola et al., 2014) and left hundreds of thousands homeless. Since the 1970s there has been an upward trend in the year-to-year variability of Amazon River discharge, following a period with no trend during 1904 through the 1960’s (Satyamurty et al., 2013; Barichivich et al., 2018). It is unclear whether the recent increase in variability is part of a longer trend driven by climate change or due to natural variability.

In summary, there is a strong societal need for accurate predictions of rainfall to improve agricultural productivity, allow for more efficient use of water resources, and protect homes and infrastructure against floods. There is also a need to mitigate disease outbreaks, which commonly occur following large floods. Rainfall predictions are needed on many different climate timescales, ranging from intraseasonal to multidecadal. Climate change projections of rainfall and the occurrences of droughts, floods, and extremes in rainfall intensity are also a necessity for developing countries surrounding the tropical Atlantic Ocean.

## 2.2 Tropical cyclones

Tropical cyclones (TCs) are one of the deadliest and most destructive hazards in the tropics and subtropics. Threats include storm surge, damaging winds, and inland flooding from rainfall.

130 Developing countries and low-lying coastal areas are particularly vulnerable. Since 2005, there have been eight Atlantic hurricanes that have resulted in at least \$25 billion in damages, including Harvey, Irma, and Maria in 2017 and Florence in 2018 (<https://www.nhc.noaa.gov/news/UpdatedCostliest.pdf>, [https://en.wikipedia.org/wiki/List\\_of\\_costliest\\_Atlantic\\_hurricanes](https://en.wikipedia.org/wiki/List_of_costliest_Atlantic_hurricanes)). Adjusted for inflation, 9 of the 10 costliest hurricanes have occurred since 2004. The increasing destruction is likely a result of coastal population growth in the United States as well as natural and human-induced changes in the large-scale hurricane environment that can influence tropical cyclone intensity, rapid intensification (RI), translation speed, and rainfall (Kossin, 2017; Goldenberg et al., 2001; Knutson et al., 2010; Scoccimarro et al., 2017; Balaguru et al., 2018; Wang et al., 2018; Kossin 2018).

135 The Atlantic basin as a whole has experienced large variations in TC activity on interannual and longer timescales. The 2005, 2010, and 2017 Atlantic hurricane seasons were extremely active, with 28, 19, and 17 cyclones of at least tropical storm strength, respectively. The 2005 and 2017 seasons were the costliest on record at the time of occurrence. There has also been significant decadal-multidecadal variability of TC activity in the Atlantic, with above-normal activity during the 1940s and 50s, below-normal from the 60s to the early 90s, and above-normal since the mid 90s (Goldenberg et al., 2001). The magnitude of hurricane RI (increase in maximum wind speed of at least 25 kt in 24 hr) has increased in the central and eastern tropical Atlantic since the 1980s (Balaguru et al., 2018). Whether a storm will undergo RI is particularly difficult to predict (Kaplan et al., 2010). When RI occurs before landfall, destruction and loss of life can be catastrophic.

145 Ultimately, in terms of seasonal prediction, what matters most for coastal residents and planning agencies is the number and severity of land-falling TCs. There have been marked changes in Atlantic land-falling TCs in the past several decades (Wang et al., 2011; Kossin, 2017). However, the number of landfalling TCs is very difficult to predict. There are indications that TC activity and intensification before landfall may be increasing in the Atlantic due to global warming (Emanuel, 2005, 2017; Webster et al., 2005; Elsner et al., 2008).

155 In summary, there is a need for improved intraseasonal and seasonal predictions of TC activity, including landfalls, and more reliable decadal-multidecadal projections. There are also uncertainties related to how Atlantic TC activity will change in response to global warming. This is particularly important for highly populated low-lying coastal areas in the southeastern U.S., which will likely become more susceptible to storm surge inundation as sea level rises. Improved intraseasonal and seasonal predictions and longer-term projections will allow coastal communities to prepare and allocate resources for post-storm recovery.

### 2.3 Biogeochemistry

165 One of the important unknowns in the future global carbon budget is the extent to which the ocean sink keeps pace with anthropogenic CO<sub>2</sub> emissions. Present-day observations and models show that the ocean sink has increased along with CO<sub>2</sub> emissions and is currently absorbing about 28% of anthropogenic CO<sub>2</sub> emissions annually (Le Quéré et al., 2018). The amount of CO<sub>2</sub> that can be emitted by fossil fuel burning and industrial uses while limiting global surface temperature rise to within 2°C and stabilizing atmospheric CO<sub>2</sub> levels is critically dependent on the magnitude of this ocean sink (170 <https://unfccc.int/process/conferences/pastconferences/paris-climate-change-conference-november-2015/paris-agreement>). The tropical Atlantic is the second largest source, after the tropical Pacific, of oceanic CO<sub>2</sub> to the atmosphere, releasing about 0.10 Pg C yr<sup>-1</sup> in the 18°S-18°N region (Landschützer et

al., 2014). While uptake of anthropogenic CO<sub>2</sub> by the ocean regulates the atmospheric CO<sub>2</sub> concentration, it also leads to ocean acidification, with significant but poorly understood consequences for marine organisms and ecosystems (Feely et al., 2004; Bates, 2007). Carbon trends remain unclear because of short records and high natural variability in the tropical Atlantic, though there are indications of significant decadal variations that have implications for anthropogenic CO<sub>2</sub> uptake (Park and Wanninkhof, 2012). There is also significant interannual variability of air-sea CO<sub>2</sub> fluxes in the tropical Atlantic that is closely linked to climate variability (Lefèvre et al., 2013; Ibánhez et al., 2017).

Oxygen minimum zones (OMZs) are found at intermediate depths (100-900 m) in the eastern tropical oceans off the equator (Karstensen et al., 2008). The OMZs in the eastern tropical Atlantic are split into shallow (100 m) and deep (400 m) branches (Brandt et al., 2015; Monteiro et al., 2008) that are caused primarily by enhanced biological productivity/consumption and sluggish ventilation, respectively. The shallow OMZs overlap with the euphotic zone and hence have a direct impact on ecosystems, carbon export, and the release of CO<sub>2</sub> and other climate-relevant trace gases like N<sub>2</sub>O to the atmosphere. Since the 1960's the oxygen minimum zones in the eastern tropical Atlantic have been expanding (Stramma et al., 2008; Brandt et al., 2015), with far-reaching consequences for tropical ecosystems (Stramma et al., 2012; Gilly et al., 2013), nutrient cycling and resilience, as well as goods and services, including food production through fisheries and aquaculture, ecosystem conservation, and climate regulation (Diaz and Rosenberg, 2008; Stramma et al., 2012; Kalvelage et al., 2013; Craig and Bosman, 2013; Martinez-Rey et al., 2015; Arevalo-Martinez et al., 2015).

The carbon and oxygen cycles and ecosystems in coastal regions are influenced by river outflow and upwelling. The tropical Atlantic receives about 25% of the global riverine freshwater discharge from three large rivers (Amazon, Congo, and Orinoco; Dai and Trenberth, 2002). The rivers also deliver high loads of nutrients, which lead to high oceanic productivity near the river mouths. The tropical Atlantic includes two major eastern boundary upwelling systems that support some of the world's most productive fisheries: the Canary and the Benguela (Chaigneau et al., 2009). These systems are particularly vulnerable to ongoing warming, deoxygenation and acidification (Gruber et al., 2011). It is important to understand what drives biological production within these regions in order to understand ecosystem dynamics and also to constrain the regional and global carbon cycles. There are several important factors that control biological production in eastern upwelling regions, including along-shore winds, eddy activity, and mixed layer depth (Lachkar and Gruber, 2012). Close to coastal areas, high productivity and local oxygen depletion are found, with concentrations below 30 µmol kg<sup>-1</sup>, and intense respiration and remineralisation, associated with high organic matter input, has been observed (Chen and Borges, 2009). Anoxic conditions are regularly occur at the Namibian shelf (Brüchert et al., 2006; Mohrholz et al., 2008) and have been found more recently at the Senegalese shelf (Machu et al., 2019) and within mesoscale eddies (Karstensen et al., 2015; Schütte et al., 2016). Low oxygen conditions affect the carbon cycle, ecosystems and fisheries. Trace elements (e.g., Zn, Fe, Co, and Mn) affect biomass and the turnover rate of phytoplankton, and ultimately the productivity of entire food webs. The cycling of these micronutrients is thus critically linked to carbon cycling.

## 2.4 Ecosystems and pollution

Many coastal communities surrounding the tropical Atlantic Ocean rely on seafood for sustenance. The importance of fisheries in the tropical Atlantic can be demonstrated most easily by the total catch and dependence on the region. Approximately 10 million tons of seafood (from 87.2 million tons of global

220 marine capture production) were harvested in the Central and South Atlantic in 2016 (FAO 2018; <http://www.fao.org/fishery/statistics/global-capture-production/en>). The fishing sector is also very important in tropical Atlantic coastal countries. There are 8 million fishers in Africa, Latin America, and the Caribbean, though not all of them are operating in the Atlantic; many foreign fleets (Korean, Chinese, Russian, European) also use tropical Atlantic resources. Threats to fisheries include overfishing, pollution, and invasive species.

225 The frequency of marine heatwaves has increased significantly in the tropical North Atlantic during the past 35 years and is expected to increase further in response to global warming (Oliver et al., 2018). Marine heatwaves are defined as anomalously warm SST that lasts for five or more days, with temperatures warmer than the 90th percentile based on a 30-year historical baseline period (Hobday et al., 2016). They can have detrimental effects on marine organisms, including coral bleaching, disease outbreaks, and forced migration (Comte and Olden, 2017; Hughes et al., 2018). Future responses of marine organisms to climate change and the implications for the biogeochemical cycles and fisheries are unclear.

230 Since 2011, there has been an increase in the abundance of Sargassum in the western tropical North Atlantic, often resulting in mass “beaching” events in the Caribbean (Wang and Hu, 2017) and also in western Africa and Brazil. These events can have significant negative impacts on local economies and ecology (Hu et al., 2016). It is unclear what has caused the increase in Sargassum in the western tropical North Atlantic and Caribbean. Hypotheses include changes in upper-ocean temperature and nutrients or anomalous winds and ocean currents. Previous events such as the Deepwater Horizon drilling rig explosion can also have serious negative consequences for local ecosystems and economies and requires knowledge of the ocean circulation.

245 Transport and fishing vessels in the Atlantic are sources of marine pollution such as plastics, hydrocarbons, and particulate materials. Overall, there are relatively few records of pollutants that have emissions high enough to cause harmful consequences in the open ocean. Mercury and plastic pollution, nevertheless, show us that adverse effects of those pollutants in marine ecosystems can be pervasive. Plastic is a pervasive pollutant of high concern. Its use has increased 20 times in the past 50 years and is expected to double in the next 20 years (WEF 2016; [https://www.ellenmacarthurfoundation.org/assets/downloads/ElleMacArthurFoundation\\_TheNewPlasticsEconomy\\_Pages.pdf](https://www.ellenmacarthurfoundation.org/assets/downloads/ElleMacArthurFoundation_TheNewPlasticsEconomy_Pages.pdf)). A high amount of plastic materials escapes collection systems, generating significant risks for marine biota. Their threats to marine life are primarily mechanical, due to ingestion of plastics and entanglement (Derraik, 2002). It has also been shown that contaminants from plastic debris may leach into seawater or be ingested by marine organisms (Romera-Castillo et al., 2018), creating a new risk route.

260 Ecosystems are highly dependent on nutrient availability and biogeochemistry, which themselves are closely linked to the physical state of the ocean. There is a strong need to monitor fish stocks and understand their variability and response to internal and external stressors. External threats include overfishing, invasive species, global warming, deoxygenation, and pollution. Observations are needed for monitoring and scientific understanding, and models that incorporate biogeochemistry and ecosystems are critical for informing scientists and policy-makers of future changes to tropical Atlantic ecosystems.

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### 3 Science drivers

This section summarizes the key scientific phenomena and processes that affect the societally relevant issues presented in the previous section.

#### 3.1 Modes of variability and tropical cyclones

Many societally relevant phenomena in the tropical Atlantic region, such as droughts, floods, tropical cyclones, and marine heat waves, are linked to Tropical Atlantic Variability (TAV). TAV involves coupled ocean-atmosphere processes and their interactions, most notably, fluctuations of the trade winds, SST, and rainfall (Figure 3; Xie and Carton, 2004). There is also significant external forcing of TAV from ENSO, the North Atlantic Oscillation, and the South Atlantic Anticyclone (Enfield and Mayer, 1997; Czaja et al., 2002; Chang et al., 2006; Illig and Dewitte, 2006; Lübbecke et al., 2010; Lübbecke and McPhaden, 2012). Interannual variability of the tropical Atlantic can be described in terms of two main climate modes: the Atlantic Zonal Mode (AZM) and the Atlantic Meridional Mode (AMM). The AZM, also commonly referred to as the Atlantic Niño and Atlantic equatorial mode, is associated with SST anomalies near the equator, peaking in the eastern basin (Zebiak, 1993; Polo et al., 2008; Lübbecke et al., 2018), while the AMM is characterized by a cross-equatorial gradient of SST and wind anomalies (Ruiz-Barradas et al., 2000; Chiang and Vimont, 2004). Their patterns and seasonality are depicted in Figure 4.

On interannual timescales, the AZM affects the WAM (Sultan and Janicot, 2003; Nicholson 2013; Polo et al., 2008; Losada et al., 2010). A warm phase of the AZM shifts the intertropical convergence zone (ITCZ) anomalously to the south during June-August, increasing rainfall over the Gulf of Guinea (Figure 4a; Janicot et al., 1998; Okumura and Xie, 2004; Polo et al., 2008; Joly and Voldoire, 2010; Losada et al. 2010; Rodríguez-Fonseca et al., 2011, 2015). A positive AZM is also associated with a late onset of the WAM, though eastern tropical North Atlantic SST also plays a role (Brandt et al. 2011). An earlier development of a warm phase of the AZM can enhance the southward migration of the ITCZ, bringing excess rainfall to the Brazilian Amazon and Northeast Brazil (Torralba et al., 2015). The tropical Pacific also influences rainfall in these regions (Moron et al., 1995; Nobre and Shukla, 1996) and affects the AZM (Chang et al., 2006).

A positive AMM favors an earlier migration of the ITCZ northward during April-May, shortening the rainfall season over the Amazon region and Brazilian Northeast and leading to severe droughts over the Northeast (Figure 4b,c; Nobre and Shukla, 1996; Hastenrath, 2006; Kucharski et al., 2008; Rodrigues et al., 2011; Liebmann and Mechoso, 2011). The opposite is generally true for negative AMM phases (Foltz et al., 2012; Rodrigues and McPhaden, 2014). There is a strong relationship between the AMM and Atlantic hurricane activity (Kossin and Vimont, 2007) due to the AMM's influence on SST, position of the ITCZ, strength of vertical wind shear, and humidity (DeMaria and Kaplan, 1994).

Atlantic Multidecadal Variability (AMV; Kerr, 2000) modulates Sahel rainfall through its control of the Atlantic ITCZ (Zhang and Delworth, 2006; Knight et al., 2006; Mohino et al., 2011; Dieppois et al., 2015). There is also strong evidence linking decadal-multidecadal tropical Atlantic SST variability to hurricane activity (Goldenberg et al., 2001, Latif et al., 2007; Balaguru et al., 2018), but the drivers of this SST variability are not well known (Yang, 1999; Chang et al., 2000; Tanimoto and Xie, 2002; Evan et al., 2011; Booth et al., 2012; Clement et al., 2015). Several studies have demonstrated that there is potential for improved seasonal predictions of hurricane landfall frequencies and intensities if the

315 predicted atmospheric steering flow, wind shear, and SST patterns are taken into account (Wang et al., 2011; Kossin, 2017), further emphasizing the importance of tropical Atlantic SST variations and their interactions with the atmosphere.

The influence of interannual TAV on the West African and South American monsoons is not stationary and can be modulated by its interactions with variability from other tropical oceans (Losada et al., 2012; Torralba et al., 2015). TAV also has robust imprints on global climate. The AZM impacts the 320 Indian summer monsoon (ISM), altering the ENSO-ISM connection (Kucharski et al., 2007, 2008; Wang et al., 2009; Barimalala et al., 2012, 2013). Summer equatorial variability associated with the AZM is also highly correlated with the next winter's ENSO (Polo et al., 2008; Rodríguez-Fonseca et al., 2009; Ding et al., 2012; Keenlyside et al., 2013; Martín-Rey et al., 2015). The AZM-ENSO relationship is strongest during negative AMV phases (Martín-Rey et al., 2014; Polo et al., 2015a), 325 when equatorial Atlantic SST variability is enhanced (Martín-Rey et al., 2018). A possible connection between the tropical North Atlantic and tropical Pacific variability has also been suggested (Wu et al., 2007; Ham et al., 2013a,b; Wang et al., 2017), and it seems to be more active during positive AMV phases (Wang et al., 2017). However, global warming may also affect this teleconnection (Dong and Zhou, 2014; Liu and Sui, 2014). Improved understanding of interactions between the tropical oceans is 330 needed to advance seasonal to decadal climate predictions and climate change projections (Cai et al., 2019). Sustained observations in the tropical Atlantic are a key requirement for achieving this goal.

Despite significant progress in understanding TAV and its impacts during the last decades, many open questions remain. Dynamical mechanisms for the generation of the AZM (Keenlyside and Latif, 2007; 335 Polo et al., 2015b; Jouanno et al., 2017) have been questioned and, mostly based on model simulations, important roles for thermodynamic processes proposed (Nnamchi et al., 2015, 2016). The importance of thermodynamic forcing is likely amplified in models with enhanced SST bias (Jouanno et al. 2017). Advection and equatorial deep jets (EDJ; vertically alternating zonal currents) also affect the AZM (Richter et al., 2013, Brandt et al., 2011).

340 Another open question concerns the relationship between the AZM and other modes of climate variability in the tropical Atlantic. Warm events that occur in the southeastern tropical Atlantic off Angola and Namibia have been termed Benguela Niños (Shannon et al., 1986). They have a pronounced impact on fisheries in coastal areas (e.g. Boyer and Hampton, 2001) and rainfall over 345 south-western Africa (Rouault et al., 2003). SST anomalies in the eastern equatorial to subtropical South Atlantic that covary with anomalies of opposite sign in the southwestern subtropical South Atlantic have been described as the South Atlantic Ocean Dipole (SAOD, Venegas et al., 1996; Morioka et al., 2011; Nnamchi et al., 2011 2016). In addition to having similar climatic impacts on adjacent continents as the AZM, the SAOD has been linked to the Antarctic Oscillation and rainfall 350 anomalies over the southern parts of Africa and South America (Nnamchi et al., 2011; Morioka et al., 2011, 2014). The Benguela Niño and SAOD have been linked to the AZM (Richter et al., 2010; Lübbecke et al., 2010; Nnamchi et al., 2016; 2017), but it is unclear whether the Benguela Niño and AZM are part of the same climate mode or closely related but distinct modes (Polo et al. 2008, Goubanova et al. 2013, Bachèlery et al., 2016a,b; Illig et al., 2018a; 2018b; Illig and Bachèlery 2018). 355 Intraseasonal wind bursts seem to play an important role in AZM evolution during some years (Marin et al., 2009, Herbert and Bourles, 2018). The AZM has been shown to impact surface chlorophyll-a concentration in the eastern equatorial Atlantic (Grotsky et al., 2008), but its broader effect on primary productivity is still unknown.

360 Other key questions relate to the nature and importance of specific SST feedbacks onto the atmosphere. Bjerknæs and wind-evaporation-SST feedbacks in particular are considered to be essential elements of the AZM and AMM, respectively. Both feedbacks involve the horizontal adjustment of the sea level pressure gradients to SST gradients (Lindzen and Nigam, 1987; Young, 1987). However, they are complicated by other factors such as the vertical adjustment of the planetary boundary layer to the SST  
365 (Sweet et al., 1981; Wallace et al., 1989; Hayes et al., 1989) and the effects of higher atmosphere levels on the surface pressure (Richter et al., 2014a; Diakhaté et al., 2016).

There are many open questions regarding the way the spatial patterns of the SST modes (Losada and Rodríguez-Fonseca, 2016), their interactions with the extratropical Atlantic and other tropical basins  
370 (Czaja et al., 2002; Losada et al., 2012), and changes in the climatological background states can affect rainfall regimes (Suarez-Moreno and Rodríguez-Fonseca, 2018) and TC activity (Latif et al., 2007; Vecchi and Soden, 2007; Kossin, 2017). There is still a lot of uncertainty with respect to the air-sea interactions linking the AZM and AMM (Servain et al., 1999; Andreoli and Kayano, 2003; Richter et al., 2013, 2014; Foltz and McPhaden, 2010a,b; Burmeister et al., 2016) and the processes responsible  
375 for the AMM, including its relation to coastal and open-ocean upwelling (Doi et al., 2009; Evan et al., 2011; Foltz et al., 2012; Rugg et al., 2016).

TCs are strongly influenced by the underlying SST, which in turn is affected by TAV. TCs also typically induce a cold wake of upper-ocean temperatures that can provide a negative feedback on their  
380 intensities. The strength of the feedback depends on a storm's intensity and translation speed as well as the ocean heat content and salinity structure, which vary regionally and on seasonal to multidecadal timescales (Shay et al., 2000; Balaguru et al., 2012, 2015, 2018). This is especially true in the northwestern tropical Atlantic, where the Amazon-Orinoco plume increases salinity stratification, limiting hurricane-induced SST cooling (Figure 5; Balaguru et al., 2012; Grodsky et al., 2012b;  
385 Domingues et al., 2015). The impact of interannual to multidecadal changes in upper-ocean temperature and salinity stratification on TCs' cold wakes and intensities has only begun to be explored (Huang et al., 2015; Balaguru et al. 2016). A complicating factor in the study of TAV and TC activity is that some of the largest SST biases in global climate models occur in this region (see Section 3.4).

390 All of the aforementioned climate variations occur in a changing climate. Significant trends in tropical Atlantic SST, surface salinity, upper-ocean heat content, winds, cloudiness, and rainfall have emerged in the past decade (Servain et al., 2014; Durack et al., 2012; Tokinaga and Xie, 2011). It is unclear how these changes are affecting continental rainfall and the frequencies of droughts and floods (Elsner et al., 2008; Trenberth et al., 2014). There is robust forcing of the tropical Atlantic from the tropical Pacific  
395 on interannual and longer timescales (Enfield and Mayer, 1997; Chiang et al., 2002; Villamayor and Mohino 2015). These teleconnections are likely to change with the varying mean states of the Pacific and Atlantic. The frequency of extreme El Niño and La Niña events is likely to increase (Cai et al., 2014, 2015a,b), which will affect the tropical North Atlantic ocean-atmosphere system. An improved understanding of TAV and its interactions with a warming climate can also potentially improve ENSO  
400 seasonal forecasts (Keenlyside et al., 2013; Martín-Rey et al., 2015; Dommenges and Yu, 2017). There is growing evidence that Atlantic hurricane activity will be affected by climate change (Grossmann and Morgan, 2011; Walsh et al., 2016; Sobel et al., 2016). Theory and numerical models predict that as SST rises, the maximum potential intensity that storms can reach will increase, enabling more powerful hurricanes (Emanuel, 1999; Elsner et al., 2008). Climate models predict increases in wind shear in  
405 some portions of the Atlantic hurricane development region (Latif et al., 2007; Vecchi and Soden, 2007), which would, however, act to decrease overall Atlantic storm activity.

Observational needs for tropical Atlantic modes of variability and tropical cyclones include long data records of upper-ocean and near-surface atmospheric parameters. These are required for improved understanding, monitoring, and predictability on seasonal to multidecadal timescales, including global warming. Of particular importance are dense measurements in the oceanic mixed layer and of air-sea heat, moisture, and momentum fluxes. The northwestern and southeastern tropical Atlantic should be high priority regions for additional measurements because of their importance for tropical cyclones and the equatorial Atlantic modes of variability, respectively.

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### 3.2 Processes that affect upper-ocean temperature and salinity

It is essential to understand and monitor changes in tropical Atlantic SST and near-surface salinity in order to advance understanding of the climate system, improve models, and address many of the outstanding societal challenges described in Section 2. The tropical Atlantic seasonal cycle, TAV, and TC activity are driven to a large extent by changes in SST. Upper-ocean salinity affects vertical mixing and SST (Breugem et al., 2008; Balaguru et al., 2012) and is an important indicator of changes in the hydrological cycle (Durack et al., 2012). Many of the processes that control near-surface temperature and salinity (vertical mixing, air-sea fluxes) also drive variations of biogeochemical quantities such as CO<sub>2</sub>, O<sub>2</sub>, and nutrients. These parameters are discussed only briefly here and in more detail in Section 3.6.

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Observational and modeling studies of the equatorial mixed layer heat balance have demonstrated the importance of vertical turbulent mixing for generating seasonal cooling of SST in the equatorial Atlantic (Foltz et al., 2003; Peter et al., 2006). Progress has been made identifying processes responsible for the seasonal cycle of vertical turbulent mixing such as shear from the background currents, intraseasonal tropical instability waves and wind-driven waves, and the diurnal cycle in the mixed layer (Foltz et al., 2003; Giordani et al., 2013; Hummels et al., 2013; Jouanno et al., 2011; Wenegrat and McPhaden, 2015; Scannell and McPhaden, 2018). Away from the equator, surface heat fluxes play a more dominant role (Nobre et al., 2012; Cintra et al., 2015; Foltz et al., 2013, 2018; Nogueira Neto et al., 2018). However, there remain significant seasonal variations in the heat budget residuals (i.e., changes in mixed layer heat content that cannot be explained by the net surface heat flux) at some off-equatorial locations, implying that vertical mixing and other processes may be important (Figure 6; Foltz et al., 2018). The residuals are particularly large in eastern upwelling regions, consistent with previous studies (Foltz et al. 2013; Faye et al. 2015; Scannell and McPhaden, 2018). Outside of upwelling regions, there is evidence that near-inertial wave-induced mixing can have a significant impact on SST (Jochum et al., 2013). There is debate about the impact of salinity stratification on vertical mixing and SST in the Amazon-Orinoco River plume region of the northwestern tropical Atlantic (Balaguru et al., 2012; Hernandez et al., 2016). An ongoing challenge is to correctly represent ocean-wave-atmosphere coupling, which is important because of its impacts on turbulent heat and momentum fluxes (Belcher et al., 2012; Reichel et al., 2016; Aijaz et al., 2017; Stoney et al., 2017; Bruneau et al., 2018; Qiao et al., 2018). The parameterizations used in coupled climate and hurricane forecast models often have not been confirmed by observations. Uncertainties remain in large part because of very few long time series (one year or longer) of vertical mixing and its driving forces (e.g., current shear, temperature and salinity stratification) at off-equatorial locations.

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One major difference between the mixed layer heat and salinity budgets is that for the salinity budget, horizontal advection is generally much more important (Foltz and McPhaden, 2008; Da-Allada et al.,

2013; Camara et al., 2015; Da-Allada et al., 2017). This is due to multiple factors, including stronger  
455 spatial gradients of the surface freshwater flux due to precipitation and river outflow and the fact that  
sea surface salinity (SSS) anomalies are not damped by the atmosphere, in contrast to SST anomalies.  
On interannual timescales, there is some evidence that changes in ocean circulation dominate in the  
western tropical Atlantic (Coles et al., 2013; Foltz et al., 2015). However, interannual variations in  
Amazon outflow can also contribute (Zeng et al., 2008). The balance between ocean dynamics and  
460 changes in Amazon discharge is still not well understood. For example, the Amazon plume covered less  
area during the Amazon flood year of 2012 than in 2011 (Grotsky et al., 2014).

Turbulent mixing in the upper thermocline above the upper continental slopes and shelves of the  
eastern boundary upwelling regions is a dominant process bringing colder, nutrient-rich water from the  
465 deeper ocean to the surface mixed layer. Mixing is enhanced due to tide-topography interaction that  
largely sustains the elevated productivity in the Atlantic's eastern boundary upwelling regions  
(Schafstall et al., 2010). In the deeper thermocline away from continental margins and varying  
topography, turbulent mixing processes are weak. Nevertheless, about 30% of the oxygen consumed in  
the oxygen minimum zones of the eastern tropical Atlantic is replenished by interior ocean mixing  
470 processes sustained through internal wave-wave interaction (Brandt et al., 2015).

Since direct measurements of surface heat fluxes are available only at limited locations, on basin and  
global scales they are estimated. The estimation contains uncertainty, which hampers our ability to  
accurately quantify air-sea thermodynamic interactions and heat budgets in the tropical Atlantic  
475 (Frankignoul and Kestenare, 2002; Pinker et al., 2014; Bentamy et al., 2017). Surface turbulent fluxes  
are computed via bulk flux parameterizations using surface meteorological variables that can be  
obtained from ship reports and satellite remote sensing. Uncertainties in near-surface air temperature  
and humidity are the leading sources of uncertainties for satellite-derived products (Prytherch et al.,  
2015). This is mainly because satellites cannot retrieve the variables a few meters above the sea surface  
480 and instead rely on empirically-derived algorithms applied to total-column water vapor or precipitable  
water (Liu et al., 1991).

The spread in net surface heat flux ( $Q_{\text{net}}$ ) mean values is large across the entire tropical basin (standard  
deviation  $>20 \text{ W m}^{-2}$  based on 12 different products; Figure 7). In the tropical Atlantic away from the  
485 equatorial cold tongue, the standard deviation of  $Q_{\text{net}}$  is as large as the ensemble-mean  $Q_{\text{net}}$ . All  $Q_{\text{net}}$   
products have problems achieving a balanced energy budget at the ocean surface, with an  
overestimation of the downward heat input to the ocean ranging from 5 to  $20 \text{ W m}^{-2}$  (Yu, 2019). For  
reanalysis fluxes, there are major uncertainties in tropical shortwave and longwave radiation associated  
with the long-standing problems of parameterizing tropical convective clouds and low-altitude  
490 stratocumulus clouds in reanalysis models (Trollet et al., 2018). For satellite fluxes, major  
uncertainties are related to turbulent bulk flux parameterization schemes and also satellite retrieval  
algorithms. In-situ data are crucial for anchoring global efforts to develop a global climate observing  
system (Weatherhead et al., 2018) and discriminate the imbalance in the Earth's radiation budget as the  
climate warms (Kato et al., 2013). Both reanalysis and satellite fluxes are much in need of in-situ  
495 validation data in climatologically overcast regions such as the northeastern and particularly the  
southeastern tropical Atlantic (Zuidema et al., 2016a). Direct measurements of the surface heat flux  
have also proven valuable for diagnosing air-sea coupling in the southwestern tropical Atlantic near the  
Brazilian coast (Chaves and Nobre, 2004; De Almeida et al., 2007).

500 To make further progress on understanding and monitoring upper-ocean processes, continued  
measurements of ocean temperature, salinity, and velocity are needed. These observations must be  
capable of resolving the oceanic mixed layer and upper thermocline on diurnal to decadal timescales.  
Measurements of turbulent mixing and the processes that drive it, including vertical current shear,  
505 stratification, and surface buoyancy and momentum fluxes, are required both in the equatorial and off-  
equatorial regions. In situ measurements of surface fluxes are required throughout the tropical Atlantic  
and especially in cloudy regimes such as the northeastern and southeastern tropical Atlantic, and basin-  
scale measurements of surface parameters such as SST, surface salinity, winds, and currents are  
extremely valuable.

### 510 3.3 Ocean circulation

The circulation of the tropical Atlantic Ocean is an important measure of the state of the climate  
system. The mean circulation sets the background conditions for the distributions of heat, salt, carbon,  
oxygen, nutrients, and other tracers. Due to its connection with subtropical and higher latitude regions,  
515 the tropical Atlantic circulation also affects the distributions of these quantities outside of the tropical  
belt. The Atlantic Meridional Overturning Circulation (AMOC) and subtropical cells (STCs) are  
examples of basin-wide and regional circulations, respectively, that are influenced by and interact with  
the circulation in the tropical Atlantic and affect tropical Atlantic climate and extreme weather (Zhang  
et al., 2003; Knight et al., 2005; Zhang and Delworth, 2006). At the thermocline level, the Equatorial  
520 Undercurrent (EUC) flows eastward and supplies equatorial upwelling. The strength of the EUC  
undergoes a strong seasonal cycle in response to wind forcing (Johns et al., 2014; Brandt et al., 2016).  
Interannual variability in the equatorial and eastern boundary circulation due to equatorial and coastally  
trapped waves define the propagation characteristics of warm and cold anomalies of the AZM and  
Benguela Niño (Lübbecke et al., 2010).

525 The eastern tropical Atlantic on both sides of the equator is occupied by OMZs, which result from  
sluggish ventilated shadow zones (Luyten et al., 1983) situated equatorward of the subtropical gyres. In  
addition to vertical mixing and lateral eddy fluxes (Fischer et al., 2013; Hahn et al., 2014), latitudinally  
alternating zonal jets play a dominant role in the ventilation of the Atlantic OMZs (Brandt et al., 2010,  
530 2012). A unique feature of the eastern tropical North Atlantic is the presence of dead-zones that can  
develop in closed mesoscale eddy cores. Oxygen can drop to zero in these eddies (Karstensen et al.,  
2015), substantially lower than the average value of  $40 \mu\text{mol kg}^{-1}$ . These events act to intensify the  
OMZ in the upper 200 m and have severe direct impacts on the local ecosystem (Schütte et al., 2016b).

535 At the equator, EDJs contribute to the ventilation of the eastern basin (Brandt et al., 2012). The shorter  
period of EDJs in the Atlantic compared to the Pacific, combined with the strong and shallow  
equatorial undercurrent (EUC) in the eastern equatorial Atlantic, enables EDJs to influence interannual  
climate variability in the Atlantic (Brandt et al., 2011b). On intraseasonal timescales, variability in the  
equatorial Atlantic is dominated by tropical instability waves (TIWs) in the western and central part  
540 and by wind-driven waves in the east (Athie and Marin, 2008). These waves significantly contribute to  
the upper-ocean heat and freshwater budgets through their influence on horizontal and vertical mixing  
(Foltz et al., 2003; Jochum et al., 2004; Hummels et al., 2013) and provide energy to EDJs via  
downward propagating Yanai beams (Tuchen et al., 2018). Moreover, there is evidence that mesoscale  
ocean dynamics significantly affect the tropical Atlantic Ocean and overlying atmospheric variability  
545 across a large range of time scales from daily to interannual and interdecadal (Chelton and Xie, 2010;  
Seo et al., 2007). Eddies are generated in the eastern side of the basin connecting the eastern boundary

upwelling systems with the open oceans, transporting oxygen-poor and nutrient-rich waters into the oligotrophic ocean and impacting the mean state (Schütte et al., 2016a,b). At the western boundary, North Brazil Current (NBC) rings (Figure 8) and Deep Western Boundary Current (DWBC) eddies are generated and are responsible for part of the water mass transport within the tropical AMOC (Goni and Johns, 2001; Dengler et al., 2004; Goes et al., 2009). NBC rings interact with the Amazon and Orinoco river plumes, modifying barrier layers and thus affecting tropical cyclone intensification.

Major remaining questions regarding ocean circulation include (1) the role of eddies in the transport of ocean heat, salinity, and biogeochemical properties, (2) the three-dimensional structure and temporal variability of the AMOC in the tropical Atlantic, and (3) the importance of equatorial waves and the deep ocean circulation for interannual-decadal variability of the AZM. In addition, climate change will alter the tropical Atlantic circulation and its interaction with the atmosphere. Increased upper-ocean stratification, changes in wind forcing, and a changing AMOC will affect the three-dimensional transport of heat, salt, and tracers as well as regional variations in sea level, regional distributions of water mass boundaries, and shifts in ecosystems. Coupled model simulations indicate a general warming in the tropical Atlantic, with reduced seasonal cycle and interannual variability, in response to an AMOC weakening (Chang et al., 2008). Continued monitoring is needed to assess climate change impacts and validate models.

Needs for ocean circulation include basin-scale observations to measure the AMOC and the near-surface ocean circulation. The measurements must span multiple decades in order to monitor long-term changes and must be capable of resolving velocity fluctuations from mesoscale eddies and other transient phenomena, especially near the western boundary and in the equatorial waveguide.

### 3.4 Predictability and model biases

Predicting tropical Atlantic climate is challenging, and the difficulty is exacerbated by persistent coupled climate model biases. These biases have received much attention but seen little improvement (Davey et al., 2002; Richter and Xie, 2008; Richter et al., 2014b; Giarolla et al., 2015; Zuidema et al., 2016a). The tropical Atlantic biases weaken the Atlantic's global impact in models (McGregor et al., 2018). Predicting the AZM is particularly challenging, with dynamical forecasts often matched or even outperformed by persistence forecasts (Stockdale et al., 2006; Richter et al., 2017). It has been suggested that the AZM may be predictable with an anomaly correlation coefficient of 0.55 at four months lead (Ding et al., 2010). While most prediction models drop well below that by lead month 3 (Richter et al., 2017), some promising systems show skill in predicting the AZM in summer when initialized on May 1 (Prodhomme et al., 2016). To what extent model biases contribute to the poor skill in predicting the AZM is unclear, and very few studies have addressed this problem.

Variability of SST and other climatic variables in the northern tropical Atlantic is of great interest because most hurricanes form there. Hurricane-permitting global climate model simulations are becoming increasingly feasible (Patricola et al., 2014; Wehner et al., 2014; Walsh et al., 2015; Haarsma et al., 2016), but tend to overpredict hurricane activity if oceanic feedbacks are neglected (Zarzycki, 2016; Li and Srivier, 2018). Coupled atmosphere-ocean simulations are therefore needed for hurricane projections. However, the cool SST bias in the northern tropical Atlantic, common to coupled models (Richter, 2015; Zuidema et al., 2016a), can cause a 65% under-representation of Atlantic hurricane activity (Hsu et al., 2018). This suggests that the northern tropical Atlantic is a good target for coupled model improvement and possibly more ocean observations.

595 Models typically produce too weak of a cold tongue that also appears too late in the year, while placing  
cool SST in the western equatorial Atlantic warm pool, defined as the area with SSTs above 28.5°C.  
One contribution to the insufficient cold tongue development in models is the equatorial westerly wind  
bias in March-May (Figure 9), which deepens the thermocline and inhibits cooling during the  
subsequent June-August upwelling season (Richter and Xie, 2008). It may be linked to the erroneous  
600 southward shift in the Atlantic ITCZ, which is also present in atmosphere-only simulations (Figure 9b;  
Richter et al., 2014b), and the misrepresentation of convective momentum transport in the lower  
troposphere (Zermeño-Díaz and Zhang, 2013; Richter et al., 2014b). Tackling these problems will  
require detailed observations of the lower troposphere to gather observations that can guide error  
diagnosis and efforts toward improving convective parameterizations.

605 Another factor that may contribute to the cold tongue bias is insufficient representation of the oceanic  
thermocline (Hazeleger and Haarsma, 2005; Xu et al., 2014a), which may be related to deficiencies in  
vertical mixing parameterizations. Another possibility is an overly weak AMOC, which generates a  
cold SST bias in the North Atlantic and warm bias in the Atlantic cold tongue (section 3.3; Wang et al.,  
610 2014). Poor representation of the AZM in many models appears related to the under-representation of  
the thermocline feedback associated with an overly deep mixed layer and too weak upwelling in the  
eastern Atlantic (Ding et al., 2015a,b; Deppenmeier et al., 2016; Dippe et al., 2017; Jouanno et al.,  
2017).

615 The warm SST bias in the Benguela coastal upwelling region (Figure 9) is more severe than that at the  
equator, exceeding 2.5°C in the multi-model average of atmosphere-ocean general circulation models  
(AOGCMs) from the Coupled Model Intercomparison Project Phase 5 (CMIP5; Toniazzo and  
Woolnough, 2014; Richter, 2015; Zuidema et al., 2016b). Upwelling is driven by southerly winds  
associated with the Benguela low-level coastal jet, with oceanic currents and the location of the  
620 Angola-Benguela frontal zone sensitive to the jet's wind-stress curl (Colberg and Reason, 2006; Fennel  
et al., 2012). There are two main causes of the model errors in this region: (1) equatorial biases  
(Rouault et al., 2007), and (2) errors in local winds, especially the Benguela Jet (Xu et al., 2014b;  
Voldoire et al., 2018; Koseki et al., 2018) and the Benguela Current (Grotsky et al., 2012a). Some  
uncertainty remains in the actual near-coastal wind-stress curl, owing to the lack of reliable satellite  
625 data and atmospheric observations near land (Desbiolles et al., 2016). Many data products poorly  
represent the atmospheric and oceanic circulations in the region (Large and Yeager, 2008; Patricola and  
Chang, 2017; Tchipalanga et al., 2018), underscoring the need for updated products at higher  
resolution.

630 Relatively little is known about the vertical structure and seasonality of the poleward Angola current  
(Tchipalanga et al., 2018), though data from recently deployed buoys in the region are beginning to  
shed some light on this (Kopte et al., 2017). Likewise, the low-level atmospheric jet along the Angola-  
Namibia coast is relatively poorly observed. As a consequence, even the theory underpinning the  
existence of the Angola current is not completely settled (Junker et al., 2015). Based on reliable SST  
635 observations, we know that the Angola current and the associated ABF are placed too far south in  
GCMs by about 10° of latitude (Xu et al., 2014b; Koseki et al., 2018). In the absence of reliable  
theoretical and observational guidance, it is difficult to alleviate this problem.

640 The southeastern tropical Atlantic is home to one of the largest low-latitude semi-permanent  
stratocumulus decks on the globe. The large-scale meteorology that affects low clouds in this region is

influenced by strong coupling between the Atlantic basin and neighboring continents (Adebiyi et al., 2015; Adebiyi and Zuidema, 2016). Both the northeastern and southeastern Atlantic low clouds are affected by large dust and smoke outflows off of continental Africa. Because of the large spatial extent of the shortwave-absorbing aerosols, they are capable of influencing the interhemispheric energy balance, the location of the ITCZ, and regional precipitation patterns (Jones et al., 2009; Sakaeda et al., 2011; Randles and Ramaswamy et al., 2011). Positive dust and cloud feedbacks on SST and climate have been established at interannual and decadal timescales in both hemispheres (Evan et al., 2011; Bellomo et al., 2015; Myers et al., 2018) and are capable of amplifying the AMV (Bellomo et al., 2016; Yuan et al., 2016). How the corresponding smaller-scale aerosol-cloud interactions are interwoven with the individual radiative aerosol and cloud feedbacks remains a topic of research (Zuidema et al., 2016a).

One common attribution of the warm SST biases in the Southeast Atlantic is an under-representation of the marine stratocumulus deck, which then allows too much sunlight to reach the ocean surface. This introduces a positive feedback: the warmer ocean surface reduces the lower tropospheric stability that maintains the low cloud deck (Klein and Hartmann, 1993; Huang et al., 2007; Hu et al., 2008), further reducing the low cloud cover. Even when the CMIP surface radiative forcing is correct, the cloud cover is still typically too low (de Szoeki et al., 2012), likely due to an underestimation of optically-thin stratiform clouds (Nam et al., 2012; Zuidema et al., 2012; Wood et al., 2018; Delgado et al., 2018). However, two findings complicate this interpretation. One is that the turbulent surface fluxes often overcompensate for the enhanced shortwave warming (de Szoeki et al., 2010). The other is that even for AMIP simulations in which the SST is specified, the cloud radiative effect on the surface is still underestimated, with bias reductions of only  $\sim 25\%$  (Zuidema et al., 2016b). This suggests the atmospheric component of the coupled models is the primary culprit (Lauer and Hamilton, 2013), with the ocean playing a vital but secondary role (Richter, 2015). Several studies have reported improved Atlantic equatorial SST, precipitation, and wind climatologies after improving their cloud radiative biases (Hu et al., 2008; Wahl et al., 2011), and efforts to improve cloud representation in models are ongoing (Bodas-Salcedo et al., 2011; Qu et al., 2014; Dal Gesso et al., 2015; Neggers, 2015; Webb et al., 2017). The cause of parameterization biases is often model specific (Medeiros et al., 2012), and ongoing observations of the boundary layer thermodynamic structure and its cloud, precipitation, and aerosol vertical structure, including the diurnal cycle, are needed for continued model improvement. Such observational datasets remain sparse for the Southeast Atlantic.

An observational network aimed at understanding and reducing model biases should include comprehensive measurements of the lower troposphere as well as surface heat and freshwater fluxes, wind stress, and ocean currents, and mixing in the equatorial and southeastern tropical Atlantic. Targeted measurements of clouds and lower tropospheric winds, temperature, and humidity in the Atlantic ITCZ region would also be beneficial.

### 680 3.5 Data assimilation

The tropical Atlantic observing system is not designed to resolve all important spatial and temporal scales of variability. However, observations are used in data assimilative models that merge observations with ocean general circulation models forced by numerical weather prediction (NWP) fields or atmospheric reanalyses. These ocean state estimates reconstruct a dynamically consistent past evolution of the ocean, adding significant value to the existing collection of measurements. Through their inclusion in assimilative models, observations are also a valuable component of medium- to long-

range prediction systems. It is therefore important that the design of the observing system takes into account the strengths, weaknesses, and future directions of analysis, reanalysis, and forecast systems.

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Data from the tropical Atlantic observing system are not only used in oceanic data assimilation, but also in atmospheric analyses. From a NWP perspective, Poli (2018) analyzed the impact of observations on the ECMWF operational data assimilation scheme and showed that surface pressure measurements from PIRATA buoys have a higher positive impact per datum than many other types of observations (satellite, upper-air, land surface, or sea surface other than buoys). For instance, the impact of surface pressure is one order of magnitude larger than the impact of wind measured on the same buoys. However, satellite scatterometer, altimeter, and synthetic aperture radar data are providing valuable assimilation constraints for wind and sea-state forecasts by NWP systems (Isaksen and Janssen, 2004; Dragani et al., 2015).

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Most tropical Atlantic Ocean short term prediction systems are global, eddy-permitting, and assimilate available remote sensing and in-situ data transmitted in real-time (see details in the GODAE review, this issue, Davidson et al., 2019 and the European Copernicus Program requirements, this issue, Le Traon et al. 2019). These systems are based on multivariate three-dimensional variational (3DVAR) and 4DVAR and ensemble approaches described in Moore et al. (2019, this issue). They currently assimilate satellite altimetry, radiometry, and imagery to correct the surface model's sea level, temperature, and chlorophyll content. There have been some successful attempts to assimilate microwave data from the Soil Moisture and Ocean Salinity (SMOS), Aquarius, and Soil Moisture Active Passive (SMAP) satellites to correct SSS (Martin et al., 2016).

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With the advent of coupled analysis and reanalysis systems, it is likely that the optimization of the tropical Atlantic observing system will become increasingly important. From an uncoupled ocean perspective, there is also a strong impact from water mass observations over the full water column, which have been shown to improve reanalysis fields locally by several units in temperature and salinity (Oke et al., 2015; Turpin et al., 2016; Gasparin et al., 2018). The vertical structure of currents and water mass properties at depth, especially near the thermocline, is drastically improved with the assimilation of in situ ocean data. For instance, Busalacchi (1996) showed that the direct assimilation of sea level and thermocline depth observations improves the upper-ocean structure in the equatorial Atlantic. The assimilation of PIRATA data was also found to improve significantly the intra-seasonal variability of upper-ocean temperature (Belyaev et al., 2001), while the impact on seasonal to longer-range prediction systems needs to be investigated and quantified in more detail. In order to guide observing system agencies and improve the use of observations in ocean models, multisystem approaches, mostly based on observing system experiments and reanalysis intercomparisons, are increasingly being used. Sophisticated (e.g. variational) quality control procedures have also shown promise for handling different observing networks in the tropical Atlantic, where, for example, large freshwater variability due to river outflow and the presence of the ITCZ may make conventional quality control methods ineffective (Storto, 2016).

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At NOAA/NCEP, monthly ocean state monitoring is performed as part of a Real-Time Multiple Ocean Reanalysis Intercomparison Project (RT-ORA-IP). The intercomparison, based on nine operational systems, was motivated by the need to study the influences of the tropical Pacific observing system on uncertainties in the tropical Pacific Ocean state estimation (Xue et al., 2017). This project has been expanded to cover the tropical Atlantic and shows that overall, in the equatorial Atlantic the agreement

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735 between reanalyses is often reasonable. However, locally the spread between estimates can reach 2°C in the thermocline ([http://www.cpc.ncep.noaa.gov/products/GODAS/multiora93\\_body.html](http://www.cpc.ncep.noaa.gov/products/GODAS/multiora93_body.html)).

740 Sustaining and enhancing measurements is important to reduce such discrepancies among the reanalysis products. For example, the RT-ORA-IP exercise illustrates that in spite of a reasonably well captured thermocline structure along the equatorial band, heat content anomalies close to the mouth of the Amazon show strong disagreement due to large differences in river discharge applied in the different reanalyses and the associated resultant differences in local ocean circulation. Ocean prediction and reanalysis systems will greatly benefit from a sustained observing network in this area and, similarly, in the Gulf of Guinea. Most data assimilation systems also suffer from overly simplistic balance (cross-covariance) formulations close to the equator that may jeopardize the assimilation of local observations (Moore et al., 2019). These difficulties often result from extensions of geostrophic balance using empirical approximations (e.g. Weaver et al., 2005). Thus, data assimilation systems must evolve and account for the complex, time-varying balance in the tropics.

750 The skills of ecosystem models depend on physical forcing (e.g., temperature and currents) and biogeochemical variables (e.g., primary production and dissolved oxygen concentration), as well as bottom parameters for models that include coastal and shelf slopes. Whereas in ocean models' operational systems data are assimilated to correct biases, biological and fisheries data are often assimilated into models to optimize their parameters. Fish stock assessment models have developed quantitative methods to optimize the population dynamics parameters of exploited species based mainly on catch and fishing effort data, and in some cases tagging data (Maunder and Punt, 2013). Conversely, ecosystem models have rarely included such quantitative approaches. The Spatial Ecosystem and Population Dynamics Model (SEAPODYM) is an example of a modeling framework for the dynamics of phytoplankton, zooplankton, and micronekton (small but actively swimming organisms) and the detailed dynamics of key exploited fish populations (e.g., tunas, swordfish, mackerel) and their fisheries. Parameters of each model component (zooplankton, micronekton, exploited species and fisheries) are estimated using observations and data assimilation methods. A recent major development is the use of acoustic observations at multiple frequencies rather than a single one (usually 38 kHz) to reconstruct a proxy of micronekton biomass, since various species or groups of species can be distinguished by their specific responses in frequency space (Verma et al., 2017; Proud et al., 2018).

770 In addition to reanalysis intercomparison, OSSEs have been carried out recently within the Horizon 2020 AtlantOS project (2014-2019, Visbeck et al., 2015), based on a multi-system approach including both satellite and in situ observations. Temperature and salinity errors were reduced by 5-10% in the upper 2000 m with an enhancement of Argo sampling in equatorial regions and by around 20-30% in the deep ocean due to the implementation of deep Argo (Gasparin et al., 2019). The present tropical mooring array provides invaluable time series for evaluation of models and assimilation systems, the latter being primarily impacted in the region of the moorings. The high temporal sampling rates of moorings are not exploited in most current assimilation systems, and the mooring impact could potentially be larger with better adapted and more advanced assimilation systems. While data assimilation techniques and OSSEs are less mature for biogeochemical observations, dedicated experiments suggest that assimilation of biogeochemical Argo data will complement satellite surface color data by improving model estimates of oxygen, nutrients, carbon, and chlorophyll throughout the water column (Germineaud et al., 2019). Such dedicated activities require large time commitments and dedicated infrastructure, including running research and development versions of operational ocean

analysis and forecasting systems. They also need careful planning and guidance to focus on observing system contributions at specific spatial and temporal scales and desired process-oriented metrics.

785 The observing system requirements for data assimilation are broad, and work is underway to quantify  
the specific needs. Measurements of temperature and salinity in the deep ocean and surface  
atmospheric pressure appear to be top priorities for improving operational data assimilation and ocean  
state estimates. Measurements in the northwestern and southeastern tropical Atlantic are very  
important. Uncertainties in ocean reanalyses are largest in these regions due to sparse observations,  
790 large model errors and uncertainties in river run-off as well as air-sea heat, moisture, and momentum  
fluxes. Direct measurements of biogeochemical and biological parameters are needed throughout the  
tropical Atlantic to improve their representations in ocean and coupled models. Future coordination  
between modeling and data assimilation experts and observational experts is essential for proper design  
and interpretation of OSSEs (Fujii et al., 2019), especially in order to extract compelling messages on  
the ability of the ocean observing system to resolve certain processes.

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### 3.6 Biogeochemistry, ecosystems, and pollution

Interannual variability of air-sea CO<sub>2</sub> fluxes is closely linked to climate variability (Lefèvre et al., 2013;  
Ibáñez et al., 2017). Thus, improving understanding and monitoring of tropical Atlantic climate will  
800 improve knowledge and predictability of CO<sub>2</sub> fluxes. Carbon trends remain unclear because of the  
relatively short time records and high variability of the tropical Atlantic, but observations in the western  
tropical North Atlantic suggest a slower increase of seawater pCO<sub>2</sub> than the atmospheric growth rate  
from 2002 to 2009 (Park and Wanninkhof, 2012) followed by a large increase almost twice the  
atmospheric growth rate from 2010-2018 (Wanninkhof et al., in preparation), suggesting strong decadal  
805 variability.

Based on oxygen observations from repeat ship sections in the OMZ of the eastern tropical North  
Atlantic for the recent decade (2006-2015), Hahn et al. (2017) suggested the existence of strong oxygen  
variations over this decade that are superimposed on the multi-decadal deoxygenation pattern (Stramma  
810 et al., 2009; Brandt et al., 2015; Santos et al., 2016). Ocean warming and the related solubility effect  
are responsible for about half of the multi-decadal oxygen decline in the upper 1200 m (Schmidtko et  
al., 2017). Mechanisms that are responsible for the other half are unclear and are likely related to  
changes in ventilation and circulation (Brandt et al., 2015; Oschlies et al., 2018), though changes in  
biological activity cannot be ruled out. Oxygen changes in the tropical North Atlantic during the past  
815 decade are most likely associated with changes in the eddy-driven zonal current bands at intermediate  
depths and a shoaling of the wind-driven thermocline circulation, but other processes, such as  
variations in the intensity of the mesoscale eddy field, may contribute (Hahn et al., 2017).

Other main scientific questions are related to the roles of natural and anthropogenic processes that  
820 affect physical and biogeochemical changes on different timescales and their impact on marine  
ecosystems and biodiversity. In the context of climate change, ocean acidification is a key concern  
(Feely et al., 2004; de Carvalho-Borges et al., 2018). In many regions of the tropical Atlantic, the rate at  
which pH is declining, its causes, and its impacts on CaCO<sub>3</sub> shell-forming species are not well known.  
One of the longest moored pCO<sub>2</sub> time series in the tropical Atlantic is located at 6°S, 10°W and began  
825 in 2006. Acidification has been examined at this site, though the record is not long enough to detect any  
increase in CO<sub>2</sub> (or decrease of pH) given the strong natural variability of CO<sub>2</sub> (Lefevre et al.,  
2016). Documentation of the fate of organic matter (OM) in OMZs is also of paramount importance.

Organic matter produced in the well-lit layer could be either preserved and exported, or degraded and available for marine organisms. OMZs are expected to strengthen the biological carbon pump, as surface-produced OM is better preserved due to oxygen deficiency. This assumption does not account for the intense microbial activity, which may foster OM degradation or remineralisation (Bretagnon et al., 2018a). An estimation of the particle flux attenuation from satellite observations has been developed (Bretagnon, 2018; Bretagnon et al., 2018b) and may lead to improved predictions of oxygen inventory trends. It would also help to study the impact of oxygen variability on the remineralisation efficiency.

In the eastern tropical Atlantic, estimates of the biological pump and its dependence on oxygen availability are complicated by the presence of mesoscale eddies, which contain shallow (40-100 m) suboxic environments (Karstensen et al., 2015). In these structures the vertical distributions of particulate and dissolved OM show higher concentrations in the surface mixed layer (0-70 m). Inside the eddies' cores, oxygen consumption can be an order of magnitude higher than the average values for the North Atlantic, and the downward flux of organic matter exceeds typical values found in the open ocean (Fiedler et al., 2016). A current lack of monitoring of biological variables and their controls makes it challenging to determine how the ecosystems will cope with the changing conditions.

In models, interannual variations of temperature, nitrate, and oxygen concentrations along the southwestern coast of Africa are primarily controlled by oceanic teleconnections associated with equatorial wave variability and along-shore water mass transport (Mohrholz et al., 2008; Bachèlery 2016; Bachèlery et al., 2016a; 2016b; Imbol Koungue et al., 2017). Equatorially-forced waves propagate along the southwestern African coast, triggering substantial thermocline, halocline, and nutricline displacements and affecting the local marine ecosystems balance, while tropical nutrient-rich and low-oxygen water masses may occasionally penetrate southward over large distances along the coast. Observations are needed to verify model results and the sensitivity of biogeochemistry and ecosystems to local and remote forcing.

For fisheries, the resource, commercially important fish stocks, needs to be assessed in terms of current stock status, including age structure and recruitment (i.e. the production of offspring). Thus, there are clear direct monitoring needs: reporting catches, including determination of age, length and weight of samples of each commercial species and the respective effort to harvest them. In addition, many countries perform fisheries independent activities such as trawl surveys, hydroacoustic surveys, and egg and larvae surveys, for independent measures of the state of a given fish stock. However, to understand the mid- to long-term development of stocks, information on the ecosystem besides the development of prey and predators is needed. This includes phytoplankton, zooplankton, micronekton, fish, shellfish, benthic organisms (corals, sponges, etc.) and megafauna (seabirds and marine mammals) on the biological side, and changes in the marine environment either through climate variability or global warming induced long-term trends (see discussion of ecosystem models and assimilation in Section 3.5). Pollutants such as mercury and plastics can negatively impact ecosystems, and emerging contaminants such as gadolinium, silver, and platinum need close attention, as their fate, toxicity and distributions are not well known (Hatje et al., 2018; Henderson and Achterberg, 2018). Monitoring of trace-metal cycles, and novel approaches to assess their interaction with ecosystems, will be required as the ocean responds to anthropogenic stressors. A list of human activities that exert pressures on the ocean environment and have negative impacts on ecosystems can be found in (Table 1).

875 The observing needs for biogeochemistry, ecosystems, and pollution include the development of long  
time series of key variables such as oxygen, CO<sub>2</sub>, chlorophyll, nutrients, and commercial and  
endangered species, for monitoring and understanding seasonal, interannual, decadal, and longer  
timescale changes. These measurements are especially important in OMZs, upwelling and other near-  
coastal regions, and at key sites to monitor ocean acidification. Harmful pollutants such as plastics  
880 must be monitored, and internationally integrated fish stock and endangered species surveys are also a  
high priority.

#### 4 The existing observing system

885 This section summarizes the existing tropical Atlantic observing system and explores the extent to  
which it meets the needs of the science drivers put forth in Section 3.

##### 4.1 In situ observations

###### 890 4.1.1 Moored buoys

The Prediction and Research Moored Array in the Tropical Atlantic (PIRATA) serves as the backbone  
of the in situ observing system (Figure 2; Bourles et al., 2008). It was initiated in 1997 and now  
consists of 18 moorings spanning the basin. All moorings measure ocean temperature in the upper 500  
895 m, salinity in the upper 120 m, and near-surface air temperature, relative humidity, wind velocity,  
rainfall, and incident shortwave radiation. The vertical spacing of temperature sensors varies between  
moorings and is typically 5-20 m in the upper 40 m, with 20 m intervals down to 140 m and 40-200 m  
resolution between 140 and 500 m. Conductivity is additionally measured with 5-20 m spacing in the  
upper 40 m and 20-80 m spacing down to 120 m. Several moorings also measure downward longwave  
900 radiation, atmospheric pressure, and ocean currents at a depth of 10 m or 12 m. Daily-averaged data are  
transmitted in real-time. A transition to the next-generation tropical flex moorings (T-Flex) began in  
2015. Currently, 10 PIRATA buoys have been upgraded and the remaining eight will follow (Figure 2).  
The main advantage of T-Flex is that much larger amounts of data can be transmitted. At present, all T-  
Flex moorings send hourly averages in real-time.

905 At the equator, several subsurface acoustic Doppler current profiler (ADCP) moorings are maintained  
as part of PIRATA. They measure currents typically from depths of about 30 m to 300 m. Several  
German and French projects have deployed additional deep and intermediate-depth current meters to  
the ADCP moorings (Brandt et al., 2006; Bunge et al., 2008). Other projects have also taken advantage  
910 of the PIRATA moorings as platforms of opportunity. Beginning in 2014, all buoys have been equipped  
with acoustic receivers at a depth of 200 m as a contribution to the Ocean Tracking Network (OTN;  
<http://oceantrackingnetwork.org/>). The 10°W and 23°W equatorial moorings have been equipped with  
turbulence sensors ( $\chi$  pods) as part of an Oregon State University (OSU) Ocean Mixing Group program  
that will run for five years. In 2017, 10 additional point acoustic current meters were implemented at  
915 4°N, 23°W between 7 m and 87 m depths for the NOAA/AOML Tropical Atlantic Current  
Observations Study (TACOS) experiment, and a subset of those sensors was redeployed in 2018.

PIRATA has also supported biogeochemical measurements. Since 2006 at 6°S, 10°W and since 2008 at  
8°N, 38°W, CO<sub>2</sub> Carbon Interface Ocean Atmosphere (CARIOCA) sensors have been measuring the  
920 fugacity of CO<sub>2</sub> (fCO<sub>2</sub>) at a depth of about 1 m. In 2017, a new CARIOCA sensor was implemented at

6°S, 8°E. Since 2008, the moorings at 4°N, 23°W and 12°N, 23°W have measured dissolved oxygen (O<sub>2</sub>) at depths of 300 m and 500 m in order to monitor the oxygen minimum zone (OMZ). O<sub>2</sub> sensors were recently added at 20.5°N, 23°W.

925 Other moorings in the tropical Atlantic include the Northwest Tropical Atlantic Station for air-sea flux  
measurements (NTAS) at 15°N, 51°W, the MELAX air-sea buoy in the Senegalese part of the Canary  
Current Upwelling System at 14°N, 17°W, the Cape Verde Ocean Observatory (CVOO) at 17.6°N  
24.3°W, and several meteorological and wave buoys maintained by the National Data Buoy Center  
(NDBC). NTAS has been operational since 2001 and measures the same parameters as the PIRATA  
930 buoys (surface meteorology including longwave radiation and atmospheric pressure), plus ocean  
currents from a point meter at 10 m and an upward-looking ADCP at 100 m, and enhanced vertical  
resolutions of temperature and salinity. The MELAX buoy is on the continental shelf and measures the  
same parameters and oxygen at the seafloor. CVOO is part of the Cape Verde Observatory, which  
935 consists of operational atmospheric and oceanic monitoring sites for climate-relevant environmental  
parameters in the tropical eastern North Atlantic Ocean. Most NDBC moorings measure wind velocity,  
atmospheric pressure, and SST. Some buoys additionally measure significant wave height and direction  
and relative humidity. Data from NTAS and the NDBC moorings are transmitted in real-time, whereas  
only atmospheric data, SST, and surface salinity are relayed in real-time from MELAX.

#### 940 4.1.2 Argo

Argo is a global array of autonomous floats that sample the upper 2000 m of the ocean (Jayne et al.,  
2017). The floats drift at a depth of 1000 m and make profiles of temperature, salinity, and pressure in  
the upper 2000 m typically every 10 days. Measurements began in the early 2000's and there are  
945 currently approximately 4000 floats in the global ocean. About 800 floats must be deployed each year  
to maintain the array.

Argo is expanding its measurement capabilities to include full-depth (4000-6000 m) profiles  
(Zilberman and Roemmich, 2017). The deep Argo array currently consists of 69 floats, 9 of which are  
950 in the tropical Atlantic (Figure 2b). The project's goal is to deploy 1228 deep Argo floats globally, each  
with the ability to measure temperature, salinity, and pressure to within  $\pm 0.001^\circ\text{C}$ ,  $\pm 0.002$  psu, and  $\pm 3$   
dbar, respectively, improving on the standard float accuracies of temperature and salinity. Increased  
accuracy is required in order to resolve very small variations that can exert a large influence on global  
and regional mass, heat, and freshwater budgets.

955 The biogeochemical (BGC) Argo program was developed to improve scientific understanding and  
monitoring of the ocean's carbon uptake, oxygen variability, nitrate cycle, ocean acidification, the  
biological carbon pump, and phytoplankton communities (Gruber et al., 2010). Profiles of  
biogeochemical (BGC) parameters are currently made by 329 Argo floats in the global ocean, of which  
960 22 are in the tropical Atlantic (Figure 2b). The measured parameters include oxygen, chlorophyll-a,  
suspended particles, nitrate, pH, and downwelling irradiance. Suspended particles include  
phytoplankton, their microscopic predators, as well as bacteria that decompose organic material.  
Downwelling irradiance in the ocean enables estimates of the concentrations of chlorophyll and  
dissolved organic matter and the amount of light available for primary production.

#### 965 4.1.3 Repeat hydrographic surveys and ships of opportunity

970 The Global Ocean Ship-based Hydrographic Investigations Program (GO-SHIP) conducts high-quality, full-depth measurements in the global ocean approximately once per decade (Figure 10). The measurements have high spatial and vertical resolutions and measure many different physical, chemical, and biological variables, including heat, freshwater, carbon, oxygen, nutrients and transient tracers. These measurements are used to document ocean changes throughout the water column and are especially important for monitoring the deep ocean below 2 km, which is not sampled globally by profiling floats. Different national and international programs contribute to hydrographic and velocity 975 measurements along repeat sections near the western (5°S, 11°S) and eastern (18°N, 11°S, 23°S) boundaries (Figure 10).

980 The ship-of-opportunity program (SOOP) acquires measurements from volunteer merchant ships that regularly traverse certain shipping routes. Currently, there are three transects in the tropical Atlantic, each repeated approximately every three months (Figure 10). XBTs are deployed 10 km to 35 km apart to measure temperature in the upper 760 m, from which mesoscale eddy variability and the larger-scale ocean circulation can be deduced (Goes et al., 2013). Thermosalinographs (TSGs), instruments mounted close to the water intake of ships to continuously measure SSS and SST, record measurements along two SOOP transects. Surface ocean CO<sub>2</sub> fugacity (fCO<sub>2</sub>), temperature, and salinity are also 985 measured on some voluntary observing ships. The number of measurements of fCO<sub>2</sub> increased from about 0.3 million per year during 1995-2000 to 1.1 million per year during 2005-2012 (Bakker et al., 2016).

990 Annual PIRATA servicing cruises provide opportunities for repeated shipboard measurements. These consist primarily of conductivity-temperature-depth (CTD) casts, often with measurements of O<sub>2</sub> and currents from lowered ADCPs (Figure 10). PIRATA cruises also allow for deployments of Argo floats, surface drifters, radiosondes, and ozonesondes, and for water sample analysis to determine concentrations of O<sub>2</sub>, CO<sub>2</sub>, and chlorophyll. In 2017 and 2018, full-depth CTD-O<sub>2</sub>-ADCP casts, along with water sample analyses of salinity, O<sub>2</sub>, pH, nutrients, and trace elements, were performed during the 995 western Atlantic PIRATA servicing cruises. Flux tower measurements of momentum, humidity, and CO<sub>2</sub> at the ocean-atmosphere interface were also made at times. Multi-frequency transects collected during the regular maintenance cruises of the PIRATA network since 2015 are a key piece of a growing acoustic network for estimating the global biomass of micronekton, one of the less known components of the ocean ecosystem.

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#### 4.1.4 Surface drifting buoys

1005 Global Drifter Program (GDP) observations from buoys drogued at 15 m were first collected in the tropical Atlantic in 1990, and the array was sustained beginning in 1997 (Figure 2). Since 1998, the array in the tropical Atlantic has averaged 92 drifters, and since 2005 drifter measurements have been approximately hourly. Most deployments are conducted from the expendable bathythermograph (XBT) line AX8, which runs from Cape Town to the U.S. east coast, from research vessels servicing PIRATA, and from Brazilian Navy vessels. Sustaining drifter observations in the tropical Atlantic is difficult as it is a region of net surface divergence.

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In addition to the standard drifter measurements of SST, surface currents, and barometric pressure, drifters have also been developed to measure subsurface temperature, surface and subsurface salinity, wind velocity, and directional wave spectra. However, few of these observations are being collected by drifters in the tropical Atlantic. Surface velocity estimates can also be calculated from Argo float

1015 trajectories while they are transmitting their data at the surface (Lebedev et al., 2007). As Argo  
transitions to Iridium data transmission, the floats will spend less time at the surface and thus be more  
strongly affected by high-frequency motion than was the case with floats using Service Argos. It is  
unclear how useful such measurements will be.

#### 1020 4.1.5 **Boundary current arrays**

An array consisting of four velocity moorings has been installed at the Brazilian continental slope  
along 11°S from 2000-2004 and since 2013, measuring the shallow and deep western boundary current  
(Hummels et al., 2015; Schott et al., 2005). A single mooring was also deployed to observe the Angola  
1025 Current. The mooring consists of an ADCP covering the upper 500 m at 11°S, 13°E and has been  
recording data since 2013, showing a weak and highly variable southward flow of the Angola Current  
(Kopte et al., 2018). In addition to the boundary current arrays at 11°S, pressure-equipped inverted  
echo sounders have been deployed at 300 and 500 m on the continental margins on each side of the  
basin since 2013 to enable comprehensive AMOC estimates at this latitude. Since 2000, a mooring  
1030 array has been maintained at 16°N that includes velocity measurements covering the deep western  
boundary current east of Guadeloupe and additional geostrophic moorings measuring the deep flow  
between the continental slope and the mid-Atlantic ridge (Send et al., 2011; Frajka-Williams et al.,  
2018). The 16°N and 11°S arrays contribute to the AMOC observing system and can be used to  
investigate links between the subtropical North Atlantic array at 26.5°N (RAPID/MOCHA/WBTS) and  
1035 the South Atlantic array at 34.5°S (SAMBA). Figure 10 shows the locations of the boundary current  
arrays.

#### 4.2 **Satellite observations**

1040 Most satellites provide measurements in real-time or near real-time, and the records for many  
parameters extend back at least 20 years. SST is obtained from passive infrared and microwave  
radiometers. By combining data from several satellites with in situ measurements, daily maps of SST at  
high spatial resolution (0.25° or better) are possible going back to 1997, when microwave  
measurements started. Estimates of tropical rainfall and precipitable water are also available from  
1045 microwave sensors. Satellite-based measurements of SSS began in 2009 with the launch of the Soil  
Moisture and Ocean Salinity (SMOS) sensor. SSS was measured by Aquarius during 2011-2015 and is  
currently measured by the Soil Moisture Active Passive (SMAP) radiometer. From these satellites,  
complete coverage of the tropical Atlantic is achieved in approximately one week at a spatial resolution  
of 1/2°-1°. Real-time blended SSS products show promise for monitoring conditions in the tropical  
1050 Atlantic (Xie et al., 2014). Measurements of surface chlorophyll-a concentration, clouds, atmospheric  
temperature and moisture profiles, and aerosol optical depth are made routinely using infrared sensors  
such as the Moderate Resolution Imaging Spectroradiometer (MODIS). Complete coverage of the  
tropical Atlantic is achieved in approximately 2-8 days. The geostationary MeteoSat satellite provides  
full disk coverage at half-hourly resolution, though retrieval products are more limited. Several  
1055 satellites are equipped with radar altimeters that measure sea surface height, from which the sea level  
anomaly with respect to the geoid can be calculated. Full coverage of the tropical Atlantic is achieved  
in less than three days.

Satellite observations have greatly improved the coverage, resolution, and accuracy of surface  
1060 buoyancy and momentum flux estimates (Yu 2018). Turbulent heat, moisture, and momentum fluxes  
are computed from bulk flux algorithms (Fairall et al., 2003) using surface wind speed and direction,

SST, near-surface air temperature, and humidity as input. Wind speed and direction have been provided by scatterometers on a series of satellite missions. These include the European Remote sensing Satellite (ERS)-1 (1992-1996) and ERS-2 (1995-2000), the NASA SeaWinds-1 scatterometer on the  
1065 QuikSCAT satellite (1999-2009), the European Space Agency's (ESA's) series of three Advanced Scatterometers (ASCAT) onboard the MetOp satellites (2006 onward), and OceanSat-2 (OSCAT; 2009-2014) and SCATSAT-1 (2016 onward) by the Indian Space Research Organization.

Scatterometers measure the effects of centimeter-scale roughness caused by surface stress, but the present retrieval algorithms generate estimates of surface wind, not wind stress, because there are no  
1070 suitable surface wind stress ground-truths for calibration. Instead, wind retrievals are calibrated to the equivalent neutral-stability wind 10 m above the local-mean sea surface (Liu and Tang, 1996). The 10-m equivalent neutral wind speed differs from the 10-m wind speed measured by anemometers. These differences are a function of atmospheric stratification and are normally on the order of  $0.2 \text{ m s}^{-1}$ . The differences may also reflect differences in observing platforms. For example, in situ wind  
1075 measurements are relative to a fixed-earth reference, while satellite winds are relative to surface currents. For winds less than about  $3 \text{ m s}^{-1}$  or greater than  $20 \text{ m s}^{-1}$ , the uncertainties are generally larger. Low-wind retrievals are often problematic because the weak backscatter signal is confounded by noise, and the empirical scatterometer algorithms are not sufficiently calibrated at high winds due to the lack of in situ measurements.

The remote sensing of SST uses space-borne infrared and microwave radiometers to detect thermally emitted radiation from the ocean surface. Infrared radiometers such as the five-channel Advanced Very High Resolution Radiometer (AVHRR) use wavelength bands that have high transmissivity in the cloud-free atmosphere. However, clouds are opaque to infrared radiation and can effectively mask the  
1085 radiation emitted from the ocean's surface. Because of the cloud effect, it takes one or two weeks to obtain a complete global SST field from AVHRR even though the satellite orbits the Earth 14 times each day and has a 2399-km-wide swath. In contrast, clouds have little effect on microwave radiometers so that microwave SST retrievals can be made under all rain-free weather conditions. The TRMM microwave imager (TMI) was launched in 1997 and was the first satellite sensor capable of  
1090 accurately measuring SST through clouds. The low-inclination equatorial orbit, however, limits TMI's coverage to the  $38^{\circ}\text{S}$ - $38^{\circ}\text{N}$  latitude band. Global through-cloud measurements of SST were made possible by the Advanced Microwave Scanning Radiometer (AMSR) onboard NASA's EOS Aqua spacecraft (AMSR-E, 2002-2011) and the AMSR-2 onboard the Japan Aerospace Exploration Agency's (JAXA's) Global Change Observation Mission - Water (GCOM-W1) spacecraft (2012 onward).

Near-surface air humidity and temperature cannot be retrieved directly by satellites. Instead, these variables are estimated from satellite-measured total column water vapor or total precipitable water (PW) (Liu, 1986; Liu et al., 1991) using passive microwave radiometers such as Special Sensor Microwave Imager and Sounder (SSM/I and SSMIS, respectively), AMSR-E, and AMSR-2. The  
1100 launch of the advanced microwave sounding unit (AMSU) on the NOAA series of polar orbiting meteorological satellites in May 1998 provided profiles of temperature and humidity that have been used to improve estimates of near-surface humidity and temperature (Jackson et al., 2006). Despite significant progress, these variables remain the leading source of error in satellite-based surface heat flux products.

Satellites measure downwelling and upwelling solar radiation and upwelling longwave radiation at the top of the atmosphere (TOA). The radiation budget at the ocean's surface is not remotely sensed. Instead it is estimated from radiative transfer calculations that use satellite-derived TOA irradiance,  
1105

cloud and aerosol properties, and the atmospheric state from either satellites or reanalysis. Surface  
1110 radiation budget estimates are produced by the Clouds and the Earth's Radiant Energy System  
(CERES) Energy Balanced and Filled (EBAF) radiative transfer model (Kato et al., 2013). The CERES  
experiment was developed to measure TOA radiative fluxes and to determine radiative fluxes within  
the atmosphere and at the surface. CERES instruments were launched aboard the Tropical Rainfall  
Measuring Mission (TRMM) in November 1997, on the EOS Terra satellite in December 1999, and on  
1115 the EOS Aqua spacecraft in 2002.

Precipitation at the ocean's surface is retrieved from variables that are highly correlated with rainfall,  
including infrared and microwave brightness temperature, as well as visible and near-infrared albedo.  
Infrared techniques are based on the premise that surface rainfall is related to cloud-top properties  
1120 observed from space, while microwave techniques relate rainfall to microwave emission from rain  
drops and scattering from ice. Microwave observations are available from SSM/I, AMSU-B, and the  
TRMM spacecrafts. TRMM is equipped with the first spaceborne precipitation radar (PR) along with a  
microwave radiometer (TMI) and a visible/infrared radiometer (VIRS), thus allowing the estimation of  
rain profiles in addition to surface precipitation. Information from all three sensors is optimally merged  
1125 to produce a three-hour precipitation field at 0.25° spatial resolution over the tropics and subtropics.  
The Global Precipitation Measurement (GPM) mission launched in February 2014 and carries a dual-  
frequency precipitation radar and microwave imager, which is able to sense total precipitation within  
all cloud layers. GPM extends the capabilities of TRMM sensors, including sensing light rain, and for  
the first time, is able to quantify microphysical properties of precipitation particles.

1130

#### 4.3 Strengths and weaknesses of the current observing system

There is a high degree of integration among the various sustained observing components so that  
weaknesses of some are compensated by the strengths of others. Satellites give global coverage but  
1135 only at the surface of the ocean and generally do not resolve timescales less than one day. In contrast,  
Argo provides subsurface information, but at coarser horizontal resolution than satellites. Moored  
surface buoys have even coarser horizontal and vertical resolutions than Argo, but uniquely make co-  
located high-temporal-resolution measurements of the upper ocean and near-surface atmosphere. In situ  
data are critical for validating and calibrating satellite retrievals, and satellite data are useful for filling  
1140 temporal gaps in mooring surface data and for providing information to fill the large spatial gaps  
between moorings and other in situ surface measurements. There is therefore a high degree of  
complementarity between satellite and in situ measurements. To measure ocean circulation, surface  
drifters have basin-wide coverage, complementing sparse moored measurements from single-point and  
profiling current meters. Measurements from XBTs are able to resolve the vertical structures of eddies  
1145 and give estimates of large-scale ocean transport as quasi-synoptic snapshots, while transport arrays  
provide estimates of AMOC components including the deep ocean or full-depth mass, heat, and salinity  
transports at key locations. The existing integration can be seen as a strength of the present observing  
system. The remainder of this section focuses on gaps in the observing system in the context of the  
different science drivers presented in Section 3.

1150

In the tropical North Atlantic, PIRATA moorings are located in the central and eastern basin (east of  
40°W), where seasonal and interannual SST signals are largest, especially those associated with the  
AMM and AZM. However, PIRATA moorings do not sample the warm pool region to the west, where  
there are strong mesoscale eddies (Figure 8), seasonal variations of upper-ocean salinity stratification  
1155 that affect SST and TCs, and strong multidecadal variations of upper-ocean heat content and SST

(Figure 11). Moorings also do not resolve well the equatorial waveguide, especially meridionally, or the southeastern tropical Atlantic, where there are large SST signals associated with Benguela Niños, model biases, and complex ocean-atmosphere interactions involving winds, currents, SST, clouds, and aerosols. In these regions, enhanced mooring coverage may be part of the solution, but other measurements will also be needed. An effective multiplatform approach might include (1) denser sampling from Argo floats where more information on the large-scale subsurface ocean is most needed, such as the equatorial and northwestern tropical Atlantic, (2) additional moorings at key locations where upper-ocean processes and air-sea fluxes are poorly understood, such as downstream from the Amazon-Orinoco low-salinity plume and in the southeastern Atlantic stratus deck region, and (3) ocean gliders and satellite measurements of surface currents that can most effectively sample fronts and eddies.

Improvements must be made to the observing system to advance monitoring and understanding of the processes that affect SST and surface salinity. Moorings remain a key source of co-located upper ocean and air-sea flux measurements that are extremely useful for this purpose. However, the vertical resolutions of temperature sensors on PIRATA moorings are not high enough to resolve the vertical structure of the mixed layer diurnal cycle, which can affect the mean state climate and vertical mixing (Ham et al., 2009; Hummels et al., 2013). The vertical spacing of conductivity sensors on most moorings is much too coarse for accurate resolution of the mixed layer and salinity stratification required for heat and salinity budget analyses. Vertical turbulent mixing plays an important role in the equatorial mixed layer heat and salinity budgets, and this has motivated multi-year measurements of mixing at some PIRATA locations. There are strong indications that mixing is also important at off-equatorial locations and that the driving processes are very different than those operating at the equator. However, no long-term measurements of horizontal velocity, vertical shear, and turbulent mixing exist in the tropical Atlantic outside the equatorial band (Figure 6). Vertical velocity in the upper ocean provides important preconditioning for turbulent mixing through its impact on stratification, yet direct measurements of this quantity are lacking. There are very few measurements of surface wave height and spectra in the tropical Atlantic, which are needed to improve forecasts and to advance understanding of wave-induced upper-ocean mixing. There are large uncertainties in surface turbulent heat fluxes derived from satellite and reanalysis data (Figure 7), and it is unclear how well existing bulk formulas perform, especially outside of the equatorial region. It is difficult to close the heat and salinity budgets at many PIRATA mooring locations because at present less than half of the moorings have direct measurements of longwave radiation and ocean currents.

A more complete observing system for the upper ocean will likely include measurements from many different platforms, in addition to addressing the gaps in mooring observations described above. Emerging technologies are showing promise for obtaining surface flux and upper-ocean measurements from autonomous moving platforms (Voosen, 2018). Gliders have already proven valuable for measuring upper-ocean turbulence and the factors that control it (St. Laurent and Merrifield, 2017). Satellite-based retrievals of surface radiative and turbulent fluxes show considerable skill (Yu and Weller, 2007; Trolliet et al., 2018) and are likely to improve further if satellite and in situ measurements are maintained.

Much of the present observing system was designed before coupled model biases emerged as a scientific research priority. As a result, efforts to alleviate model biases are hampered by inadequate in situ measurements (Tchupalanga et al., 2018). A more comprehensive and multifaceted observational approach is needed to make progress on this difficult problem. Based on the leading theories of what

generates coupled model biases, such an improved system may include (1) arrays of near-coastal buoys and ocean gliders in the Benguela upwelling region, (2) multiplatform measurements of turbulent mixing and the processes that drive it in the equatorial Atlantic, (3) direct measurements of turbulent and radiative air-sea fluxes in the northeastern and southeastern tropical Atlantic from autonomous vehicles and moored buoys, (4) enhanced measurements of the lower troposphere in the Atlantic ITCZ region, possibly as part of a process study.

In general, biogeochemical quantities are severely undersampled compared to many physical parameters, especially in the tropical South Atlantic (Figure 12). Oxygen and  $f\text{CO}_2$  are not monitored by many elements of the tropical Atlantic observing system. Due to the importance of the OMZ for marine habitat compression and air-sea  $\text{CO}_2$  flux for the tracking of the ocean  $\text{CO}_2$  sink, measurements of oxygen and  $f\text{CO}_2$  co-located with physical variables should become the norm (Garçon et al., 2018). Expanded measurements of other biogeochemical parameters, such as nutrients, pH, and ocean color, are also needed to monitor biogeochemical cycles and acidification. These may be achieved through (1) the continuation and expansion of biogeochemical Argo and in situ measurements from research vessels, (2) the addition of biogeochemical sensors to existing moorings, and (3) development of new technologies to measure biogeochemical parameters from autonomous and remotely-controlled vehicles. Some PIRATA cruises have only recently begun to measure biogeochemical and biological parameters such as nutrients, pH/alkalinity, and phytoplankton, while others measure only temperature, salinity, and oxygen. Traditional zooplankton sampling with nets requires extra ship time, complicating its implementation. Possible alternatives include emerging technologies such as the underwater vision profiler (UVP) that can be lowered to 6000 m on a CTD rosette. In addition to taking pictures of larger particles or organisms that would normally be sampled using nets, it also captures fragile zooplankton and phytoplankton species normally not recorded in net samples (Biard et al., 2016) and has been deployed in the tropical Atlantic (Kiko et al., 2017). Alternatively, acoustic sampling of zooplankton and fish can be performed from autonomous vehicles or gliders (Lembke et al., 2018). Thus, for PIRATA the ocean biogeochemistry and ecosystem observation capabilities have not yet been fully explored.

A current lack of monitoring of biological variables also makes it challenging to determine how ecosystems will cope with changing physical and biogeochemical conditions. Many of the methods to improve biogeochemical sampling also apply to biological sampling. Complicating biological measurements is the need for a multidisciplinary approach that includes observations of physical, biogeochemical, and biological parameters. Integration of biogeochemical and biological measurements into PIRATA and Argo may be one way forward, and PIRATA and Argo would also benefit from greater international governance of biogeochemical and biological observations. Currently, there is no long-term commitment to fund these observations continuously, only to define what constitutes biogeochemical measurements and what should be measured. In addition, the biological sampling network would greatly benefit from improved international coordination and capacity building. Currently, satellite retrievals of chlorophyll and ocean color are limited by clouds, especially in the ITCZ, providing additional motivation for in situ measurements this region.

For ocean state estimates, the PIRATA array is too sparse and the measurements are too shallow to provide constraints on the deeper ocean. Deeper measurements, including a more complete AMOC monitoring system, are needed to constrain simulations of the AMOC, sea level rise, heat and freshwater storage, and the global energy imbalance. Currently, the deep ocean (> 2000 m) is very poorly sampled, severely limiting the accuracy with which these quantities can be calculated. Global

1250 arrays such as Argo, and especially the developing deep Argo program, are likely to be most useful for  
this purpose. Direct measurements of the AMOC from in situ monitoring arrays are also needed for  
validation of ocean state estimates. More comprehensive design, analysis, and intercomparison of  
OSSEs should be explored in order to quantify the number, type, and spatial locations of additional  
measurements that would most likely improve ocean data assimilation and state estimates.

1255 The tropical Atlantic observing system generates large quantities of data covering a wide range of  
temporal and spatial scales and across many platforms. A key challenge is to ensure consistent data  
processing, archiving, availability, and visibility within and across all datasets. This is important not  
only to increase the usability of the data for advancement of scientific knowledge, but also to improve  
1260 data assimilation and predictability of weather, climate, and ecosystems. A consistent data record is also  
needed to evaluate the performance of the observing system over time and recommend changes to it.  
The archiving and availability of data has progressed over the past decade because of several  
developments: (1) the use of digital object identifiers (DOIs) for datasets has expanded, (2) scientific  
1265 journals have started requiring statements of data accessibility, (3) funding agencies require data  
management and archiving plans, and (4) common data formats such as NetCDF have gained wider  
acceptance. However, many important datasets remain difficult to find or are stored in formats that are  
difficult to read or do not contain adequate metadata. A push for open data availability and visibility  
and consistent archiving is needed and may be achieved through a combination of approaches,  
including continuation of those listed above.

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## 5 Recommendations for the future observing system

1275 Based on the science drivers and gaps in the observing system identified in Sections 3 and 4.3, below is  
a list of key recommendations for the future tropical Atlantic observing system. The recommendations  
include readiness levels (RL) to indicate the ease with which they could be implemented: (1) No  
additional action needed other than sustained funding, (2) Implementation in progress, (3) Additional  
funding required for implementation, (4) Scientific guidance/design and funding required.

1280 • **Most importantly, maintain observing systems that have proven their long-term value for  
scientific research, monitoring, and operational forecasts and analyses.** These long records  
in the tropical Atlantic are extremely important for observing ocean-atmosphere variability on  
interannual to multidecadal timescales and changes in response to global warming. Continuity  
1285 of satellite records is critical for maintaining basin-wide surface observations. In situ data  
provide information on the subsurface ocean and high-accuracy measurements near the air-sea  
interface that can be used to calibrate and validate satellite measurements and to bridge gaps  
between satellite missions. **RL1**

1290 • **Improve the vertical sampling on PIRATA moorings in the mixed layer and immediately  
below; add new sensors for ocean velocity and surface fluxes; develop new satellite  
measuring capabilities.** Presently, the sensor spacing is too coarse to accurately calculate  
mixed layer depth, stratification beneath the mixed layer, and the vertical structure of the  
diurnal cycle of temperature near the surface. It is also recommended that every mooring have  
at least one single-point current meter and a downwelling longwave radiation sensor. These  
1295 measurements are needed to compute temperature and salinity advection and the net surface  
heat flux, important components of the mixed layer heat and salinity budgets. Augmenting

moorings with barometers will aid numerical weather prediction and data assimilation.

Proposed satellite missions such as the Sea surface KInematics Multiscale monitoring of ocean surface currents (SKIM) have great potential to aid research, data assimilation, and forecasts.

1300 Their development should be continued. **RL3,4**

- **Sustained measurements of upper-ocean mixing are needed, along with the processes that drive it, such as current shear and surface waves.** This could be achieved through moored microstructure, ocean velocity, and surface wave measurements at one or two PIRATA locations on the equator and one or two locations off the equator, together with targeted deployments of autonomous vehicles and gliders. **RL4**

1305

- **Monitoring of deep ocean temperature and salinity must be continued and expanded.** These measurements, mainly from deep Argo and some moorings, are critical for assessing long-term changes in the ocean's heat storage and mass balance, and the earth's energy imbalance. They also help to monitor and understand the AMOC. **RL2**

1310

- **Sampling of biogeochemical and biological parameters from floats, research vessels, ships of opportunity, and moorings must be continued and expanded, especially in the tropical South Atlantic.** These measurements are required for monitoring and understanding the carbon cycle and OMZ dynamics. They must be acquired concurrently with physical parameters such as temperature, salinity, and ocean velocity. **RL2,3**

1315

- **The moored observing system should be extended to the northwestern tropical Atlantic warm pool (AWP) and southeastern tropical Atlantic (STA), and the use of other in situ platforms should be expanded.** The AWP is a region through which a high percentage of land-falling hurricanes pass. There is strong upper-ocean salinity stratification and energetic mesoscale fronts and eddies that affect air-sea fluxes and mixing and yet are poorly understood. The combination of moorings that include subsurface ocean measurements, and other platforms such as gliders and Argo, would advance knowledge and predictability of landfalling hurricanes. Augmenting the in situ observing system in the STA will help to improve understanding of the Atlantic Zonal Mode, Benguela Niños, and coupled model biases. This could be achieved through a denser network of Argo floats and PIRATA moorings near the equator and additional PIRATA moorings in the southeastern tropical Atlantic. Routine servicing cruises to new moorings should be used to measure the diurnal cycle of the cloudy boundary layer. **RL4**

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- **More widespread use of autonomous platforms and gliders is recommended, especially in western and eastern boundary regions and on the continental shelf, where satellite data is unavailable or uncertain and subsurface data are sparse.** Augmenting autonomous platforms and surface drifters with additional meteorological measurements, such as atmospheric pressure, winds, air temperature, and relative humidity, would be very beneficial for improving weather forecasts and air-sea flux calculations. **RL2,3**

1335

- **Extensions of oceanographic surveys for commercial and endangered species are needed.** In many cases this will require additional capacity-building in African nations. Another related requirement is the integration of individual surveys into a larger observational system that considers the requirements of different user groups, including society, the private sector, and the

1340

1345 scientific community. The value of all ocean observations must be communicated more widely  
and clearly to society. **RL3,4**

1350 • **The measurements of micronekton collected during the regular maintenance cruises of the  
PIRATA network are one on the less known components of the ocean ecosystem. These  
measurements must be continued and expanded to other platforms when feasible.** Routine  
measurements of plastics during repeated PIRATA services cruises are also highly  
recommended, given their detrimental impacts on ecosystems. **RL2,3**

1355 • **Though not part of the sustained observing system, process studies are essential to  
improve model parameterizations, identify new scientific phenomena, and develop and  
test new technologies.** Process studies often measure many variables at high resolution for a  
limited amount of time. The information gained can inform scaled-back versions for integration  
into the sustained observing system and point to ways in which the tropical Atlantic observing  
system can be adapted for the future. **RL4**

1360 PIRATA and other fixed moorings are unique among the observing systems because they can be placed  
at predefined locations and do not drift. Following the first recommendation in the list above, there are  
compelling reasons to sustain the current configuration of moorings. PIRATA resolves the fast zonal  
propagation of oceanic signals along the equator, while at off-equatorial locations they provide  
1365 essential measurements across a wide range of climate regimes. These include the low-wind, high-  
rainfall ITCZ; the northeastern and southeastern areas with cool SSTs, low clouds, and large  
concentrations of aerosols; and the northwestern tropical Atlantic, characterized by strong upper-ocean  
salinity stratification, energetic mesoscale variability, and poorly understood shallow convection in the  
atmosphere. Furthermore, there are large disparities between off-equatorial winds measured directly by  
1370 PIRATA and estimated by satellites and model-based reanalyses (Bentamy and Fillon, 2012),  
emphasizing the importance of maintaining the long records from all PIRATA moorings. Coupled  
ocean-atmosphere data assimilation, a promising approach to improve oceanic and atmospheric  
analyses and coupled model forecasts, is in its infancy (Zhang et al., 2007; Lu et al., 2015). The most  
valuable data for evaluating and improving the methodologies are co-located time series of oceanic and  
1375 atmospheric data from fixed-point moorings. For forecast improvements, both a long history (with a  
record of many past events to evaluate forecast skill) and reliable real-time data (to initialize forecasts)  
are critical.

## 1380 6 Conclusions

The tropical Atlantic represents a unique and complex mix of many interacting oceanic and climate  
modes of variability that fluctuate across a wide variety of timescales. A large and diverse group of  
human populations depends on the tropical Atlantic Ocean for sustenance and recreation and is  
1385 adversely affected by climate-driven changes in precipitation patterns, extreme weather and ocean  
ecosystems. Therefore, the demand for information about the tropical Atlantic Ocean, including  
subseasonal to seasonal predictions and longer-term projections in a changing climate, is only expected  
to increase in the future.

1390 There has been substantial progress in building and maintaining the sustained observing system over  
the past 20 years. We must continue to maintain the valuable elements of the observing system,  
building on the successful history of international and multidisciplinary cooperation. Critical gaps in  
the observing system need to be filled. This may be accomplished in part through enhancements to  
1395 autonomous observing networks and investments in new technologies. The tropical Atlantic is strongly  
influenced by conditions in the Pacific and Indian Oceans as well as the extratropical North and South  
Atlantic. Therefore, the success of the tropical Atlantic observing system depends in part on the  
maintenance and evolution of those observing systems. Continued international cooperation and  
observing system integration are essential in order to maintain and improve monitoring, prediction, and  
scientific understanding of the tropical Atlantic Ocean and related changes in weather, climate, and  
1400 ecosystems.

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MR-F, RR, PB, MD, IR, NL, YL, JS, and FH led the writing of individual sections of the manuscript.  
GF combined all sections and wrote the full manuscript. All authors contributed to writing the sections  
1420 and/or revising the full manuscript.

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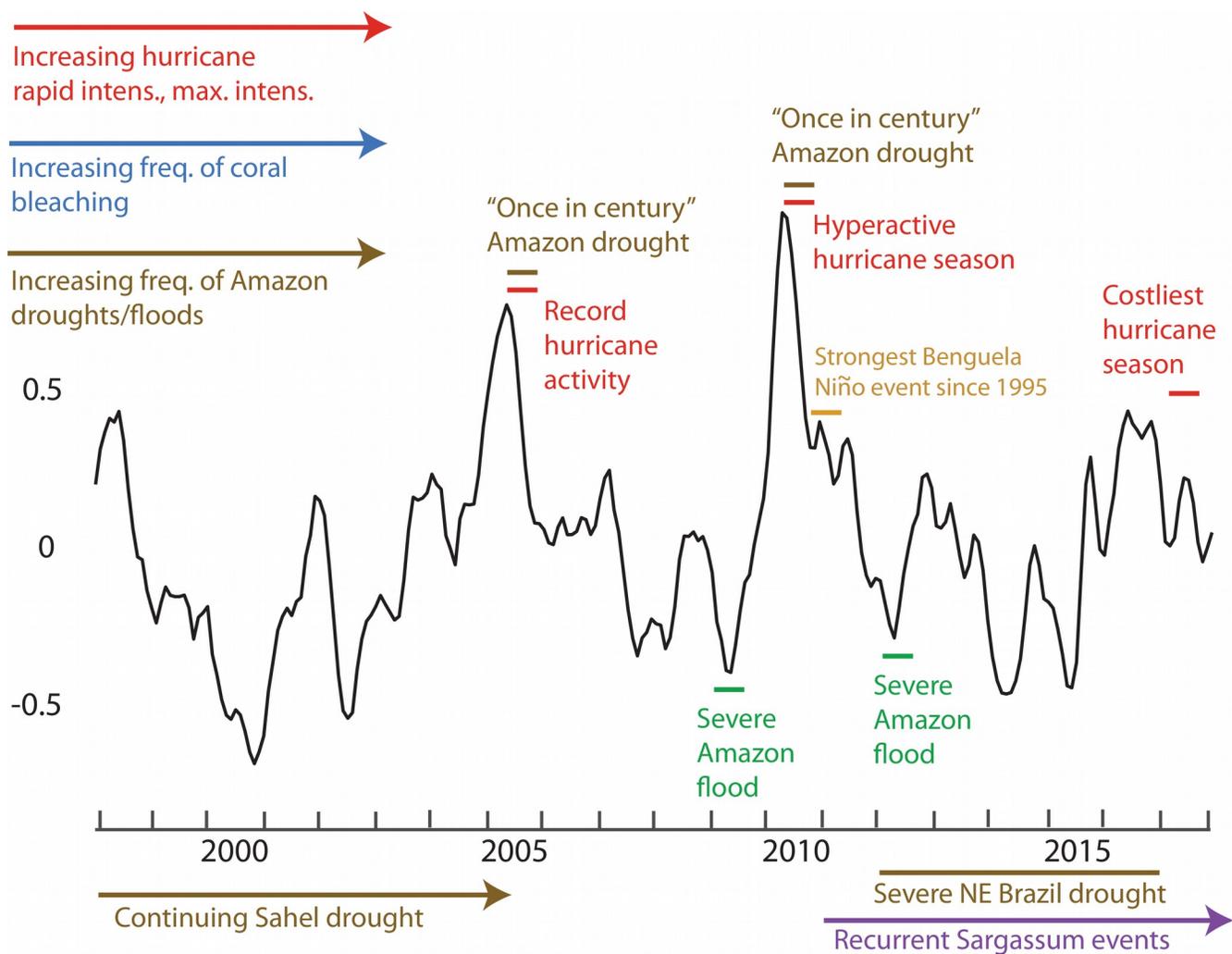
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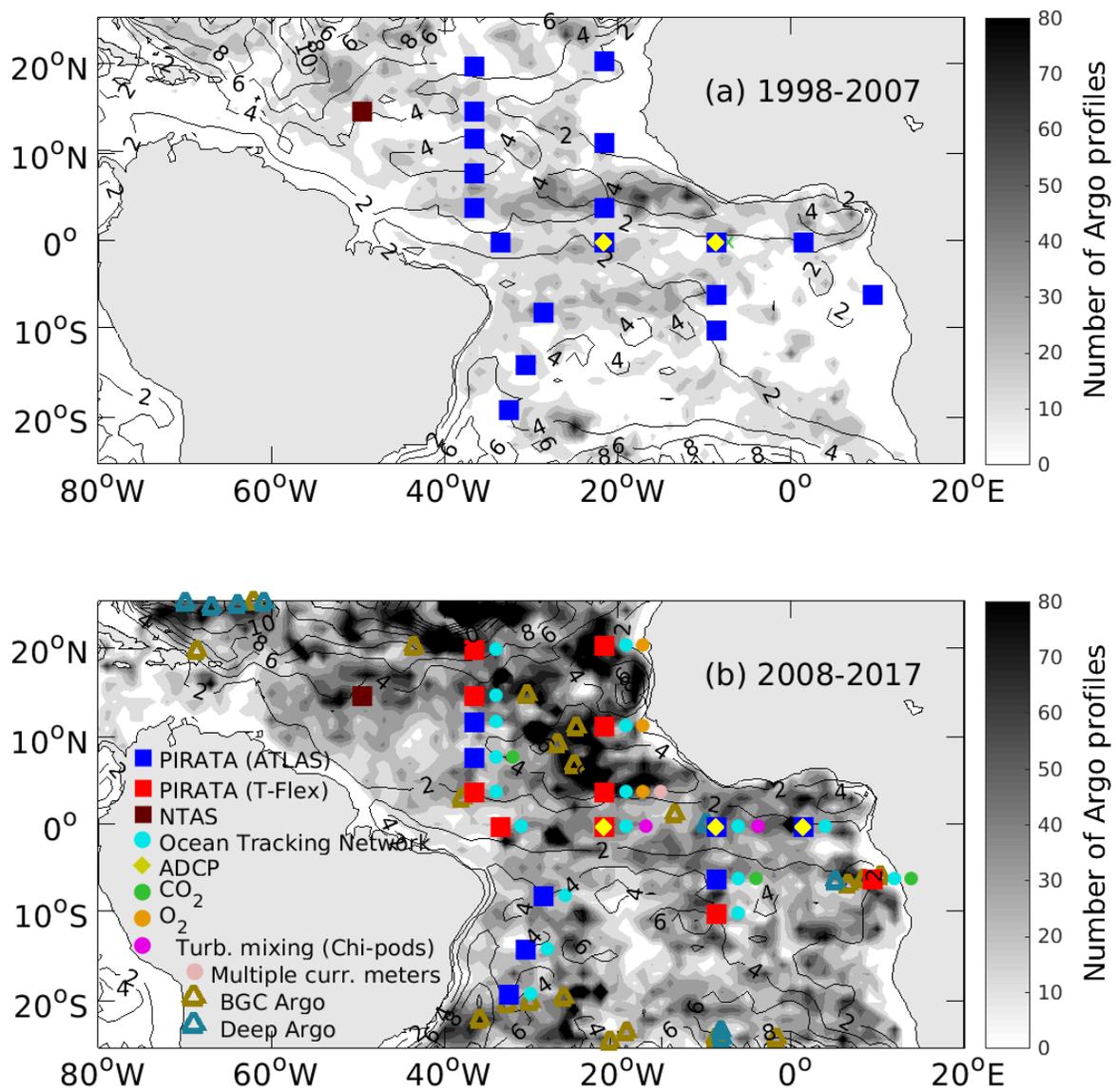
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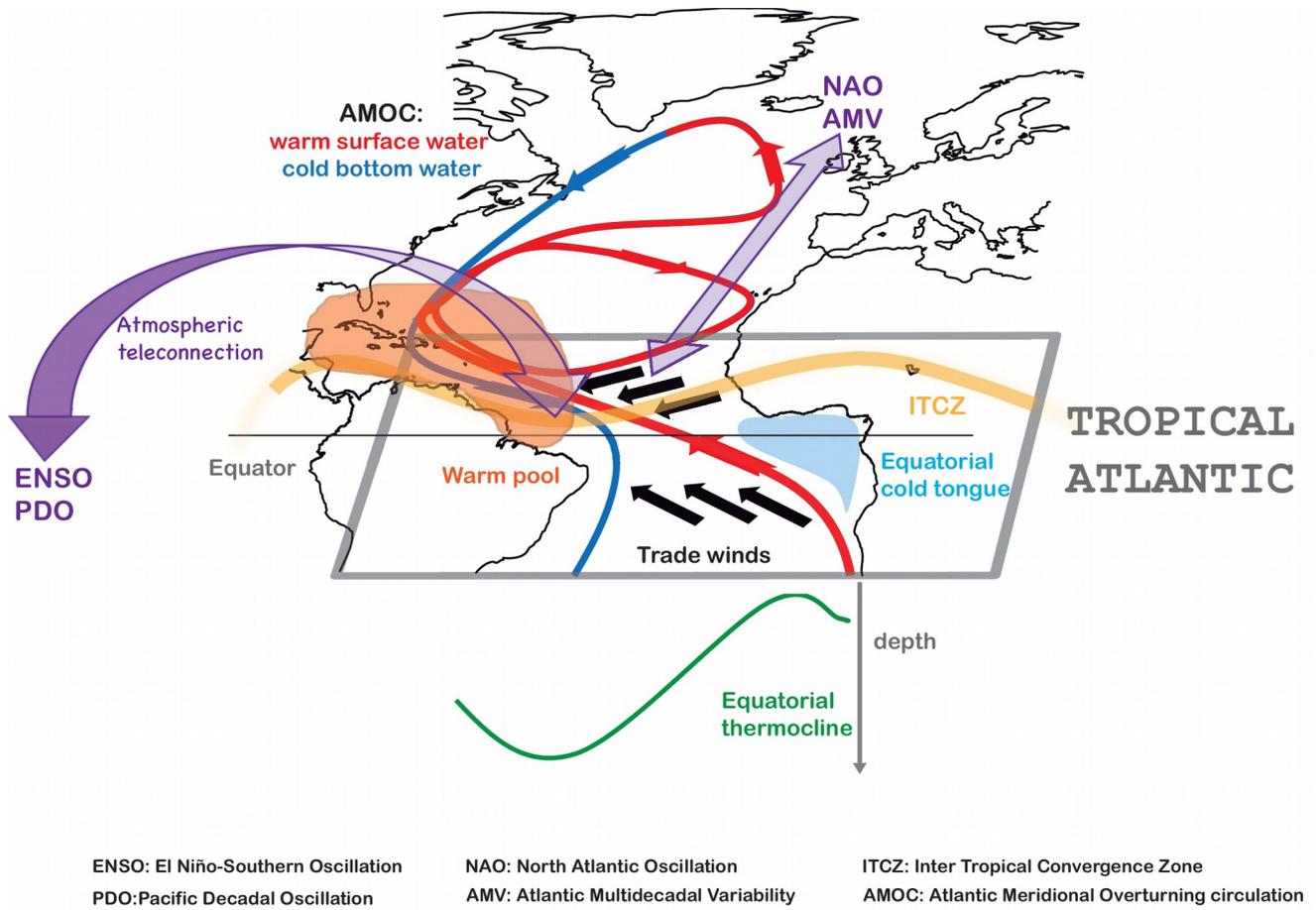


**Figure 1** Summary of notable tropical Atlantic societal impacts over the past 20 years. Black line is the monthly SST anomaly, relative to the 1998-2017 mean climatology, averaged between 5°N-25°N and 30°W-60°W.

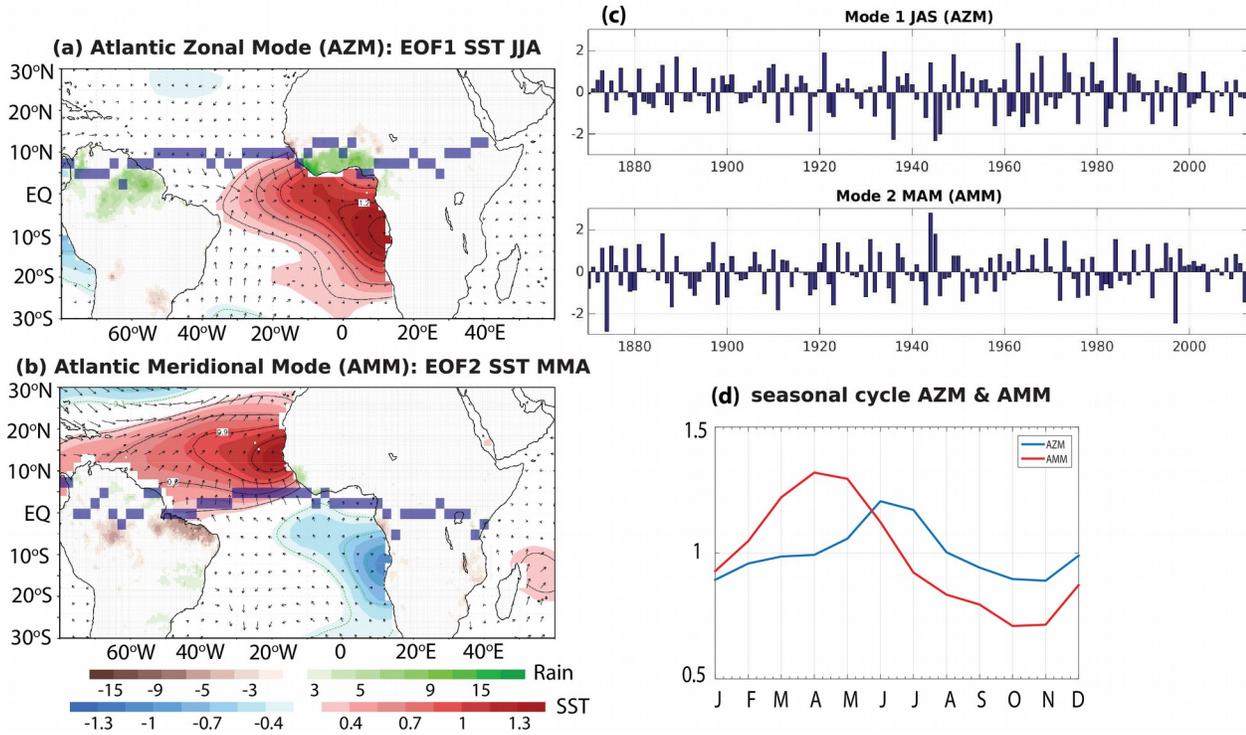
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2460 **Figure 2** Key elements of the tropical Atlantic in situ observing system during (a) 1998-2007 and (b)  
 2465 2008-2017. Gray shading represents the number of Argo profiles made in each 1° box. Contours show  
 the average number of hourly surface drifter observations made in each 1° box per month. Squares  
 show moored buoy locations, circles indicate additional measurements made from the moorings, and  
 triangles show locations of deep Argo and biogeochemical Argo floats during September 2018.



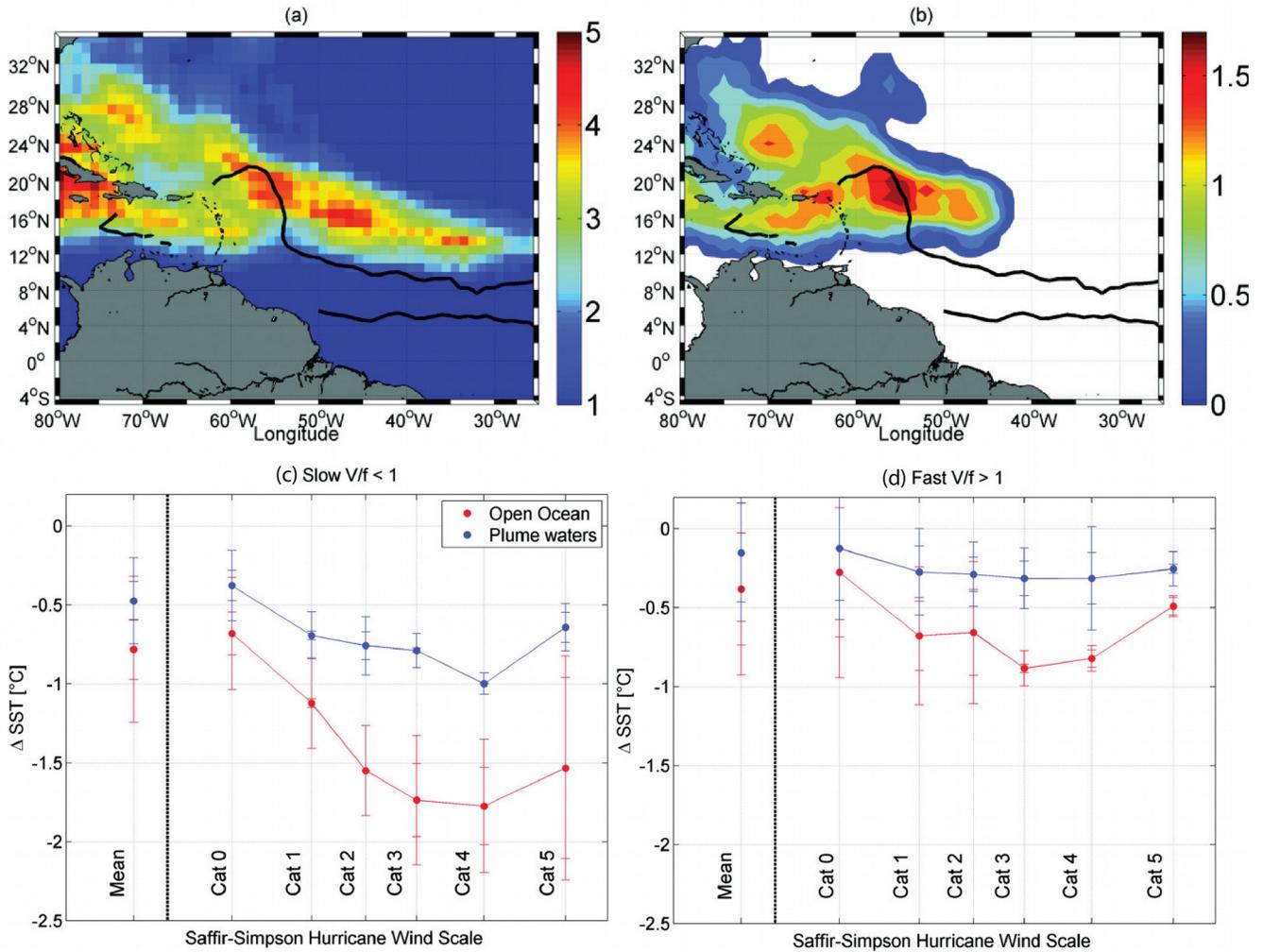
**Figure 3** Schematic of the key components for understanding tropical Atlantic variability.



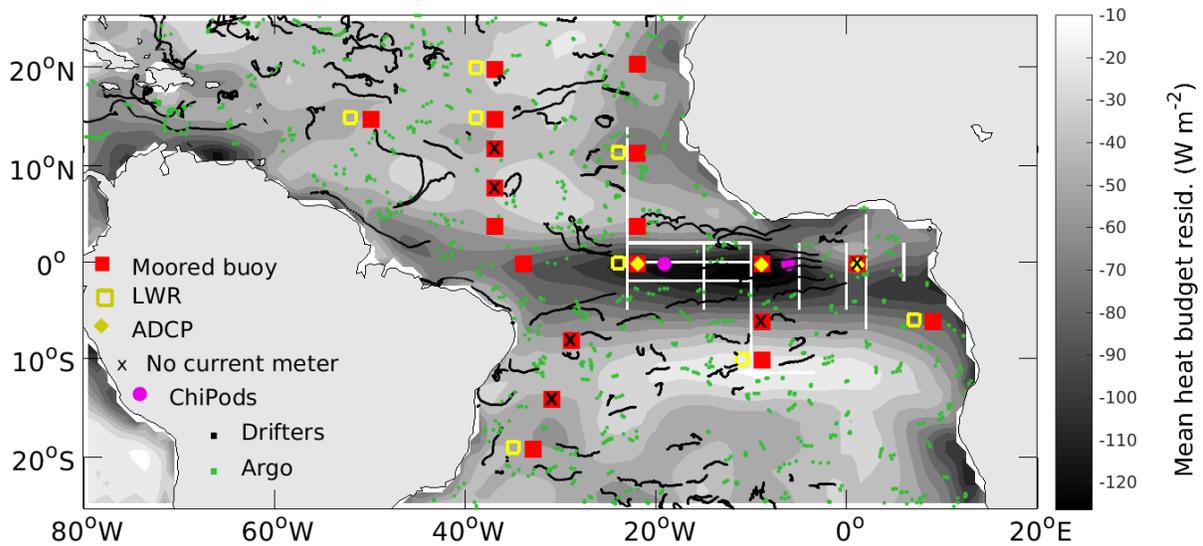
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**Figure 4** Leading modes of tropical Atlantic SST variability. Shown are the composites of events characterized by a principal component value > 1 standard deviation, minus those events in which the principal component has values < -1 standard deviation. The modes are calculated from Had-SST data (°C) using an 11-year high pass filter. (a) Composite of the leading mode in June-July-August for SST (shading over ocean), rainfall in cm mo<sup>-1</sup> from University of Delaware (shading over land; data are available from [http://climate.geog.udel.edu/~climate/html\\_pages/Global2011/README.GlobalTsP2011.html](http://climate.geog.udel.edu/~climate/html_pages/Global2011/README.GlobalTsP2011.html)), and surface wind from NCEP 20th Century Reanalysis. (b) As in (a) but for the second mode. Purple shading in (a) and (b) indicates the seasonal mean position of the ITCZ. (c) Interannual times series of the first and second EOF modes. (d) Evolution of the standard deviation of the leading EOF (blue) and second EOF (red) corresponding to the Atlantic Zonal Mode (AZM) and Atlantic Meridional Mode (AMM), respectively.

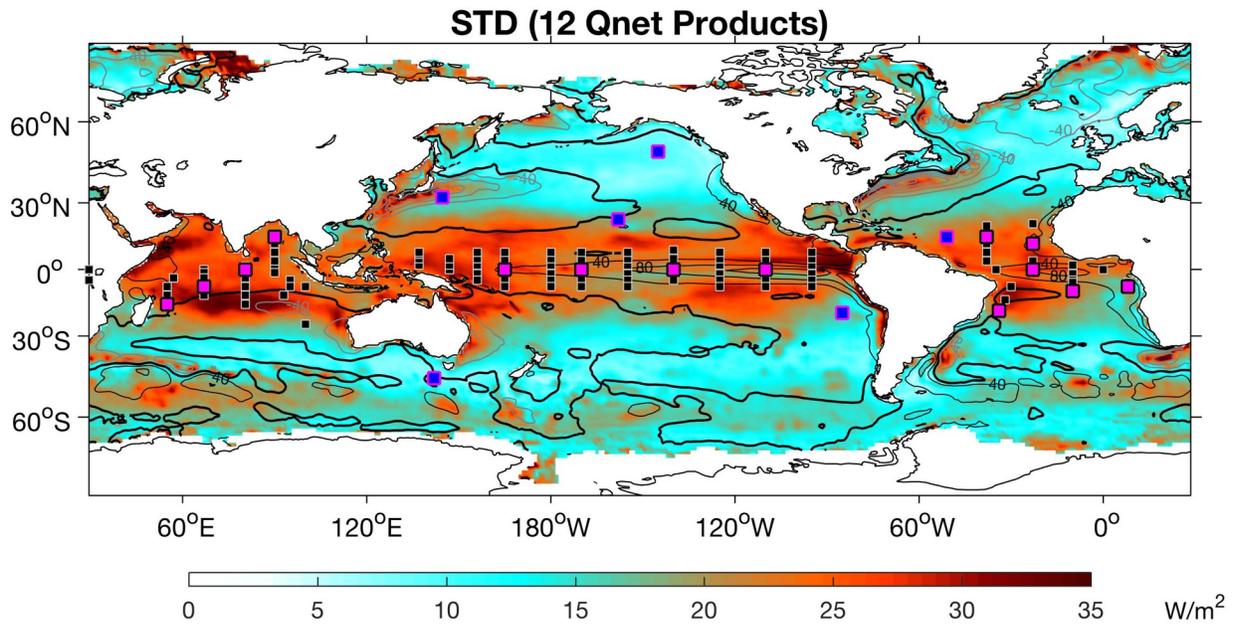


**Figure 5** The number of 1950-2010 “best track” TC per 1° box (smoothed by a 3° × 3° block average) (a) that evolve as categories 4 and 5 somewhere along their path and (b) that intensified locally to categories 4 and 5. The black curve shows the historical extent of the Amazon-Orinoco river plume during the hurricane peak season (August to October). (c), (d) Mean sea surface cooling amplitude in the wake of North-Atlantic Hurricane as function of the Saffir-Simpson Wind scale with error bars showing the 90% and 95% significance levels for errors in the mean. Responses estimated over open water waters (red dots) are distinguished from those evaluated within the historical plume region (blue dots). (a) Slow-moving (or low latitude) tropical cyclones with  $V/f < 1$  ( $V$  is translation speed and  $f$  is Coriolis parameter), (b) fast moving (or high-latitude) storms with  $V/f > 1$ . Adapted from Reul et al. (2014).

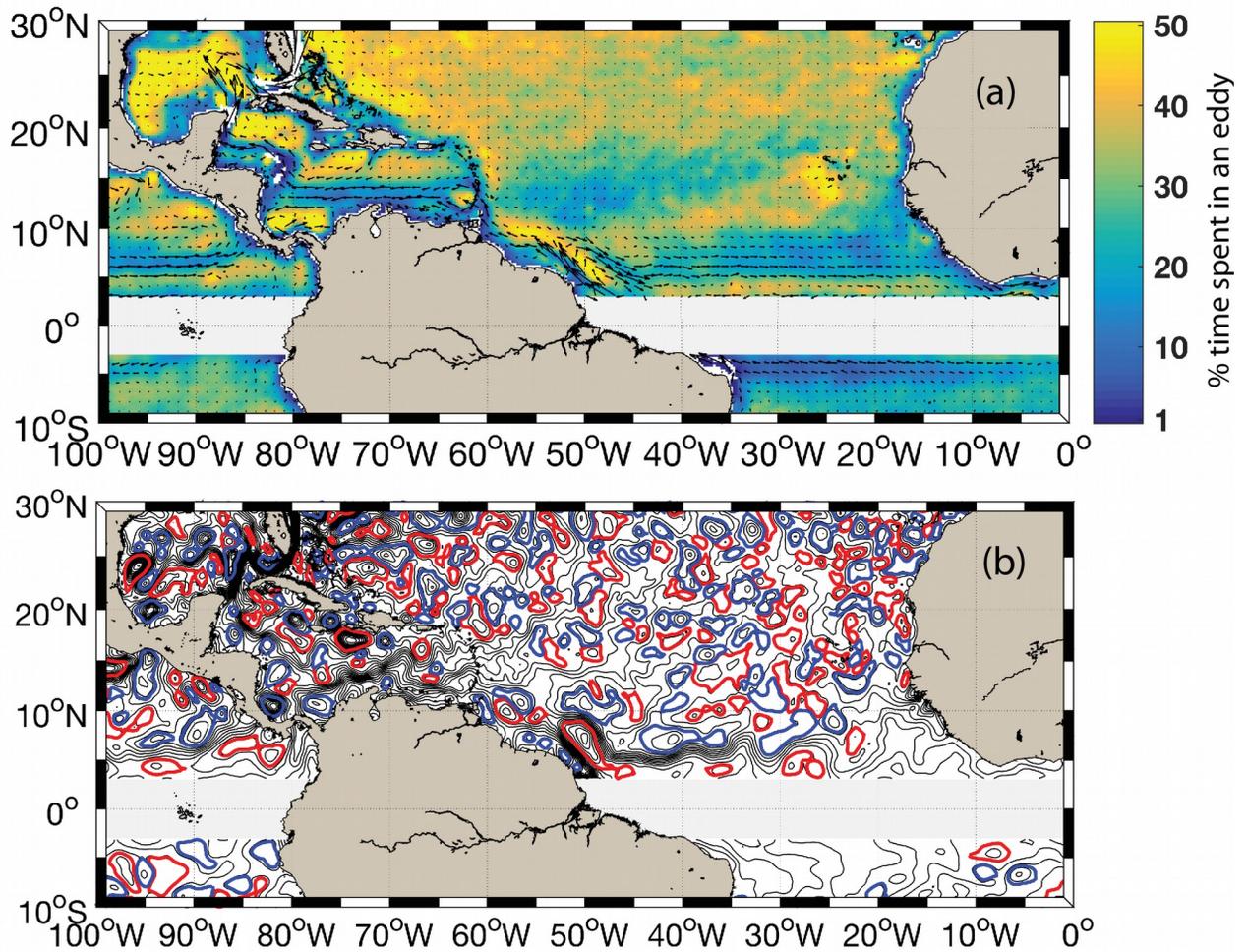


2500 **Figure 6** Elements of the mixed layer heat budget and vertical mixing observing system. Black  
 trajectories show the tracks of all surface drifters during August 2018. Green dots indicate locations of  
 Argo floats in August 2018. Yellow squares and diamonds show mooring locations with longwave  
 radiation sensors and ADCPs, respectively. Purple circles indicate locations with turbulence  
 measurements, and a black 'x' indicates that the mooring does not have a near-surface current meter.  
 2505 Shading shows the annual mean heat budget residual (2003-2017), an estimate of the sum of horizontal  
 heat advection and vertical turbulent cooling, calculated using TropFlux surface heat fluxes, blended  
 satellite microwave-infrared SST, and mixed layer depth calculated from monthly gridded EN4 ocean  
 temperature and salinity. White lines show the locations of ship-based and glider-based microstructure  
 measurements conducted during 2005-2011.

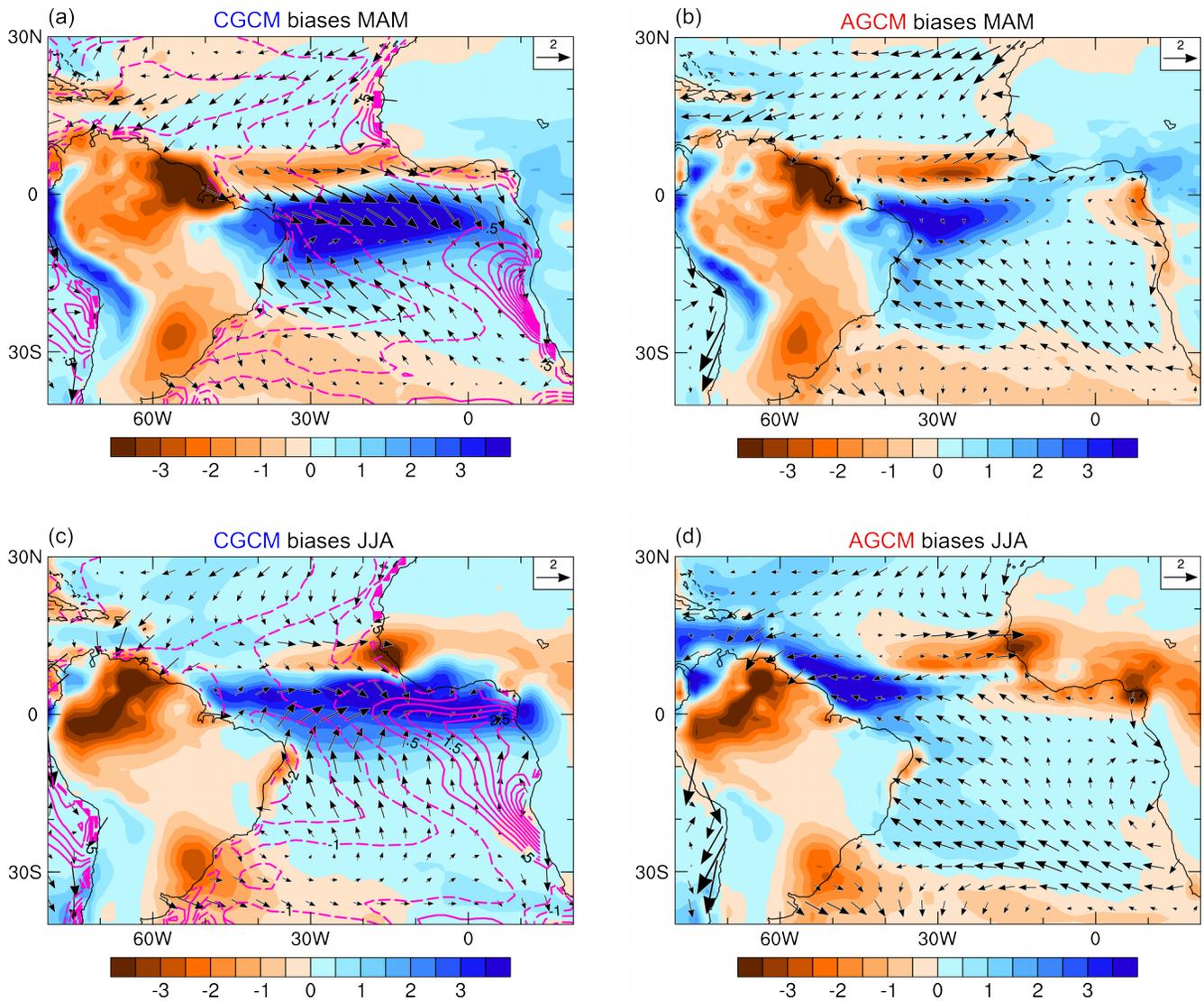
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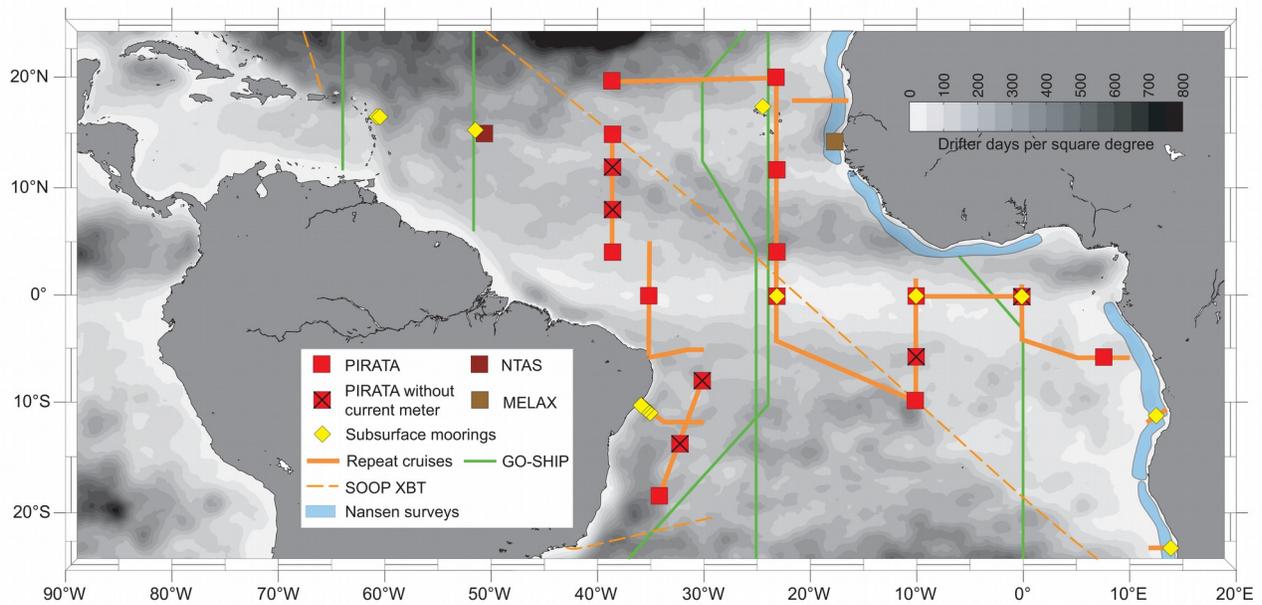
2515 **Figure 7** Standard deviations between 12 mean surface heat flux ( $Q_{net}$ ) products (colors) superimposed on the ensemble mean  $Q_{net}$  (contours; zero lines are highlighted). The means for all products are constructed over the same 10-year period between 2001 and 2010. Black squares denote the tropical moored arrays (PIRATA, TAO/TRITON, and RAMA) with net heat flux reference sites denoted by large magenta squares. Blue squares outlined in magenta denote OceanSITES buoys (NTAS in the tropical Atlantic). Adapted from Yu (2019).



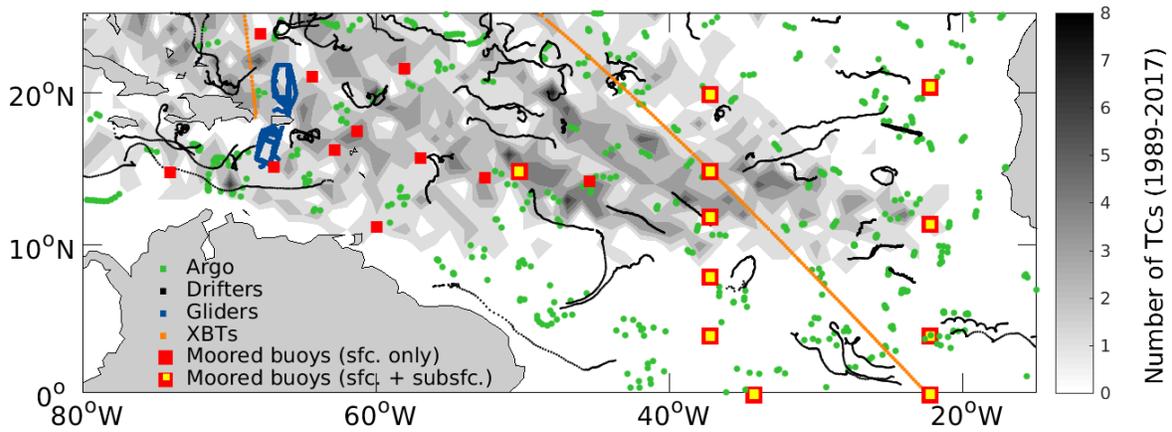
**Figure 8** (a) Shading shows the percentage of time each  $1^\circ \times 1^\circ$  box is within an eddy, based on the eddy detection algorithm of Laxenaire et al. (2018). Vectors represent the annual mean surface currents from Aviso Ssalto/Duacs and distributed by the Copernicus Marine Environment Monitoring Service (<http://marine.copernicus.eu/>) in the version released in April 2014 (Duacs/AVISO+, 2014; Pujol et al., 2016). (b) Absolute Dynamic Topography (ADT) field from Aviso Ssalto/Duacs, based on satellite altimetry on July 1, 2017, showing a well-defined North Brazil Current retroflexion and North Equatorial Countercurrent in the western tropical North Atlantic. ADT is plotted every 2.5 cm. Red and blue contours indicate the maximum geostrophic velocities of anticyclonic and cyclonic eddies, respectively.



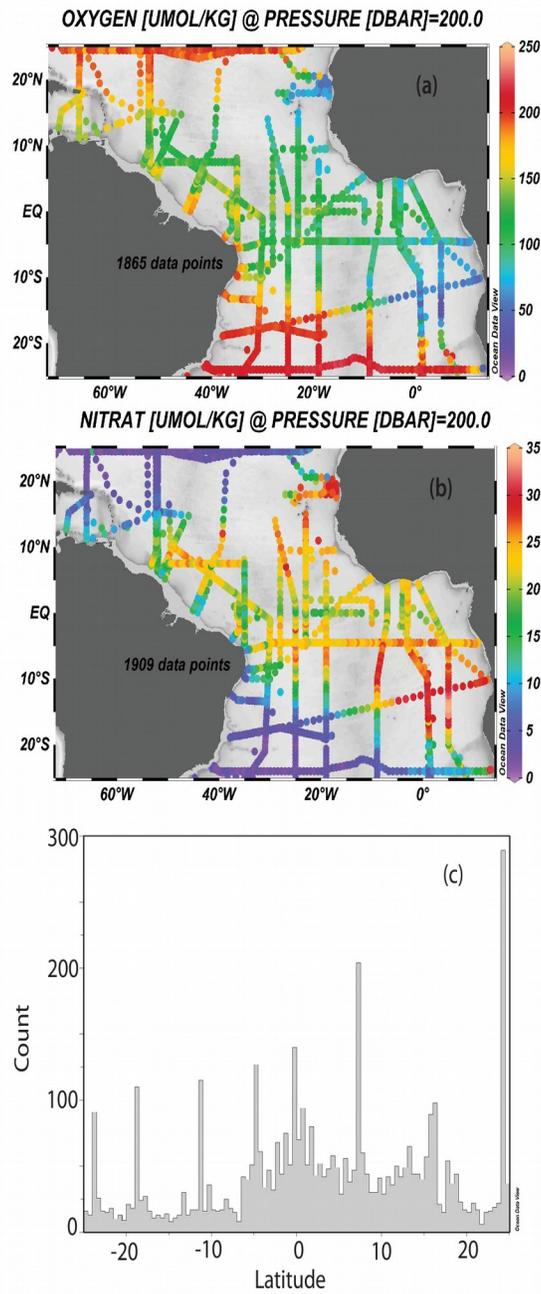
2535 **Figure 9** Biases of precipitation (shading; mm day<sup>-1</sup>), surface winds (vectors), and SST (contours; K)  
 for an ensemble of CMIP5 models. Panels show the biases for (a) control experiment in March-April-  
 May, (b) experiment AMIP (atmospheric model only) in MAM, (c) control experiment in June-July-  
 August, and (d) experiment AMIP in JJA. The reference data sets are the Global Precipitation  
 Climatology Project (Adler et al. 2003) for precipitation, the European Centre for Medium-Range  
 Weather Forecasts Interim Reanalysis (Dee et al., 2011) for surface winds, and Reynolds optimally  
 2540 interpolated dataset (Reynolds et al., 2002) for SST.



2545 **Figure 10** The tropical Atlantic observing system as implemented for the study of ocean circulation  
 and upwelling. Shading indicates total number of days any surface drifter was in each  $1^\circ \times 1^\circ$  box.  
 Solid orange lines show repeat cruise tracks, mainly for servicing moorings, dashed orange lines show  
 XBT lines, blue shading indicate regions where Nansen surveys have been conducted, and green lines  
 show full-depth repeat hydrography cruise tracks (GO-SHIP). The brown square shows the location of  
 the coastal air-sea buoy MELAX, yellow diamonds indicate where subsurface velocity and  
 2550 hydrographic moorings have been deployed, and other symbols are as in Figure 6.



2555 **Figure 11** Key components of the sustained ocean observing system for extreme weather. Shading  
 shows the number of tropical cyclones that passed through each 1° x 1° box during 1989-2017, based  
 on “best track” data. Black lines show the trajectories of all surface drifters during August 2018. Green  
 dots show all Argo profiles made during August 2018. Orange lines indicate XBT lines, which are  
 repeated approximately every three months, and blue lines represent ocean glider tracks during 2014-  
 2018. Red squares indicate moored buoys with surface measurements, and yellow squares show  
 2560 moorings that additionally measure subsurface temperature, salinity, and/or velocity.



2565 **Figure 12** Number of historical in situ measurements of (a) oxygen and (b) nitrate at 200 db acquired repeat hydrography cruises. Note that the color scales are different in (a) and (b). (c) Total number of surface  $\text{CO}_2$  measurements across all longitudes in the tropical Atlantic for each  $0.5^\circ$  latitude bin.

**Table 1:** Drivers, Pressures and State Variables

<b>Driver (human activity)</b>	<b>Pressure</b>	<b>State (Change)</b>
Fisheries	Selective extraction of species	Habitat
Coastal development	Abrasion	Foodwebs
Offshore structures	Substrate loss and smothering	(Primary) Productivity
Maritime transport	Introduction of exotic species, Direct/ indirect discharge of effluents and dumping at sea	Benthos Air, sediments and water quality; Habitat lost
Marine mineral exploitation	Selective extraction of non-living resources	Benthos Air, sediments and water quality; Biodiversity loss
Navigation dredging	Death or injury by collision	Benthos, Fish, biodiversity
Tourism and recreation	Marine litter (including plastic)	Seabirds, esthetics
Telecommunication	Pollution (noise etc.)	Marine mammals
Aggregate extraction (e.g. sand)	Eutrophication	Coastal waters, beaches
Renewable energy (algae biofuel)	Algae blooms	Coastal waters, marine biota
Land based activities (mining, agriculture, infrastructure, industries, submarine sewage outfalls, burning of fossil fuels, oil and gas exploration)	Underwater noise Emission of nutrients, trace inorganic and organic contaminants	Air, sediments and water quality; biomagnification of contaminants along the food web, compromise of food security, loss of ecosystem services.

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