2 Simulated Impacts of Two Types of ENSO Events on Tropical Cyclone Activity in the 3 Western North Pacific: Large-Scale Atmospheric Response 4 Chunxiang Li ^{1 & 2} 6 Chunxiang Li ^{1 & 2} 7 Chunzai Wang ³ 8 Chunzai Wang ³ 9 ¹ Key Laboratory of Regional Climate-Environment Research for East Asia, 10 Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing, China 11 Chinese Academy of Sciences 12 ² LASG/Institute of Atmospheric Physics 13 Chinese Academy of Sciences 14 Beijing, China 15 Institute Oceanographic and Meteorological Laboratory 16 ³ NOAA Atlantic Oceanographic and Meteorological Laboratory 17 Miami, Florida 18 U. S. A. 19 Institute Dynamics
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Abstract

2 The present paper uses an atmospheric general circulation model to explore large-scale 3 atmospheric response to various ENSO events associated with tropical cyclone (TC) activity in 4 the western North Pacific. The simulated response is basically consistent with and confirms the 5 observed results. For eastern Pacific warm (EPW) event, anomalously wet ascent occurs over 6 the tropical central/eastern Pacific and dry descent is over the western Pacific. This Walker 7 circulation is associated with anomalous low-level convergence, reduced vertical wind shear 8 (VWS), and enhanced genesis potential index (GPI) in the southeast sub-region. These are 9 consistent with the observed increase of the TC formation in the southeast sub-region but 10 decrease in the northwest sub-region during July to September (JAS) and the increase in the 11 southwest and northwest sub-regions during October to December (OND). In addition, the 12 strong westerly anomalies of the TC steering flow prevail in the East Asian coast, suppressing 13 the TC northwestward or westward tracks. For eastern Pacific cold (EPC) event, all of the 14 simulated variables show almost a mirror image of EPW. For central Pacific warm (CPW) 15 event, the anomalous Walker circulation shifts westward because of the westward shift of the 16 maximum SST anomaly forcing. The anomalous subsidence associated with the western 17 branch of the Walker circulation during OND shifts northward to the South China Seas, resulting 18 in a decrease of the TC genesis there. The TC steering flow patterns during JAS are favorable 19 for TCs to make landfall over Japan and Korea. Compared with EPC, the descending motion in 20 the central/eastern Pacific is much stronger for central Pacific cold (CPC) event, accompanied by 21 more enhanced VWS and reduced GPI in the southeast sub-region. Therefore, CPC provides a 22 more adverse environment to the TC formation there during JAS and OND, consistent with the 23 observed decrease of TC formation there. Moreover, the easterly anomalies of the TC steering 24 flow dominate the tropics during JAS, enhancing TC activity in the east coast of China. 25 Additionally, the convection over the western Pacific moves to the South China Sea during OND, 26 favoring the TC genesis there.

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- 28

1 1. Introduction

2 El Niño-Southern Oscillation (ENSO) is regarded as one of the most important climate 3 phenomena affecting tropical cyclone (TC) activity (e.g., Chan 2000; Wang and Chan 2002; Wu et al. 2004; Camargo and Sobel 2005; Zhao et al. 2010). Recently, ENSO events have been 4 5 separated into two types due to the different spatial distribution of the maximum sea surface 6 temperature (SST) anomalies (Larkin and Harrison 2005; Ashok et al. 2007; Yu and Kao 2007; 7 Kao and Yu 2009; Yu and Kim 2010). For eastern Pacific ENSO events (also called canonical 8 or conventional ENSO events), the maximum SST anomalies are generally located in the cold 9 tongue region of the eastern Pacific. In contrast, central Pacific ENSO events (also called 10 ENSO Modoki or Dateline ENSO) are characterized by the maximum SST anomalies in the 11 central Pacific further west than canonical ENSO. The two types of ENSO events appear to 12 induce distinct climatic and synoptic variability in various regions around the globe (e.g., Weng 13 et al. 2007; Ashok and Yamagata 2009; Cai and Cowan 2009; Wang and Wang 2013). Wang et 14 al. (2014) provide an ENSO overview including the two types of ENSO events and their 15 different climate impacts and mechanisms. 16 Many studies have investigated the impacts of eastern and central Pacific ENSO events on 17 TC activity (e.g., Kim et al. 2009; Lee et al. 2010; Chen and Tam 2010; Chen 2011; Kim et al. 18 2011; Larson et al. 2012; Zhang et al. 2012; Wang and Wang 2013). Wang et al. (2013) further 19 explored the different impacts of eastern Pacific warm/cold (EPW/EPC) and central Pacific 20 warm/cold (CPW/CPC) events on TC activity in the western North Pacific (WNP) during 21 different seasons. Based on the analyses of observational data, they made several conclusions.

1	First, during the early season (April to June, AMJ), EPW (EPC) is associated with a significant
2	increase of the TC genesis number in the southeastern (southwestern) sub-region of the WNP.
3	Meanwhile, EPW is associated with the TC steering flow patterns favoring TCs to take the
4	recurving track and suppress the straight westward and northwestward tracks. Second, during
5	the peak season (July to September, JAS), EPW corresponds to a significant enhancement
6	(reduction) of the TC genesis in the southeastern (northwestern) sub-region, but CPW shows no
7	significant change. EPC increases the TC genesis in the northwestern and northeastern
8	sub-regions, but decreases the TC number in the southwestern portion. CPC suppresses the TC
9	genesis in the southeastern sub-region (Fig. S1a). Moreover, EPW is associated with the TC
10	steering flows which are not favorable for TCs to move northwestward or westward, whereas
11	CPW favors the northwestward track and prevents the straight westward track (Fig. S2). Third,
12	during the late season (October to December, OND), EPW reduces the TC genesis in the
13	southwestern and northwestern sub-regions, while EPC (CPW) enhances the genesis in the
14	northwestern (southeastern) sub-region. Over the South China Sea, CPW and CPC show a
15	significant decrease and increase of the TC genesis, respectively (Fig. S1b). Furthermore, the
16	TC steering flow patterns during OND are similar to those during JAS, except that EPC may
17	increase the possibility of the northwestward track (Fig. S3).
18	Due to the limited ENSO events (particularly for CPW/CPC events) during the period of
19	1950-2009 and the uncertainties of the observed data sets especially the TC data, it is necessary
20	and important to use model experiments to confirm the observed results presented in Wang et al.
21	(2013). The present paper is an extended work of the data analyses of Wang et al. (2013) by

1	using an atmospheric general circulation model to study the response of large-scale
2	environmental factors to two types of ENSO events associated with TC activity in the WNP.
3	As the variability of the TC genesis and track during AMJ is relatively weak, this paper mainly
4	focuses on two seasons of JAS and OND. Section 2 describes the data sets and numerical
5	model as well as experiment designs used in this study. Section 3 demonstrates the model
6	response of large-scale environmental factors that affect TC activity in the WNP. Section 4
7	shows the model response of the TC steering flow, and Section 5 presents the model response of
8	the Walker circulation. Finally, a summary is given in Section 6.
9	
10	2. Data sets and model experiment designs
11	Monthly SST from the Hadley Centre Global Sea Ice and Sea Surface Temperature
12	(HadISST) for the years between 1950 and 2009 on a 1° latitude-longitude grid (Rayner et al.
13	2003) is used to force the atmospheric model. Atmospheric data set is the updated National
14	Centers for Environment Prediction (NCEP)/National Center for Atmospheric Research (NCAR)
15	reanalysis with a spatial resolution of $2.5^{\circ} \times 2.5^{\circ}$ (Kalnay et al. 1996), which is used to compare
16	with model results. Based on the TC data from China Meteorological Administration (CMA),
17	we also show some of the observed TC activity and the association of TC activity with the two
18	types of ENSO events in Appendix 1 and Appendix 2.
19	The atmospheric general circulation model (AGCM) used in this study is the ECHAM5
20	developed by the Max Planck Institute for Meteorology (Roeckner et al. 2003). It is a global
21	spectral model with a triangular spectral truncation of the spherical harmonics at zonal

1	wavenumber 42 (T42), roughly equivalent to 2.8° latitude by 2.8° longitude. The model is
2	vertically divided into 19 levels in a hybrid sigma-pressure layers from the surface to 10 hPa.
3	In our observational paper (Wang et al. 2013), the Nino3 (5°N-5°S, 150°W-90°W) and
4	Nino4 (5°N-5°S, 160°E-150°W) SST anomalies as well as SST spatial distribution are used to
5	describe EP and CP warm/cool events. EPW (EPC) events are associated with the maximum
6	SST anomalies in the eastern Pacific and require that the 5-month running means of SST
7	anomalies in the Nino3 region exceed 0.5°C (-0.5°C) for at least 6 months. CPW (CPC) events
8	are defined if the 5-month running mean Nino4 SST anomalies are +0.5°C (-0.5°C) or higher
9	(lower) for six consecutive months or longer and the maximum SST anomalies are located in the
10	central Pacific. Based on these criteria and the SST data from 1950 to 2009, 8 EPW years
11	(1951, 1957, 1965, 1972, 1976, 1982, 1987, 1997), 8 EPC years (1955, 1964, 1967, 1971, 1984,
12	1985, 1988, 2007), 5 CPW years (1969, 1991, 1994, 2002, 2004) and 5 CPC years (1973, 1975,
13	1989, 2000, 2008) can be identified. The composites of SST anomalies for EPW, EPC, CPW
14	and CPC events during the mature phase of ENSO are shown in Fig. 1.
15	To examine the response of various ENSO events, we conduct five sets of model
16	experiments: CTRL, EPW, EPC, CPW, and CPC runs. In the CTRL run, the model is globally
17	forced by the monthly climatological SST. In the EPW/EPC (CPW/CPC) experiments, the
18	composited 12-monthly SST anomalies for the EPW/EPC (CPW/CPC) events are added to the
19	climatological SST in the tropical Pacific (from 30°S to 30°N between 105°E and the coast of
20	Americas), while the climatology is applied in the rest of global ocean. For each set of
21	simulations, the model is integrated for 20 years. The first two years of outputs are discarded to

1	exclude any possible transient spinup effects. A time mean is then calculated by averaging the
2	output of the remaining 18-year for each season. On the assumption that each year is
3	statistically independent, this is equivalent to an ensemble mean with 18 members. To examine
4	the effect of ENSO events, we calculate the differences between the individual model run of
5	various ENSO events and the CTRL run.
6	
7	3. Simulated response of large-scale environmental factors
8	TC activity is influenced by large-scale dynamical (e.g., low-level vorticity and vertical
9	wind shear) and thermodynamical (e.g., atmospheric stability and mid-level moisture) factors
10	(e.g., Gray 1979). In this section, we first examine the model response of dynamical factors to
11	various ENSO events: the relative vorticity and wind at 850 hPa, and the vertical wind shear.
12	We then focus on the simulated genesis potential index – the combination of all large-scale
13	environmental factors. Since both atmospheric circulation in the WNP and ENSO vary
14	seasonally and most of TCs occur in the summer and fall, we focus on the model response during
15	July-September (JAS) and October-December (OND).
16	
17	3.1 Relative vorticity and wind at 850-hPa

18 The western North Pacific subtropical high (WNPSH) is an important phenomenon for 19 influencing atmospheric circulation in the WNP. The mean anticyclonic circulation associated 20 with the WNPSH is clearly seen in JAS and OND, with the easterly trade and westerly winds in 21 its southern and northern sides, respectively (Figs. 2a, 3a). The WNPSH shifts equatorward and

1	westward in OND. Over the South China Sea (SCS), the southwesterly wind during JAS
2	switches into the northeasterly winter monsoon during OND. Associated with these mean wind
3	distributions are the positive mean relative vorticity in the tropical region and the negative mean
4	relative vorticity in the subtropical region. The CTRL model run does a reasonably good job in
5	simulating the climatological atmospheric circulation in the WNP (Figs. 2b, 3b). However, the
6	simulated WNPSH is slightly stronger than that of the NCEP-NCAR reanalysis. The simulated
7	distributions of positive and negative relative vorticity are basically similar to the reanalysis.
8	As shown in Table 1, the pattern correlations of the relative vorticity between the CTRL run and
9	the NCEP-NCAR reanalysis are 0.53 and 0.84 during JAS and OND, respectively.
10	The difference between the EPW and CTRL runs shows strong westerly wind anomalies
11	south of 14°N associated with the returned branch of the Walker circulation induced by El Niño
12	event (Figs. 2c, 3c). The maximum westerly anomalies are located around 10°N in the west of
13	the WNP and shift equatorward toward the east. The anomalous anticyclone in the subtropical
14	WNP (Weisberg and Wang 1997; Wang et al. 1999) is also simulated in the EPW run, especially
15	during OND. These wind patterns produce a vorticity distribution that shows a band of positive
16	relative vorticity anomalies spanning from the southeastern (SE) sub-region to the south coast of
17	China and being flanked by the negative anomalies to its south and north (Figs. 2c, 3c). Note
18	that the tropical WNP is partitioned into four sub-regions (140°E and 17°N serve as the borders
19	between the east and west and between the south and north, respectively): the southwestern (SW),
20	northwestern (NW), northeastern (NE) and southeastern (SE) portions (e.g., Wang and Chan
21	2002; Wang et al. 2013). The maximum positive vorticity anomalies are located in the SE

sub-region, consistent with that EPW events are favorable for the formation of TCs in the SE
 sub-region (Fig. S1a). The simulated relative vorticity anomalies in the NW sub-region do not
 show a negative value, suggesting that the observed decrease of the TC formation there is not
 due to the vorticity change. The simulated response for the EPC run is similar to that of the
 EPW run, but with the anomaly sign reversed (Figs. 2d, 3d).

6 For CPW events, the SE-NW positive relative vorticity anomalies and the westerly wind anomalies in the equatorial central Pacific move westward in comparison with EPW events (Figs. 7 8 2e, 3e), consistent with the distributions of SST anomaly forcing (Fig. 1). Another feature is 9 that the positive vorticity anomalies in the SE sub-region during OND is larger than those during 10 JAS (Fig. 2e vs Fig. 3e), reflecting that OND is closer to the mature phase of El Niño event. 11 Additionally, the model CPW run shows that an anomalous cyclone is located northeast of the 12 Philippine Sea, especially during JAS. The anomalous cyclone reflects Gill's (1980) response 13 to the warm SST anomalies in the equatorial central Pacific. This is consistent with the result 14 of Wang and Wang (2013) who observed an anomalous cyclone in the WNP for some of CPW 15 events. In contrast to EPC, much stronger negative vorticity and easterly wind anomalies can 16 be found in the SE sub-region during two seasons of CPC years, providing a more adverse 17 environment to TC activity there. Due to the positive SST anomalies in the western Pacific 18 (shown in Fig. 1d), an anomalous cyclone is found near Taiwan. Moreover, during OND, the 19 northeasterly wind related to the cyclone merges with the equatorial westerlies, inducing the positive relative vorticity anomalies over the SCS, which is conducive to the enhanced TC 20 21 activity in the SCS as observed in Fig. S1b.

2 3.2 Vertical wind shear

3 As in other studies, the vertical wind shear (VWS) is calculated as the magnitude of the 4 vector difference between winds at 200 hPa and 850 hPa. The climatological VWS patterns during two seasons of JAS and OND are different (Figs. 4a, 5a). During JAS, the VWS in the 5 6 WNP shows a V pattern, with a low wind shear in the southern, northern and western sides of the 7 WNPSH. However, the VWS during OND features a low wind shear in the tropical WNP and 8 a high value in the subtropical WNP. Overall, the simulated VWS in the CTRL model run 9 compares well with the observation: the pattern correlations are 0.59 and 0.97 during JAS and 10 OND, respectively (Table 1).

11 The model simulated VWS patterns for EPW and EPC events are similar to those from the 12 NCEP-NCAR reanalysis as shown in Wang et al. (2013). For EPW events, the negative VWS 13 anomalies reside in the SE sub-region of the WNP, while the positive VWS anomalies are 14 located just north of the equator in the western Pacific (Figs. 4c, 5c). This is because the 15 Walker circulation is weakened during an El Niño event (see Section 5 for the details), which 16 manifests itself as a suppressed convection in the western Pacific and an enhanced convection in 17 the central/eastern Pacific. The negative VWS anomalies in the SE sub-region during OND 18 shifts westward compared to those during JAS, due to that the warm SST anomalies of an El 19 Niño event during OND are larger and further westward than during JAS. This VWS distribution is consistent with that an EPW event is observed to be favorable for the formation 20 and development of TCs in the SE sub-region (Fig. S1a). The positive VWS anomalies prevail 21

over the most northern areas of the WNP, creating an unfavorable environment for the TC
development, also consistent with the decrease of the TC genesis in the NW sub-region during
two seasons (Fig. S1). Not surprisingly, the simulated response of the VWS for the EPC run is
almost opposite to that of the EPW run (Figs. 4d, 5d), as did in the NCEP-NCAR reanalysis
(Wang et al. 2013).

6 In comparison with the EPW run, the model VWS response of the CPW run shows a similar 7 pattern except the westward shift of the VWS anomalies. This is consistent with the westward 8 shift of the maximum positive SST anomalies for CPW events. The VWS response is also 9 consistent with and supports the observed result which shows the decrease of the TC genesis in 10 the SCS and increase in the SE sub-region during OND (Fig. S1b). The model VWS pattern in the CPC run is almost a mirror image of the CPW run. Another feature is that the magnitude of 11 12 positive VWS anomalies in the SE sub-region for the CPC run is much larger than that of the 13 EPC run (see Section 5 for the details associated with the more intense Walker circulation). 14 Therefore, CPC-induced VWS is more unfavorable for the TC genesis and development in the 15 SE sub-region.

16

17 3.3 Genesis potential index

18 TC activity is influenced by the combination of all large-scale environmental factors.

19 Emanuel and Nolan (2004) developed an empirical index, the Genesis Potential Index (GPI), to

20 combine the influence of all variables on the TC genesis. Climatologically, the great values of

21 the GPI are concentrated in the tropics of the WNP (Figs. 6a, 7a). During JAS, the value of

1	GPI is much greater and the large value area is more extensive than that during OND, consistent
2	with that JAS is the peak season for TCs in the WNP. Meanwhile, there is a seasonal march of
3	GPI with a northward (southward) migration during JAS (OND), reflecting that most TCs form
4	in the north and move northwestward during JAS, but they tend to form in the south and take a
5	straight westward track during OND. Although the model simulated GPI value is much higher
6	(Figs. 6b, 7b), ECHAM5 captures the TC GPI regions and reproduces the observed spatial
7	characteristics in comparison with the NCEP-NCAR reanalysis: The pattern correlations are 0.92
8	and 0.96 during JAS and OND, respectively. The model overvalued GPI may be due to the
9	inflated relative humidity in the model simulation, as shown in Camargo et al. (2007).
10	For the EPW run, the GPI anomalies are positive in the SE sub-region of the WNP, whereas
11	the rest regions mostly display the negative GPI anomalies during JAS and OND (Figs. 6c, 7c).
12	The model simulated GPI anomaly patterns are consistent with those calculated from the
13	NCEP-NCAR reanalysis (Wang et al. 2013). For the EPC run, the GPI anomalies in the SE and
14	NW sub-regions are reversed to be negative and positive, respectively. These model GPI
15	anomalies are consistent with the observed TC genesis that for an EPW event, the number of
16	TCs increases in the SE sub-region and decreases in the NW sub-region (Fig. S1). The opposite
17	is true for an EPC event.
18	For the CPW run, the model simulated GPI anomalies are also similar to those from the
19	NCEP-NCAR reanalysis in Wang et al. (2013), except the over-simulated negative GPI
20	anomalies in the subtropical WNP. During OND, Fig. 7e shows the strong negative GPI
21	anomalies in the SW sub-region and the SCS, consistent with the observed result of a decrease of

1	the TC genesis in the SCS during OND of CPW years (Fig. S1b). The model simulated GPI
2	anomalies in the CPC run are much closer to the NCEP-NCAR reanalysis. Both the reanalysis
3	and simulation during JAS for CPC show a zonal dipole structure of the GPI anomalies with
4	negative values in the east and positive values in the west (a southward contraction during OND).
5	This suggests that during JAS of CPC years, atmospheric and oceanic conditions are unfavorable
6	for TCs to form in the SE sub-region, but TCs are promoted in the SCS, which are consistent
7	with the observations (Fig. S1).

9 4. Simulated response of the TC steering flow

10 The movement of TCs or the TC track is mainly steered by the surrounding environmental 11 flow in the troposphere and modified by the beta-effect. An integrated flow through a layer of 12 the atmosphere from 850-hPa to 300 hPa is usually defined as the TC steering flow (e.g., Dong 13 and Neumann 1986). During JAS and OND, the TC steering flows in the WNP manifest the 14 WNPSH (Figs. 8a, 9a). The easterly, southeasterly and westerly TC steering flows are located 15 in the southern, western and northern sides of the WNPSH, respectively. The ECHAM5 model 16 is generally capable of capturing the basic features of the TC steering flow although the centers 17 of these steering flows are located slightly northeastward in the CTRL run (Figs. 8b, 9b). The 18 respective pattern correlations of the U and V components of the TC steering flow are 0.93 and 19 0.41 (0.98 and 0.82) during JAS (OND).

The differences of the TC steering flows between the various ENSO event runs and CTRL
run are basically consistent with the composites of the TC steering flow anomalies from the

1	NCEP-NCAR reanalysis (Figs. S2, S3). During JAS, the TC steering flow for EPW is
2	associated with the westerly anomalies in the tropics and an anomalous cyclone in East Asian
3	(Fig. 8c). This TC steering flow pattern is unfavorable for TCs to take a straight westward
4	track and suppresses the possibility for TCs to make landfall in the southeast coast of China
5	(Wang and Chan 2002; Zhang et al. 2012; also shown in Fig. S2a). For EPC, the simulated TC
6	steering flows show the easterly anomalies in the tropics and the southeasterly anomalies in
7	Japan and the subtropical WNP. The TC steering flow for CPW shows the westerly anomalies
8	over the tropics south of 15°N, an anomalous cyclone in the northeast of Philippines and an
9	anomalous anticyclone in the southeast of Japan (Fig. 8e), which is located slightly
10	southeastward in comparison with the observated results in Fig. S2c. Therefore, the TC
11	steering flows tend to lead to the northwestward track and suppress the westward track,
12	consistent with the distributions of the observed TC track density (Kim et al. 2011; Fig. S2c).
13	For CPC, there are a well-organized large-scale anomalous cyclonic circulation over eastern
14	China and the easterly anomalies in the tropics (Fig. 8f). It is clear that the TC steering flow
15	anomalies for CPC are favorable for TCs to move westward and northwestward, but hostile to
16	the recurvature track.
17	During OND, the observed TC steering flow anomalies for EPW show an anomalous
18	anticyclone over the Philippines (Fig. S3a). This pattern shifts to the central portion of the
19	WNP with southwesterly anomalies over the seaboard of East Asia in the model simulation (Fig.
20	9c). It may be as a result of the eastward contraction of the WNPSH in simulation compared to
21	the observation (not shown). For EPC, due to the local warm SST anomalies in the WNP (Fig.

1	1b), an anomalous cyclone is found over the east of the WNP, associated with strong easterly
2	anomalies of the TC steering flow in the subtropical ocean. For CPW, the westerly anomalies
3	of the TC steering flow cover the tropical WNP (Fig. 9e), consistent with Zhang et al. (2012)
4	who observed fewer landfalls over Indo-China and Malay Peninsula and Philippines during CPW
5	years. For CPC, although the simulated TC steering flow anomalies are mostly westerly or
6	southwesterly in the tropical WNP, there is an observed enhancement of TC track density in the
7	east coast of China (Fig. S3d) associated with the increase of TC genesis in the SCS and NW
8	sub-region (Fig. S1b).

10 5. Simulated response of the Walker circulation

11 The Walker circulation is an important feature of ENSO, which is associated with the 12 variations of atmospheric convection in the tropical Pacific and is thus related to TC activity in 13 the WNP. The Walker circulation and the vertical profile of specific humidity during two 14 seasons of JAS and OND are shown in Figs. 10 and 11, respectively. Climatologically, the 15 tropical Pacific is dominated by a well-organized Walker circulation with ascending motion in 16 the equatorial western Pacific and descending motion in the equatorial eastern Pacific (Julian and 17 Chervin 1978). Connected the upward and downward branches are the upper level westerly 18 wind and returned low-level easterly wind. ECHAM5 simulates these features reasonably well, 19 as shown in Figs. 10b and 11b. 20 For the EPW run, the anomalous Walker circulation in the tropical Pacific shows

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anomalously wet updraft over the central Pacific and dry downdraft over the western Pacific.

1	Accompanied with these are the westerly wind anomalies at the low level extending from 120°E
2	to the central Pacific during two seasons (Figs. 10c, 11c). These indicate an anomalous
3	low-level cyclonic (anticyclonic) vorticity and enhanced (suppressed) convection over the SE
4	(SW) sub-region of the WNP, consistent with the significantly increased (decreased) TC
5	formation in the SE (SW) sub-region during JAS (OND). The simulated Walker circulation
6	change is also consistent with the VWS variations (Figs. 4, 5), which shows that the anomalous
7	dry downward (wet upward) motion in the western (central) Pacific is associated with an
8	increased (decreased) VWS and thus a suppressed (enhanced) convection.
9	For CPW, along with the westward shift of SST anomaly forcing, the Walker circulation
10	shifts westward during two seasons (Figs. 10e, 11e). Most of the central-eastern tropical
11	Pacific regions is dominated by a wet ascending branch, spanning over 150°E-140°W, flanked by
12	the dry descending movements west of 150°E and east of 120°W. Compared with the case
13	during JAS, the rising branch during OND shifts eastward and is reinforced with the
14	development of the maximum warm SST anomalies in the central equatorial Pacific. In other
15	words, the arising motion near the dateline is stronger during OND, corresponding to the
16	remarkable increase of the TC formation in the SE sub-region in the observation (Fig. S1b). In
17	addition, the subsidence during OND shifts westward, which is related to the feature that the
18	anomalous descending motion moves westward and extends to the north of the SCS (Weng et al.
19	2009). This explains why there is an observational suppression of the TC formation in the SCS
20	during OND of CPW years.
21	Comparing the two warms events, the descent even Indenesis in EDW (CDW) is slightly

Comparing the two warm events, the descent over Indonesia in EPW (CPW) is slightly

1	stronger (weaker) and tends to appear further eastward (westward). Consequently, it is more
2	likely that EPW and CPW inhibits the TC formation in the SW sub-region of the WNP and the
3	SCS, respectively (Fig. S1). Furthermore, during the two seasons, the ascent over the
4	central-eastern Pacific is located further westward in CPW than the counterpart of EPW, which
5	is more evident during JAS than that during OND (Figs. 10c, e vs Figs. 11c, e). This is
6	consistent with the evolution of the SST anomalies in the two types of warm events presented in
7	previous studies (e.g., Kao and Yu, 2009; Kug et al. 2009; Wang et al. 2014). That is, the
8	positive SST anomalies emerge from the coast of South America and propagate westward to the
9	central Pacific with the development of EPW, while the SST anomalies first appear around the
10	dateline and develop slowly without any significant movement in CPW events. In addition, the
11	Walker circulation variations of ENSO warm events are associated with a tripole pattern of the
12	humidity anomalies: Negative-Positive-Negative humidity anomalies.
13	For EPC, the anomalous Walker circulation during JAS displays a pattern with rising in the
14	west of 150°E and sinking in the east (Figs. 10d, 11d), reflecting an intensification of the Walker
15	circulation. Associated with the intensified Walker circulation, the tropical Pacific is prevailed
16	by the low-level easterly wind anomalies, which contribute to negative vorticity and suppression
17	of the TC formation there, consistent with the observed reduction of the TC genesis in the SW
18	sub-region of the WNP. However, both the narrow ascending and wide descending branches
19	shift eastward and form a double-cell pattern during OND.
20	The CPC-induced Walker circulations are approximately similar to those in EPC events,
21	except that the anomalously dry descent in the east occupies a smaller domain and is much

1	stronger (Figs. 10f, 11f). Therefore, a CPC event tends to create the environment more hostile
2	to the TC development in the SE sub-region of the WNP (Fig. S1). Furthermore, the wet ascent
3	over the western tropical Pacific retreats to the east of 120°E during OND, on account of the fact
4	that the ascending motion is shifted northward off the tropics to the SCS, corresponding to
5	enhanced TC activity observed in the SCS during OND (Fig. S1b).

7 **6.** Summary

8 The present paper extends the observed study of Wang et al. (2013) by using the AGCM of 9 ECHAM5 to explore large-scale atmospheric response to various ENSO events associated with 10 TC activity in the WNP. Because of the short data period for the limited numbers of CPW/CPC 11 events and the uncertainties of the observed TC data, it is necessary and important to use model 12 experiments to confirm the observed results. Here we show that the model runs of various 13 ENSO events are basically consistent with and confirm the observed results in Wang et al. 14 (2013). For EPW, the Walker circulation depicts a circulation pattern with anomalously wet 15 ascending flow in the eastern/central Pacific and anomalously dry descending over the western 16 Pacific, which manifests a reduced convection in the SW sub-region of the WNP and an 17 enhanced convection in the SE sub-region. Meanwhile, associated with the variation of the 18 Walker circulation, low-level westerly wind anomalies are located over the equatorial western to 19 central Pacific, related to a positive relative vorticity and weakened VWS in the SE sub-region as well as strengthened VWS in the SW. In addition, an area of the positive GPI anomalies is 20 21 situated in the SE sub-region, and the negative GPI anomalies are found in other sub-regions

1	during JAS and OND. These factors consistently contribute to the increase in cyclogenesis in
2	the SE sub-region, but the suppression in the west portion. Correspondingly, there are
3	significantly more TCs formed in the SE sub-region but less in the NW sub-region during JAS,
4	and less in the SW and NW sub-regions during OND. Furthermore, the EPW-induced TC
5	steering flow shows that the East Asian trough is deepened, followed by the remarkable westerly
6	anomalies over the areas close to the coast of East Asian. This TC steering flow pattern
7	decreases the likelihood for TCs to move northwestward or westward, consistent with the
8	negative anomalies of TC track density observed in the NW sub-region.
9	For EPC, the Walker circulation is almost opposite to that of EPW during JAS. Thus, the
10	tropical region in the WNP is covered by low-level the easterly wind anomalies and negative
11	relative vorticity anomalies, consistent with the decrease of TC activity observed in the SW
12	sub-region during JAS. Moreover, the simulated GPI anomalies are approximately a mirror
13	image of EPW event over the WNP during JAS and OND, consistent with the observed increase
14	of the TC formation in the NW and NE sub-regions during JAS, and the increase in the NW
15	sub-region during OND. Consequently, the positive anomalies of TC track density are
16	observed in the north of the WNP (Wang et al. 2013).
17	For CPW, the anomalous Walker circulation shifts westward in comparison with that of
18	EPW because of the westward shift of the maximum SST anomaly forcing. During JAS, the
19	distributions of relative vorticity, GPI, and VWS anomalies are favorable for TCs to form in the
20	band orienting from the SE sub-region of the WNP to the southeast of China. Additionally,
21	there is an anomalous anticyclone of the TC steering flow over the sea east of Japan and the

westerly flow anomalies in the tropics, leading more northwestward but less westward TC tracks.
Compared to JAS, the TC-favored area shifts southeastward and is confined to the SE sub-region
where the TC development is promoted during OND. Meanwhile, the downdraft of the west
branch of the Walker circulation shifts northward to the SCS during OND, inhibiting the TC
formation there, consistent with the significant decrease of the TC number in the SCS during
OND (Wang et al. 2013).

For CPC, the descending motion in the central/eastern Pacific is much stronger and more 7 8 concentrated compared to the counterpart of EPC. Moreover, the stronger positive VWS 9 anomalies and negative vorticity and GPI anomalies are found in the SE sub-region. Therefore, 10 it is more effective in suppressing cyclogenesis in the SE sub-region during JAS and OND of 11 CPC years. Meanwhile, the ascending branch over the western Pacific shifts to the SCS during 12 OND, enhancing the TC formation there. The anomalous cyclonic TC steering flows are 13 located over eastern China, and the easterly TC steering anomalies dominate the tropical region 14 during JAS, enhancing TC activity in the east coast of China.

In summary, the model simulated low-level relative vorticity, wind, VWS, GPI, TC steering flow and Walker circulation show a high degree of consistency with the features of TC activity observed in the WNP in association with various ENSO events. However, there are also some regions in which not all changes of environmental parameters are consistent with the observations. Obviously, there is a need for a further investigation of the influences of various ENSO events on TC activity in the WNP. Such work may involve exploring the reproducibility of the results in other atmospheric models and analyzing the two types of ENSO events'

influence based on coupled ocean-atmosphere-land models.

1 Appendix 1: Location of TC formation in observations

2	The composited TC number anomalies with the two types of ENSO events during the peak			
3	season of JAS and late season of OND over the different sub-regions are presented in Fig. S1.			
4	Dot (cross) filled bars indicate statistically significant above (below) climatology at the 90%			
5	confidence level. During JAS, EPW corresponds to a significant increase (decrease) of TC			
6	genesis number in the SE (NW) sub-region. The TC genesis number in EPC year is reduced in			
7	the SW sub-region, whereas it is enhanced in the NW and NE sub-regions. CPW shows no			
8	statistically distinct difference of the TC genesis from climatology in all sub-regions, while CPC			
9	suppresses the formation in the SE sub-region. For the late season of OND, there is a			
10	significant decrease of the TC genesis number in the SW and NW sub-regions during EPW years,			
11	but an increase in the SE sub-region during CPW years. ENSO influence on TCs formed in the			
12	SCS is pronounced only during the late season of OND. CPW (CPC) is associated with a			
13	decreased (increased) TC formation in the SCS during OND.			
14				
15	Appendix 2: TC track density and steering flow in observations			
16	We calculate the TC track density in the WNP by counting the number of TCs forming			
17				
	within and passing through each $5^{\circ} \times 5^{\circ}$ grid box for a given season. The TC steering flow is			
18	within and passing through each 5°×5° grid box for a given season. The TC steering flow is calculated as the vertically-integrated wind from 850 hPa to 300 hPa, normalized by (850-hPa -			
18 19				
	calculated as the vertically-integrated wind from 850 hPa to 300 hPa, normalized by (850-hPa -			

1	sub-regions for EPW events are the easterly and westerly steering flow anomalies, respectively
2	(Fig. S2a). For EPC events, the positive anomalies of TC track density are found in the
3	northern portion of the WNP (Fig. S2b), due to the EPC-induced increase of TC genesis there
4	(Fig. S1a). For CPW events, an anomalous anticyclonic steering flow is located over the sea
5	east of Japan, which favors TCs to move northwestward. Meanwhile, the westerly steering
6	flow anomalies occupy the tropics, suppressing the westward movement (Fig. S2c). For CPC
7	events, an anomalous cyclonic steering flow occupies the sea east of China, and easterly
8	anomalies prevail in the tropics. Associated with these TC steering flow patterns, there is a
9	zonal dipole of TC track density anomalies with positive values in the east coast of China and
10	negative values in the eastern part of the WNP (Fig. S2d).
11	During OND, an anomalous anticyclonic steering flow is found over the Philippines in
11 12	During OND, an anomalous anticyclonic steering flow is found over the Philippines in EPW events, which suppresses TC activity there (Fig. S3a). An EPC year shows almost a
12	EPW events, which suppresses TC activity there (Fig. S3a). An EPC year shows almost a
12 13	EPW events, which suppresses TC activity there (Fig. S3a). An EPC year shows almost a mirror image of the EPW distribution (Fig. S3b). For CPW events, the westerly anomalies of
12 13 14	EPW events, which suppresses TC activity there (Fig. S3a). An EPC year shows almost a mirror image of the EPW distribution (Fig. S3b). For CPW events, the westerly anomalies of the TC steering flow prevail in the tropical WNP, corresponding to a decrease of TC track
12 13 14 15	EPW events, which suppresses TC activity there (Fig. S3a). An EPC year shows almost a mirror image of the EPW distribution (Fig. S3b). For CPW events, the westerly anomalies of the TC steering flow prevail in the tropical WNP, corresponding to a decrease of TC track density over Indo-China, the SCS and Philippines (Fig. S3c). For CPC events, due to the
12 13 14 15 16	EPW events, which suppresses TC activity there (Fig. S3a). An EPC year shows almost a mirror image of the EPW distribution (Fig. S3b). For CPW events, the westerly anomalies of the TC steering flow prevail in the tropical WNP, corresponding to a decrease of TC track density over Indo-China, the SCS and Philippines (Fig. S3c). For CPC events, due to the increased number of TCs formed in the SCS, the positive anomalies of TC track density are
12 13 14 15 16 17	EPW events, which suppresses TC activity there (Fig. S3a). An EPC year shows almost a mirror image of the EPW distribution (Fig. S3b). For CPW events, the westerly anomalies of the TC steering flow prevail in the tropical WNP, corresponding to a decrease of TC track density over Indo-China, the SCS and Philippines (Fig. S3c). For CPC events, due to the increased number of TCs formed in the SCS, the positive anomalies of TC track density are located over the southeast coast of China. The negative anomalies of TC track density are in

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5	

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18	

2 Table 1. The pattern correlation coefficients of the 850-hPa relative vorticity (VOR), vertical wind shear (VWS),

3 genesis potential index (GPI), U and V component of the TC steering flow between the CTRL run and the

4 NCEP-NCAR reanalysis during July-September (JAS) and October-December (OND). The coefficients measure

5 the simultaneous spatial correlation between the observed and corresponding simulated fields with the sample size

- 6 that is determined by the total number of grids in the region of 105°E-160°W, 0-45°N.
- 7

Variable Season	VOR	VWS	GPI	U of steering flow	V of steering flow
JAS	0.53	0.59	0.92	0.93	0.41
OND	0.84	0.97	0.96	0.98	0.82

8

1	Figure Captions
2	
3	Figure 1. Composites of SST anomalies (°C) during the mature phase of ENSO (November to
4	January) for (a) EPW, (b) EPC, (c) CPW, and (d) CPC events.
5	
6	Figure 2. The distribution of 850-hPa relative vorticity $(10^{-6}/s)$ and wind (m/s) during JAS in
7	the WNP. Shown are the climatological means from (a) the NCEP-NCAR reanalysis and (b)
8	the CTRL ensemble run. The differences between the EPW, EPC, CPW, and CPC runs and the
9	CTRL run are shown in (c), (d), (e), and (f), respectively. The stippling indicates the statistical
10	significance of relative vorticity at the 95% level.
11	
12	Figure 3. The distribution of 850-hPa relative vorticity $(10^{-6}/s)$ and wind (m/s) during OND in
13	the WNP. Shown are the climatological means from (a) the NCEP-NCAR reanalysis and (b)
14	the CTRL ensemble run. The differences between the EPW, EPC, CPW, and CPC runs and the
15	CTRL run are shown in (c), (d), (e), and (f), respectively. The stippling indicates the statistical
16	significance of relative vorticity at the 95% level.
17	
18	Figure 4. The distribution of VWS (m/s) during JAS in the WNP. Shown are the
19	climatological means from (a) the NCEP-NCAR reanalysis and (b) the CTRL ensemble run.
20	The differences between the EPW, EPC, CPW, and CPC runs and the CTRL run are shown in (c),
21	(d), (e), and (f), respectively. The stippling indicates the statistical significance at the 95%

1 level.

2

3	Figure 5. The distribution of VWS (m/s) during OND in the WNP. Shown are the
4	climatological means from (a) the NCEP-NCAR reanalysis and (b) the CTRL ensemble run.
5	The differences between the EPW, EPC, CPW, and CPC runs and the CTRL run are shown in (c),
6	(d), (e), and (f), respectively. The stippling indicates the statistical significance at the 95%
7	level.
8	
9	Figure 6. The distribution of GPI during JAS in the WNP. Shown are the climatological
10	means from (a) the NCEP-NCAR reanalysis and (b) the CTRL ensemble run. The differences
11	between the EPW, EPC, CPW, and CPC runs and the CTRL run are shown in (c), (d), (e), and (f),
12	respectively.
13	
14	Figure 7. The distribution of GPI during OND in the WNP. Shown are the climatological
15	means from (a) the NCEP-NCAR reanalysis and (b) the CTRL ensemble run. The differences
16	between the EPW, EPC, CPW, and CPC runs and the CTRL run are shown in (c), (d), (e), and (f),
17	respectively.
18	
19	Figure 8. The distribution of the TC steering flow (m/s) during JAS in the WNP. Shown are
20	the climatological means from (a) the NCEP-NCAR reanalysis and (b) the CTRL ensemble run.
21	The differences between the EPW, EPC, CPW, and CPC runs and the CTRL run are shown in (c),

(d), (e), and (f), respectively. The stippling indicates the statistical significance at the 95%
 level.

4	Figure 9. The distribution of the TC steering flow (m/s) during OND in the WNP. Shown are
5	the climatological means from (a) the NCEP-NCAR reanalysis and (b) the CTRL ensemble run.
6	The differences between the EPW, EPC, CPW, and CPC runs and the CTRL run are shown in (c),
7	(d), (e), and (f), respectively. The stippling indicates the statistical significance at the 95%
8	level.
9	
10	Figure 10. The variations of the Walker circulation and specific humidity (shading; g/kg)
11	during JAS. The Walker circulation is calculated by averaging divergent wind and vertical
12	velocity between 10°S and 10°N. Shown are the climatological means from (a) the
13	NCEP-NCAR reanalysis and (b) the CTRL ensemble run. The differences between the EPW,
14	EPC, CPW, and CPC runs and the CTRL run are shown in (c), (d), (e), and (f), respectively.
15	
16	Figure 11. The variations of the Walker circulation and specific humidity (shading; g/kg)
17	during OND. The Walker circulation is calculated by averaging divergent wind and vertical
18	velocity between 10°S and 10°N. Shown are the climatological means from (a) the
19	NCEP-NCAR reanalysis and (b) the CTRL ensemble run. The differences between the EPW,
20	EPC, CPW, and CPC runs and the CTRL run are shown in (c), (d), (e), and (f), respectively.
21	

1	Figure S1. The TC number anomalies formed in the WNP and its five sub-regions for EPW,
2	EPC, CPW and CPC events. Shown are during (a) the peak season of JAS, and (b) the late
3	season of OND. Dot (cross) filled bars indicate statistically significant above (below)
4	climatology at the 90% confidence level. The TC data are from the CMA.
5	
6	Figure S2. TC track density (the number) and steering flow anomalies (m/s) during the peak
7	season of JAS. Shown are for (a) EPW, (b) EPC, (c) CPW, and (d) CPC events. The TC track
8	density is calculated by counting the number of TCs forming within and passing through each
9	$5^{\circ} \times 5^{\circ}$ grid box for a given season. White contours and stippling indicate statistically significant
10	at the 90% level for track density and steering flow, respectively.
11	
12	Figure S3. TC track density (the number) and steering flow anomalies (m/s) during the late
13	season of OND. Shown are for (a) EPW, (b) EPC, (c) CPW, and (d) CPC events. The TC
14	track density is calculated by counting the number of TCs forming within and passing through
15	each $5^{\circ} \times 5^{\circ}$ grid box for a given season. White contours and stippling indicate statistically
16	significant at the 90% level for track density and steering flow, respectively.
17	
18	





Figure 1. Composites of SST anomalies (°C) during the mature phase of ENSO (November to January)
for (a) EPW, (b) EPC, (c) CPW, and (d) CPC events.



Figure 2. The distribution of 850-hPa relative vorticity (10⁻⁶/s) and wind (m/s) during JAS in the WNP.
Shown are the climatological means from (a) the NCEP-NCAR reanalysis and (b) the CTRL ensemble
run. The differences between the EPW, EPC, CPW, and CPC runs and the CTRL run are shown in (c),
(d), (e), and (f), respectively. The stippling indicates the statistical significance of relative vorticity at
the 95% level.



Figure 3. The distribution of 850-hPa relative vorticity (10⁻⁶/s) and wind (m/s) during OND in the WNP.
Shown are the climatological means from (a) the NCEP-NCAR reanalysis and (b) the CTRL ensemble
run. The differences between the EPW, EPC, CPW, and CPC runs and the CTRL run are shown in (c),
(d), (e), and (f), respectively. The stippling indicates the statistical significance of relative vorticity at
the 95% level.





Figure 4. The distribution of VWS (m/s) during JAS in the WNP. Shown are the climatological means from (a) the NCEP-NCAR reanalysis and (b) the CTRL ensemble run. The differences between the EPW, EPC, CPW, and CPC runs and the CTRL run are shown in (c), (d), (e), and (f), respectively. The stippling indicates the statistical significance at the 95% level.





Figure 5. The distribution of VWS (m/s) during OND in the WNP. Shown are the climatological means from (a) the NCEP-NCAR reanalysis and (b) the CTRL ensemble run. The differences between the EPW, EPC, CPW, and CPC runs and the CTRL run are shown in (c), (d), (e), and (f), respectively. The stippling indicates the statistical significance at the 95% level.





Figure 6. The distribution of GPI during JAS in the WNP. Shown are the climatological means from (a) the NCEP-NCAR reanalysis and (b) the CTRL ensemble run. The differences between the EPW, EPC, CPW, and CPC runs and the CTRL run are shown in (c), (d), (e), and (f), respectively.



3 -3 -2 -1 -0.5 0 0.5 1 2 3
Figure 7. The distribution of GPI during OND in the WNP. Shown are the climatological means from
(a) the NCEP-NCAR reanalysis and (b) the CTRL ensemble run. The differences between the EPW,
FPC, CPW, and CPC runs and the CTRL run are shown in (c), (d), (e), and (f), respectively.

Steering Flow (JAS) (b) CTRL (a) Reanalysis 6 6 40N 40N 20N 20N 0 120E (c) EPW-CTRL 120E (d) EPC-CTRL 150E 180 150E 180 40N 40N 20N 20N 0 0 120E (e) CPW-CTRL 120E (f) CPC-CTRL 150E 180 150E 180 40N 40N 20N 20N

3 4 0

120E

150E

Figure 8. The distribution of the TC steering flow (m/s) during JAS in the WNP. Shown are the
climatological means from (a) the NCEP-NCAR reanalysis and (b) the CTRL ensemble run. The
differences between the EPW, EPC, CPW, and CPC runs and the CTRL run are shown in (c), (d), (e), and
(f), respectively. The stippling indicates the statistical significance at the 95% level.

180

0

120E

150E

Steering Flow (OND)





Figure 9. The distribution of the TC steering flow (m/s) during OND in the WNP. Shown are the
climatological means from (a) the NCEP-NCAR reanalysis and (b) the CTRL ensemble run. The
differences between the EPW, EPC, CPW, and CPC runs and the CTRL run are shown in (c), (d), (e), and
(f), respectively. The stippling indicates the statistical significance at the 95% level.



Figure 10. The variations of the Walker circulation and specific humidity (shading; g/kg) during JAS. The Walker circulation is calculated by averaging divergent wind and vertical velocity between 10°S and 10°N. Shown are the climatological means from (a) the NCEP-NCAR reanalysis and (b) the CTRL ensemble run. The differences between the EPW, EPC, CPW, and CPC runs and the CTRL run are shown in (c), (d), (e), and (f), respectively.



Figure 11. The variations of the Walker circulation and specific humidity (shading; g/kg) during OND. The Walker circulation is calculated by averaging divergent wind and vertical velocity between 10°S and 10°N. Shown are the climatological means from (a) the NCEP-NCAR reanalysis and (b) the CTRL ensemble run. The differences between the EPW, EPC, CPW, and CPC runs and the CTRL run are shown in (c), (d), (e), and (f), respectively.





Figure S1. The TC number anomalies formed in the WNP and its five sub-regions for EPW, EPC,

7 CPW and CPC events. Shown are during (a) the peak season of JAS, and (b) the late season of OND.

8 Dot (cross) filled bars indicate statistically significant above (below) climatology at the 90% confidence

9 level. The TC data are from the CMA.

Track density and steering flow anomalies (JAS) (a) EPW (b) EPC 40N 40N 30N 30N 20N 20N 10N 10N 120E 150E 120E 150E 180 180 (c) CPW (d) CPC 40N 40N 30N 30N 20N 20N 10N 10N 120E 150E 120E 150E 180 180 -0.8 -0.6 -0.4 -0.2 0.0 0.2 0.4 0.6 0.8 1.0

Figure S2. TC track density (the number) and steering flow anomalies (m/s) during the peak season of
JAS. Shown are for (a) EPW, (b) EPC, (c) CPW, and (d) CPC events. The TC track density is
calculated by counting the number of TCs forming within and passing through each 5°×5° grid box for a
given season. White contours and stippling indicate statistically significant at the 90% level for track
density and steering flow, respectively.

- 11
- 12





Figure S3. TC track density (the number) and steering flow anomalies (m/s) during the late season of
OND. Shown are for (a) EPW, (b) EPC, (c) CPW, and (d) CPC events. The TC track density is
calculated by counting the number of TCs forming within and passing through each 5°×5° grid box for a
given season. White contours and stippling indicate statistically significant at the 90% level for track
density and steering flow, respectively.