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A strong Atlantic Meridional Mode event in 2009: The role of mixed layer dynamics

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PRELIMINARY ACCEPTED VERSION

1 **Abstract**

2 In the first half of 2009, anomalous cooling of sea surface temperatures (SSTs) in
3 the equatorial North Atlantic (ENA; 2°N–12°N) triggered a strong Atlantic merid-
4 ional mode event. During its peak in April–May, SSTs in the ENA were 1°C colder
5 than normal and SSTs in the equatorial South Atlantic (5°S–0°) were 0.5°C warmer
6 than normal. Associated with the SST gradient were anomalous northerly winds, an
7 anomalous southward shift of the intertropical convergence zone, and severe flooding
8 in Northeast Brazil. This study uses in situ and satellite observations to examine the
9 mechanisms responsible for the anomalous cooling in the ENA during boreal winter
10 and spring of 2009. It is found that the cooling was initiated by stronger than nor-
11 mal trade winds during Jan–Feb 2009 associated with an anomalous strengthening of
12 the subtropical North Atlantic high pressure system. Between 6°N–12°N, unusually
13 strong trade winds cooled the ocean through wind-induced evaporation and deepened
14 the mixed layer anomalously by 5–20 m. Closer to the equator, surface equatorial
15 winds responded to the anomalous interhemispheric SST gradient, becoming north-
16 westerly between the equator and 6°N. The anomalous winds drove upwelling of 0.5–1
17 m day⁻¹ during March–April, a period when there is normally weak downwelling. The
18 associated vertical turbulent heat flux at the base of the mixed layer led to unusually
19 cool SSTs in the central basin, further strengthening the anomalous interhemispheric
20 SST gradient. These results emphasize the importance of mixed layer dynamics in the
21 evolution of the meridional mode event of 2009 and the potential for positive coupled
22 feedbacks between wind-induced upwelling and SST in the ENA.

23 1 Introduction

24 Interannual to decadal variability in the tropical Atlantic is influenced by the Atlantic
25 meridional mode (AMM), characterized by an anomalous meridional gradient of sea
26 surface temperature (SST) between the tropical North and South Atlantic (Nobre and
27 Shukla 1996). Anomalously warm SSTs in the tropical North Atlantic relative to the
28 South are associated with anomalous southerly surface winds and a northward anoma-
29 lous displacement of the intertropical convergence zone (ITCZ). Conversely, anoma-
30 lously cold SSTs in the North Atlantic relative to the South are associated with anon-
31 alous northerly winds and a southward shift of the ITCZ. The AMM exerts a strong
32 influence on rainfall in Northeast Brazil and the Sahel, since rainfall in these regions
33 is closely linked to the seasonal movement of the ITCZ (Lamb 1978; Hastenrath and
34 Greischar 1993; Giannini et al. 2003). The AMM tends to peak in boreal spring,
35 when SST variability in the tropical North Atlantic is strongest and the ITCZ is most
36 sensitive to anomalies in the meridional gradient of SST (Chiang et al. 2002, Xie and
37 Carton 2004, Hu and Huang 2006).

38 An important step toward understanding the coupled variability of the AMM
39 is to understand what drives SST variability associated with this mode. Interannual
40 variability of SST in the tropical Atlantic is strongest in the northeastern basin (15°W-
41 40°W, 2°N-20°N) and in the eastern equatorial Atlantic, in connection with the AMM
42 and Atlantic Niños, respectively (Huang et al. 2004). SST variability in the tropical
43 North Atlantic (TNA; 12°N–25°N) is driven primarily by changes in wind-induced
44 latent heat loss (Carton et al. 1996). The surface wind variability itself is influenced
45 by the North Atlantic Oscillation (NAO) and atmospheric teleconnections from the
46 eastern equatorial Pacific (Enfield and Mayer 1996, Czaja et al. 2002). Changes

47 in shortwave radiation from low-level cloudiness and African dust appear to play an
48 important secondary role (Tanimoto and Xie 2002, Foltz and McPhaden 2008). In
49 contrast, relatively little is known about what drives SST variability in the equatorial
50 North Atlantic (ENA; 2°N – 12°N), which underlies the mean position of the ITCZ. This
51 is a region with climatologically warm SSTs (27°C , averaged during MAM between
52 10°W – 50°W , 2°N – 12°N) where SST anomalies are likely to have a significant influence
53 on atmospheric circulation and rainfall, and hence the AMM (e.g., Chang et al. 2001).
54 Modeling studies suggest that ocean dynamics play an important role in this region
55 (Carton and Huang 1994, Carton et al. 1996). However, there is very little direct
56 observational evidence to support this hypothesis, and it is unclear which oceanic
57 processes might be important.

58 In 2009 there was a strong negative AMM event that was initiated by anomalous
59 cooling in the TNA. The cold SST anomalies during January–February 2009 coincided
60 with a moderate La Niña in the equatorial Pacific, stronger than normal convection
61 in the Amazon, and an anomalously strong North Atlantic subtropical high pressure
62 system, all of which are consistent with enhanced trade winds and cooler than normal
63 SSTs in the TNA. The coldest SST anomalies shifted southward to the ENA during
64 Feb–Mar 2009. The AMM peaked shortly thereafter in March–May, when surface
65 winds in the tropical Atlantic are most sensitive to the cross-equatorial gradient of
66 SST and the positive wind-evaporation-SST feedback is strongest (Chang et al. 1997;
67 Chiang et al. 2002; Xie and Carton 2004). By one measure, the anomalous meridional
68 SST gradient in the boreal spring of 2009 was the strongest since satellite SST mea-
69 surements began in 1982 (Foltz and McPhaden 2010a; Fig. 1). The SST gradient and
70 its associated surface wind anomalies drove a southward displacement of the ITCZ,

71 contributing to severe flooding in Northeast Brazil (Fig. 1b,c). The surface wind
72 anomalies forced equatorial Rossby waves, which reflected from the western boundary
73 and caused abrupt anomalous cooling of the equatorial cold tongue in the summer of
74 2009 (Foltz and McPhaden 2010a). Cold SST anomalies in the TNA persisted into
75 the boreal summer of 2009, conspiring with a developing Pacific El Niño to produce
76 below-normal tropical cyclone activity (nine tropical cyclones developed in the Atlantic
77 during 2009, the fewest since 1997). The low activity in 2009 is consistent with previ-
78 ous analyses which show that the Atlantic hurricane season is influenced by the state
79 of the equatorial Pacific and SSTs in the TNA (Wang et al. 2006; Latif et al. 2007).

80 In the past several years there have been substantial improvements to the long-
81 term observational network in the tropical Atlantic Ocean. The global array of Argo
82 floats reached completion in the mid 2000’s (Gould et al. 2004), and four Prediction
83 and Research moored Array in the Tropical Atlantic (PIRATA) buoys were deployed
84 as part of the Northeast Extension in 2006–07 (Bourlès et al. 2008). In this study we
85 use these relatively new measurements, together with ongoing satellite observations, to
86 analyze the causes of the anomalous cooling in the North Atlantic (2°N – 25°N) in 2009.
87 This region is chosen because of the strong anomalies here that were well sampled by
88 in situ observations (Fig. 2). In comparison, SST anomalies in the South Atlantic were
89 weaker, and in situ observations were sparser.

90 The rest of the paper is organized as follows. We first describe the data sets used.
91 The evolution of the SST anomalies is then presented in relation to surface wind and
92 subsurface ocean anomalies. The mixed layer temperature balance is analyzed using
93 Argo and satellite data and compared to results from two PIRATA moorings. Finally,
94 the results are summarized and discussed.

95 **2 Data**

96 A combination of satellite, in situ, and atmospheric reanalysis data sets is used to
97 examine the evolution of anomalous conditions in the tropical Atlantic during 2009
98 and to analyze the mixed layer temperature budget.

99 **2.1 Satellite data, reanalysis fields, and Argo**

100 The satellite data sets consist of SST, surface winds, and outgoing longwave radiation
101 (OLR). SST is available from the Tropical Rainfall Measuring Mission (TRMM) Mi-
102 crowave Imager (TMI) and the Advanced Microwave Scanning Radiometer for EOS
103 (AMSR-E). These data are blended together using optimal interpolation and are avail-
104 able as daily averages on a $0.25^\circ \times 0.25^\circ$ grid from June 2002 to the present from Remote
105 Sensing Systems ([ftp.discover-earth.org/sst](ftp://ftp.discover-earth.org/sst)). We have averaged these data to a $1^\circ \times 1^\circ$
106 spatial resolution for consistency with the velocity and surface heat flux data sets de-
107 scribed later in this section. Surface wind velocity from the SeaWinds instrument on
108 the Quick Scatterometer (QuikSCAT) satellite is available from Institut Français de
109 Recherche pour l'exploitation de la Mer (IFREMER)/Centre ERS d'Archivage et de
110 Traitement (CERSAT) on a $0.5^\circ \times 0.5^\circ$ daily grid from July 1999 to November 2009
111 (<ftp://ftp.ifremer.fr/ifremer/cersat/products/gridded/mwf-quikscat>). Wind stress is
112 calculated using a constant drag coefficient of 1.5×10^{-3} and an air density of 1.29 kg
113 m^{-3} . The NOAA interpolated OLR, available on a $2.5^\circ \times 2.5^\circ$ grid for 1979–present,
114 is used to detect regions of atmospheric deep convection (Liebmann and Smith 1996).

115 Horizontal currents averaged in the upper 30 m are available from the Ocean Sur-
116 face Current Analysis-Realtime (OSCAR, Bonjean and Lagerloef 2002). This product
117 uses satellite sea level, wind stress, and SST, together with a diagnostic model, to

118 calculate velocity on a $1^\circ \times 1^\circ$ grid every five days for the period 1993–present.

119 We also use combined satellite/in situ data sets of SST and precipitation. Monthly
120 optimally interpolated SST is available on a $1^\circ \times 1^\circ$ grid from December 1981 to the
121 present (Reynolds et al. 2002; [podaac.jpl.nasa.gov/sea_surface_temperature/reynolds/](http://podaac.jpl.nasa.gov/sea_surface_temperature/reynolds/oisst/)
122 [oisst/](http://podaac.jpl.nasa.gov/sea_surface_temperature/reynolds/oisst/)). The Global Precipitation Climatology Project (GPCP) provides monthly mean
123 precipitation from January 1979 to the present on a $2.5^\circ \times 2.5^\circ$ grid (Adler et al. 2003;
124 <http://www.cdc.noaa.gov/cdc/data/gpcp.html>). These data sets are used to put the
125 2009 anomalies into perspective with the longer-term variability in the tropical Atlantic
126 (Fig. 1). We also use daily surface atmospheric pressure, air temperature, and specific
127 humidity from the NCEP/NCAR reanalysis for the time period 1982–2009 on a $2^\circ \times 2^\circ$
128 grid (Kalnay et al. 1996). The surface pressure data are used to calculate atmospheric
129 indices during 2008–09 (Table 1). The air temperature and specific humidity data are
130 combined with QuikSCAT wind speed and TMI/AMSR-E SST to calculate surface
131 latent and sensible heat loss using version 3 of the COARE bulk flux algorithm (Fairall
132 et al. 2003). This hybrid satellite-reanalysis approach is used because of significant
133 errors in the reanalysis wind speed and turbulent heat fluxes (e.g., Sun et al. 2003).
134 Surface shortwave radiation and net longwave emission are obtained from the TropFlux
135 analysis on a $1^\circ \times 1^\circ \times$ daily grid for 1989–2009 (Kumar et al. 2011). This product
136 calculates surface shortwave radiation by combining a satellite-based product (Zhang
137 et al. 2004) with satellite outgoing longwave radiation. Net surface longwave radia-
138 tion in TropFlux is calculated from the ECMWF reanalysis after bias and amplitude
139 correction.

140 Monthly averaged mixed layer depth, thermocline depth, and the temperature
141 10 m below the mixed layer are computed using temperature and salinity profiles from

142 Argo floats during 2005–2009, when the coverage in the tropical Atlantic is highest.
143 The vertical resolution of the temperature and salinity profiles is 5 to 10 m. We use
144 profiles which have their shallowest measurement at a depth of 5 m or less. There are
145 3465 profiles fitting this criterion in the equatorial North Atlantic region (2°N – 12°N ,
146 15°W – 45°W) that we focus on in this study.

147 For all data sets except Argo, anomalies are calculated with respect to the daily
148 mean seasonal cycle computed using data from 2003–2008, when all products are
149 available. Anomalies of Argo-based quantities are calculated based on the 2005–2008
150 monthly mean seasonal cycle. Because of the exceptional strength of the negative
151 AMM event in 2009, our results are not sensitive to the time period used to calculate
152 the seasonal cycles.

153 **2.2 PIRATA**

154 Measurements from two PIRATA moorings complement the satellite and reanalysis
155 products. The moorings are located at 4°N , 23°W and 12°N , 23°W (Fig. 2c). Both
156 moorings measure subsurface temperature, salinity, and velocity, as well as air temper-
157 ature, relative humidity, wind velocity, rainfall, and shortwave radiation. The mooring
158 at 12°N , 23°W additionally measures downward longwave radiation and barometric
159 pressure. Because of significant gaps in the buoy 10 m velocity records, these data are
160 used only for validation of OSCAR currents and are not used directly in the tempera-
161 ture budget analyses.

162 Subsurface temperature at 12°N , 23°W is measured at depths of 1, 5, 10, and
163 13 m, and with 20 m spacing between 20 m and 140 m. Measurements are made at
164 the same depths at the 4°N , 23°W mooring except that data at 5 m are not available.
165 Salinity is available from both moorings at depths of 1, 10, 20, 40, 60, and 120 m. In

166 addition, the mooring at 12°N measures salinity at 5 m and 80 m. Missing data in
 167 the temperature records are filled with vertical linear interpolation. At 12°N, 23°W
 168 temperature is missing at depths of 13 m and 20 m during 2008. At 4°N, 23°W
 169 temperature is missing at 10 m in 2007. Gaps in the salinity records occur at 5 m and
 170 20 m during 2008 at the 12°N location and at 10 m during 2007 at the 4°N mooring.

171 **3 Methodology**

172 In this section the methods used to analyze the causes of the 2009 AMM event are
 173 presented. We first describe how Ekman pumping is calculated from satellite winds.
 174 We then present the methodology used to assess the mixed layer temperature balance
 175 in the North Atlantic (2°N–25°N), first from satellite, reanalysis, and Argo data and
 176 then using measurements from two PIRATA moorings.

177 **3.1 Ekman pumping**

178 To calculate Ekman pumping velocity, we first follow Cane (1979) and Lagerloef et al.
 179 (1999) and assume a steady linear momentum balance in the upper ocean:

$$-fh_e v_e = \frac{\tau^x}{\rho} - r u_e \quad (1)$$

$$fh_e u_e = \frac{\tau^y}{\rho} - r v_e \quad (2)$$

180 Here h_e is a constant depth of 30 m and r is a frictional damping coefficient set to
 181 $2 \times 10^{-4} \text{ m s}^{-1}$. The values of h_e and r were determined empirically from the motion
 182 of surface drifting buoys in the global equatorial ocean (Lagerloef et al. 1999). Ekman
 183 pumping velocity is then calculated from (1) and (2) as the divergence of the Ekman
 184 transport:

$$\begin{aligned}
w_e &= h_e \nabla \cdot \mathbf{v}_e \\
&= \frac{-2rh_e^3 f \beta \tau^y}{\rho(r^2 + h_e^2 f^2)^2} + \frac{h_e^2 f \frac{\partial \tau^y}{\partial x} + rh_e \frac{\partial \tau^y}{\partial y}}{\rho(r^2 + h_e^2 f^2)} \\
&+ \frac{2h_e^3 f^2 \beta \tau^x}{\rho(r^2 + h_e^2 f^2)^2} + \frac{-h_e^2 f \frac{\partial \tau^x}{\partial y} + rh_e \frac{\partial \tau^x}{\partial x} - h_e^2 \beta \tau^x}{\rho(r^2 + h_e^2 f^2)}
\end{aligned} \tag{3}$$

185

186 **3.2 Mixed layer temperature balance**

187 This section presents the details of the mixed layer temperature balance used to de-
188 termine the processes responsible for the anomalous events in 2009. The methodology
189 used to assess the basin-scale temperature balance in the tropical North Atlantic is
190 described first. We then describe the methodology used to quantify the temperature
191 balance at two PIRATA mooring locations.

192 **3.2.1 Tropical North Atlantic**

193 The mixed layer temperature balance at a given location in the tropical North Atlantic
194 can be written

$$\frac{\partial T'}{\partial t} = \frac{Q'_0}{\rho c_p h} - \frac{\overline{Q_0} h'}{\rho c_p h^2} - (\mathbf{v} \cdot \nabla T)' + \left(\frac{\partial T}{\partial t} \right)'_z \tag{4}$$

195 Here overbars indicate the mean seasonal cycle and primes indicate anomalies from the
196 monthly mean seasonal cycle. The term on the left is the change in mixed layer tem-
197 perature. The terms on the righthand side are the changes in mixed layer temperature
198 due to anomalies of the surface heat flux (Q_0), anomalies of mixed layer thickness act-
199 ing on the mean surface heat flux, horizontal temperature advection, and the vertical
200 heat flux at the base of the mixed layer. The second term on the right arises from a

201 perturbation expansion of the surface heat flux term around h , assuming $h' < \bar{h}$. Here
 202 T is vertically averaged temperature in the mixed layer, h is the mixed layer thickness,
 203 and \mathbf{v} is horizontal velocity averaged vertically in the mixed layer.

204 The temperature tendency due to the vertical heat flux at the base of the mixed
 205 layer can be written

$$\left(\frac{\partial T}{\partial t}\right)'_z = -\left(\frac{H\Delta T w_{entr}}{h}\right)' - \left(\frac{K_v}{h} \frac{\partial T}{\partial z}\right)' \quad (5)$$

206 The first term on the right is the mixed layer temperature change due to entrainment.
 207 Here H is the Heaviside unit function ($H=0$ if $w_{entr} < 0$ and $H=1$ otherwise), ΔT is the
 208 temperature jump at the base of the mixed layer, and w_{entr} is entrainment velocity.
 209 Entrainment velocity is defined following McPhaden (1982):

$$w_{entr} = \frac{\partial h}{\partial t} - \frac{\partial Z_{20}}{\partial t} \quad (6)$$

210 In (6), h is the mixed layer thickness and Z_{20} is the depth of the 20°C isotherm,
 211 defined as positive downward. Positive entrainment, which tends to cool the mixed
 212 layer, will occur when w_{entr} is positive (e.g., when the mixed layer deepens faster than
 213 the thermocline or shoals more slowly).

214 We parameterize the temperature jump at the base of the mixed layer in the
 215 entrainment term as $\Delta T = T - T_{h|10}$, where $T_{h|10}$ is the temperature 10 m below the
 216 base of the mixed layer. This parameterization gives $\Delta T = 1.5^\circ\text{C}$ averaged between
 217 2°N–25°N, 15°W–45°W during January–April, which is consistent with ΔT used in
 218 previous studies (e. g., Hayes et al. 1991, Foltz et al. 2010). In reality, ΔT likely
 219 depends on a number of factors, such as stratification below the mixed layer and the
 220 magnitude of w_e . We therefore anticipate a relatively high degree of uncertainty in our

221 estimates of entrainment.

222 The second term on the righthand side of (5) is the mixed layer temperature
223 change due to vertical turbulent diffusion. Here K_v is the eddy diffusion coefficient
224 and $\partial T/\partial z$ is the average vertical temperature gradient between the base of the mixed
225 layer and 10 m below the mixed layer. The K_v parameter is difficult to quantify.
226 Hayes et al. (1991) estimated $K_v = 0.3\text{--}2.3 \times 10^{-4} \text{ m}^2 \text{ s}^{-1}$ at 0° , 110°W in the eastern
227 equatorial Pacific. For simplicity, we use a constant value of $K_v = 1 \times 10^{-4} \text{ m}^2 \text{ s}^{-1}$.
228 There are significant uncertainties associated with our assumption of a constant eddy
229 diffusivity in (5). We therefore expect a high degree of uncertainty in our estimates of
230 turbulent diffusion.

231 We calculate T from monthly averaged TMI/AMSR-E SST. Individual Argo tem-
232 perature and salinity profiles during 2005–2009 are used to calculate monthly averaged
233 h , ΔT , and Z_{20} . The mixed layer depth is calculated using the criterion of the density
234 equivalent of a 0.3°C decrease from a depth of 5 m. Results are similar for criteria
235 ranging from $0.2\text{--}0.5^\circ\text{C}$. The net surface heat flux consists of the latent, sensible, short-
236 wave, and longwave heat fluxes. The shortwave and longwave components are obtained
237 from the TropFlux analysis. We calculate the amount of SWR penetrating through the
238 base of the mixed layer as $Q_{pen} = 0.47Q_{sfc}e^{-h/15}$, where Q_{sfc} is the net surface SWR
239 assuming an albedo of 6%. The longwave and sensible heat fluxes are generally weak
240 compared to the latent and shortwave components. Anomalies of horizontal tempera-
241 ture advection are calculated from satellite-derived OSCAR currents and satellite SST
242 gradients calculated over a centered distance of 2° .

243 Each of the terms in (6) is calculated at a 1° spatial resolution in the tropical
244 Atlantic ($10^\circ\text{S}\text{--}30^\circ\text{N}$, $10^\circ\text{E}\text{--}60^\circ\text{W}$). In order to quantify the temperature balance, the

245 terms in (6) are also area-averaged in specific regions. To average the horizontal ad-
 246 vection term, we follow Lee et al. (2004) and calculate the anomalous change in mixed
 247 layer temperature due to horizontal advection as

$$\left(\frac{\partial T}{\partial t}\right)'_{adv} = \frac{(u_w \delta T_w)' - (u_e \delta T_e)'}{\Delta x} + \frac{(v_s \delta T_s)' - (v_n \delta T_n)'}{\Delta y} \quad (7)$$

248 Here u and v are zonal and meridional velocity from OSCAR, respectively, δT is the
 249 difference between SST and SST averaged in the region, and Δx and Δy are the
 250 distances along the zonal and meridional boundaries of the region, respectively. The
 251 subscripts w , e , s , and n represent averages along the western, eastern, southern, and
 252 northern boundaries, respectively.

253 We use the convention that a positive surface heat flux tends to warm the ocean.
 254 Error estimates for the anomalous change in T and the sum of the terms on the
 255 righthand side of (6) are shown in Table 2 and discussed in the Appendix.

256 3.2.2 PIRATA moorings

257 The mixed layer temperature balance equation that we apply at the PIRATA mooring
 258 locations is similar to that used for the area-averaged analysis (eq. 4):

$$\frac{\partial T'}{\partial t} = \left(\frac{Q_0}{\rho c_p h}\right)' + Q'_{ocean} \quad (8)$$

$$Q'_{ocean} = -(\mathbf{v} \cdot \nabla T)' + \left(\frac{\partial T}{\partial t}\right)'_z \quad (9)$$

259 Here all terms are as in (4). Terms in (8) are defined as positive when they tend to
 260 heat the mixed layer. Mixed layer thickness, ΔT , $\partial T/\partial z$, Z_{20} , entrainment, latent and
 261 sensible heat fluxes, and the penetrative component of shortwave radiation (SWR) are
 262 calculated as in section 3.3.1 using daily averages of buoy air temperature, relative

263 humidity, wind speed, SWR, and subsurface temperature and salinity. Mixed layer
264 temperature is calculated using buoy subsurface temperature and mixed layer depth.
265 Mixed layer depth is estimated using the criterion of the density equivalent of 0.3°C
266 temperature decrease from a depth of 1 m.

267 Horizontal advection (first term on the right in eq. 9) is calculated from daily
268 OSCAR currents and TMI/AMSR-E SST. The OSCAR zonal currents agree reasonably
269 well with zonal currents at a depth of 10 m from the moorings. The meridional currents
270 are more poorly represented by OSCAR. The correlation between 5-day averaged buoy
271 and OSCAR zonal velocity at 12°N, 23°W is 0.7, based on ~2 years of daily data. The
272 record-length mean is -6.7 cm s⁻¹ for OSCAR and -3.8 cm s⁻¹ for the mooring. For the
273 meridional component the correlation is 0.4, and the mean of the mooring velocity is
274 2.0 cm s⁻¹, while for OSCAR the mean is -0.1 cm s⁻¹. At 4°N, 23°W the correlation for
275 the zonal component is 0.8, and for the meridional component the correlation is zero.
276 The record-length means for the zonal component are 8.3 cm s⁻¹ for the mooring and
277 6.3 cm s⁻¹ for OSCAR at this location. For the meridional component the means are
278 3.3 cm s⁻¹ for the mooring and 0 cm s⁻¹ for OSCAR. These uncertainties in OSCAR
279 currents translate to errors in the temperature balance of ±0.1 – 0.2°C mo⁻¹ (see
280 Appendix).

281 We use daily TropFlux net longwave radiation (LWR) at 4°N, 23°W and calculate
282 net longwave emission at 12°N, 23°W using direct measurements of downward LWR at
283 the mooring. Because of gaps in the buoy time series, anomalies for the Nov 2008 – Nov
284 2009 period are calculated with respect to either the same period during 2007–2008
285 (at 12°N, 23°W) or 2006–2007 (4°N, 23°W). Error estimates for each term in (8) and
286 (9) are discussed in the Appendix, and error bars for Q_{ocean} and horizontal advection

287 (the terms with the largest errors) are shown in Figs. 6–7.

288 **4 Results**

289 In this section we examine the processes responsible for generating the SST anomalies in
290 the North Atlantic (2°N – 25°N , 15°W – 45°W) during 2009. A description of the surface
291 conditions is presented first, followed by an analysis of the mixed layer temperature
292 budget.

293 **4.1 Evolution of the 2009 anomalies**

294 The SST anomalies in 2009 developed over a span of several months and were strongest
295 between 10°S – 25°N (Fig. 2). In January 2009 there was an anomalous intensification
296 of the northeasterly trade winds in the tropical North Atlantic (TNA; 12°N – 25°N)
297 coincident with anomalously cold SSTs centered near 20°N and warmer than normal
298 SSTs in the tropical South Atlantic (Fig. 2a). Surface wind speed anomalies during
299 January peaked at $\sim 2 \text{ m s}^{-1}$ in the 15°N – 20°N band, decreasing to 0.5 – 1 m s^{-1} just
300 north of the equator. Cold SST anomalies were strongest in the northeastern basin,
301 reaching a maximum of 1 – 1.5°C off the coast of Northwest Africa (Fig. 2a). To the
302 south of the strongest anomalous cooling, a band of weaker negative SST anomalies
303 developed between the equator and 5°N . This band of anomalously cold SSTs was
304 associated with anomalous northerly winds between 20°W – 40°W centered near $\sim 2^{\circ}\text{N}$
305 (Fig. 2a). The sign of the meridional wind and SST gradient anomalies in this region
306 is consistent with forcing of the northerly wind anomalies by the southward anomalous
307 SST gradient (e. g., Lindzen and Nigam 1987).

308 By March 2009 the anomalously strong trade winds had relaxed in the TNA, with
309 anomalously low wind speed between 10°N – 20°N (Fig. 2b). The strongest negative

310 SST anomalies in March were located farther south, between the equator and 15°N ,
311 increasing in magnitude northeastward from the coast of Brazil to a maximum of 3°C off
312 the coast of Northwest Africa. Anomalous northerly winds on the southern edge of the
313 band of coldest SST anomalies (5°S – 2°N) intensified between January and March (Fig.
314 2a,b). The southward progression of the strongest SST and wind anomalies during
315 boreal winter and spring is consistent with wind-evaporation-SST (WES) feedback
316 (Xie 1999, Chang et al. 2001) and the canonical AMM presented in Chiang et al.
317 (2002).

318 Between March and May the region of strongest cold SST anomalies off the
319 coast of Northwest Africa weakened slightly and shifted southwestward (Fig. 2c).
320 Northerly surface wind anomalies between 2°N – 5°S strengthened further, especially in
321 the western basin. SSTs became anomalously warm between 2°N – 5°S , peaking at $>1^{\circ}\text{C}$
322 between 10°W – 20°W . The warm SST anomalies in the equatorial South Atlantic were
323 much shorter-lived than the cold anomalies to the north, however. By July the warm
324 anomalies on the equator were replaced by cold anomalies of up to 2°C (Fig. 2d). Foltz
325 and McPhaden (2010a) showed that the strong equatorial cooling was caused by the
326 western boundary reflection of upwelling Rossby waves, generated by northwesterly
327 wind stress anomalies the previous spring, into upwelling equatorial Kelvin waves.
328 Between May and July SSTs became anomalously warm to the north of 15°N , and
329 the cold SST anomalies between the equator and 15°N weakened considerably. Surface
330 winds returned to normal throughout most of the basin.

331 The initial trigger for the strong meridional mode event in 2009 can be traced to
332 the anomalous intensification of the TNA trade winds in January and February. The
333 enhanced trade winds are consistent with La Niña conditions in the eastern equatorial

334 Pacific during the winter of 2008–09 and a positive North Atlantic Oscillation (NAO)
335 index in January 2009 (Table 1). The anomalously strong trade winds in January and
336 February 2009 cannot be explained entirely by ENSO and the NAO, however: The 2008
337 La Niña in the Pacific was stronger than the La Niña in 2009, and the NAO index was
338 of the same sign and comparable in magnitude during the two years (Table 1). Based
339 on the NAO and ENSO indices for 2008 and 2009, therefore, wind speed in the TNA
340 during these years should have been similar. Instead, winds were slightly weaker than
341 normal in Jan–Feb 2008, but two standard deviations stronger than normal in Jan–Feb
342 2009 (Table 1).

343 The stronger winds in 2009 relative to 2008 can be explained in part by a stronger
344 than normal subtropical Atlantic high pressure system (STH) in 2009 compared to
345 2008. Changes in the strength of the STH account for part of the NAO variability, along
346 with changes in atmospheric circulation in the subpolar Atlantic (Wallace and Gutzler
347 1981). It is therefore possible for strong fluctuations in the STH to occur without
348 corresponding fluctuations in the NAO index if the STH and subpolar Atlantic vary in
349 phase. Indeed, the STH was 1.5 standard deviations above normal in January 2009,
350 compared to one standard deviation below normal in January 2008 despite positive
351 values of the NAO index in both years (Table 1). The strong influence of the STH on
352 TNA wind speed during 2008–09, independent of the NAO and ENSO, is consistent
353 with a statistical analysis for 1982–2009. Multiple linear regression using the NAO,
354 Niño-3.4, and STH indices explains 80% of tropical North Atlantic wind speed variance
355 in January, compared to 55% when the predictors are limited to the NAO and Niño-
356 3.4 indices. The persistence of strong positive wind speed anomalies from January to
357 February 2009 despite a negative NAO index and weakly positive STH may be due

358 to stronger than normal convection in the Amazon during February 2009 (Table 1),
359 consistent with Enfield and Mayer (1997) and Saravanan and Chang (2000).

360 The development of cold SST anomalies in the TNA in January coincident with
361 stronger than normal trade winds suggests that the SST anomalies here were forced
362 primarily by enhanced wind-induced evaporative heat loss, consistent with previous
363 studies (Cayan 1992, Carton et al. 1996; Tanimoto and Xie 2002; Foltz and McPhaden
364 2006). Following the initial cooling in the TNA in January 2009, cold SST anomalies
365 persisted between 2°N – 12°N during Feb–May 2009 despite much weaker wind speed
366 anomalies in this region (Fig. 2b,c). This is the time of year when positive WES
367 feedback is strongest in the western tropical Atlantic (Xie and Carton 2004). It is
368 therefore possible that WES feedback contributed to the strong anomalous cooling in
369 the equatorial North Atlantic (ENA; 2°N – 12°N , 15°W – 45°W) and rapid development
370 of the AMM during Feb–May 2009. In the next two sections we analyze the processes
371 responsible for the generation and persistence of the cold SST anomalies in the ENA
372 during Jan–Apr 2009.

373 **4.2 Ekman pumping and vertical turbulent fluxes**

374 Previous studies suggest that on interannual timescales SST anomalies in the TNA
375 are driven primarily by changes in wind-induced latent heat flux. In contrast, in
376 the equatorial Atlantic (12°S – 12°N) surface heat fluxes appear to be less important
377 relative to ocean dynamics, especially in the central and eastern basin (Carton and
378 Huang 1994; Carton et al. 1996; Foltz and McPhaden 2006). Therefore, we expect that
379 ocean dynamics may have contributed significantly to the development of the cold SST
380 anomalies in this ENA region during January–May 2009. One candidate is anomalous
381 Ekman pumping, driven by anomalous northwesterly winds in the equatorial Atlantic

382 (Fig. 2). Foltz and McPhaden (2010a) showed that the anomalous northwesterlies in
383 early 2009 generated upwelling equatorial Rossby waves, which in addition to Ekman
384 pumping, may have contributed to anomalous cooling of SST. In this section we first
385 focus on the role of Ekman pumping, a mechanism that was not considered by Foltz
386 and McPhaden (2010a). We then discuss entrainment and vertical turbulent diffusion,
387 which implicitly include the contributions from equatorial waves and Ekman dynamics.

388 In most of the tropical Atlantic, poleward of 10° and away from from the African
389 coast, climatological Ekman pumping is weak and negative (i.e., downwelling) during
390 Jan–Apr (Fig. 3a). Positive ekman pumping (i.e., upwelling) of less than 0.3 m day^{-1}
391 is present in the eastern basin poleward of 5° . There is a narrow band of stronger
392 Ekman pumping ($>1 \text{ m day}^{-1}$) centered just south of the equator and a band of strong
393 negative values just north of the equator in the eastern basin, consistent with Chang
394 and Philander (1994).

395 During boreal winter and spring 2009 there was Ekman pumping of $\sim 0.3\text{--}1.5$
396 m day^{-1} between the equator and 6°N , west of 20°W , in a region where there is
397 normally negative Ekman pumping (i.e., downwelling) or very weak upwelling (Fig.
398 3b). Ekman pumping anomalies in Jan–Apr 2009 reached 1 m day^{-1} in a narrow band
399 centered near 3°N between $20^\circ\text{W}\text{--}40^\circ\text{W}$. Anomalous Ekman pumping here was driven
400 primarily by the meridional component of wind stress (Fig. 3c). Anomalous northerly
401 wind stress acting on the meridional gradient of planetary vorticity (the beta effect;
402 first term on the right in (3)), combined with the westward increase in anomalous
403 northerly wind stress (the curl effect; second term on the right in (3)) and anomalous
404 meridional wind stress divergence (third term on the right in (3)), all contributed
405 to positive Ekman pumping anomalies between the equator and 6°N . The strongest

406 Ekman pumping anomalies coincided with anomalous shoaling of the thermocline of
407 ~ 10 m (Fig. 4d-f).

408 During Jan–Feb, there was also pronounced anomalous deepening of the mixed
409 layer between the equator and 30°N (Fig. 4d-f), which was most likely driven by
410 enhanced turbulent mixing associated with the anomalously strong trade winds during
411 the same period (Fig. 2a, Fig. 3c). The anomalous mixed layer deepening was strongest
412 to the north of the strongest Ekman pumping and thermocline depth anomalies, where
413 the wind speed anomalies were greatest.

414 The anomalous Ekman pumping and mixed layer deepening would have tended to
415 cool SST anomalously through the combination of entrainment and vertical turbulent
416 diffusion. The climatological entrainment velocity is positive between the equator and
417 10°N during January–February, when the mixed layer is deepening to the west of 30°W ,
418 and Z_{20} is shoaling in the east (Fig. 4a-c). The strongest anomalous entrainment
419 velocity in 2009 also occurs in this region and during January–February, the period
420 with anomalous mixed layer deepening and anomalous shoaling of the thermocline
421 (Fig. 4d-f). Anomalous cooling from turbulent diffusion is likely to be strongest
422 during March–April in the eastern basin (2°N – 10°N , 15°W – 30°W) since this is where
423 anomalous shoaling of the thermocline is most pronounced (Fig. 4f). A shallower than
424 normal thermocline will tend to increase the vertical temperature gradient below the
425 mixed layer, enhancing cooling from turbulent mixing according to (5). The results of
426 this qualitative analysis are generally consistent with the location and timing of the
427 strongest anomalous cooling of SST in the tropical North Atlantic during 2009 (Fig.
428 5a).

4.3 Mixed layer temperature balance

In order to quantify the contributions from the vertical and surface heat fluxes to the anomalous cooling in early 2009, we consider the mixed layer temperature budget (4). During Jan–Feb 2009, anomalous cooling of SST was strongest between the equator and $\sim 15^\circ\text{N}$ (Fig. 5a). The cooling was driven primarily by stronger than normal latent heat flux (LHF) and net vertical heat flux (Fig. 5b–e). In Mar–Apr, there was additional anomalous cooling in the 2°N – 12°N band and anomalous warming to the north and south (Fig. 5f). Anomalies of LHF and $h'\overline{Q_0}$ contributed to the anomalous warming outside of the 2°N – 12°N band during Mar–Apr (Fig. 5g,j). Between 2°N – 12°N , anomalous cooling from the net vertical heat flux and $h'\overline{Q_0}$ was balanced by strong anomalous warming from LHF and shortwave radiation (SWR). Horizontal temperature advection tended to cool the mixed layer anomalously in the eastern basin between 5°N – 15°N , where westward mean currents and anomalous zonal SST gradients were strongest. Averaged between 2°N – 25°N , however, its contribution to the anomalous cooling was small compared to surface fluxes and the net vertical heat flux.

We next focus on the equatorial North Atlantic (ENA) region (2°N – 12°N , 15°W – 45°W) for a quantitative assessment of the mixed layer temperature balance. Our selection of this region is based on several factors. First, the SST anomalies in this region were generally much stronger than those to the north and south. The processes responsible for generating the SST anomalies in this region are therefore more likely to be resolved above observational noise and uncertainties associated with uneven sampling. Second, based on our qualitative analysis, the temperature budget in the ENA region appears to be a balance between several terms, including vertical heat fluxes,

453 LHF, SWR, and $h'\overline{Q_0}$. Quantifying these terms in relation to anomalous changes in
 454 SST will help to determine which processes are most important. Finally, the ENA
 455 region is sampled by a larger number of Argo floats compared to the equatorial band,
 456 and there are two PIRATA moorings in the ENA region that were well positioned to
 457 record the strong anomalies in early 2009 (Fig. 2, section 5). Because of strong spatial
 458 variability of the temperature budget in the ENA (Fig. 5), we calculate the terms
 459 in (6) averaged in four subregions (**NE**: 15°W–30°W, 7°N–12°N; **NW**: 30°W–45°W,
 460 7°N–12°N; **SW**: 30°W–45°W, 2°N–7°N; **SE**: 15°W–30°W, 2°N–7°N) and then average
 461 each of the subregions to obtain the temperature balance in the ENA region as a whole.

462 During January–February both wind-induced latent heat flux (LHF) and the
 463 net vertical heat flux contributed significantly to the observed cooling in the ENA
 464 region (Table 2). Anomalous cooling from the vertical heat flux was four times as
 465 strong as the cooling from wind-induced LHF. Entrainment and turbulent diffusion
 466 contributed equally to the anomalous cooling from vertical processes. Entrainment
 467 was driven by anomalous mixed layer deepening in the NW, NE, and SW subregions,
 468 and thermocline shoaling in the SE subregion. Anomalies of turbulent diffusion were
 469 driven by anomalous shoaling of the thermocline, and associated increase in $\partial T/\partial z$, in
 470 the SE subregion. As a result, the strongest anomalies of the net vertical heat flux were
 471 concentrated in the eastern basin (NE and SE subregions; Fig. 5d), where anomalous
 472 entrainment and turbulent diffusion were strongest and where there is a shallow mean
 473 mixed layer and thermocline (Fig. 4b). Anomalous cooling from wind-induced LHF
 474 was strongest in the NE subregion (Fig. 5b), where the wind speed anomaly was
 475 strongest and the climatological mixed layer is thinnest. The good agreement between
 476 the sum of LHF, SWR, and vertical heat flux with the observed change of SST in the

477 ENA region suggests that other processes, such as horizontal temperature advection,
478 were relatively unimportant, or that they canceled one another (Table 2).

479 After the initial anomalous cooling of 1°C in January–February, subsequent cool-
480 ing during March–April was relatively weak. The weaker cooling during March–April
481 is a consequence of an anomalous warming tendency of 0.7°C due to LHF-induced
482 damping of the anomalously cold SST driven by the anomalous air-sea humidity differ-
483 ence, combined with a warming tendency of 0.5°C from the enhanced SWR associated
484 with the southward anomalous displacement of the ITCZ (Fig. 5f-h; Table 2). The
485 surface flux-induced anomalous warming is balanced to within 0.1°C by the cooling
486 tendency from the combination of the net vertical heat flux and anomalous cooling
487 from the dilution of the mean positive surface heat flux over a thicker mixed layer (i.e.,
488 a reduction in the ability of the surface flux to warm SST due to the increased volume
489 of the mixed layer) (Fig. 5i,j). The anomalous cooling from vertical processes was
490 dominated by turbulent diffusion, which itself was driven by anomalous shoaling of the
491 thermocline in the SE subregion.

492 In summary, the anomalous cooling in the equatorial North Atlantic (2°N – 12°N)
493 during January–February 2009 was driven by a combination of enhanced wind-induced
494 latent heat loss and the vertical heat flux at the base of the mixed layer. After the
495 initial cooling, SSTs remained anomalously cold during March and April due to a
496 balance between the combination of the vertical heat flux and dilution of the surface
497 heat flux over a thicker mixed layer, tending to cool the mixed layer anomalously, and
498 the combination of anomalous warming from enhanced SWR due to the anomalous
499 southward shift of the ITCZ, and air-sea humidity-induced evaporation, tending to
500 damp the cold anomaly back to climatology.

501 **5 PIRATA mooring locations**

502 In this section we analyze the mixed layer temperature balance (8) at two PIRATA
503 mooring locations in the ENA region (12°N , 23°W and 4°N , 23°W) (Fig. 2c,d). The
504 advantages of using measurements from the moorings are the increased temporal reso-
505 lution of subsurface temperature and salinity measurements (daily from the moorings
506 versus monthly from Argo) and more accurate measurements of surface fluxes from the
507 moorings compared to satellites and atmospheric reanalyses. The temperature budgets
508 at the mooring locations therefore complement the area-averaged analysis presented in
509 the previous section.

510 **5.1 12°N , 23°W**

511 The PIRATA mooring at 12°N , 23°W was located to the northwest of the strongest
512 cold SST anomalies in March–May 2009 (Fig. 2b,c). There was strong anomalous
513 cooling at this location during Jan–Feb 2009, consistent with satellite SSTs during the
514 same period (Fig. 6a). The anomalous cooling at the mooring location corresponds to
515 a period with stronger than normal wind speed and a pronounced anomalous deepening
516 of the mixed layer (Fig. 6b). The timing and magnitude of the anomalous mixed
517 layer deepening and wind speed anomalies are consistent with satellite and Argo mea-
518 surements in the ENA region (Figs. 2, 4).

519 Enhanced wind speed in Jan–Feb at 12°N , 23°W tended to cool the mixed layer
520 anomalously through enhanced latent heat flux (LHF). However, when anomalies in
521 mixed layer depth are taken into account, the net impact of LHF on SST during Jan–
522 Apr was anomalous warming due to the dilution of the climatological latent heat loss
523 over a thicker mixed layer (Fig. 6c). The same mechanism played an important role

524 in determining the sign of the SWR-induced SST tendency. There was anomalously
525 strong SWR during mid January through April 2009, tending to warm the mixed
526 layer anomalously. Dilution of the climatological SWR flux over a thicker mixed layer,
527 however, resulted in a net anomalous cooling tendency due to SWR during Jan–Apr
528 (Fig. 6c). Overall, there was anomalous mixed layer cooling of 1°C between March and
529 April 2009 associated with the dilution of the mean positive surface heat flux over the
530 anomalously thick mixed layer (Fig. 6d). The anomalous cooling associated with the
531 thicker mixed layer is consistent with the cooling observed in the ENA region during
532 the same period (Table 2), though the cooling at the mooring location is significantly
533 stronger. The stronger cooling at the mooring location compared to the ENA region
534 is likely due to the combination of a larger positive climatological net surface heat flux
535 and stronger anomalous mixed layer deepening at the mooring location.

536 The net surface heat flux agrees reasonably well with the rate of change of mixed
537 layer temperature during late 2008 and early 2009 at 12°N , 23°W (Fig. 6d), though
538 there was stronger anomalous cooling during Jan–Feb 2009 than predicted by the
539 surface heat flux (Fig. 6d,e). The mismatch can be explained by an anomalous cooling
540 tendency from zonal temperature advection associated with an anomalously strong
541 negative zonal SST gradient (i.e., strongest anomalous cooling located to the east of
542 the mooring) in combination with climatological westward near-surface currents. The
543 net vertical heat flux was weak at this location during Jan–Apr 2009, consistent with
544 weak climatological downwelling and a deeper than normal thermocline. The small
545 contribution from the vertical heat flux at 12°N , 23°W is consistent with the large-
546 scale analysis presented in the previous section (Fig. 5d,i).

547 **5.2 4°N, 23°W**

548 The PIRATA mooring at 4°N, 23°W is located in the southeastern corner of the ENA
549 region, where there was strong anomalous cooling and anomalous Ekman pumping
550 during Jan–Mar 2009 (Figs. 3c, 4, 5). The maximum negative SST anomaly occurred
551 in late April at this location, almost two months after the strongest cold anomaly at
552 12°N, 23°W (Fig. 7a). Anomalous Ekman pumping led to anomalous shoaling of the
553 thermocline of ~ 30 m between January and mid May at 4°N, 23°W (Fig. 7b). This
554 timing is consistent with that found in the ENA region (Fig. 4). The largest thermo-
555 cline depth anomalies at 4°N, 23°W coincided with the period when the thermocline
556 is shallowest climatologically at this location.

557 Stronger than normal wind speed during Jan–Mar 2009 at 4°N, 23°W tended
558 to cool the mixed layer anomalously through enhanced latent heat loss (Fig. 7c),
559 consistent with the area-averaged temperature budget in the ENA region (Table 2).
560 Anomalous cooling from latent heat loss during Feb–May 2009 was balanced by a strong
561 anomalous warming tendency associated with positive anomalies of SWR (Fig. 7c).
562 The enhanced SWR at the mooring location during Feb–June is consistent with the
563 large-scale analysis of the previous section (Fig. 5c,h) and the pronounced anomalous
564 southward shift of the ITCZ during Apr–May 2009 (Fig. 1b).

565 The net surface heat flux agrees reasonably well with the mixed layer temperature
566 tendency during late 2008 and early 2009, though there is a period in April with
567 strong anomalous cooling ($\sim 2^\circ\text{C mo}^{-1}$) that cannot be explained by the surface heat
568 flux (Fig. 7d,e). April is also the month with the strongest observed anomalous
569 cooling, strong Ekman pumping anomalies, shallower than normal thermocline, and
570 the climatological minimum in thermocline depth. It is therefore anticipated that

571 entrainment and vertical turbulent diffusion were important at the mooring location
572 in April. Indeed, estimates from the mooring data show a broad peak of anomalous
573 cooling from the vertical heat flux during late February through April (Fig. 7e). The
574 presence of strong cooling from the vertical heat flux at 4°N , 23°W is consistent with
575 the analysis based on Argo profiles, which shows a maximum in cooling in the NE and
576 SE subregions (2°N – 12°N , 15°W – 30°W) and maximum thermocline shoaling in the SE
577 subregion (2°N – 7°N , 15°W – 30°W) (Figs. 4, 5).

578 **6 Summary and Discussion**

579 In January–May 2009 a strong Atlantic meridional mode event developed in the tropical
580 Atlantic. During its peak in boreal spring, there were cold SST anomalies of 0.5° – 2°C
581 in the equatorial North Atlantic (2°N – 12°N) and weaker warm SST anomalies in the
582 equatorial South Atlantic (0° – 5°S). In this study the causes of the strong anomalous
583 cooling in the equatorial North Atlantic are analyzed using satellite and in situ data
584 sets.

585 It is found that the cooling was initiated in January by an anomalous intensifica-
586 tion of the subtropical North Atlantic high pressure system and associated increase in
587 strength of the trade winds in the tropical North Atlantic (12°N – 25°N). Stronger than
588 normal trade winds persisted through February, due in part to a moderate La Niña in
589 the Pacific, a stronger than normal subtropical North Atlantic high pressure system,
590 and anomalously strong convection in the Amazon. Cold SST anomalies formed first
591 near 20°N off the coast of Africa, progressed southward to 2°N – 12°N , then intensified
592 and expanded westward during February–May. Surface winds in the equatorial At-
593 lantic responded to the meridional SST gradient, becoming northwesterly in January

594 and intensifying through May, consistent with positive wind-evaporation-SST feedback.
595 The evolution of the meridional mode event in 2009 is also consistent with the mod-
596 eling results of Chang et al. (2001), which show that atmospheric internal variability
597 generates SST anomalies in the tropical Atlantic north of $\sim 15^\circ\text{N}$ and that coupled
598 feedback is required to generate SST anomalies and cross-equatorial winds in the deep
599 tropics (10°S – 10°N).

600 The surface wind anomalies forced anomalous Ekman pumping between 2°N –
601 6°N , shoaling the thermocline anomalously by 10–30 m during January–May. Farther
602 north (6°N – 12°N), stronger than normal trade winds induced anomalous mixed layer
603 deepening of 5–20 m. In each region, the net effect was to bring the thermocline closer
604 to the base of the mixed layer, enhancing cooling from entrainment and vertical turbu-
605 lent diffusion. The anomalous cooling was partially balanced by positive anomalies of
606 shortwave radiation associated with the pronounced anomalous southward shift of the
607 ITCZ in response to the interhemispheric SST gradient anomaly. Stronger than nor-
608 mal wind-induced evaporative heat loss also contributed significantly to the observed
609 cooling in Jan–Feb. Dilution of the positive surface heat flux over an anomalously
610 deep mixed layer (i.e., a reduction in the ability of the surface flux to warm SST due to
611 the increased volume of the mixed layer) tended to cool the mixed layer anomalously
612 during Mar–Apr 2009. The mechanisms responsible for generating the SST anomalies
613 in the equatorial North Atlantic during Jan–Apr 2009 are summarized schematically
614 in Fig. 8.

615 Our results for the event in 2009 are consistent with previous studies, which
616 indicate that surface heat flux anomalies drive most of the interannual and decadal
617 variability of SST in the northern tropical Atlantic, while ocean dynamics play an

618 important role within 10° of the equator (Carton et al. 1996, Tanimoto and Xie 2002,
619 Foltz and McPhaden 2006). We also found that changes in mixed layer depth affect the
620 efficiency with which the net surface heat flux warms the mixed layer. It is interesting
621 to compare our results to the mechanism proposed by Doi et al. (2010). They showed
622 that changes in mixed layer depth in the Guinea Dome region (10°N – 15°N , 20°W –
623 35°W) during boreal fall affect the Atlantic meridional mode the following spring.
624 Anomalous deepening of the mixed layer in the fall dilutes the negative surface heat
625 flux in a thicker layer, tending to increase SST anomalously. In contrast, we find that
626 anomalous deepening of the mixed layer in the spring dilutes the positive surface heat
627 flux, tending to anomalously decrease SST. The opposite effects of changes in MLD
628 on SST during fall and spring result from opposite signs of the net surface heat flux
629 during these seasons.

630 We found that anomalous cooling from entrainment and vertical turbulent diffu-
631 sion in the 2°N – 6°N band during Jan–Apr 2009 was driven in part by strong northwest-
632 erly wind anomalies and resultant Ekman pumping. Foltz and McPhaden (2010a,b)
633 showed that the wind stress field associated with a negative meridional mode in the
634 spring (colder than normal SSTs north of the equator relative to the south, as oc-
635 curred in 2009) generates upwelling equatorial Rossby waves north of the equator. The
636 generation of upwelling Rossby waves is consistent with the observed southwestward
637 propagation of the strongest cold SST anomalies during Jan–Apr 2009. Further studies
638 are needed to quantify the contributions from Ekman dynamics and equatorial waves
639 to thermocline depth and SST anomalies in the equatorial North Atlantic.

640 The evolution of the meridional mode event in 2009 was similar to that of a
641 composite meridional mode presented by Chiang et al. (2002). They showed that a

642 negative meridional mode event, as occurred in 2009, is characterized by anomalous
643 surface winds directed from the cold to the warm hemisphere together with an anoma-
644 lous southward displacement of the ITCZ. The composite meridional mode in Chiang
645 et al. (2002) peaks during February–May and is preceded by anomalously strong trade
646 winds in the tropical North Atlantic during the preceding December–January, consis-
647 tent with the event in 2009. Though the evolution of the 2009 event was similar to
648 the composite evolution, there are also some important differences. First, there were
649 warmer than normal SSTs in the equatorial and tropical South Atlantic during boreal
650 winter 2008–09 coincident with the development of positive wind speed anomalies in
651 the tropical North Atlantic. For the composite meridional mode, SSTs in the equatorial
652 and South Atlantic are close to normal during the preceding December–January. Sec-
653 ond, the cold SST anomalies in the tropical North Atlantic during 2009 were strongest
654 in a band centered at about 5°N , whereas the composite meridional mode shows cold
655 SST anomalies centered near 15°N . These two differences likely were responsible for
656 the much stronger than normal meridional mode event in 2009 since both would tend
657 to enhance the meridional SST gradient in the equatorial Atlantic, leading to stronger
658 equatorial wind anomalies and associated positive wind-evaporation-SST feedback.

659 The results from this study suggest that there may be positive coupled feedbacks
660 between Ekman pumping anomalies north of the equator and the cross-equatorial SST
661 gradient anomaly. If present, this feedback is likely to be strongest in the central
662 and eastern equatorial Atlantic, where the mean thermocline is shallowest, and may
663 act concurrently with positive wind-evaporation-SST (WES) feedback in the western
664 Atlantic (Chang et al. 1997; Xie 1999, Chang et al. 2000). For example, after cold
665 SST anomalies developed north of the equator in January 2009, northwesterly anoma-

666 lous surface winds developed, causing anomalous Ekman pumping, shoaling of the
667 thermocline, and cooling through entrainment and vertical turbulent diffusion. The
668 anomalous cooling intensified the cross-equatorial SST gradient anomaly, which would
669 tend to generate stronger northwesterly wind anomalies.

670 The possibility of positive coupled wind-Ekman pumping-SST feedback was ex-
671 plored by Chang and Philander (1994) for the seasonal cycle in the eastern equatorial
672 Pacific and Atlantic. They found evidence for such a positive feedback and showed
673 that it is likely strongest within a few degrees of the equator, where the frictional
674 term in the momentum balance is stronger than the Coriolis effect. Based on this
675 theory, a positive meridional mode and associated cross-equatorial southeasterly winds
676 would generate Ekman pumping (i. e., upwelling) and cool SSTs along and south of
677 the equator, enhancing southeasterly winds. The same would apply to cross-equatorial
678 northwesterly winds, as occurred during the 2009 negative meridional mode event. The
679 main difference between Chang and Philander’s (1994) results and the events in 2009 is
680 that Chang and Philander’s model assumes that anomalies of thermocline depth do not
681 affect SST. In contrast, we found pronounced anomalous shoaling of the thermocline
682 in the central and eastern equatorial North Atlantic during boreal spring 2009 that
683 contributed to the observed anomalous cooling of SST. This potential coupling of ther-
684 mocline depth to SST in the equatorial North Atlantic during meridional mode events
685 would tend to enhance any positive wind-Ekman pumping-SST feedback relative to
686 that predicted by Chang and Philander’s (1994) model.

687 Both the WES feedback and potential Ekman pumping feedback are likely to
688 be strongest in the boreal spring, when the thermocline is shallowest climatologically
689 in the 2°N–12°N band and surface winds are most responsive to anomalies of the

690 meridional SST gradient (e.g., Chiang et al. 2002). Experiments with coupled models
691 will be helpful for testing whether positive Ekman feedback is active and for clarifying
692 the relative importance of Ekman pumping, surface heat fluxes, and air-sea coupling
693 for generating SST anomalies in the equatorial North Atlantic. As the observational
694 records from Argo and PIRATA expand, it will also be possible to determine the extent
695 to which the mechanisms at play in 2009 can be invoked to describe SST variability in
696 the equatorial North Atlantic in general.

697 **Appendix: Error estimates**

698

699 **Satellite/Argo area-averages**

700 Here we describe the methodology used to estimate errors for each term in the mixed
701 layer temperature equation (5). Errors in the rate of change of mixed layer tempera-
702 ture are due to uncertainties in TMI/AMSR-E SST. We have estimated these errors
703 to be $\pm 0.1^\circ\text{C}$, based on the monthly RMS difference between TMI/AMSR-E SST and
704 temperature at a depth of 1 m from the PIRATA moorings at 4°N , 38°W and 4°N ,
705 23°W during 2003–2009.

706 Uncertainties in daily-averaged latent heat flux (Q_e) and surface shortwave radi-
707 ation (SWR) are $\pm 20\text{W m}^{-2}$, and for the net surface heat flux (Q_0) a value of $\pm 30\text{W}$
708 m^{-2} is used, following Kumar et al. (2011). These values are converted to monthly
709 errors assuming an integral time scale (an estimate of the time period required to gain
710 a new degree of freedom) of three days.

711 Errors in monthly Argo mixed layer depth (MLD), ΔT , and Z_{20} are calculated
712 as the standard error of all measurements in a given equatorial North Atlantic (ENA)
713 subregion for a given month. Typical errors are ± 5 m for mixed layer depth, 0.3°C for
714 ΔT , and 5 m for Z_{20} .

715 Errors for each term in (5) averaged in each ENA subregion are calculated using
716 the monthly errors for SST, Q_e , Q_0 , SWR, MLD, ΔT , and Z_{20} and assuming the er-
717 rors are uncorrelated in time. Errors for the ENA region are then calculated using the
718 errors associated with each subregion, assuming two spatial degrees of freedom in the
719 ENA region. The errors for the sum of the terms on the righthand side of (5) and the
720 observed change in SST are shown in Table 2.

721

722 **PIRATA moorings**

723 Errors for each term in equation (6) are estimated using the methodology of Foltz and
724 McPhaden (2009). Typical errors are 5–10 m for MLD and Z_{20} , $0.7^{\circ}\text{C mo}^{-1}$ for latent
725 heat flux, $0.1^{\circ}\text{C mo}^{-1}$ for sensible heat flux, $0.1^{\circ}\text{C mo}^{-1}$ for longwave radiation, 0.9°C
726 mo^{-1} for absorbed shortwave radiation, and $1.4^{\circ}\text{C mo}^{-1}$ for horizontal advection. Error
727 estimates for shortwave radiation are likely underestimated at 12°N , 23°W since they
728 do not include the effect of dust accumulation on the sensor (e.g., Foltz and McPhaden
729 2008). Visual inspection of the record at 12°N , 23°W did not reveal any obvious jumps
730 in shortwave radiation immediately following sensor swaps, which generally indicates
731 significant dust accumulation.

732

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737 Institut Pierre-Simon Laplace (IPSL, Paris, France). This is PMEL publication 3673.

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857 and other global data sets: Refinements of the radiative transfer model and input

Figure Captions

859

860

861 **Fig. 1** (a) Interannual anomalies of TMI/AMSR-E SST (shaded) and QuikSCAT
862 wind velocity (vectors) averaged during April–May 2009. Wind vectors are plotted
863 only where the magnitude of the wind speed anomaly is $> 1 \text{ m s}^{-1}$. (b) Same as (a)
864 except shading is GPCP rainfall anomaly. Here and in subsequent figures, anoma-
865 lies are with respect to the 2003–2008 monthly mean seasonal cycle unless otherwise
866 indicated. (c) Meridional SST gradient index (black line) averaged during Apr–May,
867 calculated as Reynolds et al. (2002) SST anomaly averaged in the tropical North
868 Atlantic minus South Atlantic (regions are indicated by boxes in (a)), and Apr–May
869 Northeast Brazil rainfall (red line), calculated from GPCP averaged in boxed region
870 shown in (b). Note that in (c) the values for each year include the record-length mean
871 and are not anomalies as in (a) and (b). Black circle and red dot on the right in (c)
872 are the record-length means of meridional SST gradient index and NE Brazil rainfall,
873 respectively.

874

875 **Fig. 2** Interannual anomalies of SST (shaded) and surface wind velocity (vectors)
876 during 2009 for the months of (a) January, (b) March, (c) May, and (d) July. White
877 boxes in (b) and (c) indicate equatorial North Atlantic (ENA) region used for tem-
878 perature budget analysis. White dots in (b) and (c) are the positions of the PIRATA
879 moorings used in this study.

880

881 **Fig. 3** (a) 2003–08 climatologies of Ekman pumping velocity (shaded, >0 indicates
882 upwelling) and wind stress (vectors) during January–April. (b) Jan–Apr 2009 Ekman

883 pumping velocity and wind stress. (c) Jan–Apr 2009 anomalies of Ekman pumping
884 velocity and wind stress with respect to 2003–08 climatologies.

885

886 **Fig. 4** Left column: Climatological (2003–08) mixed layer depth (red contours, with
887 60 m highlighted in bold) and depth of the 20°C isotherm (shading, with 80 m con-
888 toured in black) during Dec (a), Feb (b), and Apr (c). Right column: Same as left
889 column, except contours are 2009 anomalies (with respect to 2005–08) of MLD, and
890 shading represents 2009 anomalies of Z_{20} .

891

892 **Fig. 5** Terms in the mixed layer temperature budget (eq. 4) averaged during Jan–Feb
893 2009 (left column) and Mar–Apr 2009 (right column). Negative values indicate anoma-
894 lous cooling of SST. (a) and (f) Rate of change of SST. (b) and (g) Latent heat flux.
895 (c) and (h) Surface shortwave radiation. (d) and (i) Vertical heat flux at the base of
896 the mixed layer, with contours shown for anomalies of 20°C isotherm depth (positive
897 values for deeper than normal and negative values for shallower than normal). (e) and
898 (j) Mixed layer depth (MLD) anomalies acting on the mean surface heat flux, with
899 contours shown for MLD anomalies (positive for deeper than normal and negative for
900 shallower than normal).

901

902 **Fig. 6** Measurements from the PIRATA mooring at 12°N, 23°W during Nov 2008
903 – Jun 2009 (position of mooring is shown in Fig. 2). (a) SST anomaly. (b) Mixed layer
904 depth (MLD) climatology (black) and 2008–09 anomaly (shading), and wind speed
905 anomaly (red). (c) Anomalous contributions from surface latent heat flux (blue) and
906 shortwave radiation absorbed in the mixed layer (red) to changes in SST. Thin blue

907 line is the surface latent heat flux. (d) Anomalies of net surface heat flux (solid red),
908 surface heat flux with MLD held constant (dashed red), and mixed layer temperature
909 rate of change (black). (e) Anomalies of the sum of ocean processes (estimated from
910 the residual in the temperature balance and shown as solid blue curve), horizontal
911 temperature advection (green), vertical turbulent diffusion (pink), and entrainment
912 (dashed pink). Blue and green shading represents one standard error. Anomalies are
913 with respect to Nov 2007 – Jun 2008. Data have been smoothed with a 20-day low-pass
914 filter.

915

916 **Fig. 7** Same as in Fig. 6 except from the PIRATA mooring at 4°N , 23°W (loca-
917 tion shown in Fig. 2) and anomalies are with respect to Nov 2006 – Jun 2007. In
918 (b) the black curve is climatological 20°C isotherm depth (Z_{20}), grey shading is Z_{20}
919 anomaly, and red shading is Ekman pumping anomaly (positive values indicate up-
920 welling).

921

922 **Fig. 8** Schematic diagrams illustrating the processes responsible for generating the
923 SST anomalies during Jan–Apr 2009. Blue arrows in (a) represent anomalies of surface
924 wind velocity. In (b) the blue region is where anomalies of latent heat flux are impor-
925 tant, red is vertical heat flux (entrainment + turbulent diffusion), green is anomalies
926 of mixed layer depth acting on the climatological surface heat flux, and grey shading
927 is surface shortwave radiation.

928 **Table Captions**

929

930 **Table 1** Climatic indices during Dec 2007 – Mar 2008 and Dec 2008 – Mar 2009. All
931 values are monthly anomalies with respect to the corresponding 1982–2009 monthly
932 means, normalized by the standard deviation. Tropical North Atlantic (TNA) wind
933 speed is averaged 15°W–50°W, 5°N–20°N. North Atlantic Oscillation (NAO) index is
934 NCEP/NCAR reanalysis surface pressure at the Azores minus Iceland. The Niño-3.4
935 index is SST averaged 120°W–170°W, 5°S–5°N. The subtropical high (STH) index
936 is NCEP/NCAR reanalysis surface pressure averaged 30°W–40°W, 20°N–25°N. The
937 Amazon convection index (Amzn) is satellite OLR averaged 30°W–70°W, 10°S–5°N.
938 Negative values of OLR indicate enhanced convection. Bold font for Jan–Feb of each
939 year highlights the months with the strongest positive wind speed anomalies in the
940 TNA in 2009.

941

942 **Table 2** 2009 anomalies of terms in the mixed layer temperature balance, averaged
943 in the ENA region (2°N–12°N, 15°W–45°W) during January–February (left column),
944 March–April (middle), and the total for the January–April period (right column). The
945 first row is the anomalous change in mixed layer temperature due to latent heat flux;
946 second row due to anomalies of absorbed shortwave radiation; third row due to anoma-
947 lies of mixed layer depth acting on the mean surface heat flux; and fourth row due to
948 the vertical heat flux at the base of the mixed layer. Fifth row is the sum of the first
949 three rows, and last row is observed (TMI/AMSR-E) anomalous change in SST. Units
950 are °C. Errors for the sum and observed values are one standard error.

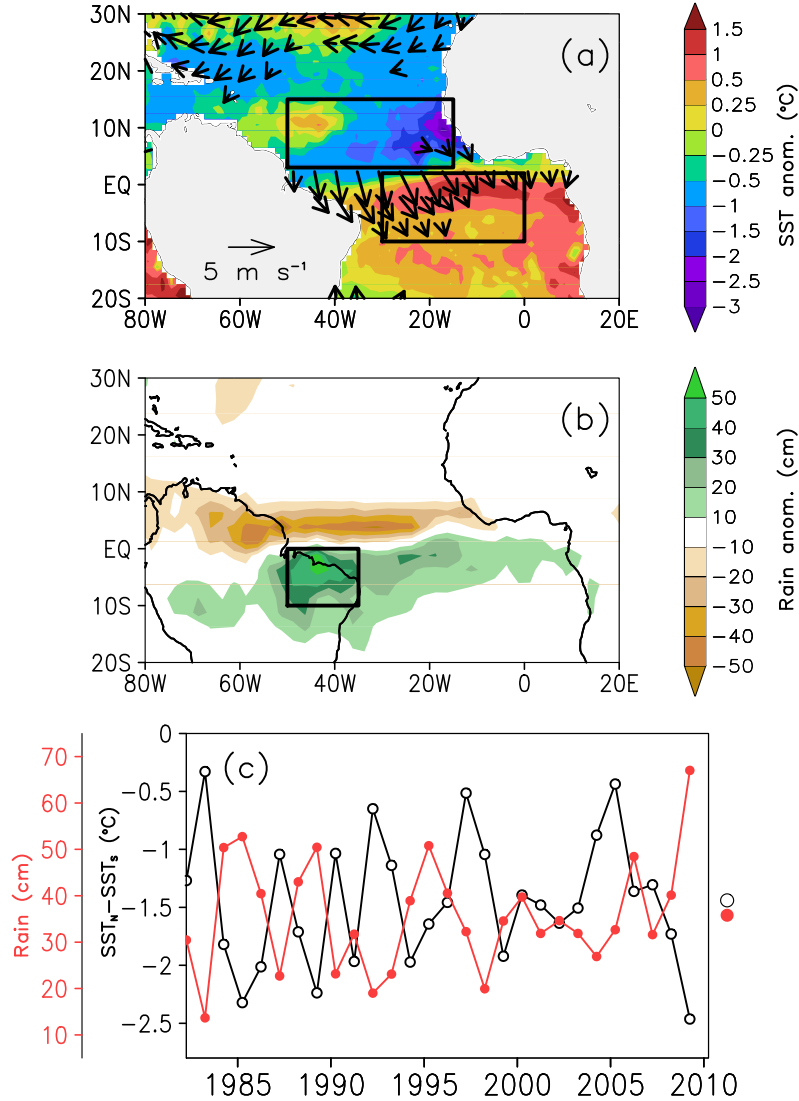


Fig. 1 (a) Interannual anomalies of TMI/AMSR-E SST (shaded) and QuikSCAT wind velocity (vectors) averaged during April–May 2009. Wind vectors are plotted only where the magnitude of the wind speed anomaly is $> 1 \text{ m s}^{-1}$. (b) Same as (a) except shading is GPCP rainfall anomaly. Here and in subsequent figures, anomalies are with respect to the 2003–2008 monthly mean seasonal cycle unless otherwise indicated. (c) Meridional SST gradient index (black line) averaged during Apr–May, calculated as Reynolds et al. (2002) SST anomaly averaged in the tropical North Atlantic minus South Atlantic (regions are indicated by boxes in (a)), and Apr–May Northeast Brazil rainfall (red line), calculated from GPCP averaged in boxed region shown in (b). Note that in (c) the values for each year include the record-length mean and are not anomalies as in (a) and (b). Black circle and red dot on the right in (c) are the record-length means of meridional SST gradient index and NE Brazil rainfall, respectively

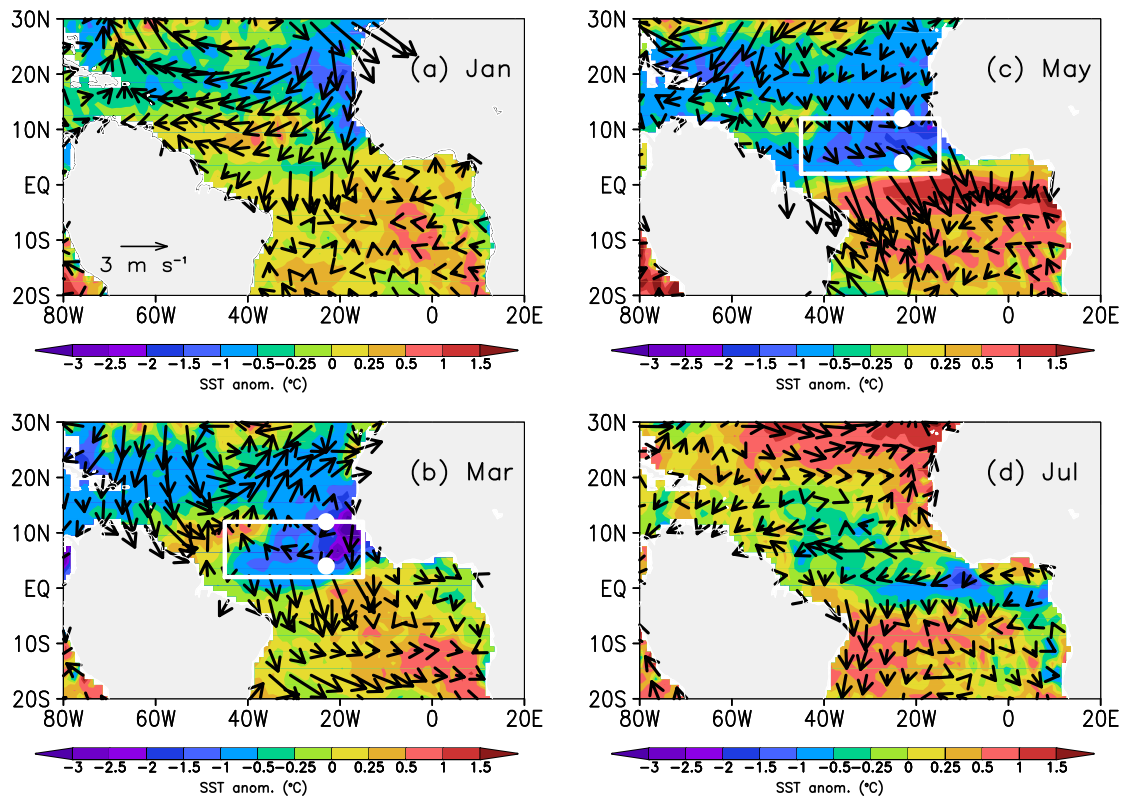


Fig. 2 Interannual anomalies of SST (shaded) and surface wind velocity (vectors) during 2009 for the months of (a) January, (b) March, (c) May, and (d) July. White boxes in (b) and (c) indicate equatorial North Atlantic (ENA) region used for temperature budget analysis. White dots in (b) and (c) are the positions of the PIRATA moorings used in this study.

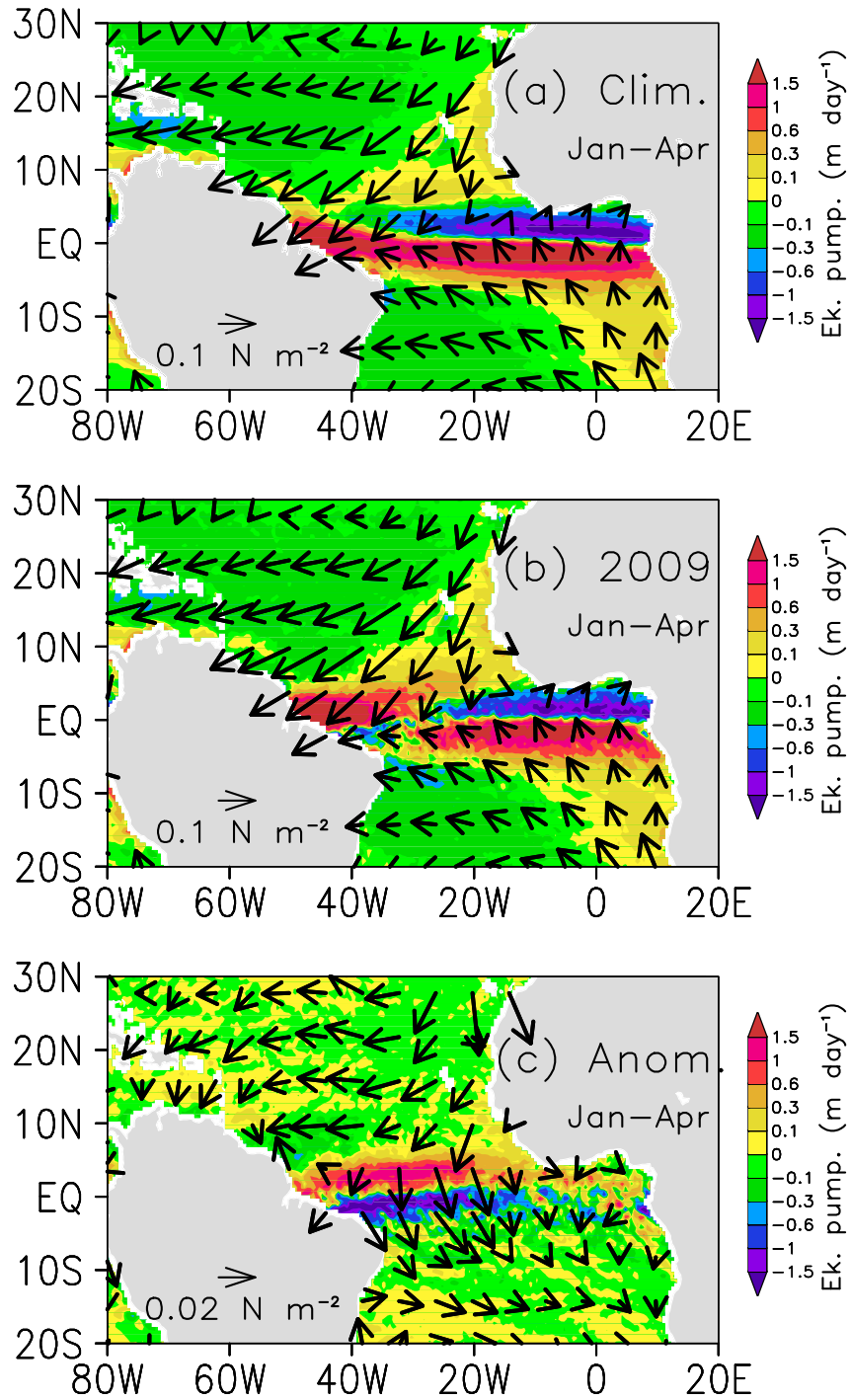


Fig. 3 (a) 2003–08 climatologies of Ekman pumping velocity (shaded, >0 indicates upwelling) and wind stress (vectors) during January–April. (b) Jan–Apr 2009 Ekman pumping velocity and wind stress. (c) Jan–Apr 2009 anomalies of Ekman pumping velocity and wind stress with respect to 2003–08 climatologies.

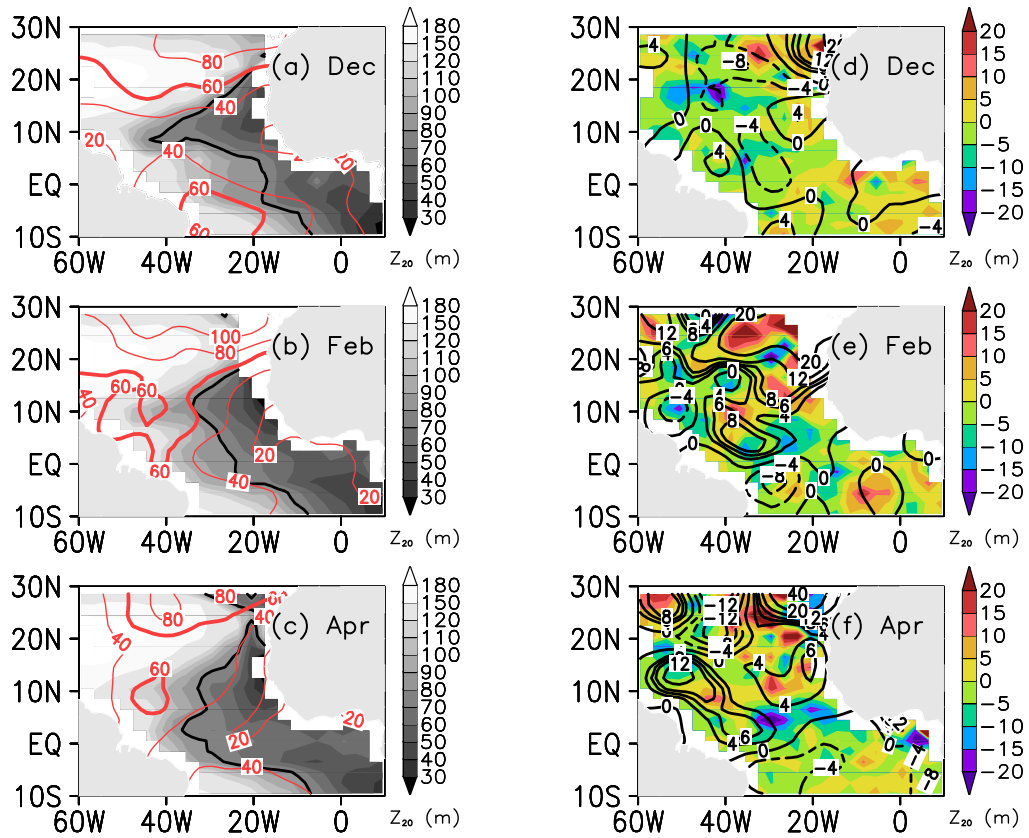


Fig. 4 Left column: Climatological (2003–08) mixed layer depth (red contours, with 60 m highlighted in bold) and depth of the 20°C isotherm (shading, with 80 m contoured in black) during Dec (a), Feb (b), and Apr (c). Right column: Same as left column, except contours are 2009 anomalies (with respect to 2005–08) of MLD, and shading represents 2009 anomalies of Z_{20} .

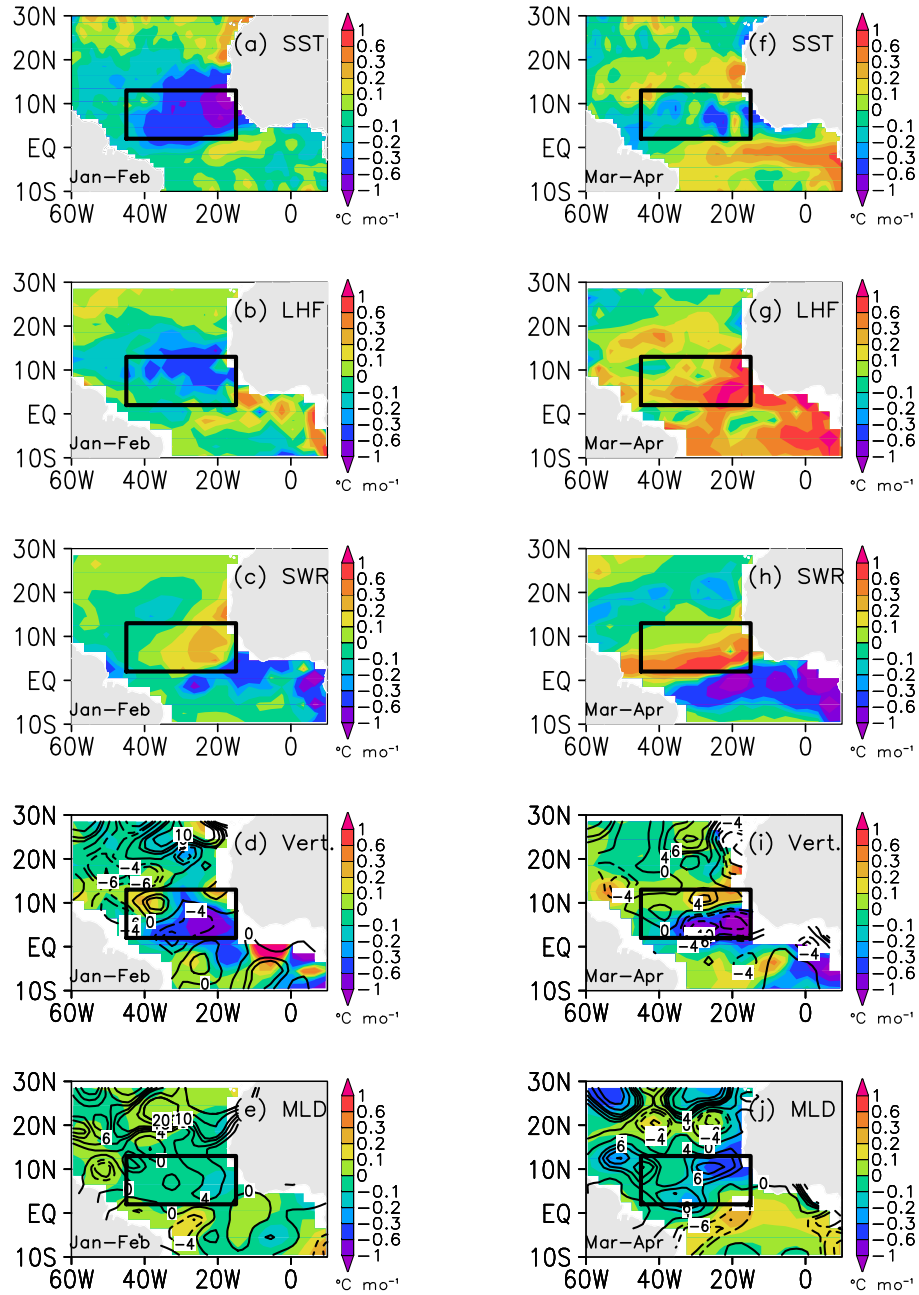


Fig. 5 Terms in the mixed layer temperature budget (eq. 4) averaged during Jan–Feb 2009 (left column) and Mar–Apr 2009 (right column). Negative values indicate anomalous cooling of SST. (a) and (f) Rate of change of SST. (b) and (g) Latent heat flux. (c) and (h) Surface shortwave radiation. (d) and (i) Vertical heat flux at the base of the mixed layer, with contours shown for anomalies of 20°C isotherm depth (positive values for deeper than normal and negative values for shallower than normal). (e) and (j) Mixed layer depth (MLD) anomalies acting on the mean surface heat flux, with contours shown for MLD anomalies (positive for deeper than normal and negative for shallower than normal).

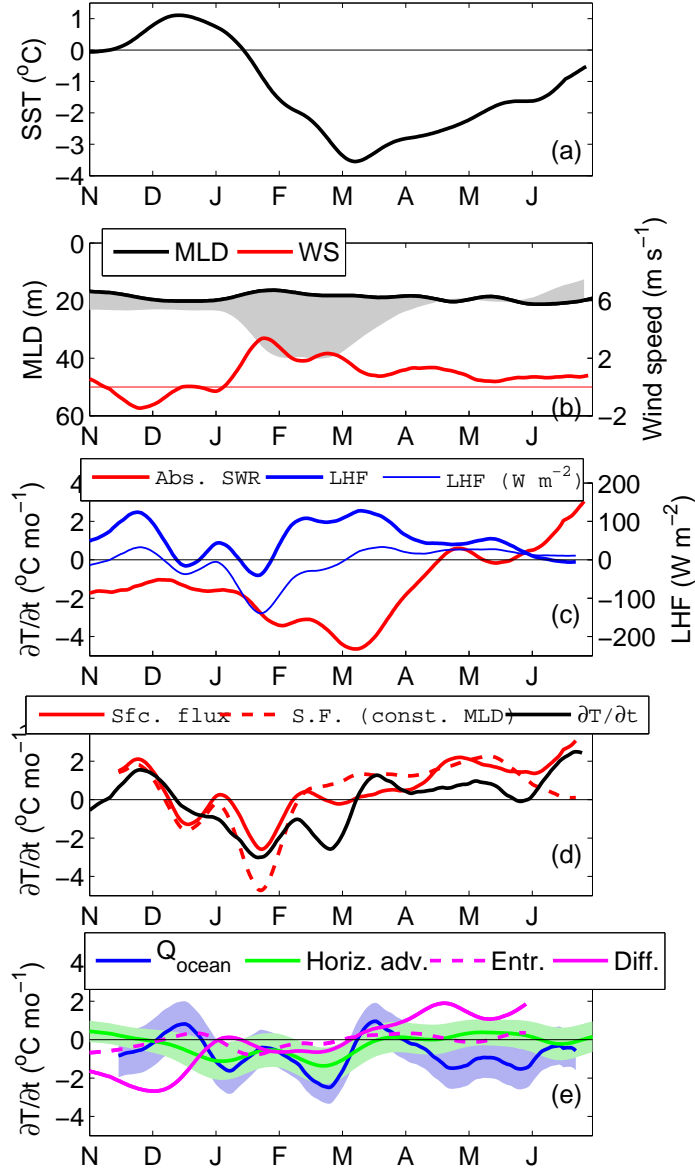


Fig. 6 Measurements from the PIRATA mooring at 12°N , 23°W during Nov 2008 – Jun 2009 (position of mooring is shown in Fig. 2). (a) SST anomaly. (b) Mixed layer depth (MLD) climatology (black) and 2008–09 anomaly (shading), and wind speed anomaly (red). (c) Anomalous contributions from surface latent heat flux (blue) and shortwave radiation absorbed in the mixed layer (red) to changes in SST. Thin blue line is the surface latent heat flux. (d) Anomalies of net surface heat flux (solid red), surface heat flux with MLD held constant (dashed red), and mixed layer temperature rate of change (black). (e) Anomalies of the sum of ocean processes (estimated from the residual in the temperature balance and shown as solid blue curve), horizontal temperature advection (green), vertical turbulent diffusion (pink), and entrainment (dashed pink). Blue and green shading represents one standard error. Anomalies are with respect to Nov 2007 – Jun 2008. Data have been smoothed with a 20-day low-pass filter.

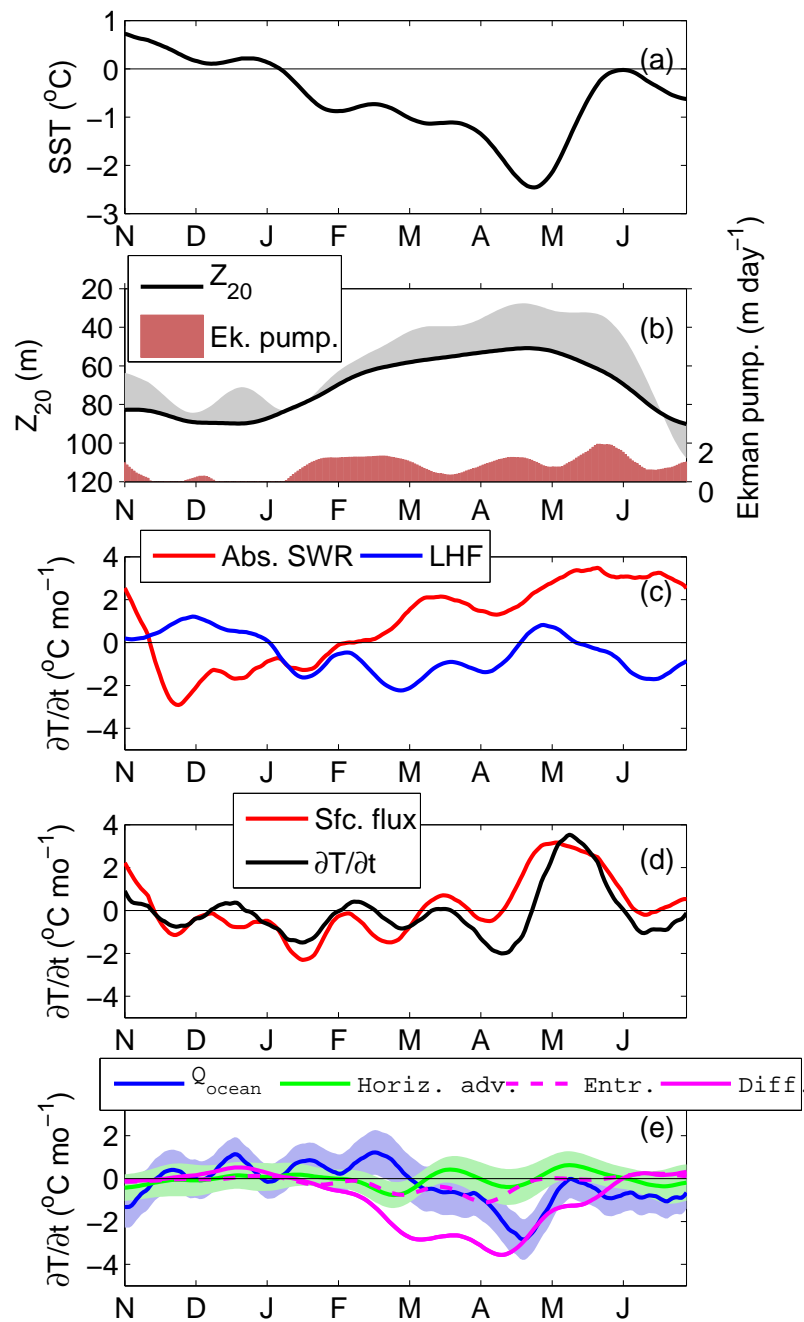


Fig. 7 Same as in Fig. 6 except from the PIRATA mooring at 4°N , 23°W (location shown in Fig. 2) and anomalies are with respect to Nov 2006 – Jun 2007. In (b) the black curve is climatological 20°C isotherm depth (Z_{20}), grey shading is Z_{20} anomaly, and red shading is Ekman pumping anomaly (positive values indicate upwelling).

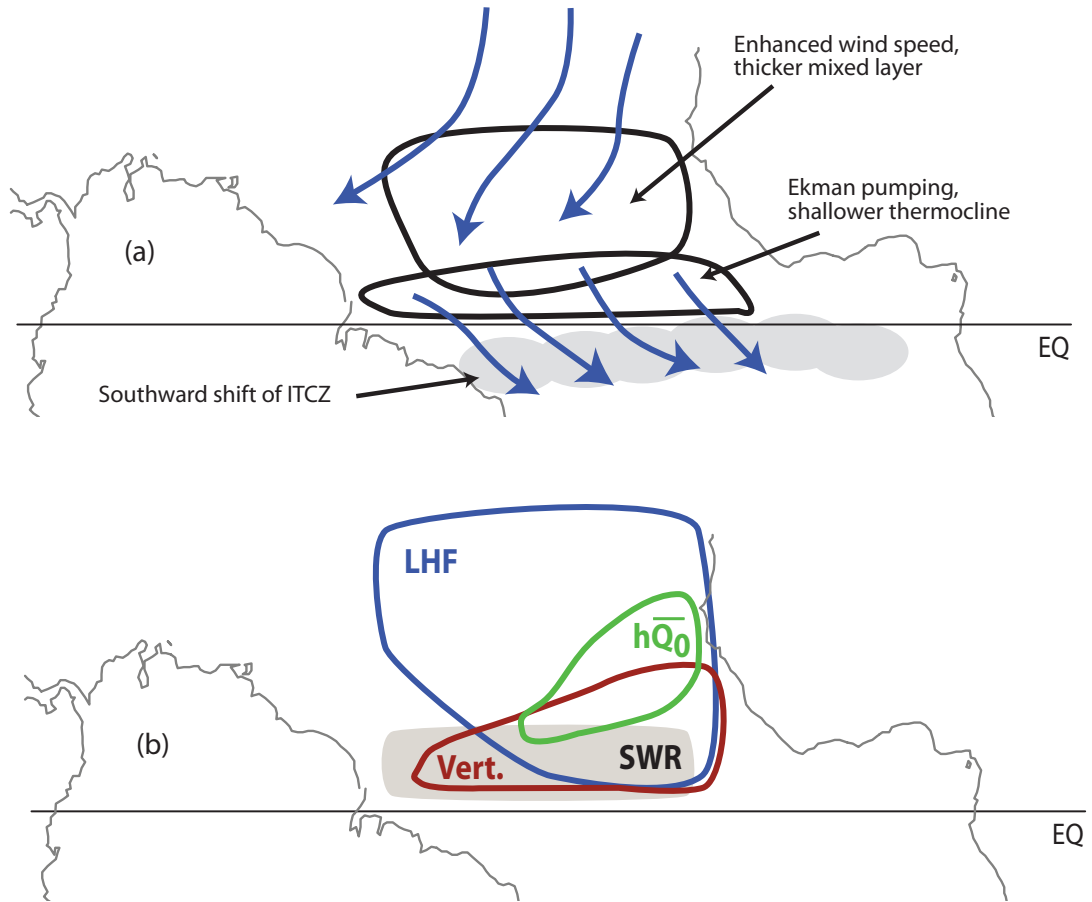


Fig. 8 Schematic diagrams illustrating the processes responsible for generating the SST anomalies during Jan–Apr 2009. Blue arrows in (a) represent anomalies of surface wind velocity. In (b) the blue region is where anomalies of latent heat flux are important, red is vertical heat flux (entrainment + turbulent diffusion), green is anomalies of mixed layer depth acting on the climatological surface heat flux, and grey shading is surface shortwave radiation.

951 **Table 1** Climatic indices during Dec 2007 – Mar 2008 and Dec 2008 – Mar 2009. All
 952 values are monthly anomalies with respect to the corresponding 1982–2009 monthly
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 959 Negative values of OLR indicate enhanced convection. Bold font for Jan–Feb of each
 960 year highlights the months with the strongest positive wind speed anomalies in the
 961 TNA in 2009.

962

	TNA WS	Nino3.4	NAO	STH	Amzn
2007–08					
Dec	0.2	-1.2	0.5	-0.3	-1.0
Jan	-0.4	-1.4	0.4	-1.1	0.1
Feb	0.0	-1.8	-0.1	-0.5	-0.3
Mar	-0.7	-1.4	0.3	-1.2	-1.2
2008–09					
Dec	-1.0	-0.7	-0.2	-1.2	-0.9
Jan	2.2	-0.7	0.9	1.5	-0.4
Feb	1.6	-0.7	-0.6	0.3	-0.9
Mar	-0.2	-0.6	0.1	-1.8	-0.2

963

964 **Table 2** 2009 anomalies of terms in the mixed layer temperature balance, averaged
 965 in the ENA region (2°N–12°N, 15°W–45°W) during January–February (left column),
 966 March–April (middle), and the total for the January–April period (right column). The
 967 first row is the anomalous change in mixed layer temperature due to latent heat flux;
 968 second row due to anomalies of absorbed shortwave radiation; third row due to anoma-
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 970 the vertical heat flux at the base of the mixed layer. Fifth row is the sum of the first
 971 three rows, and last row is observed (TMI/AMSR-E) anomalous change in SST. Units
 972 are °C. Errors for the sum and observed values are one standard error.

973

	Jan-Feb	Mar-Apr	Total
LHF	-0.3	0.7	0.4
SWR	0.2	0.5	0.7
MLD	0.0	-0.2	-0.2
Vert.	-1.2	-1.1	-2.3
Sum	-1.3±0.5	-0.1±0.5	-1.4±0.7
Observed	-0.9±0.1	-0.2±0.1	-1.1±0.2

974