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1 Past, present and future change in the Atlantic meridional overturning



2 circulation

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21 Abstract

Observations and numerical modelling experiments provide evidence for links between 22 variability in the Atlantic Meridional Overturning Circulation (AMOC) and global climate 23 24 patterns. Reduction in the strength of the overturning circulation is thought to have played a key role in rapid climate change in the past and may have the potential to significantly 25 influence climate change in the future, as noted in the last two IPCC assessment reports 26 (2001, 2007). Both IPCC reports also highlighted the significant uncertainties that exist 27 regarding the future behaviour of the AMOC under global warming. Model results suggest 28 29 that changes in the AMOC can impact surface air temperature, precipitation patterns and sea level, particularly in areas bordering the North Atlantic, thus affecting human populations. 30 Here current understanding of past, present and future change in the AMOC and the effects 31 32 of such changes on climate are reviewed. The focus is on observations of the AMOC, how the AMOC influences climate and in what way the AMOC is likely to change over the next 33 few decades and the 21st century. The potential for decadal prediction of the AMOC is also 34 35 discussed. Finally, the outstanding challenges and possible future directions for AMOC research are outlined. 36

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39 Capsule summary

The Atlantic meridional overturning circulation (AMOC) is a major component of the Earth's
climate system due its transport of heat; its future behaviour is uncertain.

42

44 1. Introduction

The future of the global climate system is uncertain and depends on the anthropogenic input 45 of CO₂ into the atmosphere (IPCC, 2007). One of the significant areas of uncertainty 46 highlighted in the most recent IPCC fourth assessment report is the future behaviour of the 47 Atlantic Ocean's meridional overturning circulation (AMOC¹; see figure 10.15 in IPCC, 48 2007). The AMOC consists of a near-surface, warm northward flow, compensated by a 49 50 colder southward return flow at depth. Heat loss to the atmosphere at high latitudes in the North Atlantic makes the northward-flowing surface waters denser, causing them to sink to 51 52 considerable depths. These waters constitute the deep return flow of the overturning circulation (see Figure 1). The AMOC is unusual in the world's ocean as it transports heat 53 northwards across the equator. The maximum northward oceanic heat transport occurs at 24°-54 26°N and is 1.3 PW (1PW = 10^{15} W) and accounts for ~25% of the total (atmosphere and 55 ocean) poleward heat transport at those latitudes (Hall & Bryden, 1982; Trenberth & Caron, 56 2001, Johns et al., 2011). As this oceanic heat is advected poleward, there is a strong transfer 57 of heat from the ocean to the atmosphere at mid-latitudes, contributing to the temperate 58 climate of northwest Europe. Future changes in the AMOC could therefore have significant 59 climatic impacts. In addition, such changes could affect the North Atlantic sink for CO₂ 60 (Schuster & Watson, 2007), the position of the Intertropical Convergence Zone, the Atlantic 61 storm track, rainfall (Vellinga & Wood, 2002) and marine ecosystems (Schmittner, 2005). 62 63

Despite its importance, and the uncertainty about its future behaviour, the AMOC has not been well observed until recently. The traditional approach for measuring the AMOC was using synoptic trans-ocean basin ship-based estimates of geostrophic velocities, calculated

¹ The MOC has at times been referred to as the thermohaline circulation (THC); that is, that part of the ocean circulation determined by changes in temperature and salinity – the two are not synonymous. The MOC is what can be determined in practice, as a zonal integral of the meridional velocity, whereas the THC is not directly measurable, but is related to one of the mechanisms involved in the overturning (see Kuhlbrodt et al., 2007).

from density, in turn obtained from temperature and salinity. This approach led to the most 67 highly sampled part of the AMOC being a section at ~24°N, with occupations in 1957, 1981, 68 1992, 1998 and 2004 (Bryden et al., 2005). A further occupation of this section occurred in 69 70 2010 (Atkinson et al., 2012; Frajka-Williams et al., 2011). Such serious undersampling means that any conclusions drawn about the past behaviour of the AMOC are subject to 71 considerable uncertainty (Cunningham et al., 2007; Kanzow et al., 2010). This paper will 72 discuss: the past and present behaviour of the AMOC in light of more recent observations; 73 the possible impacts of future changes; the potential for predicting future changes, 74 75 particularly on decadal time scales; and future directions for AMOC research. Further background on the AMOC may be found in the reviews of Kulhbrodt et al. (2007, 2009), 76 Lozier (2010, 2012) and a recent special issue of Deep-Sea Research (2011, volume 58, 77 78 issues 17-18). Kulhbrodt et al. (2007) discuss the driving processes of the AMOC – surface heat and freshwater fluxes, vertical mixing processes in the ocean interior, wind induced 79 upwelling in the Southern Ocean – so the reader is referred to that review for more on those 80 81 topics.

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83 2. What do we know about present and past changes in the AMOC?

In addition to the uncertainties regarding the future behaviour of the AMOC, a spur to 84 investigating the role of the AMOC in climate has been the paleoclimate record as captured 85 in ice cores and ocean sediments. Past rapid (in this context on the order of a decade) changes 86 in the climate have been linked to changes in the AMOC, leading to Broecker's (1991) 87 characterisation of the global MOC as the "great ocean conveyor" (see reviews of Clark et 88 al., 2002; Rahmstorf, 2002; Alley, 2007; Lynch-Stieglitz et al., 2007; and the recent special 89 issue of Global and Planetary Change, 2011, volume 79, issues 3-4, containing a range of 90 results from the RAPID programme paleo studies). That the circulation might have more than 91

one stable state has been known since Stommel's paper (1961; see also Longworth et al.,
2005), and potentially this could allow rapid switching between ocean circulation states under
external forcing (see section 4 below).

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A paper that bridges the gap between paleo observations and modern ones is that of 96 Boessenkool et al. (2007), which uses the paleo current proxy of "sortable" silt from a core 97 on the Reykjanes Ridge to examine the flow of Iceland-Scotland Overflow Water – one of 98 the sources of the deep limb of the AMOC – over the last 230 years. The authors show that 99 100 the flow correlates well with modern observations of salinity and with the North Atlantic Oscillation (NAO) on decadal timescales. The relationship between the NAO and the AMOC 101 via the deep overflows is one that remains to be determined, as the link between high latitude 102 103 deep flows and the AMOC is complex (Lozier, 2012). 104

The behaviour of the AMOC even further back in time has been examined using a variety of 105 paleo proxies (as discussed in detail by Alley, 2007). In particular, in addition to the possible 106 "on / off" modes characterised by Stommel (1961) paleo evidence suggests that there might 107 have been three modes of AMOC operation during the last glacial period. These are 108 characterised by Rahmstorf (2002, figure 2) as "warm", "cold" and "off". "Warm" 109 corresponds to the current AMOC configuration, "off" has no northward warm water flow at 110 111 the surface, while "cold" is a mode in which the AMOC exists but the surface warm waters do not penetrate as far north as the Nordic Seas, rather they sink and form a shallower return 112 flow south of Iceland. 113

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Most of the effort in paleo studies of the AMOC has focussed on periods covered by the
Greenland and Antarctic ice core records (e.g. Barker et al., 2011). Prior to the Holocene (the

last ~11,000 years), which has been relatively stable climatically, the ice core temperature 117 records (based on the oxygen-18 isotope proxy) show large fluctuations on short (decadal) 118 times scales. Some of these fluctuations are concurrent, to within dating errors, with changes 119 in proxies found in ocean sediments and indicative of AMOC changes (e.g. carbon-13 and -120 14, cadmium to calcium ratios in planktonic and benthic forminifera; sortable silt; Alley, 121 2007). Several of these changes are linked to so-called Heinrich events during the last ice 122 age, where icebergs calved from glaciers entered the North Atlantic and the additional 123 freshwater input changed the mode of operation of the AMOC (e.g. Hemming, 2004). Other 124 125 changes, such as the 8.2 kyear event during the Holocene and the Younger Dryas event, are thought to be linked to large outbursts of freshwater, from ice-dammed lakes in North 126 America, entering the North Atlantic and disrupting the AMOC, causing it to shutdown (e.g. 127 McManus et al., 2004; Alley & Ágústsdóttir, 2005; Wiersma & Renssen, 2006; Murton et al., 128 2010). The climatic impacts of these disruptions of the AMOC can be felt far afield (see 129 Figure 2 for the impacts of the 8.2 kyear; Alley & Ágústsdóttir, 2005). 130

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Perhaps the key insight to be gained from paleoclimatic reconstructions of the AMOC's past
behaviour is that it can be highly variable and its mode of operation can change on short
(decadal) time scales with significant climate impacts. A challenge is whether the climate
models in current use can reproduce such AMOC behaviour (Alley, 2003; Valdes, 2011).

Both the paleoclimate record and the 2001 IPCC assessment underline the need for
continuous observations of the AMOC, to better understand its role in the climate system, to
determine its behaviour and to test climate model predictions. This need led to the jointlyfunded UK-US RAPID AMOC observing system being deployed along latitude 26.5°N since

April 2004.² Rayner et al. (2011) give details of the system, of which the key components 141 are: 1) the Gulf Stream transport through the Florida Straits measured by seabed cable 142 (Baringer & Larsen, 2001; Meinen et al., 2010); 2) the Ekman transport calculated from wind 143 stress (originally from QuikScat winds until its demise in 2009; now from ERA-Interim 144 winds³); 3) mid-ocean transport measured by arrays of moorings at the eastern and western 145 boundaries, and the Mid-Atlantic Ridge. The first year of observations, Cunningham et al. 146 147 (2007) and Kanzow et al. (2007), showed that the system was able to monitor the AMOC on a 10-day basis. Doubts have been raised about the system's ability to measure the AMOC 148 149 because of the impact of mesoscale variability on the measurements (Wunsch, 2008), but observations and modelling studies by Bryden et al. (2009) and Kanzow et al. (2009) have 150 demonstrated that these doubts are unfounded. Figure 3 shows the time series of the AMOC 151 152 obtained to-date. Analysis of the first 4 years of data (Kanzow et al., 2010) showed that the AMOC at 26.5°N had a mean strength of 18.7 Sv (Sverdrup; $1 \text{ Sv} = 10^6 \text{ m}^3 \text{ s}^{-1}$) with 153 fluctuations of 4.8 Sv rms. The AMOC also showed a pronounced seasonal cycle with an 154 estimated peak-to-peak amplitude of 6.7 Sv. The study revealed that, contrary to the accepted 155 view, this seasonality is not dominated by the northward Ekman transport variability, rather it 156 is caused by fluctuations of the geostrophic midocean and Gulf Stream transports that are 157 significantly larger. The measurements suggested that the midocean transport seasonality is 158 159 driven by density anomalies at the eastern boundary (Chidichimo et al., 2010). Kanzow et al. 160 (2010) re-visited the Bryden et al. (2005) AMOC estimates, which were based on 5 hydrographic sections over 50 years, and showed that the apparent decline in the AMOC 161 could in large part be explained by aliasing of seasonal anomalies. By analysing the longer-162 163 term observations available for the Gulf Stream and Ekman components, these authors suggested that the seasonal cycle they had observed over 4 years might be representative of 164

² Currently funded until 2014

³ http://www.ecmwf.int/research/era/do/get/era-interim

its longer-term behaviour. However, the most recent data (see Figure 3) show that a clear
seasonal cycle is not evident in the 6th year of measurements and a dramatic change is
apparent in the AMOC during the winter of 2009 / 2010. For the time series to-date the mean
AMOC strength is 17.4 Sv, somewhat lower than the Kanzow et al. (2010) estimate based on
the first 4 years of observations. These observations have only recently become available and
the origins and effects of these changes are currently the subject of intense analysis.

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Another significant monitoring effort has been the MOVE array at 16°N (Kanzow et al., 172 173 2006), though this is limited to monitoring in the western basin and does not measure the full trans-basin overturning but only the deep southward flow (1200-4950m). Based on model 174 simulations, it assumes that virtually all of the long-term North Atlantic Deep Water 175 176 (NADW) southward flow occurs in the western basin, thus monitoring there is sufficient to determine the AMOC. From 10 years (2000-2009) of continuous observations, Send et al. 177 (2011) conclude that there has been a 20% (~3 Sv) reduction in the AMOC at 16°N. The 178 179 relationship between these changes at 16°N and the observations of the AMOC at 26.5°N is being actively investigated currently (see Figure 4). 180

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Further north, the Deep Western Boundary Current (DWBC), traditionally assumed to be the 182 183 deep return limb of the AMOC, has been monitored using moorings along "Line W" at 184 \sim (40°N, 70°W) (Toole et al., 2011). Over the period 2004-2008, the DWBC mean transport was -25.1±12.5 Sv (based on 5-day estimates; minus sign implies southward flow), with a 185 range of -3.5 to -79.9 Sv. Further north still, Fischer et al. (2010) have measured the DWBC 186 187 outflow from the Labrador Sea at 53°N using an array of current meters, deployed from 1997 to 2009. They estimate the outflow to be 35.5 ± 2.2 Sv, with a re-circulating component of 188 5.8 ± 1.5 Sv, leading to a total outflow of ~30Sv. The observations exhibit no trend in the 189

DWBC flow, but do show intra- and inter-annual variability. Traditionally the DWBC has
been considered a continuous flow along the western boundary of the N. Atlantic. However,
recent observations and modelling studies have challenged this view by identifying
significant "interior pathways" for the deep return flow of the AMOC at latitudes north of
~35°N (Bower et al., 2009; Lozier, 2010, 2012). This more complex flow means that
monitoring the AMOC at higher latitudes in the N. Atlantic becomes a greater challenge.

A novel approach to monitoring the AMOC proposed by Willis (2010; cf. Hobbs & Willis, 197 198 2012) involves combining Argo float observations with sea surface height observations from radar altimetry. Willis obtained estimates of the AMOC at 41°N of 15.5±2.4 Sv for the period 199 2004-2006 and found no significant trend over the period 2002 to 2009 (see also Figure 4). 200 201 Willis (2010) noted that this approach is limited to latitudes where the main upper ocean flows are in water depths of 2000m or greater, so allowing use of Argo. Such an approach 202 would not work at latitudes in the vicinity of 33°N where much of the Gulf Stream flow lies 203 204 on the broad continental shelf, nor at 26.5°N where it is confined to the Florida Straits.

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The observations discussed so far naturally lead to the question of whether AMOC changes 206 are coherent across latitudes. The answer determines whether observations at one or more 207 latitudes are required to characterise the AMOC. This question has been addressed primarily 208 209 through modelling studies, though work is currently underway to determine latitudinal coherence based on the observations described above (but only for the timescales over which 210 the observations overlap; see Figure 4). Kanzow et al. (2010) attempted to determine whether 211 212 the meridional scales of the observed seasonal AMOC anomalies are associated with eddies O(100km) or the larger-scale circulation O(1000km). They argued that the meridional scales 213 of the observed seasonal AMOC anomalies are associated with the O(1000km) length scale 214

of the observed wind stress curl, rather than being set by eddy scales. Model studies give 215 variable results concerning the latitudinal coherence of the MOC. For example, Bingham et 216 al. (2007) suggested a change in coherence across ~40°N when looking at the AMOC in z-217 coordinate space and concluded that monitoring north and south of that latitude is required to 218 219 characterise the AMOC. In contrast, Zhang (2010) showed, using density coordinates, that AMOC signals propagating from higher to lower latitudes have significant meridional 220 221 coherence. This coherence is related to the propagation of waves along the western boundary of the N. Atlantic as well as much slower advective signals (timescales of months and years 222 223 respectively; cf. Johnson & Marshall, 2002).

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225 **3.** How does the AMOC influence the ocean, the atmosphere and ecosystems?

Due to a lack of AMOC observations, the impacts of AMOC changes have been studied 226 using climate models. This has been done in several ways, including: a) applying an external 227 forcing to alter the strength of the AMOC, such as by adding freshwater to the North Atlantic 228 ("water hosing") to slowdown / shutdown the AMOC; b) attempting to unravel the impacts in 229 climate model projections of future change in which the AMOC slows down under 230 anthropogenic forcing; and c) analyzing AMOC variations and their climatic impacts 231 occurring as part of natural climate variability generated in long control simulations of 232 climate models. What follows focuses mainly on model results, though some limited 233 234 observational and paleoclimatic evidence is discussed too.

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The most direct impact of changes in the AMOC is on the heat transport of the ocean, with decreases in the AMOC leading to decreases in northward heat transport. This has been demonstrated in numerous modelling studies (e.g. Vellinga et al. 2002; Vellinga & Wood, 2008; Stouffer et al., 2006). In response there is an increased heat transport in the atmosphere

due to Bjerknes compensation (Shaffrey & Sutton, 2006), though this increase is distributed 240 globally and does not occur just over the N. Atlantic. The relationship between the AMOC 241 and ocean heat transport can now be assessed for the first time in observations as well as in 242 models. From the first 3.5 years of measurements from the AMOC observing system at 243 26.5°N, Johns et al. (2011) calculated the mean heat transport to be 1.33±0.4 PW for 10-day 244 averaged estimates. They found the meridional heat transport to be highly correlated with the 245 246 AMOC (though this will not necessarily be the case at other latitudes), with the overturning circulation accounting for $\sim 90\%$ of the total heat transport. The sensitivity of the heat 247 248 transport to changes in the MOC is ~0.06 PW/Sverdrup. These observational estimates provide an important test of climate models' ability to reproduce the AMOC and associated 249 changes in meridional heat transport. Recent work by Msadek et al. (2012) has shown how 250 251 the observations can be used to determine biases in the ocean heat transport in two coupled climate models, and to diagnose how these are related the models' overturning and gyre 252 components of heat transport. In addition, they show that the fluctuations in the models' 253 254 overturning heat transport at 26.5°N are mainly due to Ekman variability, while geostrophic variability plays a much larger role in the RAPID observations. 255

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257 Changes in freshwater transport have been studied less but are related to the potential bi-

stability of the AMOC, so will be discussed in the next section (below).

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If the AMOC transports less heat northward this will impact sea surface temperatures (SST)
and near-surface air temperatures (SAT) and these effects are seen "hosing" experiments (e.g.
Vellinga & Wood, 2002; Stouffer et al., 2006) and climate change predictions (IPCC, 2007).
Broadly speaking, an AMOC weakening will lead to a cooling over the North Atlantic and
adjacent land regions, or to a reduction in the rate of temperature increase associated with

global warming. A weakened AMOC is typically accompanied by a slight warming of the 265 southern hemisphere, though details differ between models. This pattern of SST changes is 266 also present in the observed Atlantic Multi-decadal Oscillation (AMO) as deduced from SST 267 observations (Knight, 2009) and paleoclimate records (Delworth and Mann, 2000). The 268 AMO, also sometimes referred to as Atlantic Multidecadal Variability (AMV), has been 269 linked in modelling studies to changes in the AMOC (e.g. Delworth & Mann, 2000; Knight et 270 271 al., 2005), though again models differ considerably in the timescale of the AMO that they reproduce (Knight, 2009). Sutton & Hodson (2005), from observations, showed evidence of 272 273 the AMO modulating the North American and European boreal summer climate on multidecadal timescales. 274

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276 The large-scale SST changes in turn lead to clear atmospheric responses. Jacob et al. (2005) using a higher resolution embedded climate model over Europe found more and stronger 277 winter storms crossing the Atlantic on a more northerly track for a weaker AMOC. Brayshaw 278 279 et al. (2009) have shown that (forced) weakening of the AMOC leads to changes in the N. Atlantic storms, particularly to storm intensification, and to a northward shift and a deeper 280 penetration of storms into Europe (see Figure 5). They also found an increase in westerly 281 winds speeds and a weakening of easterly trade winds with an AMOC weakening. Most 282 recently Woollings et al. (2012), in an analysis of climate models, have shown that half the 283 284 model differences in the storm track response under anthropogenic forcing – strengthening and extension into Europe – is associated the differences in weakening of the AMOC. They 285 analyse results from both coupled ocean-atmosphere and slab ocean-atmosphere models for 286 287 their study. They also find that the low-level zonal wind response is decoupled from the storm track response. 288

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An impact that is observed across different models in response to an AMOC weakening is the 290 southward movement of the InterTropical Convergence Zone (ITCZ) and associated changes 291 in precipitation (e.g. Vellinga & Wood, 2002; Stouffer et al., 2006). Through changes to the 292 ITCZ, AMOC signals are felt throughout the global tropics, including the Asian and Indian 293 monsoon regions (Zhang & Delworth, 2006). A corresponding reduction in rainfall is found 294 at mid-latitudes in the northern hemisphere, though regional effects differ in different models 295 296 (e.g. Jacob et al., 2005; Vellinga & Wood, 2008; Kuhlbrodt et al., 2009). Linkages have also been found between patterns of Atlantic SST variability (hypothesized to be linked to the 297 298 AMOC) and drought over North America (McCabe et al, 2004), as well as rainfall over the African and Indian monsoon regions (Zhang & Delworth, 2006). 299

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Sea level changes under anthropogenic forcing are well established (IPCC, 2007), but the weakening of the AMOC could also impact sea level. Model results suggest that such impacts could lead to rises of O(1m) around the periphery of the N. Atlantic (e.g. Levermann et al., 2005; Yin et al., 2009, Pardaens et al., 2011), which would be compensated by a drop in sea level in the Southern Ocean. Such changes in sea level are related to changes in circulation, particularly in the subpolar gyre (e.g. Häkkinen & Rhines, 2004; Lozier et al., 2010).

While the main focus of recent studies has been on the impact of AMOC variability on climate, increasingly attention is shifting to the impact of AMOC variability on marine biogeochemistry, specifically on how changes in AMOC may impact the uptake and redistribution of CO₂. The North Atlantic is a strong sink for atmospheric carbon dioxide (Takahashi et al., 2009): the deep storage of anthropogenic carbon in this basin dominates the global storage (Sabine et al., 2004). Such deep storage is attributed to the meridional overturning that transports the surface waters, rich in carbon, to depth, where they are

distributed throughout the basin via the lower limb of the overturning. Therefore, changes to 315 the overturning would affect the sequestration of carbon at depth in the ocean. 316 A modelling study has demonstrated the linkage between AMOC variability and carbon 317 export production (Schmittner, 2005): the sensitivity of global primary productivity to 318 AMOC variability is expressed via changes in the delivery of nutrients. In addition, AMOC 319 variability is expected to impact the air-sea CO₂ flux in the northern North Atlantic since this 320 321 flux is impacted by the northward flow of warm water into the subpolar basin. While recent studies have shown that the North Atlantic air-sea CO₂ flux exhibits large interannual 322 323 variability (Schuster and Watson, 2007; Watson et al., 2009), the linkage to AMOC variability remains unknown. In the years ahead, a focus on determining how AMOC 324 variability constrains CO₂ uptake in the subpolar North Atlantic is of paramount importance. 325

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327 The impact of AMOC variability on terrestrial biogeochemistry has also received some recent attention. Model ensemble simulations that reduce the AMOC strength show that 328 changes in ocean circulation affect land as well as ocean biogeochemical cycles (Bozbiyik et 329 al., 2011). For example, an AMOC shutdown due to freshwater perturbations displaces the 330 ITCZ southward, an effect that reduces terrestrial carbon stocks in northern Africa and 331 northern South America (Menviel et al., 2008). Obata (2007) using a coupled climate-carbon 332 333 cycle model found different responses if the AMOC was shutdown due to the input of 334 freshwater in pre- (1850) and post-industrial (2100) scenarios. The response of the terrestrial vegetation was similar, a reduction in net primary production due to cooling and decreased 335 precipitation, leading to less carbon uptake on land. In contrast the ocean carbon cycle 336 response differed under the two scenarios. In the pre-industrial case the ocean taking up more 337 CO₂, while in the post-industrial case less (see Obata, 2007, for a detailed discussion of the 338 reasons for the different responses). With regard to the future response of terrestrial 339

ecosystems to changes in the AMOC the response can, at best, be described as uncertain
(Higgins & Vellinga, 2003; Kuhlbrodt et al., 2009).

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343 **4.** How will the AMOC change over the next few decades and the 21st century?

The IPCC (2007) assessment concluded that, "Based on current simulations, it is very likely 344 that the Atlantic Ocean Meridional Overturning Circulation (MOC) will slow down during 345 346 the course of the 21st century. A multimodel ensemble shows an average reduction of 25% with a broad range from virtually no change to a reduction of over 50% averaged over 2080 347 to 2099." (cf. Schmittner et al., 2005) In addition, the assessment noted that, "It is very 348 unlikely that the MOC will undergo a large abrupt transition during the course of the 21st 349 century."⁴ However, the climate models used in the assessment have relatively low ocean 350 351 resolution O(1°) and do not include all relevant physical processes (e.g. Greenland melting; Swingedouw et al., 2006, Jungclaus et al., 2006; Hu et al, 2011), hence the conclusions are 352 subject to some uncertainty. An additional complicating factor is that the AMOC may 353 respond differently to changes in greenhouse gas versus changes in aerosols (Delworth & 354 Dixon, 2006), and so future AMOC evolution may depend significantly on the details of 355 future emissions, including aerosols. As has been noted many times, it is possible that current 356 climate models, with their relatively coarse resolution, may not be able to reproduce the rapid 357 climate fluctuations found in the paleo record (Alley, 2003; Valdes, 2011). This uncertainty, 358 359 together with the potential climatic impacts of AMOC changes, have stimulated attempts to predict changes in the AMOC on decadal time scales. 360

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362 Decadal climate prediction is in its infancy (Meehl et al., 2009; Solomon et al., 2011), but the
 363 importance of the AMOC for decadal predictions has emerged in many studies (e.g.

⁴ Here *very likely* means >90% probability and *very unlikely* means <10% probability.

Pohlmann et al., 2009; Dunstone & Smith, 2010). The potential predictability of the AMOC, 364 and therefore of its climate impacts, has been known for some time from modelling studies 365 (see recent review of Latif & Keenlyside, 2011, and references therein), but the hurdles to 366 overcome in order to make accurate predictions are formidable. Unlike weather forecasting, 367 which is an initial value problem, and climate prediction, which is a boundary value problem; 368 decadal prediction is both an initial and boundary value problem. Initialising the ocean 369 370 component of a coupled climate model is a major challenge given the limited ocean observations available until recently⁵ and the uncertainties associated with ocean re-analyses 371 372 (e.g. Munoz et al., 2011; Pohlmann et al., 2009). Furthermore, uncertainty in predictions is dominated by internal variability, whose mechanisms are not well understood, and by model 373 uncertainty (Hawkins & Sutton, 2009). The latter encompasses issues such as: model 374 resolution (e.g. Hodson & Sutton, 2011; Zhang et al., 2011), parameterisations, processes or 375 376 forcings included / excluded (e.g. melting of Greenland). For example, the so-called Agulhas leakage, transporting heat and salt from the Indian Ocean to the Atlantic Ocean by Agulhas 377 eddies, is known to be important for the AMOC (Biastoch et al., 2008, 2009), but is not 378 captured in most climate models, due to failure to resolve or parameterise the eddies. 379

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With regard to internal variability much of the current discussion centres on the AMO (*aka* AMV). Recently, using observations, Häkkinen et al. (2011) have linked changes in AMV to decadal variability in atmospheric blocking in winter, with possible feedbacks to the AMOC. AMO predictions have been used to forecast the future behaviour of the AMOC (e.g. Knight et al., 2005, and Mahajan et al., 2011, both forecast a weakening), but these predictions are model dependent. For example, Msadek et al. (2010) found predictability of the AMOC up to 20 years, most likely related to the fact that the model used in the study exhibits a significant

⁵ Data from satellite altimetry and Argo floats are beginning to improve this situation.

peak in the spectrum of AMOC variability at around 20 years. Using a different model 388 Hermanson & Sutton (2009) found predictability of only a few years. The key issue is how to 389 verify predictions and that requires adequate long-term observations of the AMOC. At the 390 391 moment the AMOC observational time series (Figures 3 & 4) is only long enough to compare with high frequency variability in models (Baehr et al., 2009; Sarojini et al., 2011). Very 392 recently Matei et al. (2012) have made multi-year monthly mean predictions of the AMOC 393 and demonstrated predictability of up to 4 years at 26.5°N in conjunction with the 394 observations. 395

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Of course, predictability of the AMOC does not guarantee the predictability of the heat 397 transport, possibly the more climatically relevant quantity, as shown in a recent model study 398 399 by Tiedje et al. (2012). They find that the potential predictability of the heat transport in the subtropical gyre is closely linked to the potential predictability of the AMOC, which is 400 consistent with the high correlation of the two in the 26.5°N observations (Johns et al., 2011). 401 402 In contrast, in the subpolar gyre the potential predictability of the heat transport is linked to that of the gyre circulation. Interestingly, they find that the timescale of potential 403 predictability of the heat transport in both gyres is O(10 years), but the underlying 404 mechanisms differ. The study relies on a single model and again observations are lacking that 405 406 could confirm the results for the subpolar gyre.

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A final question about the future behaviour of the AMOC is whether the system is in a monostable or a bi-stable regime, with the potential for abrupt collapse, a possibility suggested by
the paleo data (Alley, 2007). The bifurcation properties of ocean-only models have been
explored using continuation techniques in a series of papers by Dijsktra and co-workers (e.g.
Dijkstra, 2007). A key diagnostic of mono-/bi-stability that has been found in many model

studies (e.g. de Vries & Weber, 2005; Cimatoribus et al., 2012) is the salinity (or equivalently 413 freshwater) flux across a zonal section across the S. Atlantic between Africa and S. America 414 (typically at a latitude near 30°S). If the AMOC transports freshwater southward across the 415 section then the system is in a bi-stable regime, because an assumed AMOC decrease would 416 cause reduction of this freshwater export and thus an overall freshening of the Atlantic, 417 potentially causing a further weakening of the AMOC and thereby constituting a 418 419 destabilizing feedback. Unfortunately, due to computational cost, it is difficult to apply the continuation techniques to coupled climate models, though some progress has recently been 420 421 made (den Toom et al.; 2012). An alternative approach is that of Hawkins et al. (2011), who explore the bi-stability of the AMOC in a low-resolution climate model, which allows them 422 to run the model to equilibrium for different scenarios. Hawkins et al. (2011) found hysteresis 423 424 behaviour for the AMOC, and transition from a mono- to a bi-stable regime (similar 425 behaviour has been found in intermediate complexity models previously; Rahmstorf et al., 2005). Again this behaviour was found to depend on the sign of the freshwater flux in the S. 426 Atlantic. They noted that existing observation-based estimates, most recently those by 427 Bryden et al. (2011), and ocean re-analyses have shown that the AMOC is exporting 428 freshwater southwards and so the system could be bi-stable. However, most unconstrained 429 climate model simulations have the freshwater flux in the opposite direction, making them 430 431 potentially mono-stable and unable to allow a collapse of the AMOC (Drijfhout et al., 2011). 432 This might explain why climate models appear too stable as compared with the paleo record (Alley, 2003; Valdes, 2011). The mono-/bi-stability of the AMOC could be significantly 433 influenced by recent changes in the Agulhas leakage (Biastoch et al., 2009). Knowing 434 whether the AMOC is in a mono- or bi-stable regime may be useful in diagnosing the 435 limitations of current climate models, but it does not in itself help in determining when a 436 collapse of the AMOC is likely to occur. 437

| 439 | 5. Conclusions and future challenges |
|-----|--|
| 440 | The key conclusions from the above are: the importance of the AMOC for the climate is |
| 441 | paramount; there is a pressing need for sustained observations of the AMOC and associated |
| 442 | heat transport; and the potential predictability of the AMOC and therefore of its climate |
| 443 | impacts needs further study. The second conclusion, unsurprisingly, agrees with the White |
| 444 | Paper presented at the OceanObs'09 conference on AMOC observing systems by |
| 445 | Cunningham et al. (2010), and also with the US AMOC strategy document (US CLIVAR |
| 446 | AMOC Planning Team, 2007). The observational challenges this poses are: |
| 447 | • how to sustain the existing observing systems, such as RAPID at 26.5°N, MOVE at |
| 448 | 16°N, Line W at ~40°N and the Labrador Sea outflow array at 53°N, for timescales longer |
| 449 | than a decade; |
| 450 | • where and how to deploy observing systems in the subpolar N. Atlantic and the |
| 451 | subtropical S. Atlantic; |
| 452 | • how to take advantage of new technologies such as gliders and Argo floats. |
| 453 | With regard to the second of these challenges, a system for monitoring the subpolar gyre |
| 454 | (OSNAP – Observing the Subpolar North Atlantic Programme) is currently being planned by |
| 455 | an international group of oceanographers. For the South Atlantic the SAMOC group have |
| 456 | been developing plans for a monitoring system (Speich et al., 2010; Garzoli & Mantano, |
| 457 | 2011). The third challenge is one for the longer-term, as at present gliders have an operating |
| 458 | limit of 1000m and Argo floats 2000m, which severely restricts their ability to measure the |
| 459 | deep circulation. Furthermore, the transition from moorings to newer technologies will |
| 460 | require overlapping measurements using both systems, with a concomitant increase in costs |
| 461 | in the short term. |
| 462 | |

463 With regard to decadal predictability and predictions, the most important challenges are:

464 • understanding the mechanisms responsible for natural variability and the response to
 465 radiative forcings

• improving model fidelity in representing the relevant processes

467 • initialisation of the predictions

• evaluation of the predictions

469 With regard to initialisation, the continually improving blend of observations (e.g. from Argo and satellite altimetry) and ocean state estimation should lead to better initial conditions for 470 471 decadal forecasts of the AMOC, heat transport and the climate impacts. However, every change in the observing system poses the challenge of how to make use of the data 472 effectively (see Zhang et al., 2007). The need to evaluate predictions leads back to the 473 474 requirement to continue the existing observations (RAPID, MOVE, Line W, 53°N) and to 475 extend these to other latitudes in the Atlantic. This is perhaps the major challenge if we are to understand the role of the AMOC in climate and accurately predict future changes and their 476 impacts. The recent dramatic, and as yet unexplained, changes observed in the AMOC 477 (Figure 3) add impetus to this challenge. 478

479

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486

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785 Figure captions

1. A simplified schematic of the Atlantic Meridional Overturning Circulation (AMOC) 786 showing both the overturning and gyre re-circulation components. Warm water flows north in 787 the upper ocean (red), gives up heat to the atmosphere (atmospheric flow gaining heat 788 represented by changing colour of broad arrows), sinks and returns as a deep cold flow 789 (blue). Latitude of the 26.5°N AMOC observations is indicated. Note that the actual flow if 790 more complex. For example, see Bower et al. (2009) figure 1 for the intermediate depth 791 circulation in the vicinity of the Grand Banks, and Biastoch et al. (2008) figure 2 for the mid-792 793 depth circulation around S. Africa, showing importance of eddies in transferring heat and salt from the Indian Ocean to the Atlantic Ocean. 794 2. Climate anomalies, determined from paleo proxies, associated with the so-called 8.2 kyear 795 796 event (aka 8 kyear event) that occurred approximately 8200 years ago; paleo evidence suggests that the AMOC was disrupted by a freshwater outburst into the North Atlantic from 797 an iced damned lake in North America (after Figure 1 of Alley & Ágústsdóttir, 2005). 798 3. 26.5°N AMOC time series for April 2004 to Dec 2011, measured in Sverdrups (1 Sv = 10^6 799 $m^3 s^{-1}$). Red – 10-day averaged values; black – 6-month low pass filtered values. Note 800 unexpected and as yet not fully understood significant decrease in the winter of 2009/10. 801 4. Time series of the AMOC from 26.5°N (red; RAPID data), from 41°N (black; based on 802 Argo and altimetry, courtesy of Josh Willis) and 16°N (blue; MOVE data courtesy of Torsten 803 Kanzow), measured in Sverdrups (1 Sv = $10^6 \text{ m}^3 \text{ s}^{-1}$). The temporal resolution of the three 804 time series is ten days for 16°N and 26.5°N and one month for 41°N. Here the data have been 805 three month low-pass filtered and the means and standard deviations are of the low-pass time 806 series. The RAPID array monitors the top-to-bottom Atlantic wide circulation, ensuring a 807 closed mass balance across the section, and hence a direct measure of the upper and lower 808 limbs of the AMOC. 41°N is an index of maximum AMOC strength from ocean in situ Argo 809

| 810 | float measurements in the upper 2000 m combined with satellite altimeter data. The lower |
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| 811 | limb is not measured. MOVE at 16°N measures the North Atlantic Deep Water in the lower |
| 812 | limb of the AMOC (1200-4950m depth) between the Caribbean and the mid-Atlantic Ridge. |
| 813 | See Cunningham et al. (2007), Send et al. (2011) and Willis (2010) for details of the |
| 814 | measurements. |
| 815 | 5. Variance of the 2-6 day band-passed filtered mean sea level pressure (units of 10^5 Pa^2), an |
| 816 | indicator of storm track position and strength, for the winter season (DJF) in a control run |
| 817 | (left) and a "hosing" run (right) of the HadCM3 model (plots courtesy of David Brayshaw). |
| 818 | The freshwater hosing shuts down the AMOC leading to an intensification of the storm track, |
| 819 | a northward shift and deeper penetration into Europe (for details see Brayshaw et al., 2009, |
| 820 | who calculated the storm track behaviour based on the HadCM3 experiments of Vellinga & |
| 821 | Wu, 2008). |



Figure 1. A simplified schematic of the Atlantic Meridional Overturning Circulation 824 (AMOC) showing both the overturning and gyre re-circulation components. Warm water 825 flows north in the upper ocean (red), gives up heat to the atmosphere (atmospheric flow 826 gaining heat represented by changing colour of broad arrows), sinks and returns as a deep 827 cold flow (blue). Latitude of the 26.5°N AMOC observations is indicated. Note that the actual 828 flow if more complex. For example, see Bower et al. (2009) figure 1 for the intermediate 829 depth circulation in the vicinity of the Grand Banks, and Biastoch et al. (2008) figure 2 for 830 the mid-depth circulation around S. Africa, showing importance of eddies in transferring heat 831 and salt from the Indian Ocean to the Atlantic Ocean. 832



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Figure 2. Climate anomalies, determined from paleo proxies, associated with the so-called

837 8.2 kyear event (*aka* 8 kyear event) that occurred approximately 8200 years ago; paleo

evidence suggests that the AMOC was disrupted by a freshwater outburst into the North

839 Atlantic from an iced damned lake in North America (after Figure 1 of Alley & Ágústsdóttir,

- 840 2005).
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Figure 3. 26.5°N AMOC time series for April 2004 to Dec 2011, measured in Sverdrups (1

844 $Sv = 10^6 \text{ m}^3 \text{ s}^{-1}$). Red – 10-day averaged values; black – 6-month low pass filtered values. 845 Note unexpected and as yet not fully understood significant decrease in the winter of

846 2009/10.



848 Figure 4. Time series of the AMOC from 26.5°N (red; RAPID data), from 41°N (black; based on Argo and altimetry, courtesy of Josh Willis) and 16°N (blue; MOVE data courtesy 849 of Torsten Kanzow), measured in Sverdrups (1 Sv = $10^6 \text{ m}^3 \text{ s}^{-1}$). The temporal resolution of 850 the three time series is ten days for 16°N and 26.5°N and one month for 41°N. Here the data 851 have been three month low-pass filtered and the means and standard deviations are of the 852 low-pass time series. The RAPID array monitors the top-to-bottom Atlantic wide 853 circulation, ensuring a closed mass balance across the section, and hence a direct measure of 854 the upper and lower limbs of the AMOC. 41°N is an index of maximum AMOC strength 855 from ocean *in situ* Argo float measurements in the upper 2000 m combined with satellite 856 altimeter data. The lower limb is not measured. MOVE at 16°N measures the North Atlantic 857 Deep Water in the lower limb of the AMOC (1200-4950m depth) between the Caribbean 858 and the mid-Atlantic Ridge. See Cunningham et al. (2007), Send et al. (2011) and Willis 859 (2010) for details of the measurements. 860



Figure 5. Variance of the 2-6 day band-passed filtered mean sea level pressure (units of 10⁵
Pa²), an indicator of storm track position and strength, for the winter season (DJF) in a
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