

# Hurricane Data Assimilation Meeting

**23 October 2008**

- |                         |                           |
|-------------------------|---------------------------|
| 1. News from HRD        | Aberson                   |
| 2. EnKF with the HRS    | Aksoy                     |
| 3. TACC runs            | Aberson (for Marks/Zhang) |
| 4. GSI with the WRF-NMM | Gopalakrishnan            |
| 5. EnKF with the GFS    | Whitaker                  |
| 6. LAPS update          | Albers, et al.            |
| 7. H*Wind/vortex spec.  | Winterbottom              |
| 8. Data warehouse       | Xie, et al.               |
| 9. FAB                  | Kim, et al.               |

# News from HRD

## Successful 2008 Hurricane Field Program

- collected huge amounts of data for assimilation into models
- quick look at NOAA data available at <ftp://ftp.aoml.noaa.gov/pub/hrd/aberson/hfp2008>
- other data available from AFRES aircraft and T-PARC/TCS08
- <http://www.aoml.noaa.gov/hrd>, click on “Data”

Great progress made on Hurricane Research System model at HRD - running in real-time through much of 2008 season.

HRD to hire a data assimilation expert (ZP-IV position). I will forward announcement when it becomes available, hopefully by early November.



By Altuğ Aksoy

HRD/AOML/NOAA and CIMAS/U. of Miami

23 October 2008

# The Implementation of the Ensemble Kalman Filter at HRD

# Plans for Building an EnKF System at HRD

- Primary focus on assimilating high-density inner-core observations from flight missions:
  - Flight-level data
  - SFMR
  - Dropsondes
  - Radar
- The ensemble Kalman filter (EnKF) core is similar to Fuqing Zhang's PSU/TAMU EnKF system; an implementation of the square-root filter

# Current Status of the EnKF Development at HRD

- A functional EnKF base system is already in place
- Operates on a single domain
- Distance-based covariance localization
- Initializes from GFS ensemble members, with 30 members
- Observation forward operators are implemented for:
  - Core model variables, SFMR (wind speed)
  - Interpolations from arbitrary locations to model grid possible
- Prior and posterior observation-space diagnostics

# Near-Term Plans for EnKF Development

- Test run employing a perfect-model configuration:
  - 27-km resolution
  - “Simulate” observations from an independent HRS run
  - Assimilate simulated observations:
    - 1-hourly cycling for 6 or 12 hours
    - Ensemble forecast from the EnKF analysis
- Expand the system to assimilate into nested domains
- Test for more realistic perfect-model scenarios at higher resolutions
- Implement capability to assimilate radar

# Scientific Challenges Regarding Hurricane Data Assimilation

- How do we deal with assimilating observations into a system with distinct scales?
- What is the best way to initialize ensembles?
  - Large-scale initialization
  - Vortex-scale initialization
- How critical is the quality of the estimate of vortex position?
- How best to deal with covariance correlation?
  - How effective would be “traditional” distance-based methods in high-resolution inner-core data assimilation?
  - What alternative methods can be devised?

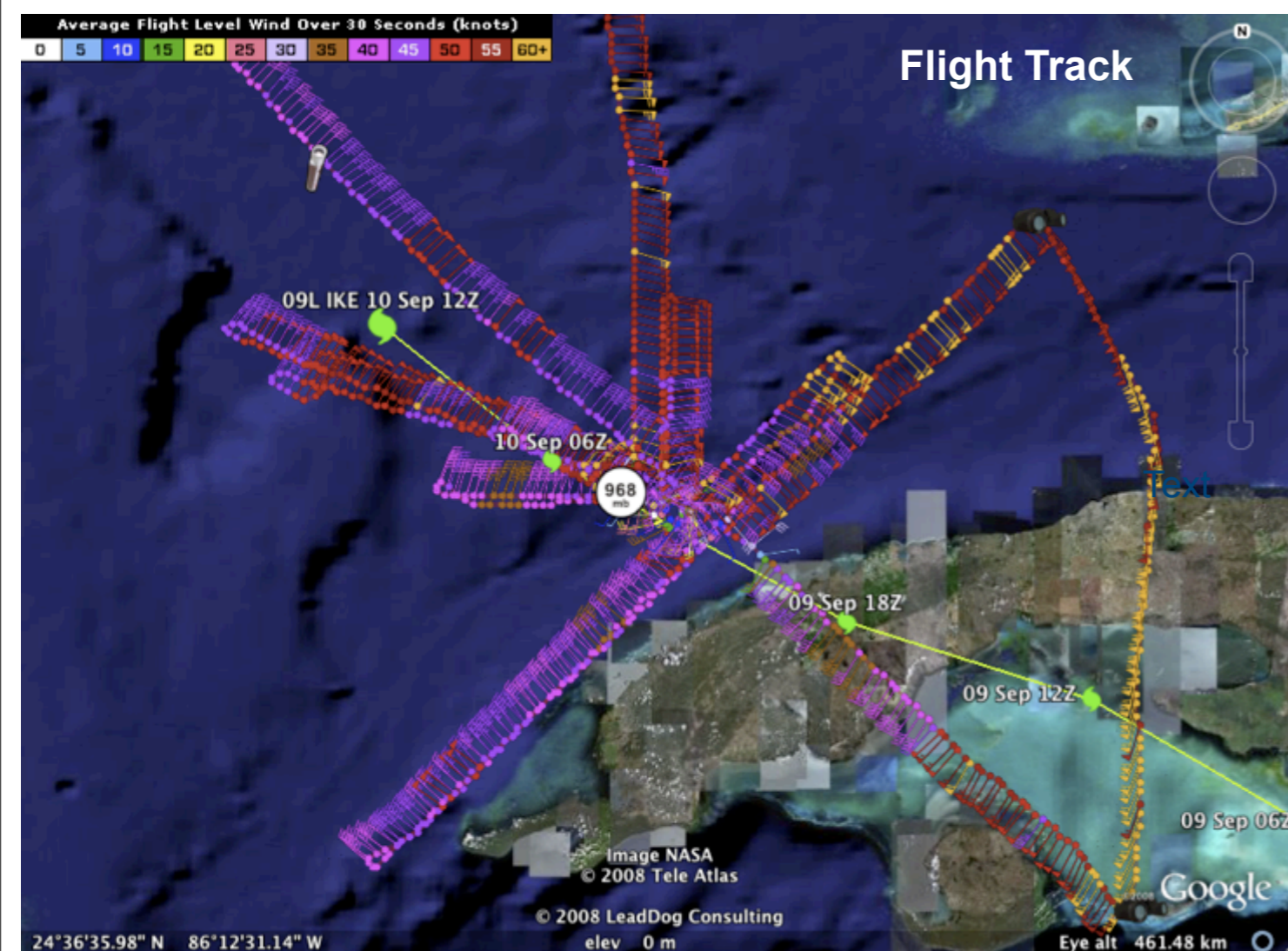


# HFIP-TACC On-demand Test

- Diverse NOAA (HRD, ESRL, NCEP/NHC, NCO, EMC) and university (PSU, TAMU, TACC) team established on-demand capability to support operational hurricane forecasting.
- Built upon HFIP high-resolution test plan to use high resolution global (FIM at 15-km) and regional (ARW at 1.5-km using EnKF to assimilate Doppler radar superobs) models to demonstrate on-demand capability.
- NCEP model fields and Doppler radar superobs from NOAA P-3 aircraft flow automatically to TACC, research models run, output products generated for forecasters, and products transferred to NHC via NCO.
- Portions of process tested during Dolly and Fay, with a test of complete system during Gustav and Ike.

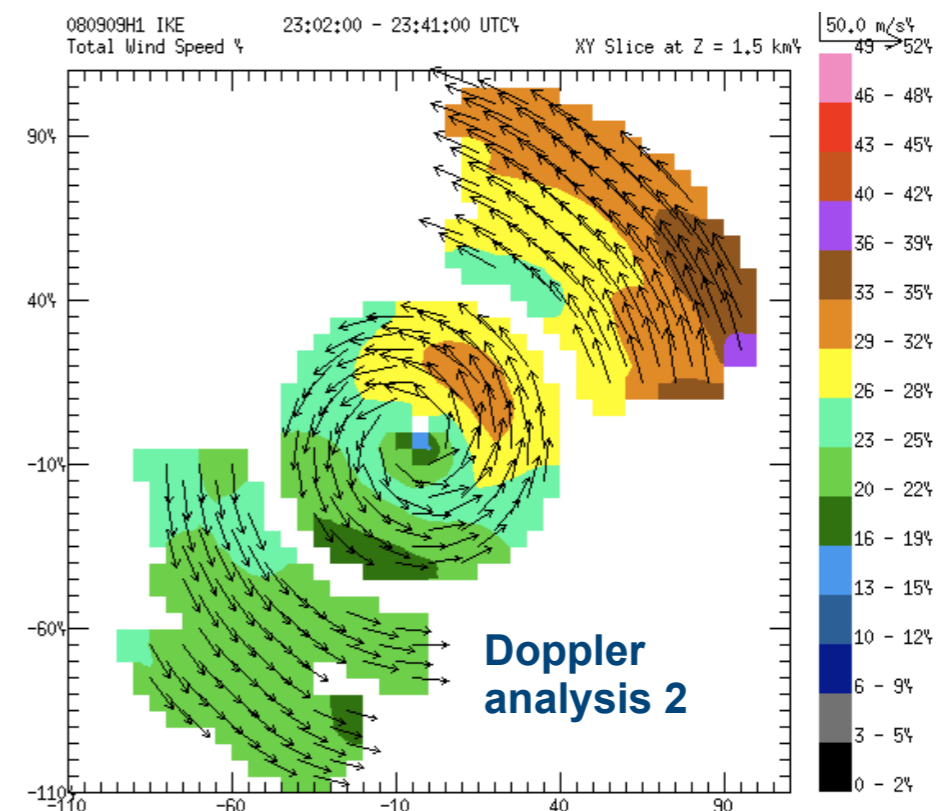
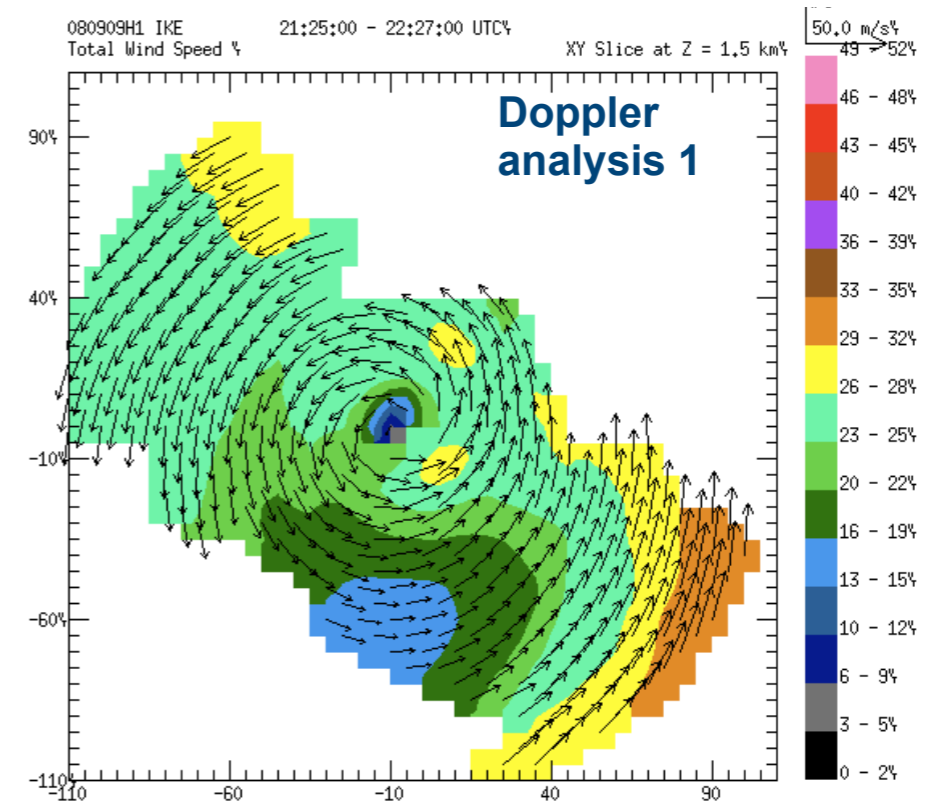


# HFIP-TACC Real-time for Ike



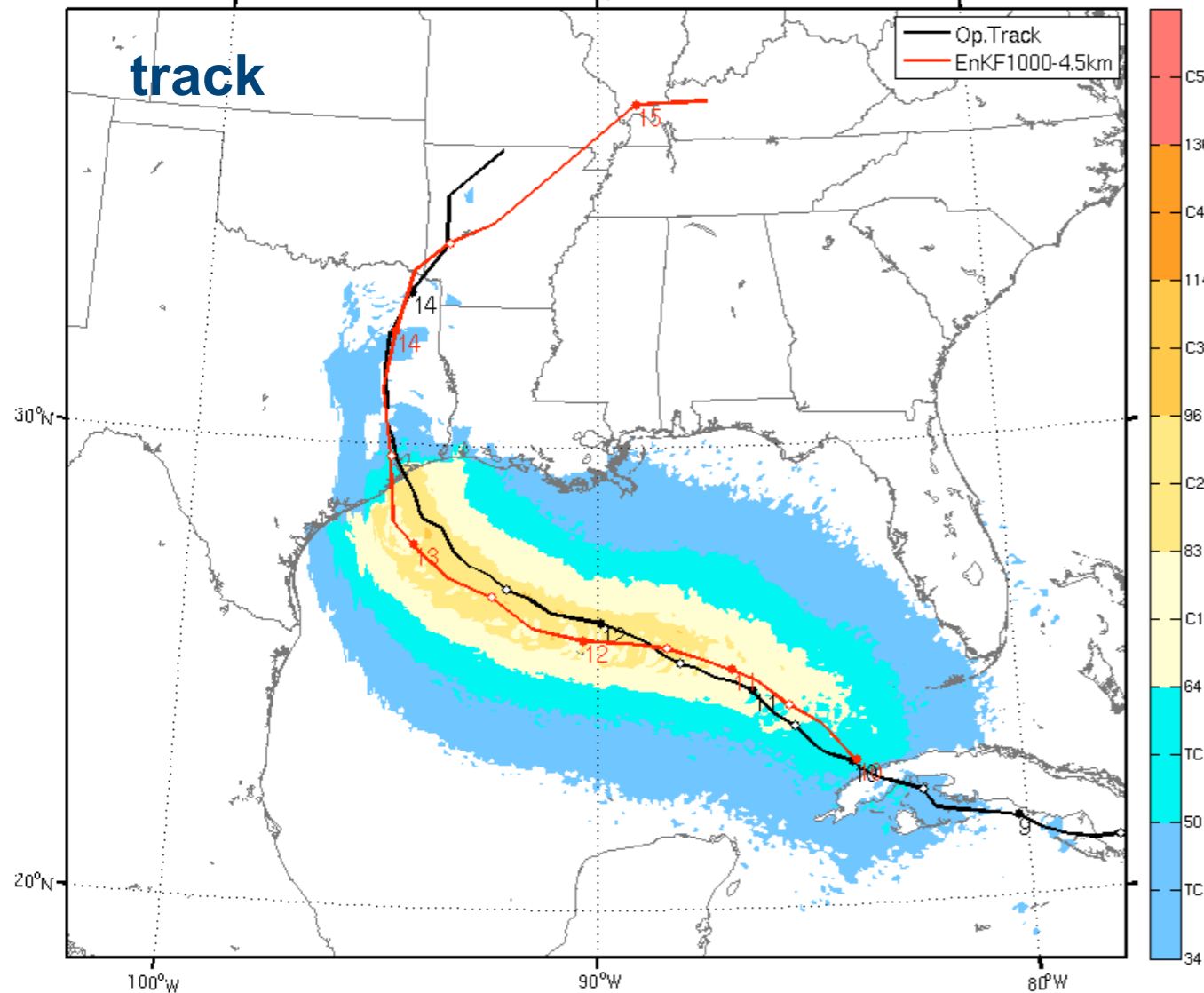
20080909H1 Doppler SO

00Z, 10 September initialization with Doppler SO (EnKF)



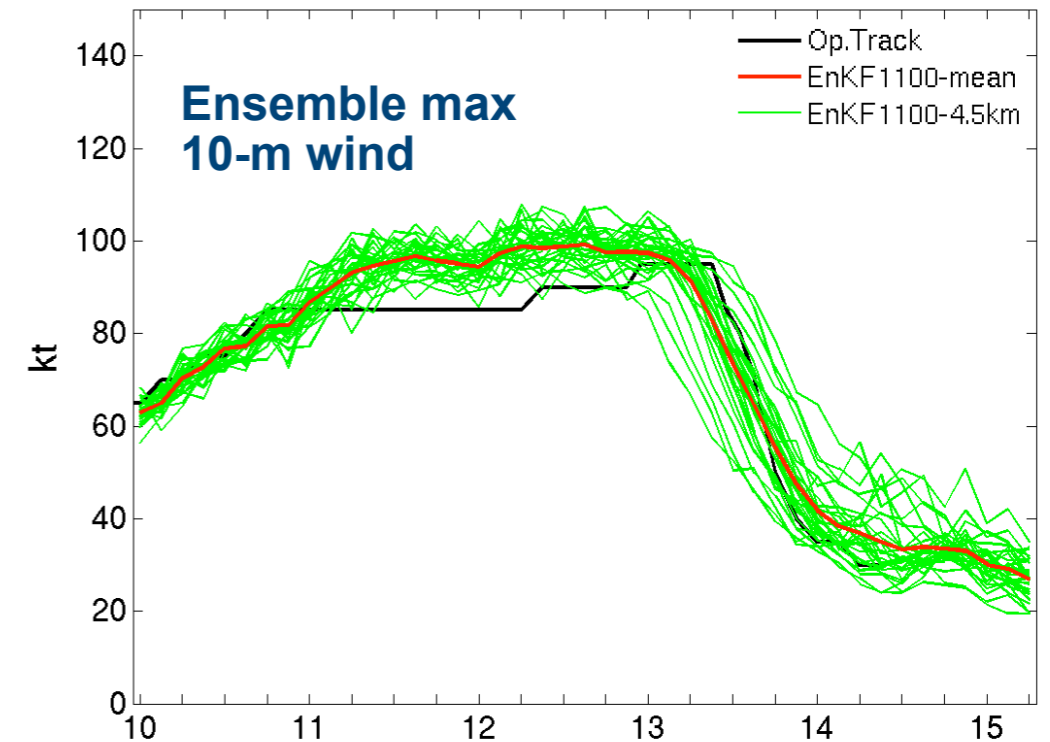
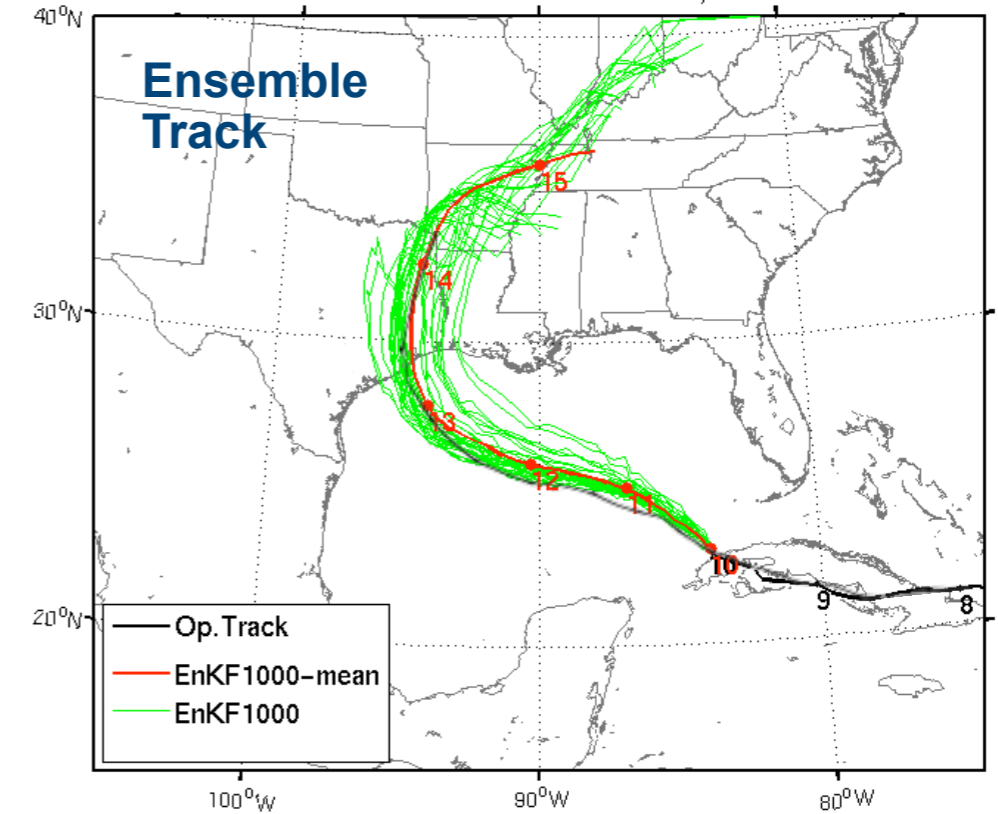
# HFIP-TACC Real-time for Ike

IKE2008 126h 4.5km Forecast started at 2008091000  
SO time: 2125-2227 & 2302-2341; Track & Surface wind swath



**Max 10-m wind swath**

IKE2008 126h 4.5km Ensemble Forecast started at 2008091000  
SO time: 2125-2227 & 2302-2341; Track

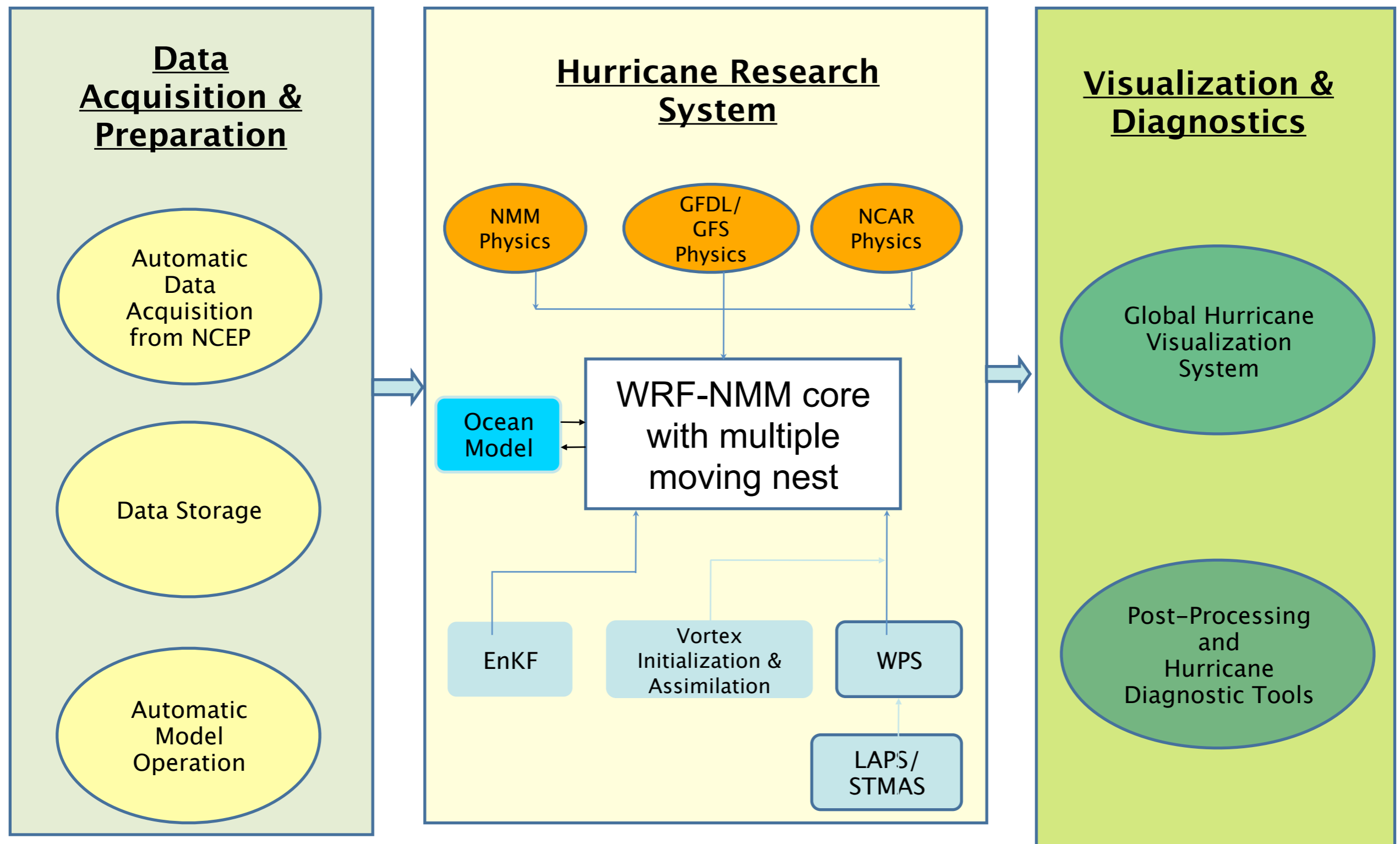


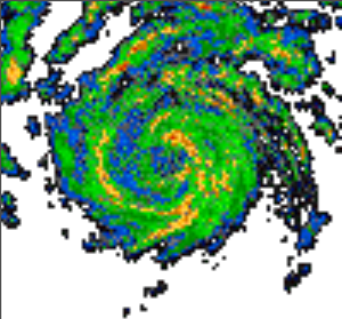
00Z, 10 September initialization with Doppler SO (EnKF)

**ARW**



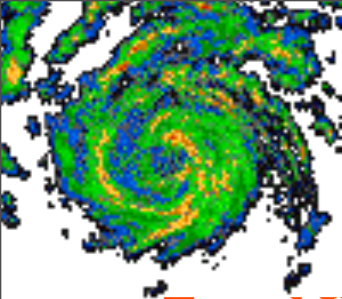
# HRS: Hurricane Research System





# Objectives

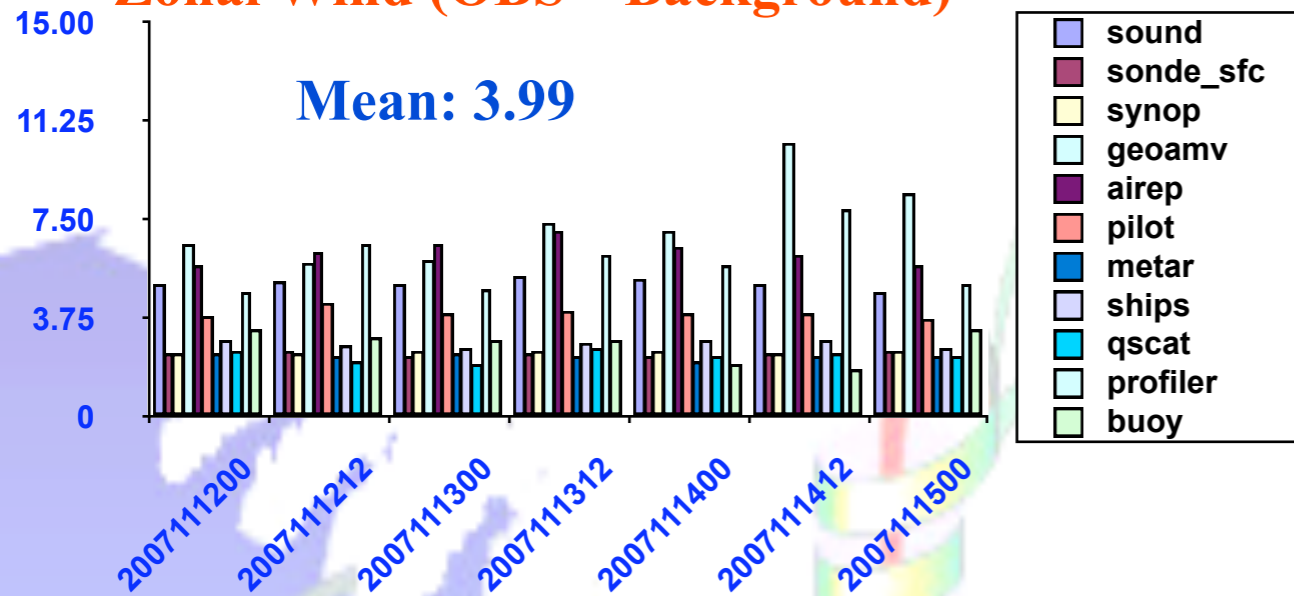
- ❖ Improvement of data coverage close to the TC core environment using research aircraft and unmanned aerial vehicle (UAV) under the ongoing Forecast Demonstration Project (FDP)
- ❖ Quality control and data assimilation of conventional and non-conventional datasets
- ❖ Tropical cyclone vortex relocation and initialization.
- ❖ Improvement in the modeling approach for prediction of track and intensity of tropical cyclone, specifically with WRF-NMM system incorporating moving nest approach.
- ❖ Demonstrate the impact of additional data coverage through drop soundings and UAV observations in providing improved numerical prediction of the Bay of Bengal Tropical Cyclones



# Root Mean Square Error

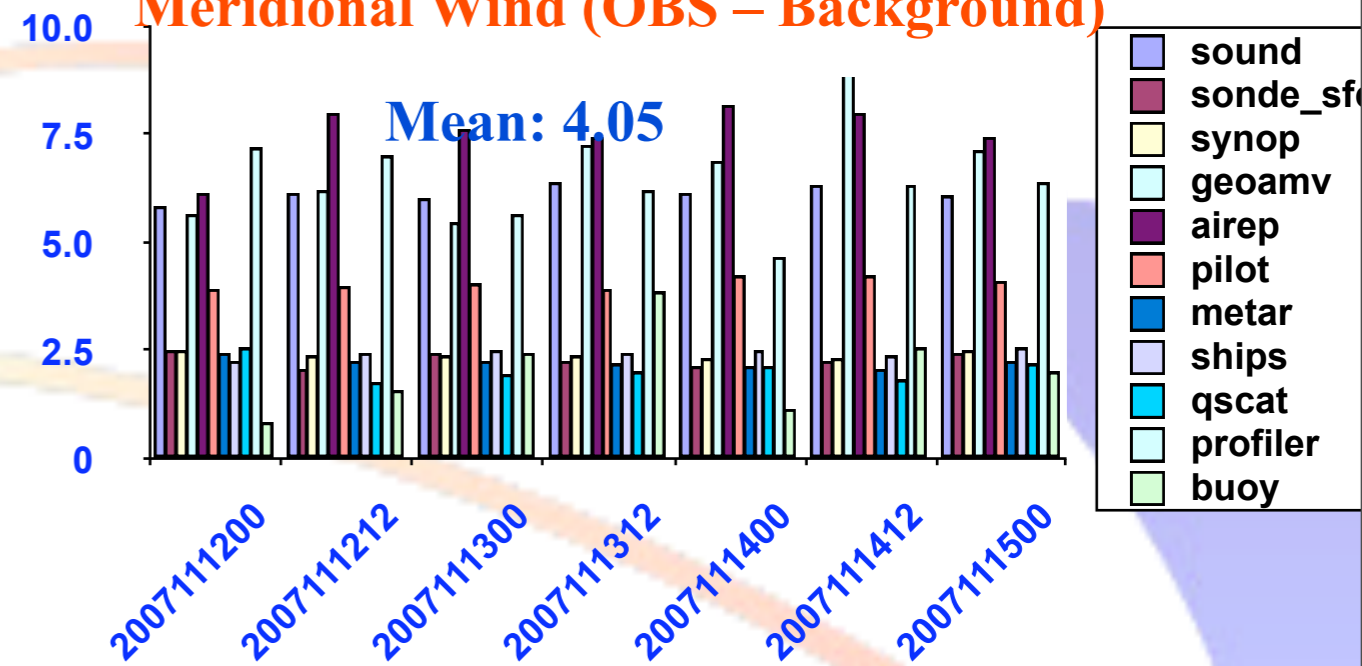
**Zonal Wind (OBS – Background)**

**Mean: 3.99**



**Meridional Wind (OBS – Background)**

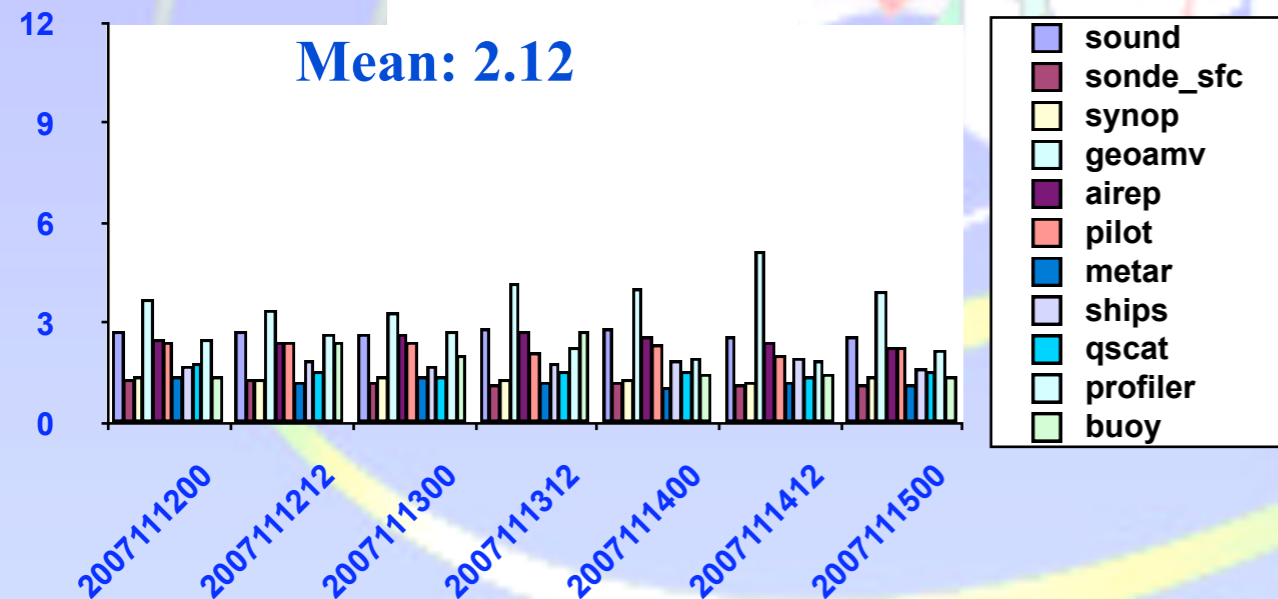
**Mean: 4.05**



**Zonal Wind (OBS – Analysis)**

RMSE for U (OMA)

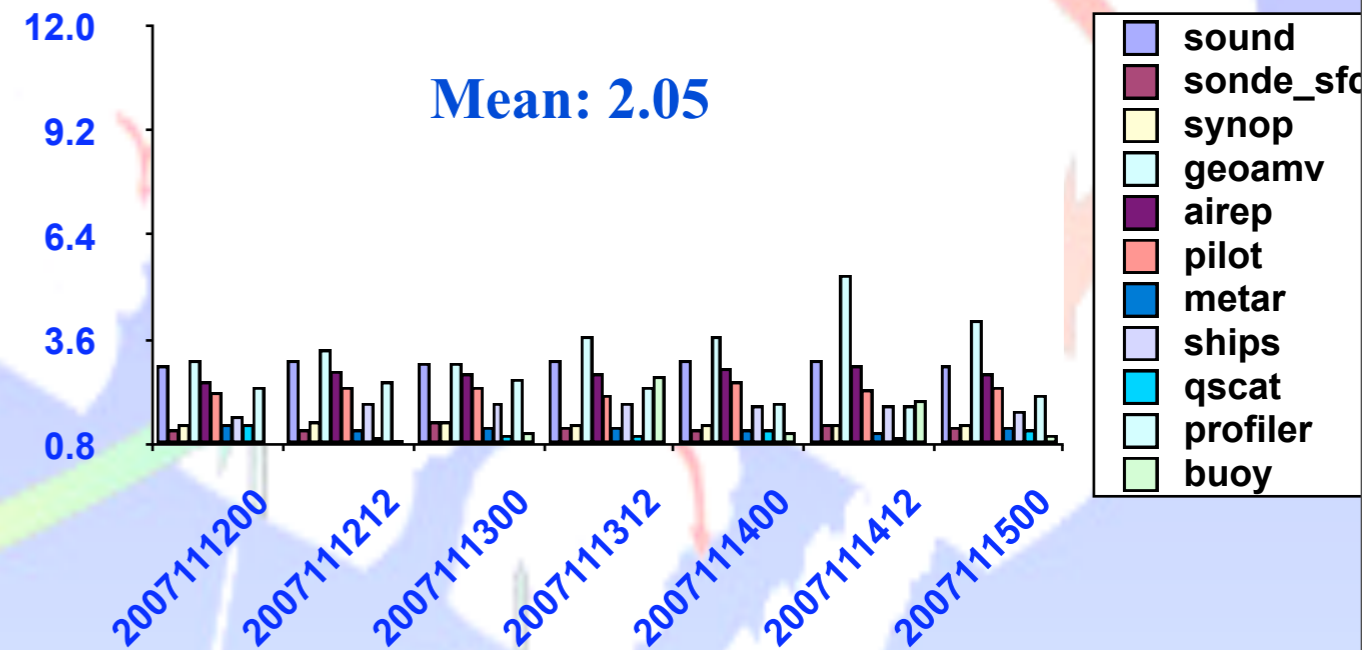
**Mean: 2.12**



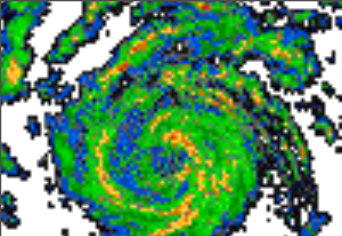
**Meridional Wind (OBS – Analysis)**

RMSE for V (OMA)

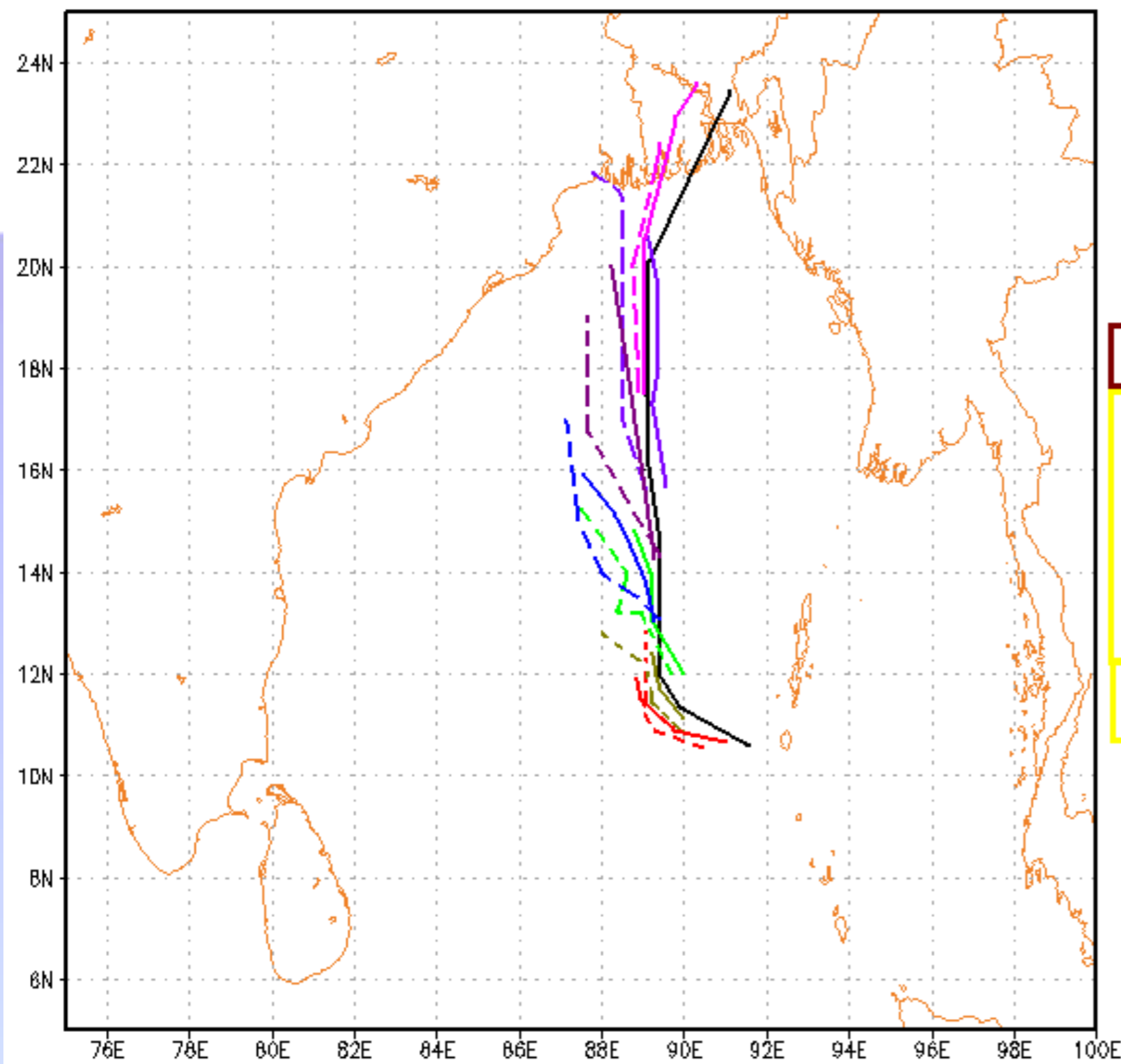
**Mean: 2.05**



Rainbands



# TRACK of the Cyclone "SIDR"



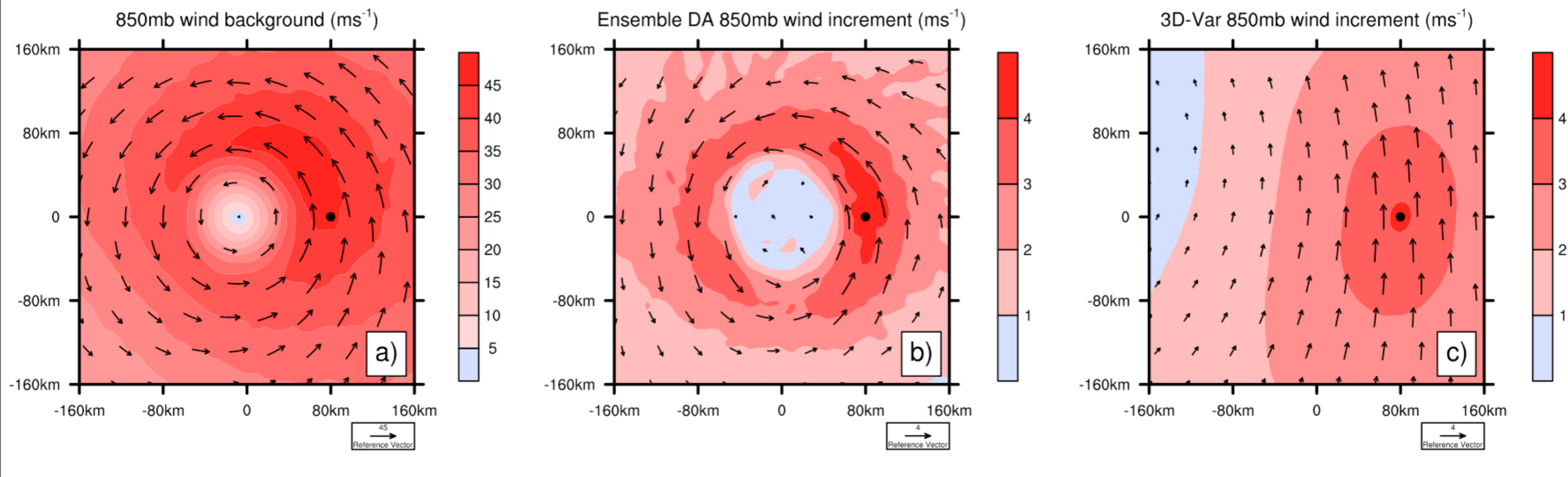
CONTROL	Initial Time	3DVAR
---	2007111200	—
---	2007111212	—
---	2007111300	—
---	2007111312	—
---	2007111400	—
---	2007111412	—
---	2007111500	—
BEST TRACK		—





# A GFS-Based Ensemble Data Assimilation System for Hurricane Forecasts

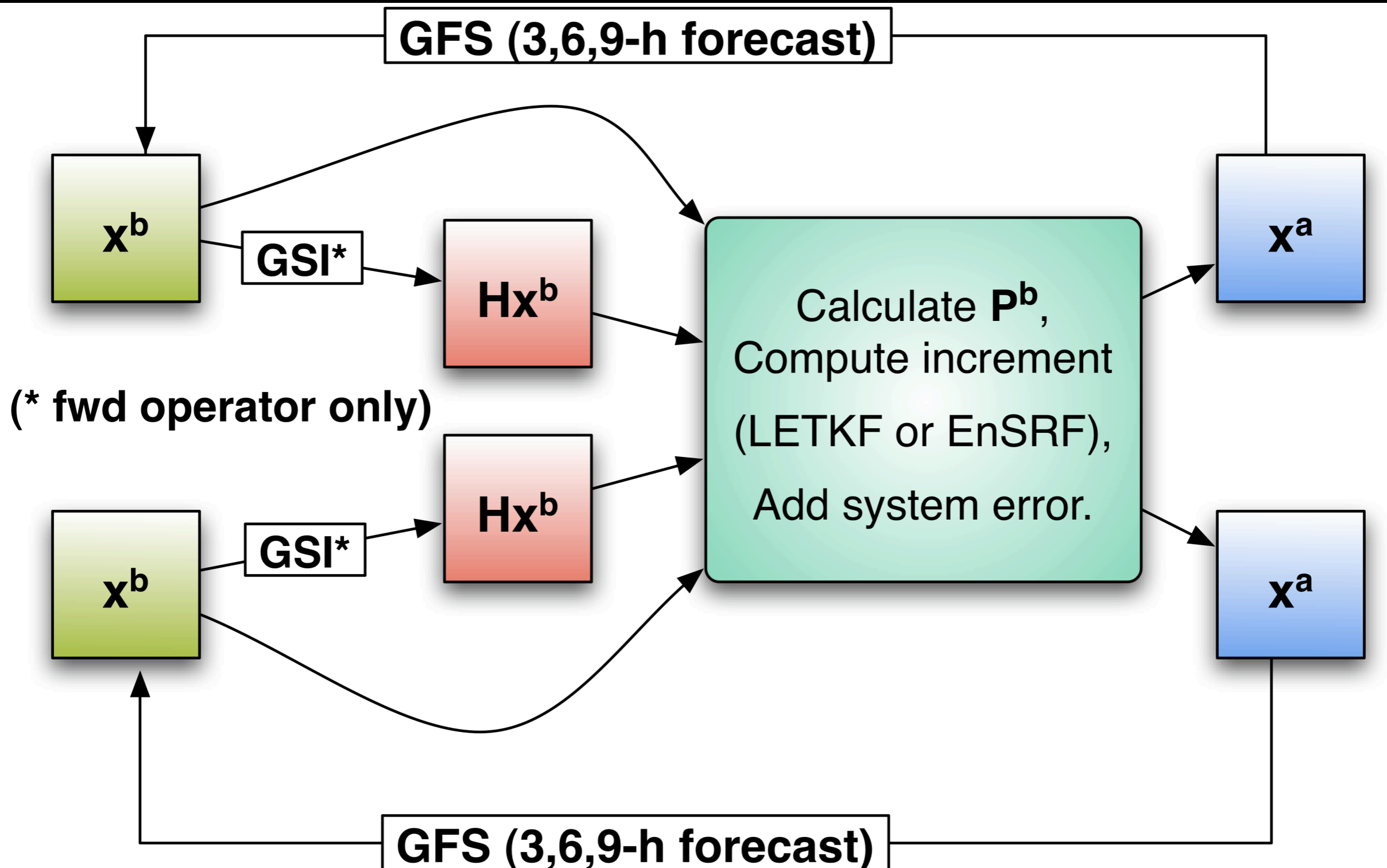
Jeff Whitaker and Tom Hamill  
NOAA/ESRL Physical Sciences



# EnKF for GFS

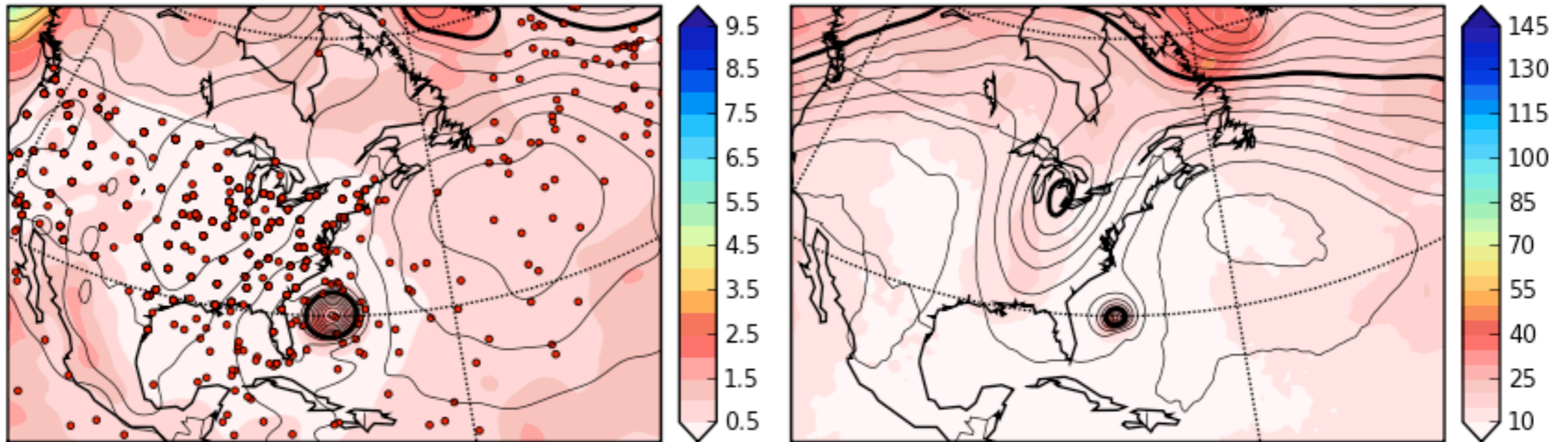
- Developed via a THORPEX funded collaboration.
  - U. of Maryland – LETKF
  - ESRL – serial EnSRF/system integration.
  - CSU – variational formulation
  - NRL – flow-adaptive localization
- Parallels 4D-Var development at NASA/GSFC.
- Spinoff system for historical reanalysis being used for 20th Century Reanalysis (1892–present) at ESRL.

# EnKF for GFS

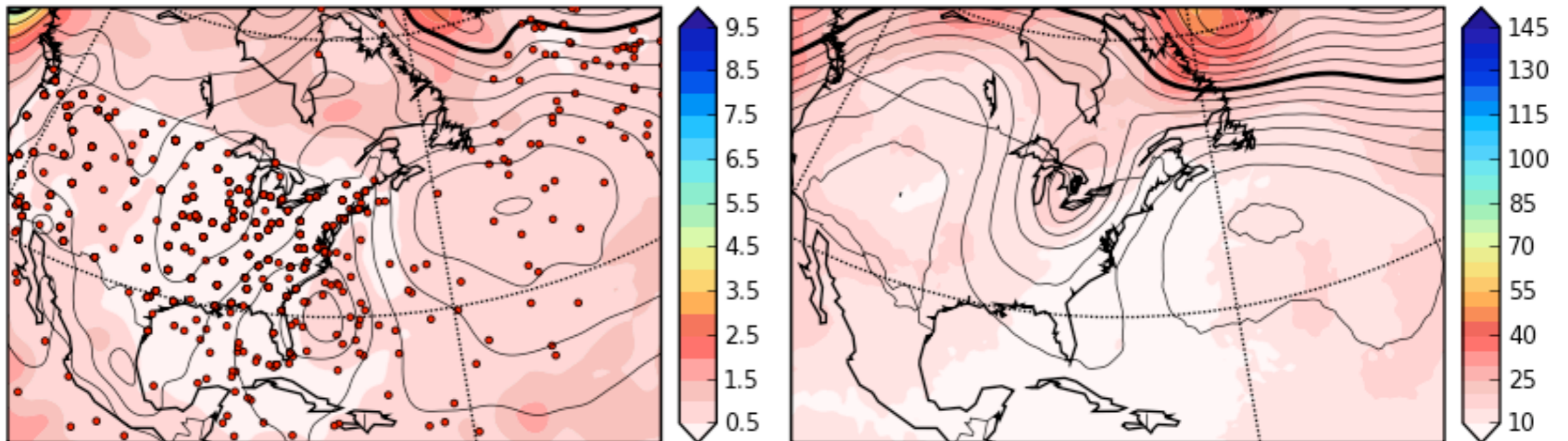


# 1938 New England

T254L64 Ens Mean SLP and Sprd (hPa - HURDAT 4mb) 1938092100 T254L64 Ens Mean Z500 and Sprd (m - HURDAT 4mb) 1938092100



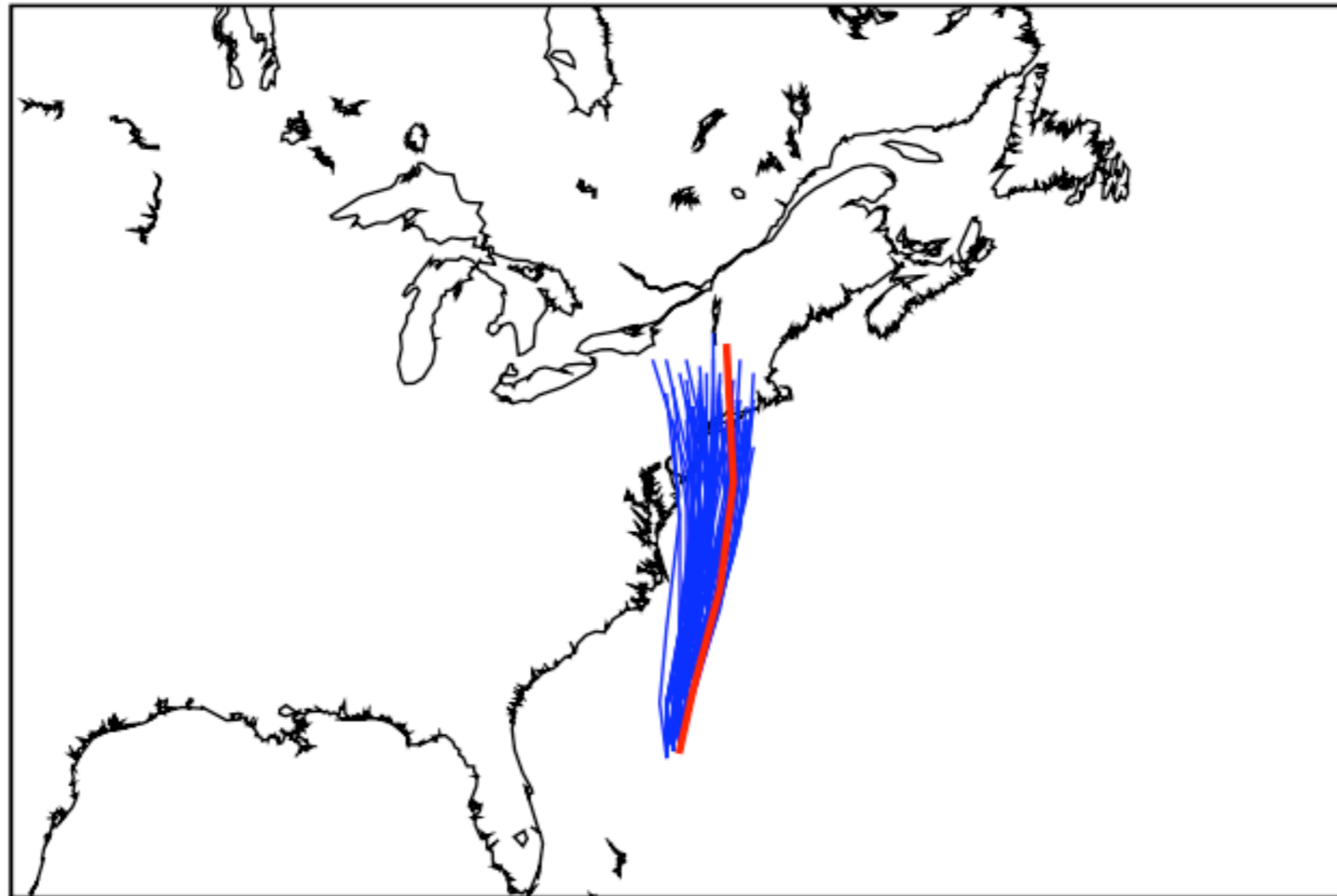
T254L64 Ens Mean SLP and Sprd (hPa - no HURDAT) 1938092100 T254L64 Ens Mean Z500 and Sprd (m - no HURDAT) 1938092100



# 1938 New England

■ ■ ■

24-h track forecasts (initialized 1938092100)

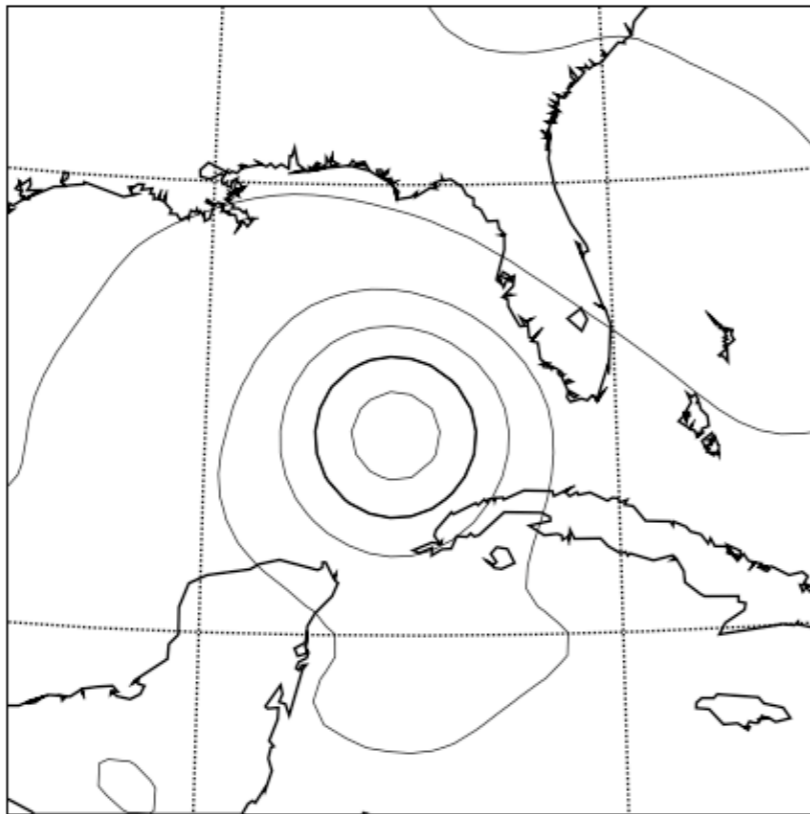


# Hurricane Rita

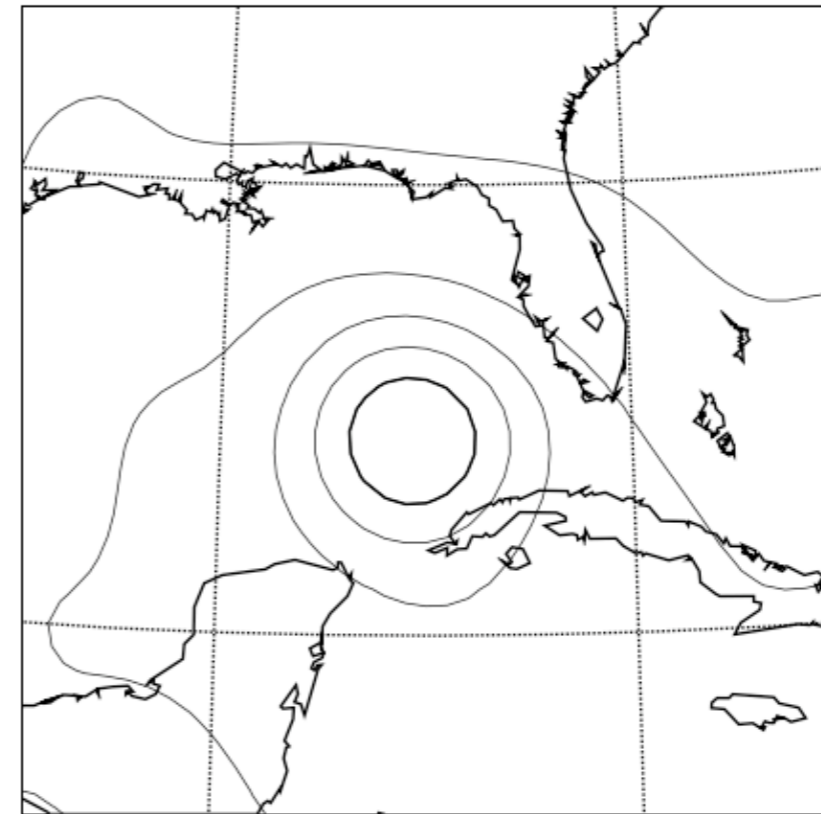
- Only “conventional” prepbufr obs assimilated, including reconnaissance obs.
- System started on Sept 1, 2005 run to Sept. 19 at T126 – then T254 to Sept 22.
- Comparison with then operational SSI (run at T382, recon obs excluded).

# 2005092112 (SLP, 4mb contours, 1000 mb thicker)

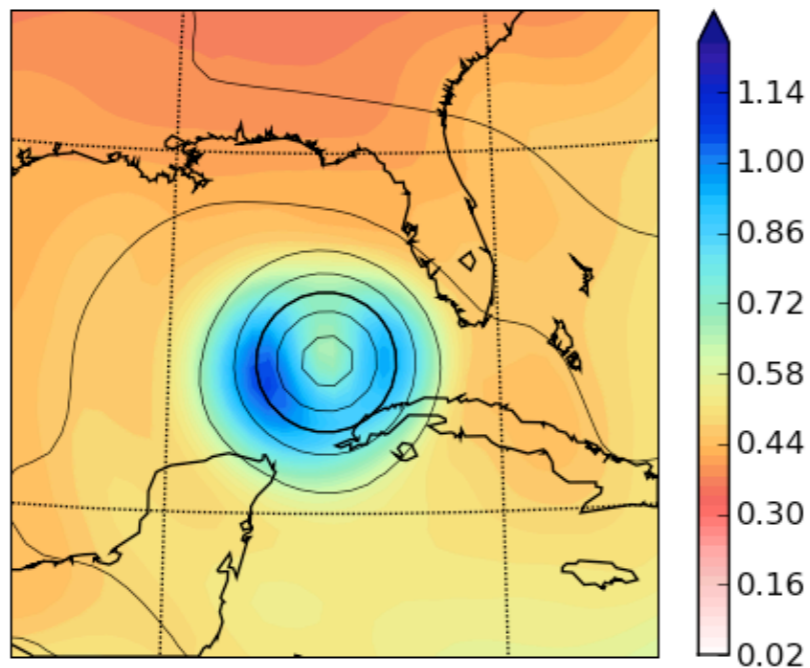
GDAS First-Guess 2005-09-21 12:00:00



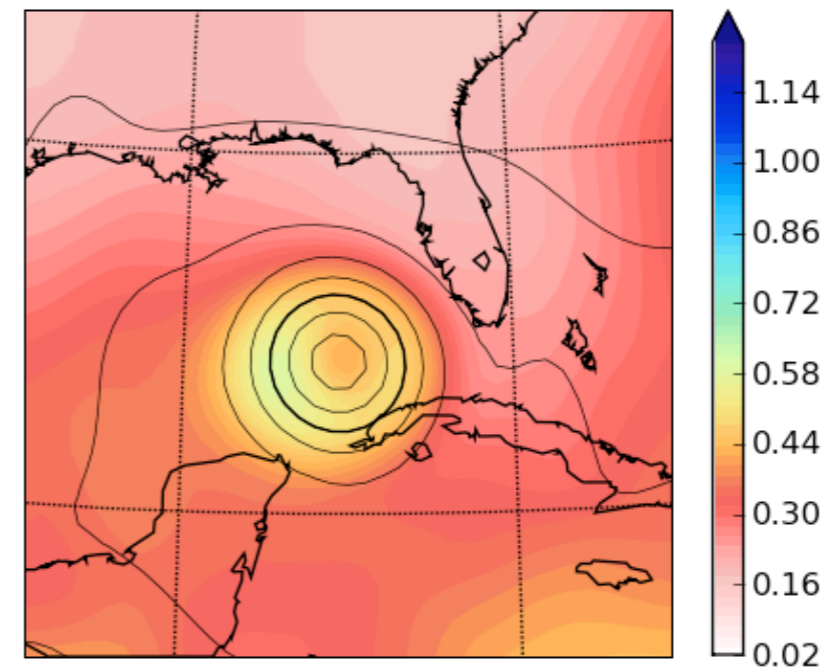
GDAS Analysis 2005-09-21 12:00:00



EnKF First-Guess with recon obs 2005-09-21 12:00:00

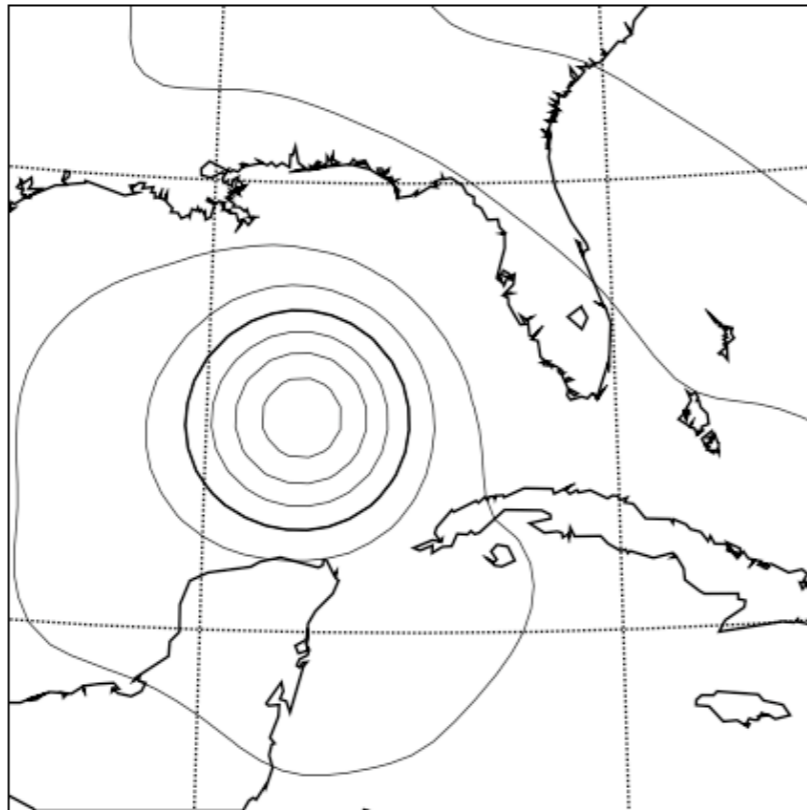


EnKF Analysis with recon obs 2005-09-21 12:00:00

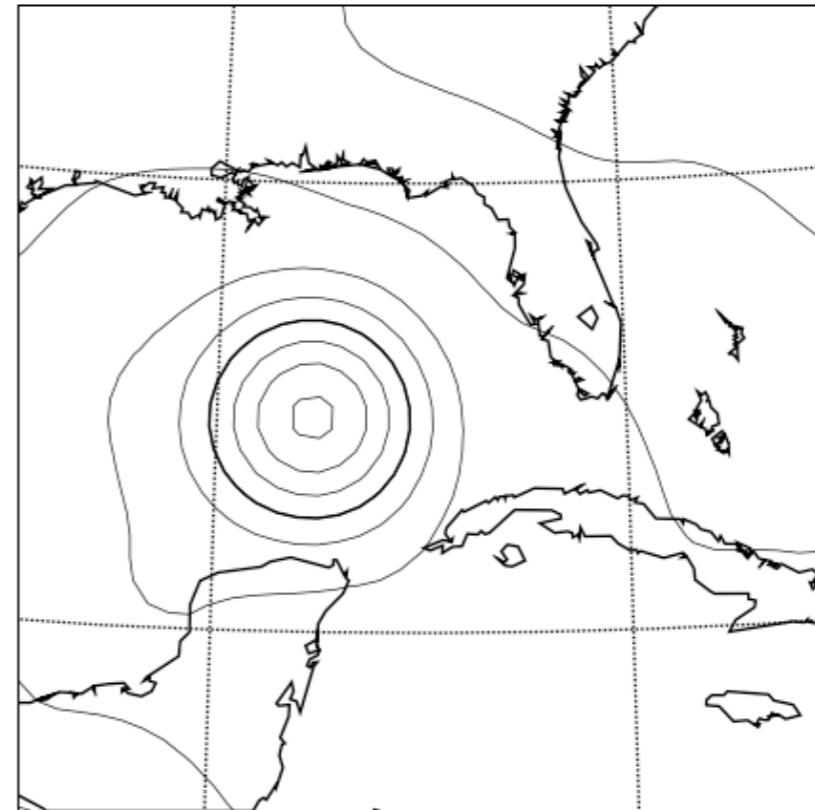


# 2005092206 (SLP, 4mb contours, 1000 mb thicker)

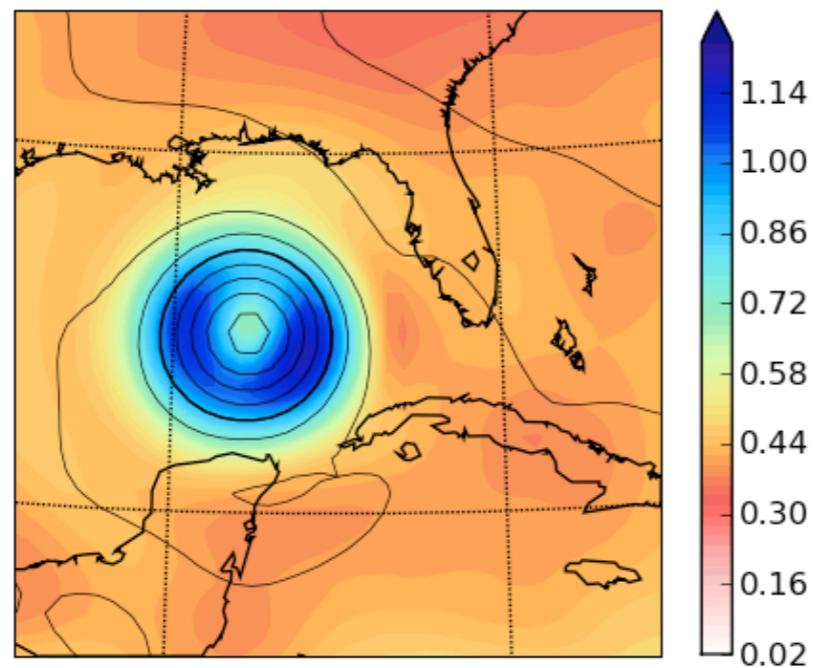
GDAS First-Guess 2005-09-22 06:00:00



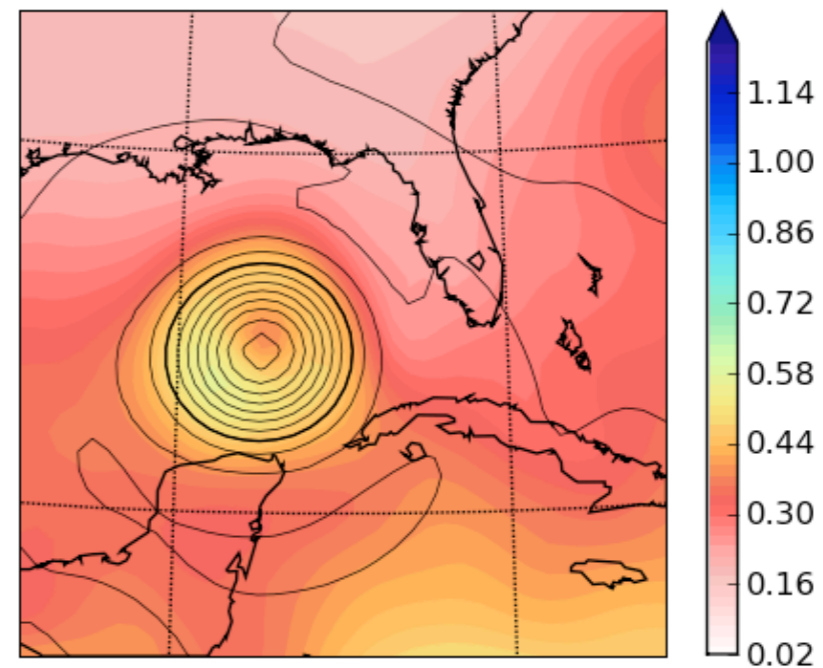
GDAS Analysis 2005-09-22 06:00:00



EnKF First-Guess with recon obs 2005-09-22 06:00:00



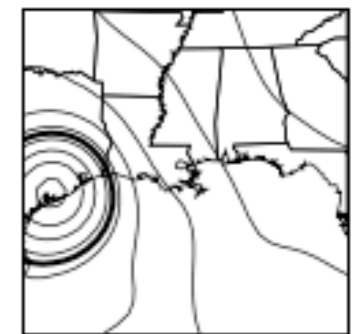
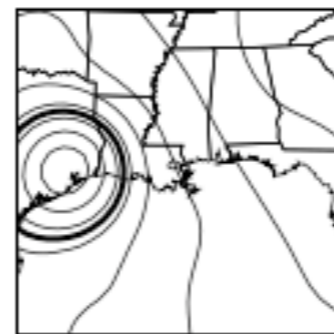
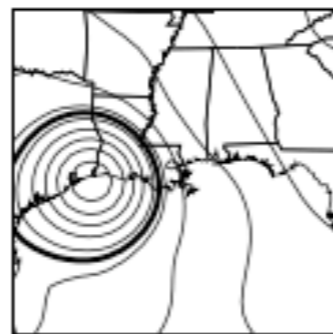
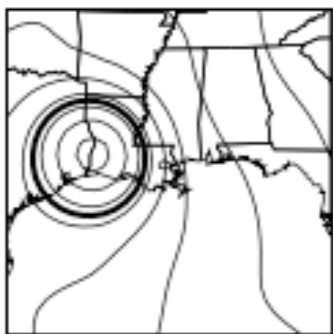
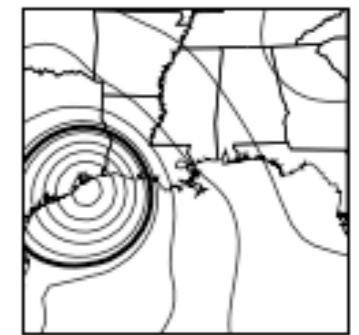
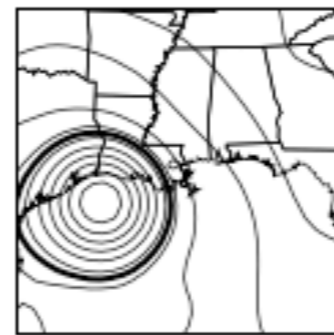
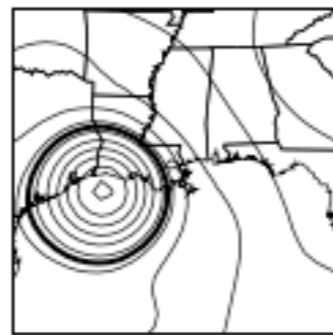
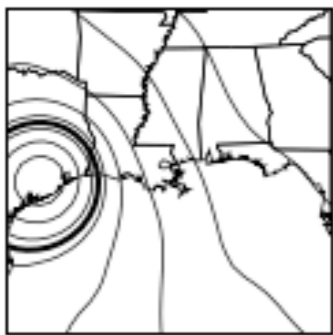
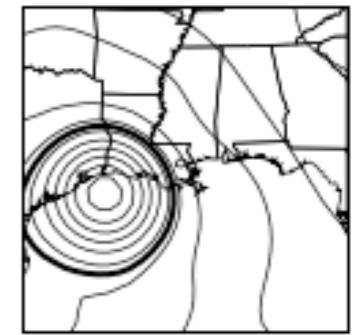
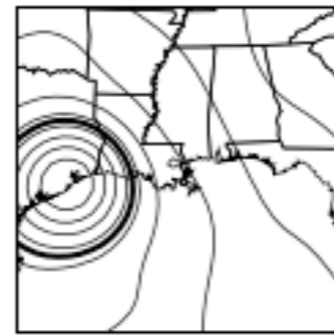
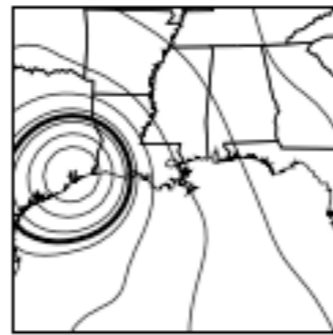
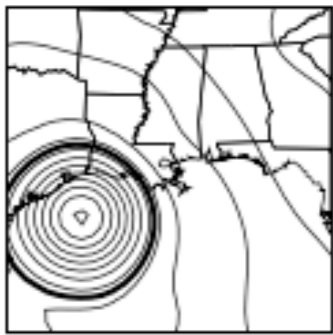
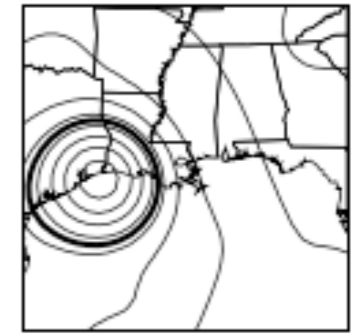
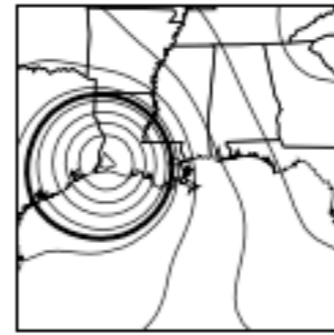
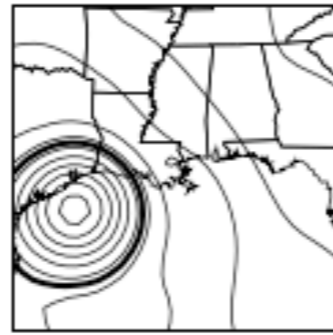
EnKF Analysis with recon obs 2005-09-22 06:00:00



# 72-h 16 member ensemble from 2005092112



→ ens mean



# Hurricane Rita

September 21, 2005

10 AM CDT Wednesday

NWS TPC/National Hurricane Center

Advisory 16

Current Center Location 24.3 N 85.9 W

Max Sustained Wind 140 mph

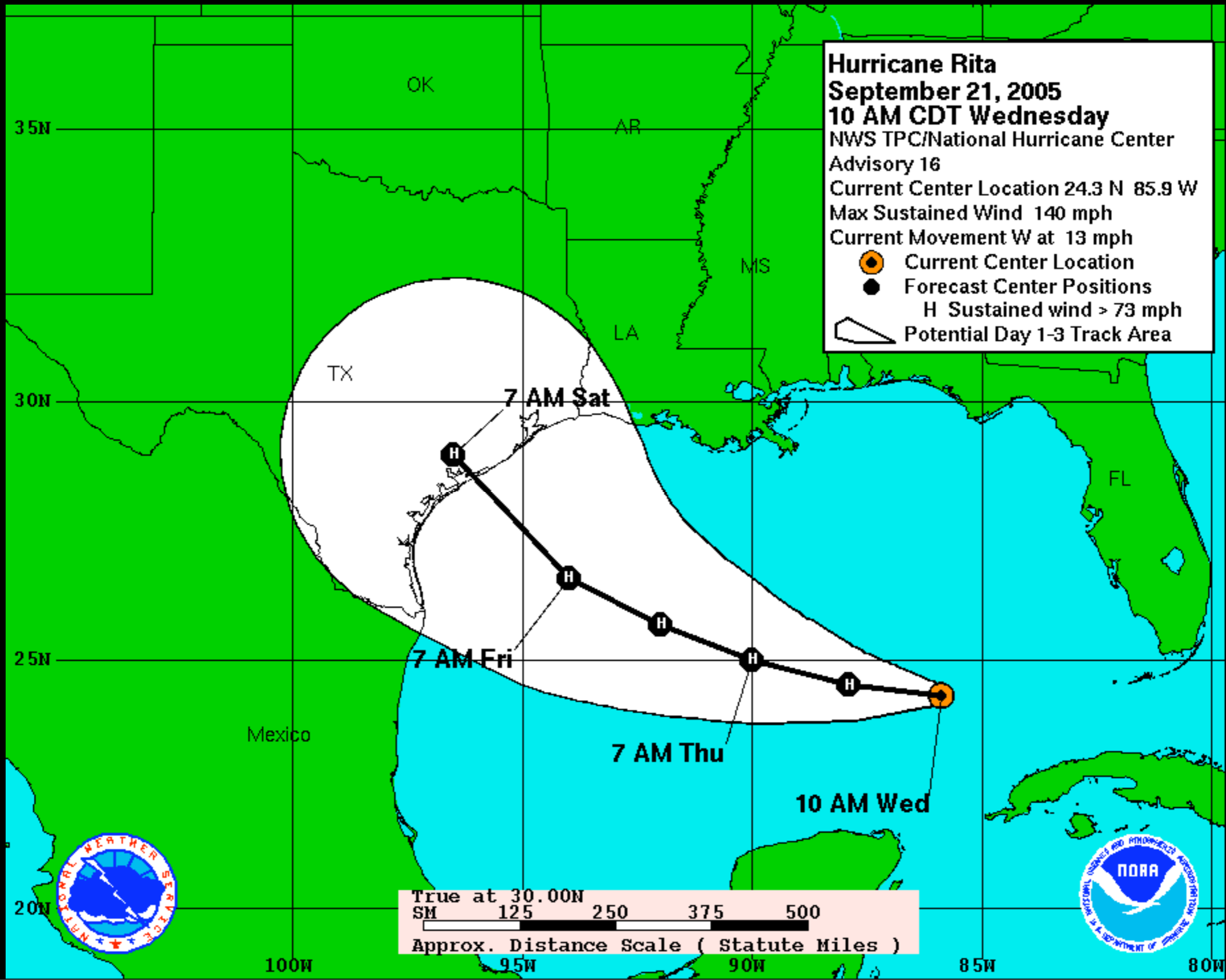
Current Movement W at 13 mph

● Current Center Location

● Forecast Center Positions

H Sustained wind > 73 mph

△ Potential Day 1-3 Track Area



# TODO

- More cases.
- Higher resolution?
- Model error?

## Possible ESRL/AOML Collaborations

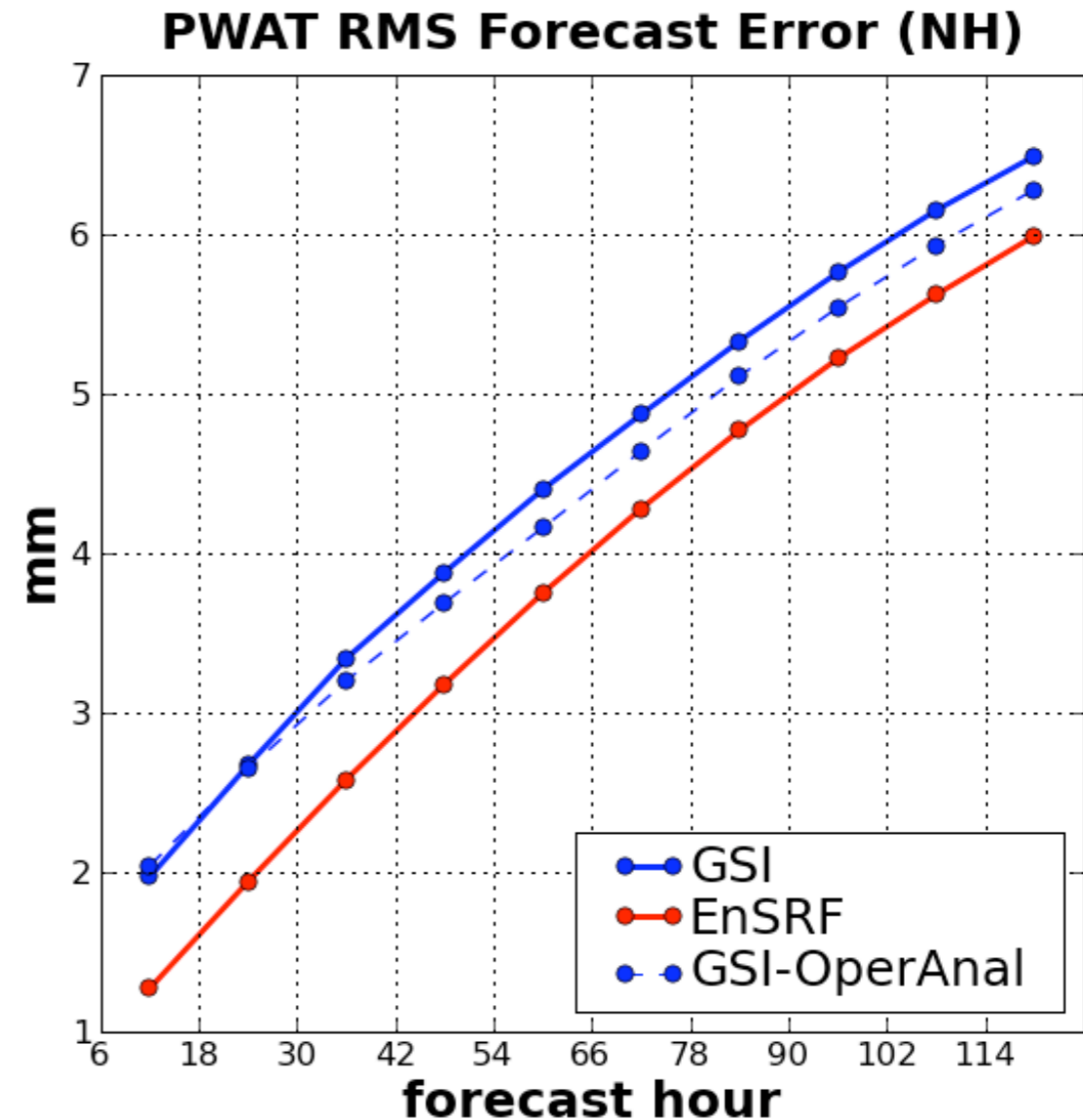
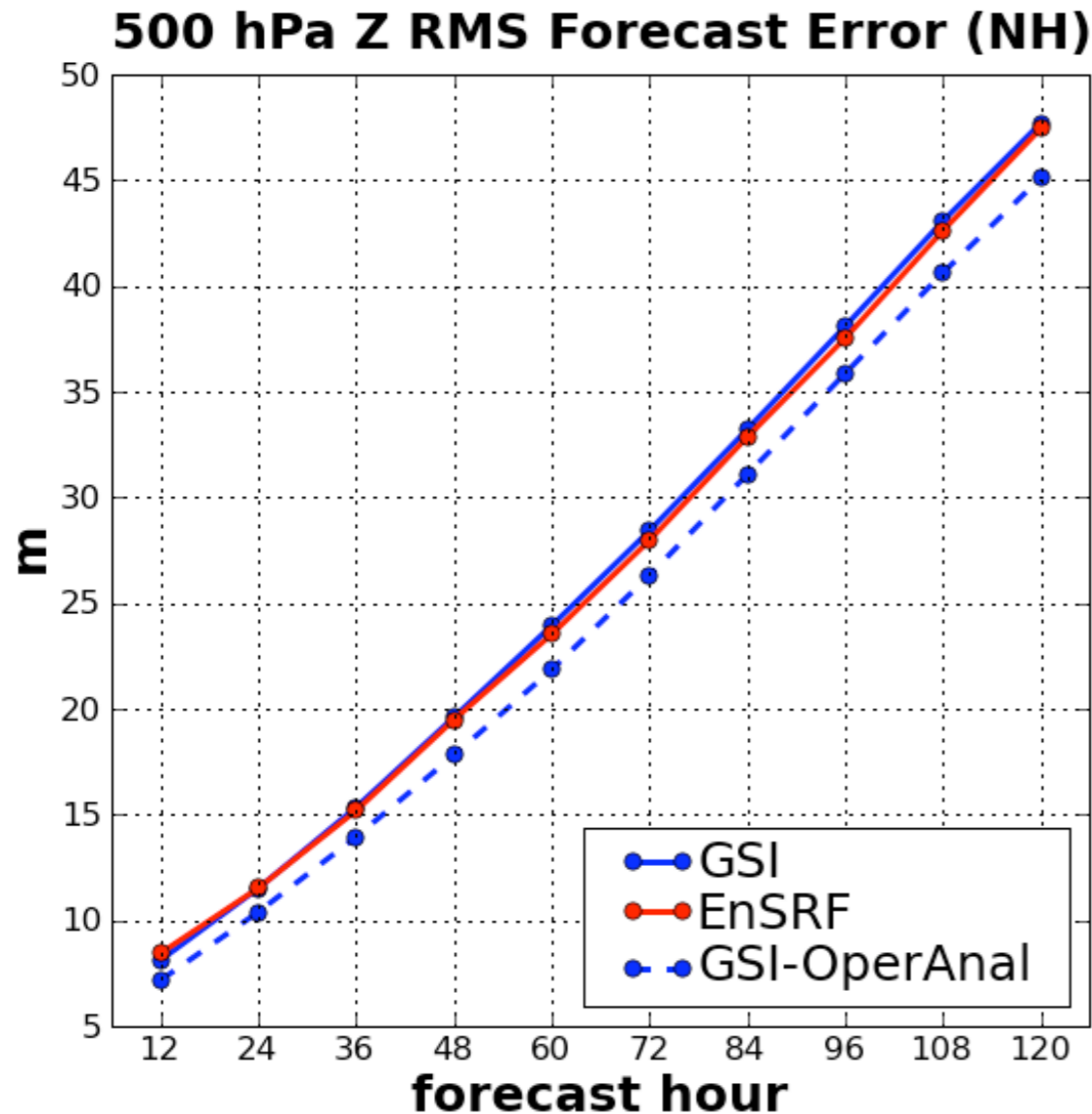
- Code sharing?
- Boundary conditions?
- Model error?

The End

# Operational NWP: Comparison of EnKF with GSI

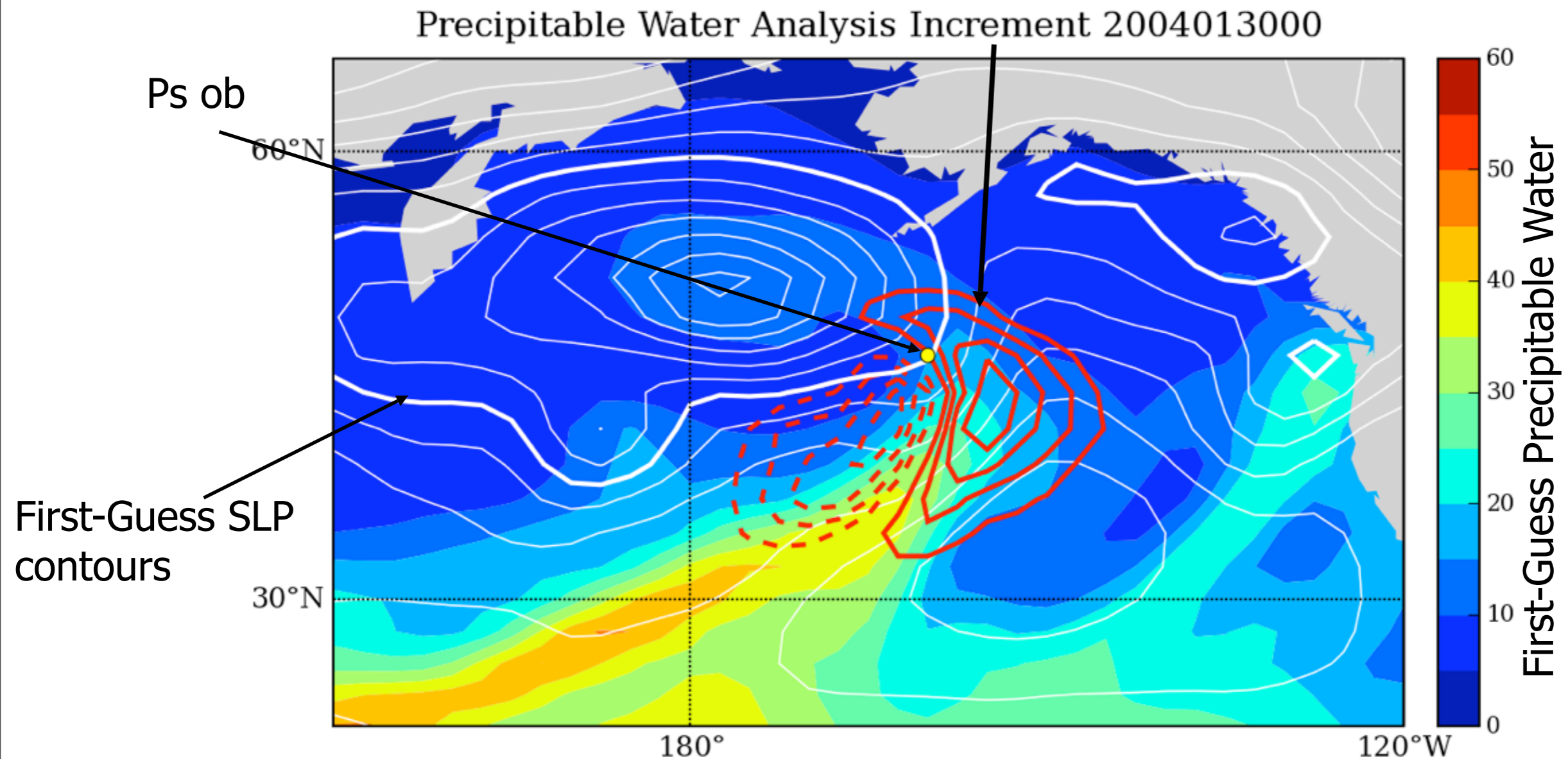
- GFS T126L64. May–June 2007.
- ‘conventional’ obs, GPSRO, AMSU radiances. GSI used for forward operator.
- GSI re-run at lower res to compare with EnKF.

# EnKF/GSI comparison



- GFS/EnKF similar for Z500, EnKF has a 12-h advantage for PWAT.
- T126L64 forecast from T382L64 operational GSI analysis is better than from EnKF analysis for Z500, but not for PWAT.

# EnKF PWAT increment for a single $p_s$ ob



$p_s$  observation can improve analysis of precipitable water (cross-variable covariance in  $\mathbf{P}^b$ , not in GSI).



A satellite image of a hurricane over the Caribbean Sea. The hurricane's eye is visible in the center, surrounded by a dense, swirling cloud structure. The surrounding ocean is dark blue, and the landmasses of Central and South America are visible in the background.

# LAPS Hurricane

Steve Albers, Isidora Jankov,  
John McGinley and Jin-Young Kim

# CURRENT EFFORTS

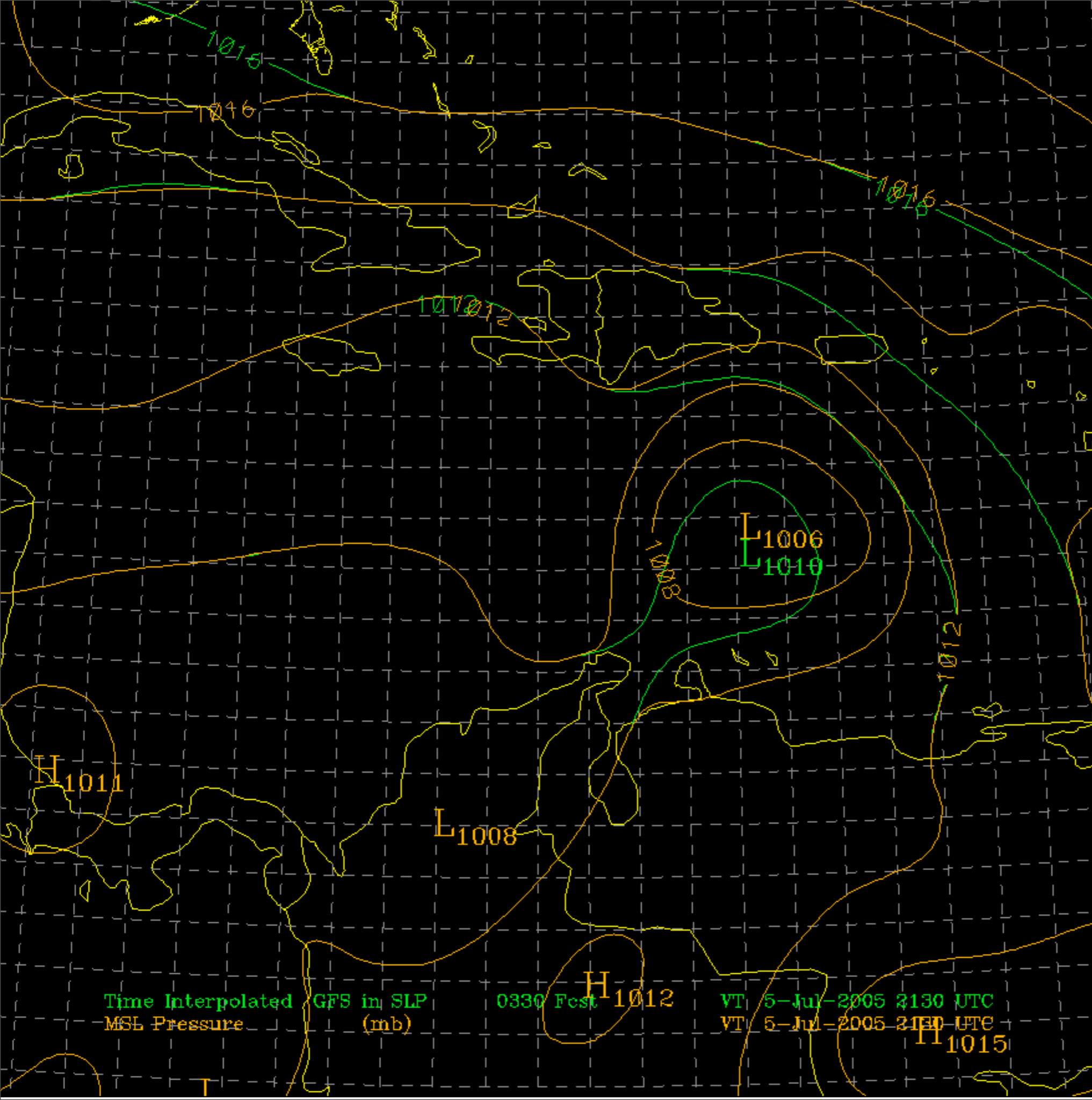
Additional data sources being evaluated:

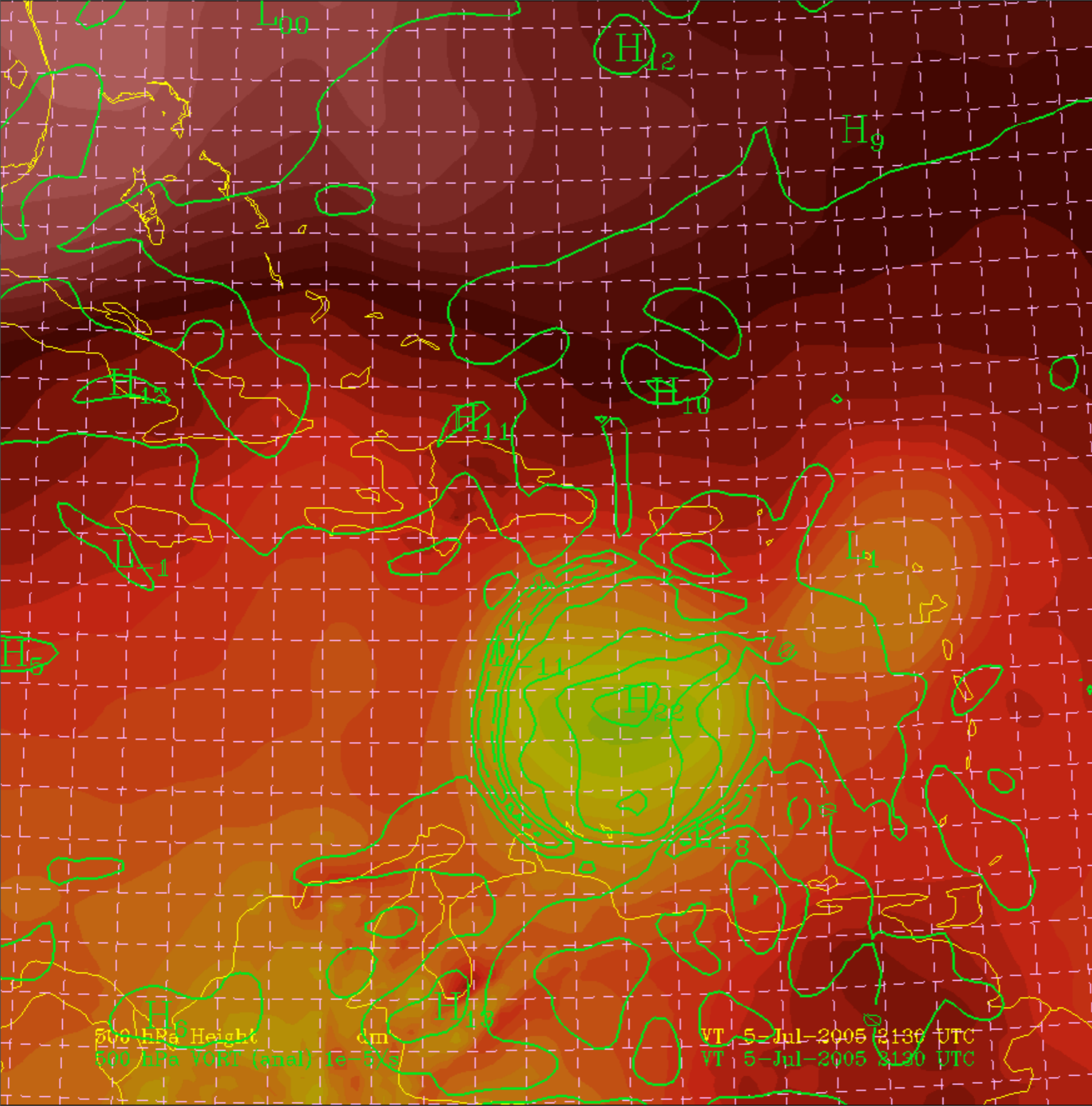
- \*\*\* aircraft radar reflectivity
- \*\*\* more complete use of dropsondes (including near surface pressure measurements)
- \*\*\* Goes satellite imagery for the cloud analysis.

# ANALYSIS CONSIDERATIONS

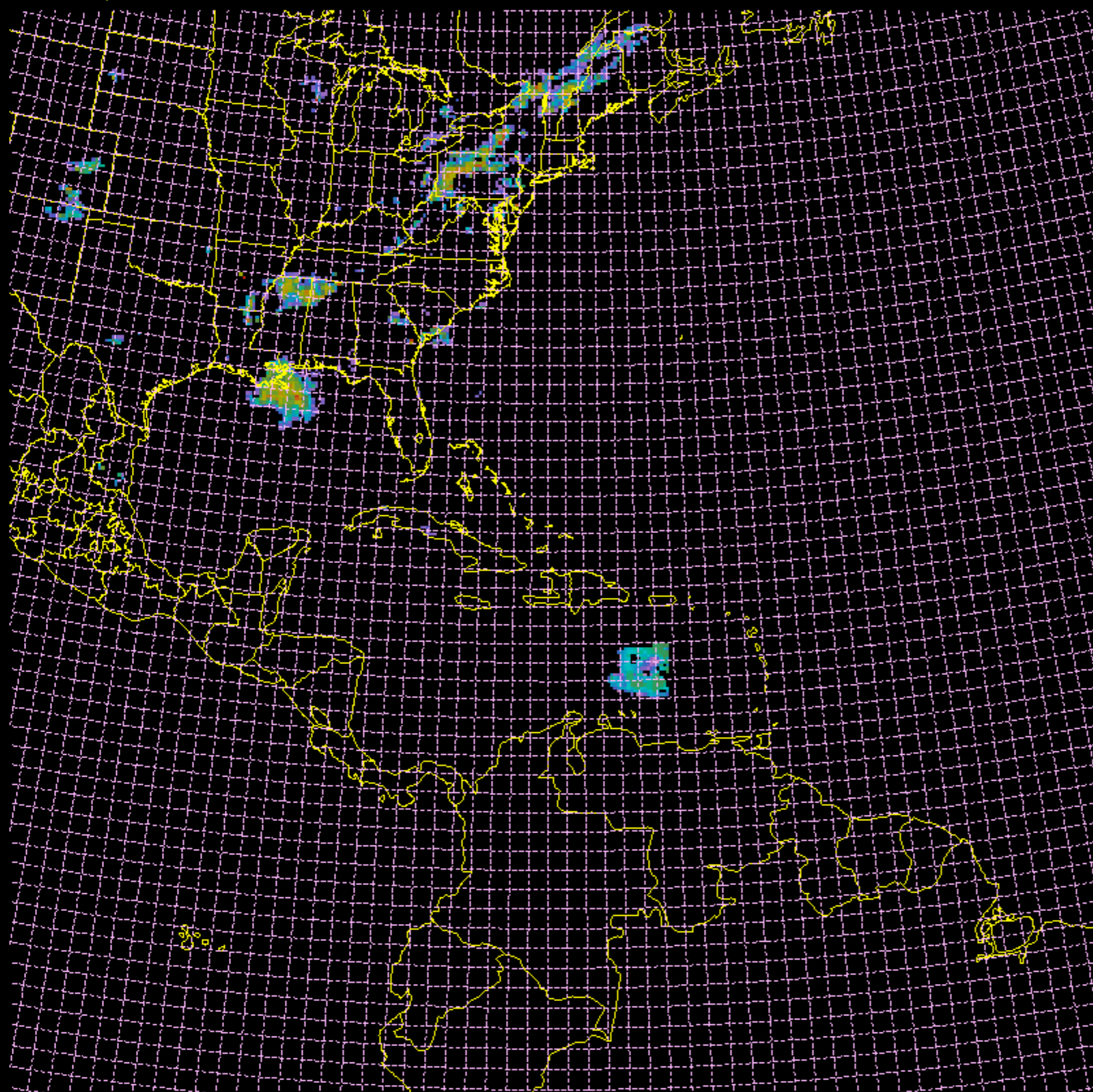
- \*\*\* improved heights via hydrostatic integration using surface pressure boundary condition
- \*\*\* wider time window to include more radar velocities
- \*\*\* adjustable updraft strength in hot start

Surface mslp analysis  
from LAPS and GFS  
valid at 2130 05 July,  
2005.





NOAA/ESRL LAPS 20km -10 0 10 20 30 40 50 60 70



AOML and NOWRAD  
observed radar  
reflectivity

Low LVL Ref (Anlyzd/DBZ)

VT 5-Jul-2005 2130 UTC

# NOAA/ESRL LAPS



0

0.1

0.2

0.3

0.4

0.5

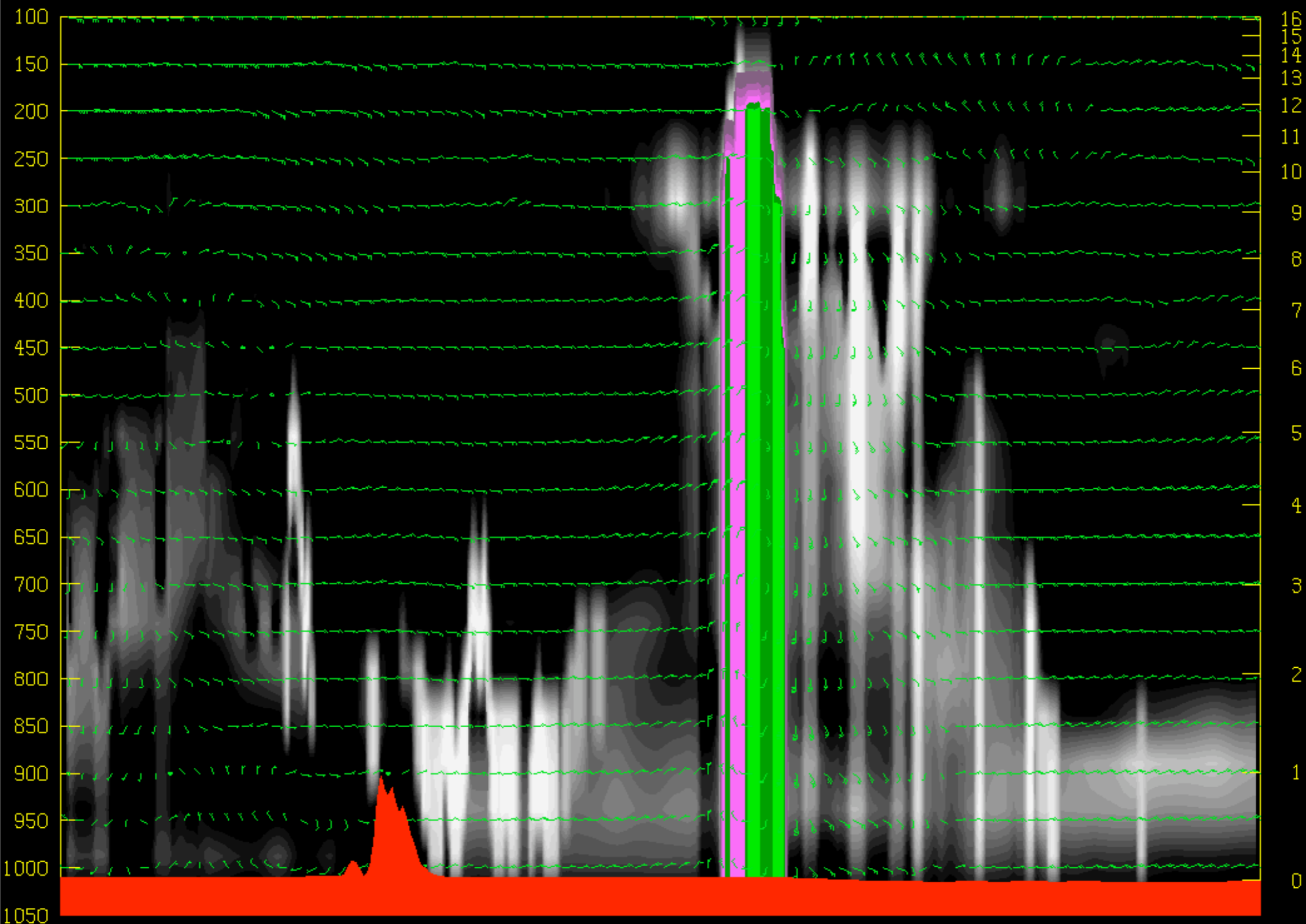
0.6

0.7

0.8

0.9

1



11.28  
-102.46 Gridded Cloud Cover X-Sect VT 5-Jul-2005 2130 UTC 11.28  
LAPS Reflectivity Vert X-Sect VT 5-Jul-2005 2130 UTC -43.04  
Wind (Analyzed) (kt) VT 5-Jul-2005 2130 UTC

NOAA/ESRL LAPS



-40

-30

-20

-10

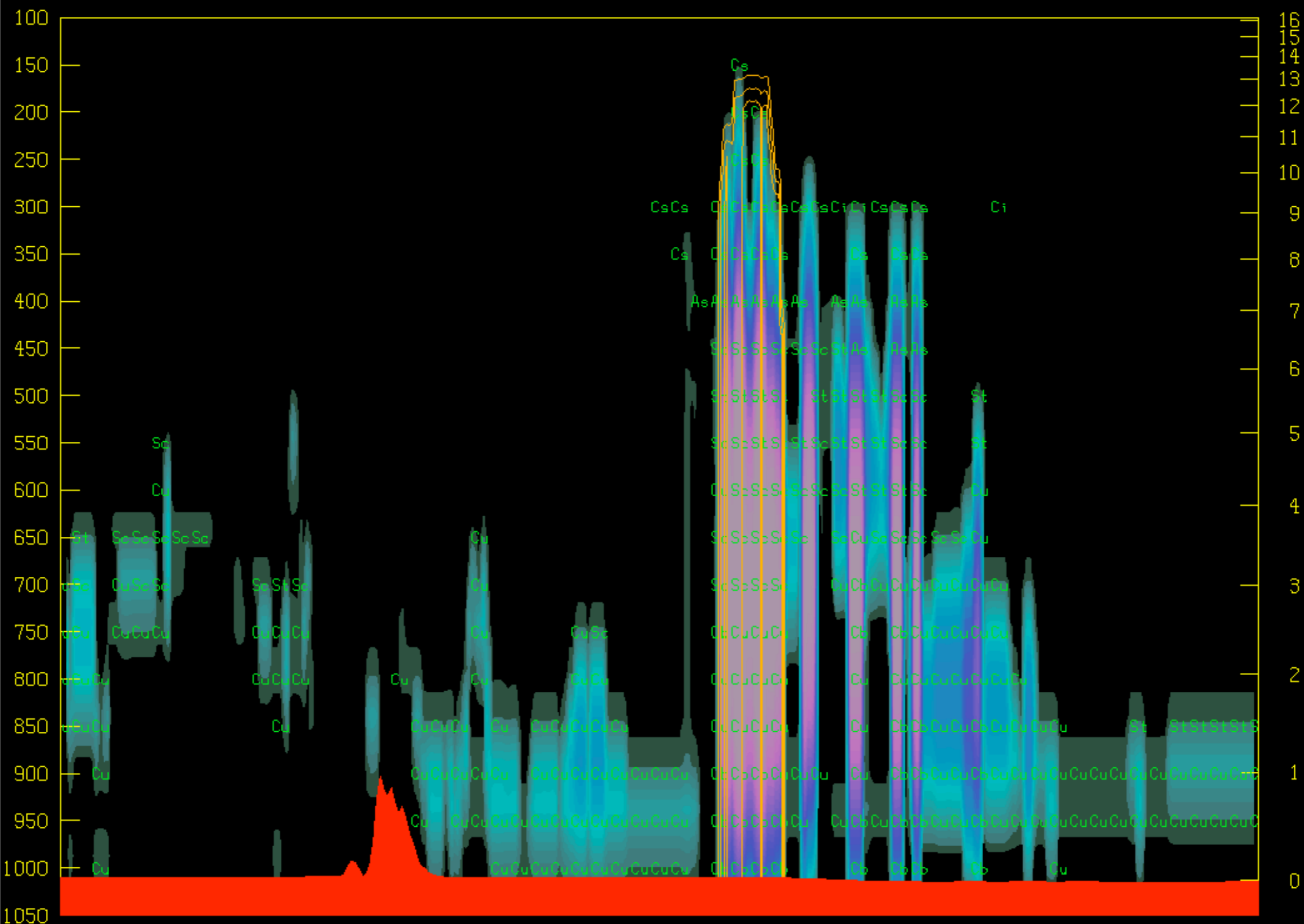
0

10

20

30

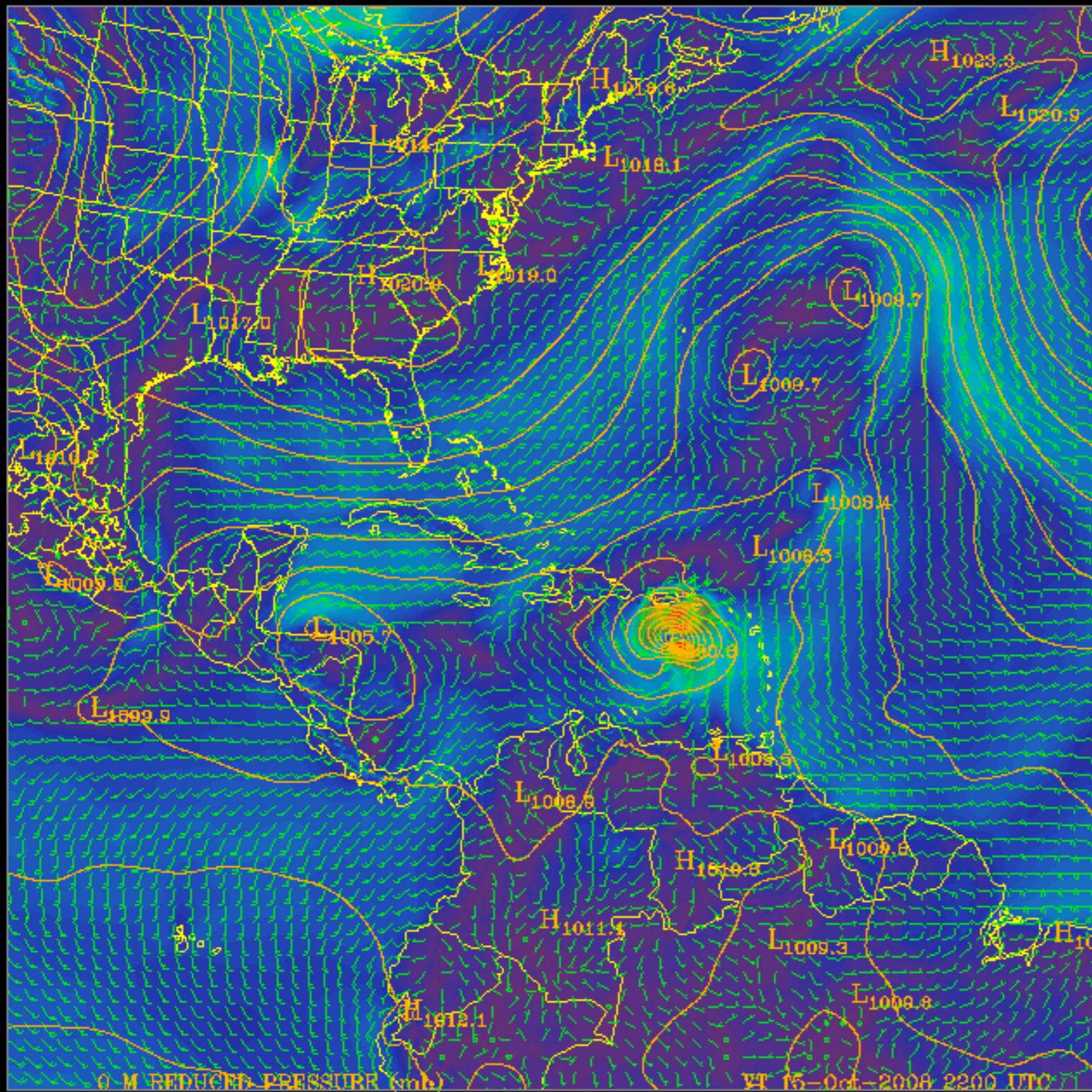
40



11.28  
 -102.46 LAPS Omega (cloud) ubar/s VT 5-Jul-2005 2130 UTC 11.28  
 LAPS Cloud Type VT 5-Jul-2005 2130 UTC -43.04  
 LAPS Reflectivity Vert X-Sect VT 5-Jul-2005 2130 UTC

# ONGOING WORK

- \* Real time Caribbean HLAPS analysis and HRS now implemented
- \* The analysis is nested in FIM
- \* GSD and AOML data sources
- \* The outer model domain matches HLAPS domain size and horizontal resolution of 9.9 km, and can run up to 19.8 km
- \* The nested grid horizontal resolution is 3.3 km , and can run up to 6.6 km
- \* Focus on hurricane track, intensity and QPF forecast
- \* Run Ike, Gustav and Katrina cases



0 M REDUCED PRESSURE (mb)

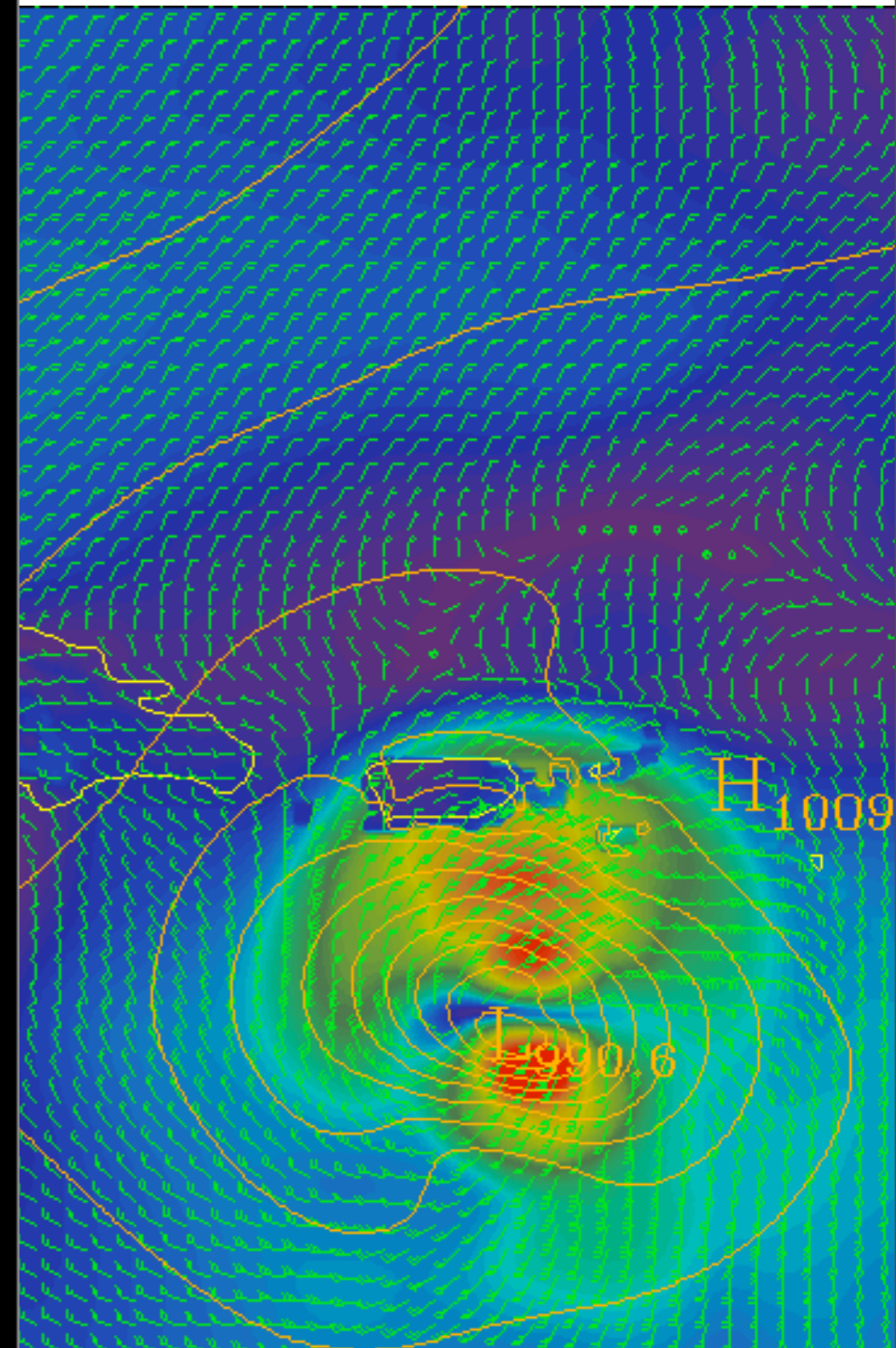
Sfc Wind Speed (kt)

Surface Wind (kt)

VT 15-Oct-2008 2200 UTC

VT 15-Oct-2008 2200 UTC

VT 15-Oct-2008 2200 UTC



H<sub>1009</sub>

L<sub>1009.6</sub>

0 M REDUCED PRESSURE (mb)

Sfc Wind Speed (kt)

Surface Wind (kt)

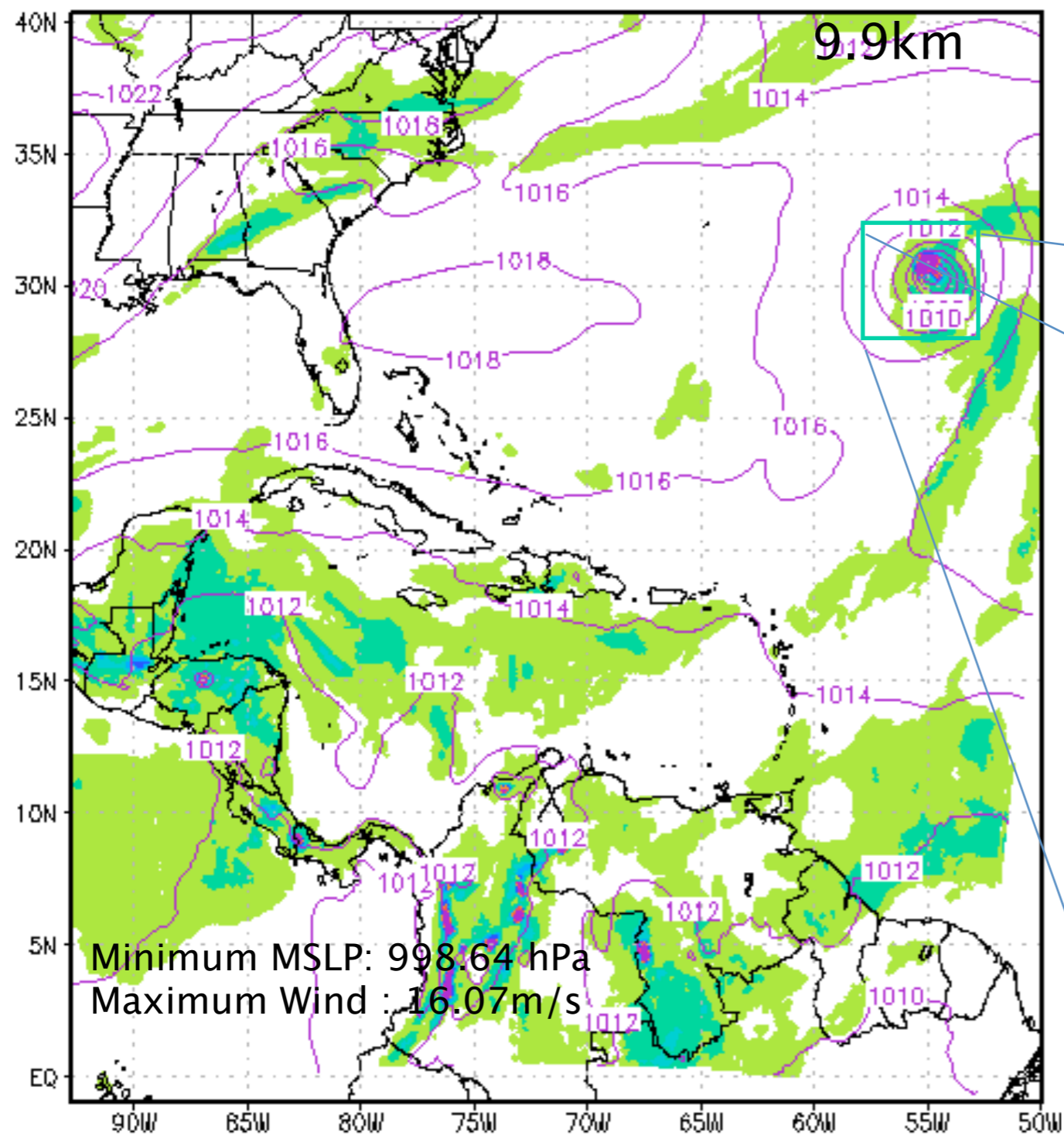
VT 15-Oct-2008 2200 UTC

VT 15-Oct-2008 2200 UTC

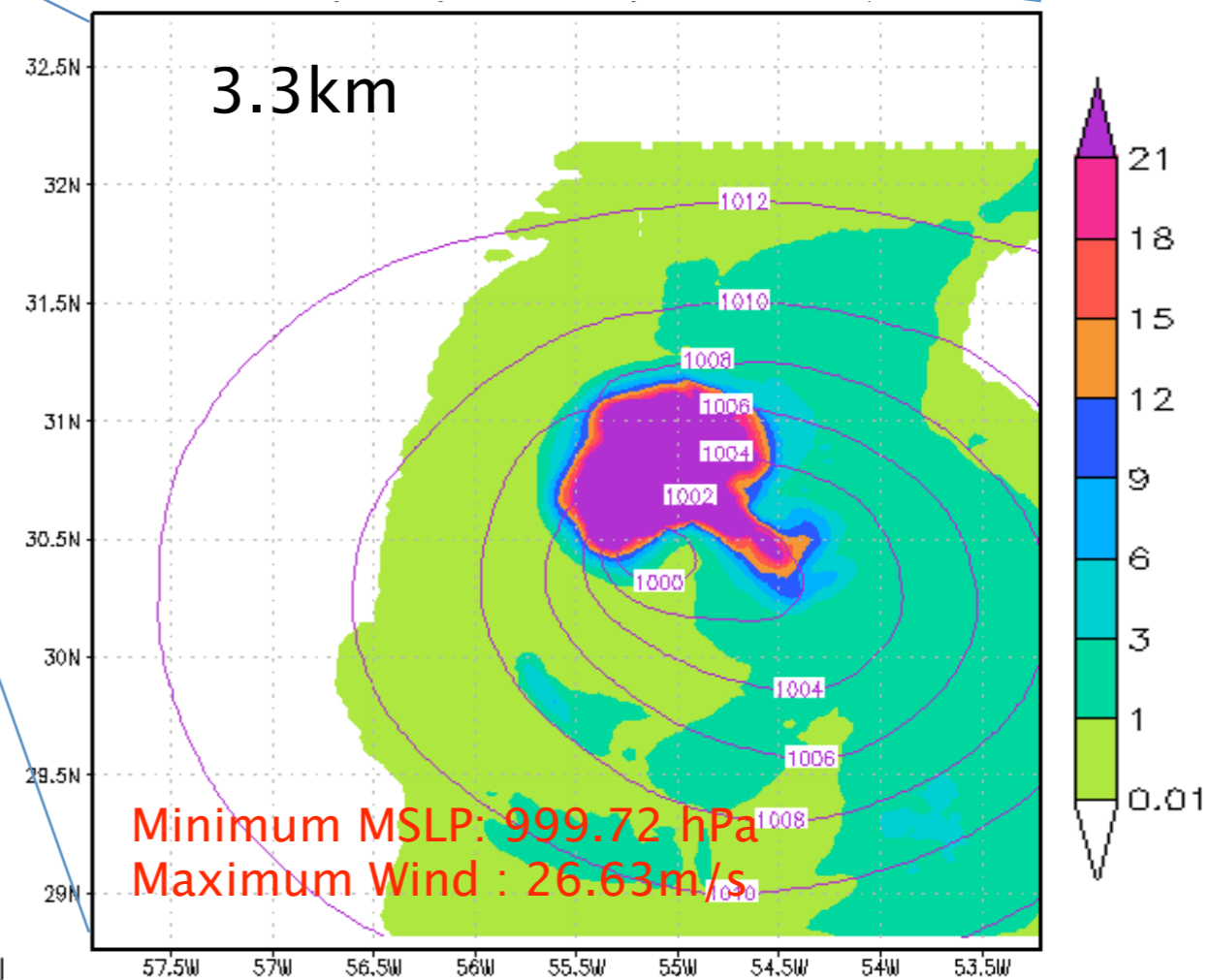
VT 15-Oct-2008 2200 UTC

H<sub>1009.7</sub>

L<sub>1009</sub>



In the 5 hours forecast,  
Accumulated Total  
precipitation (shaded-in)  
& Mean Sea Level pressure  
(hPa)



Another option: coarser grids - bigger nest

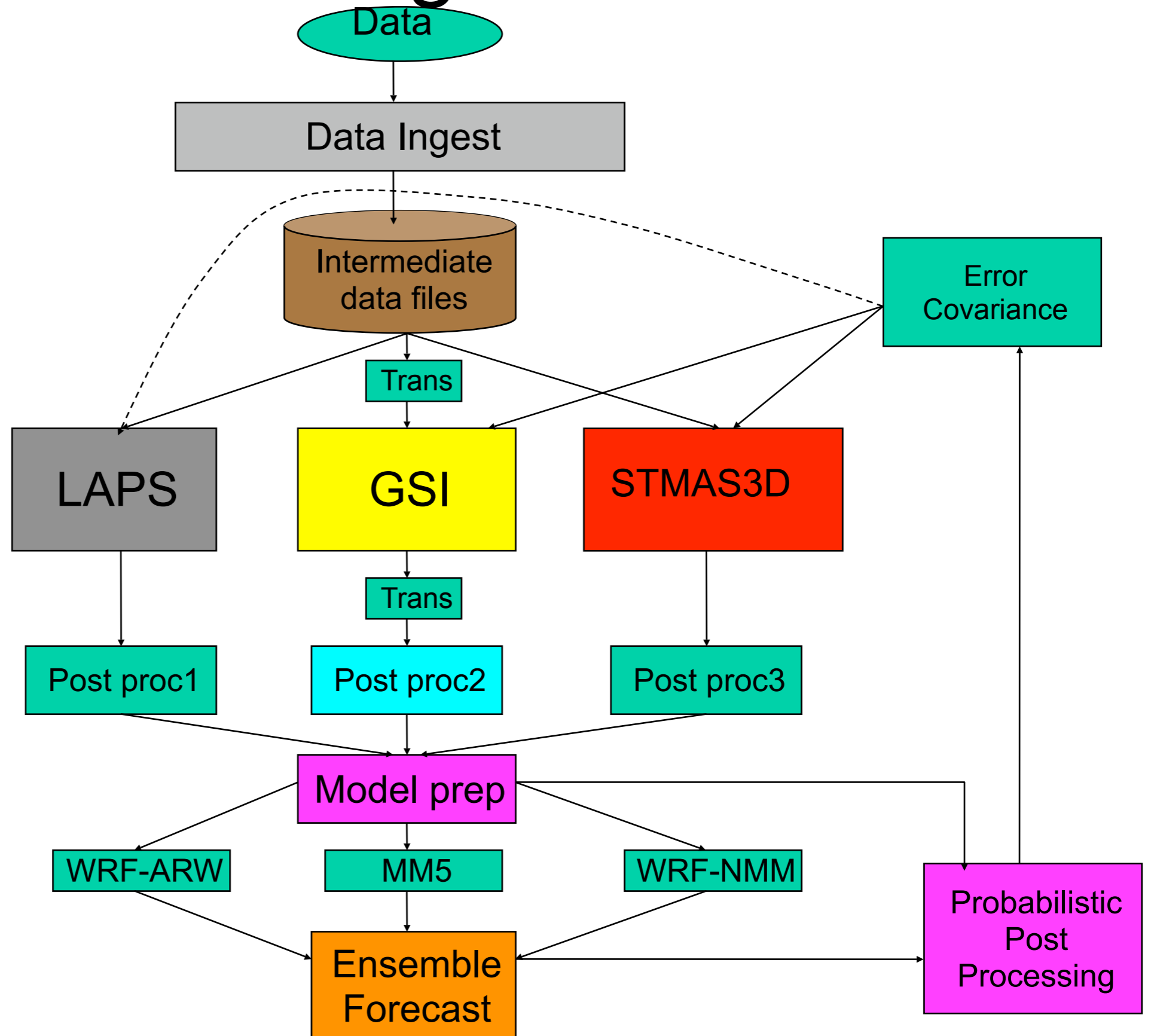
## DESIRED REAL TIME DATA FROM AOML AIRCRAFT / SATELLITE

- \* flight level winds
- \* compacted radar reflectivity and velocity
- \* obtain SFMR data (for STMAS)
- \* part of data warehouse

## **COMING ATTRACTIONS**

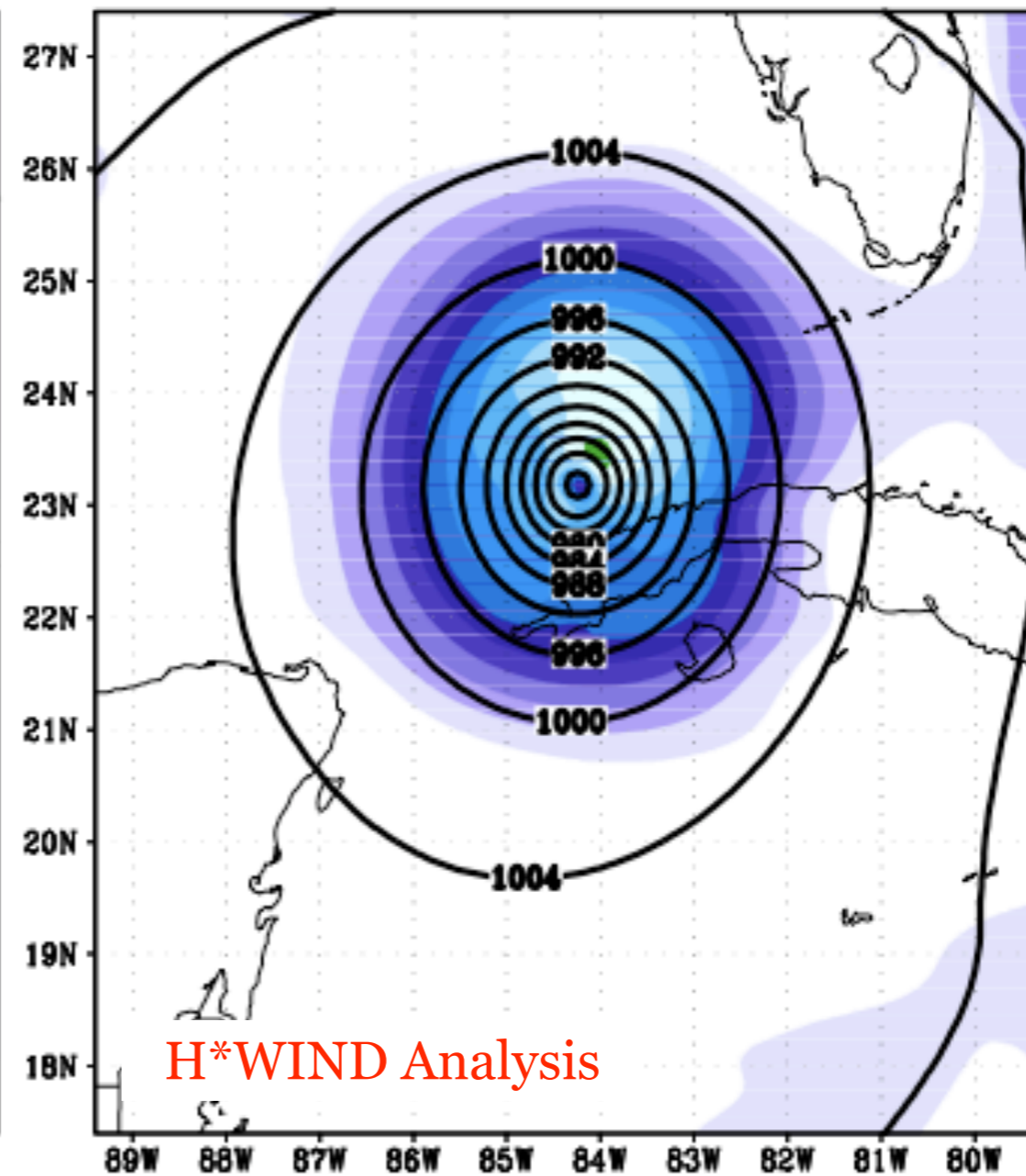
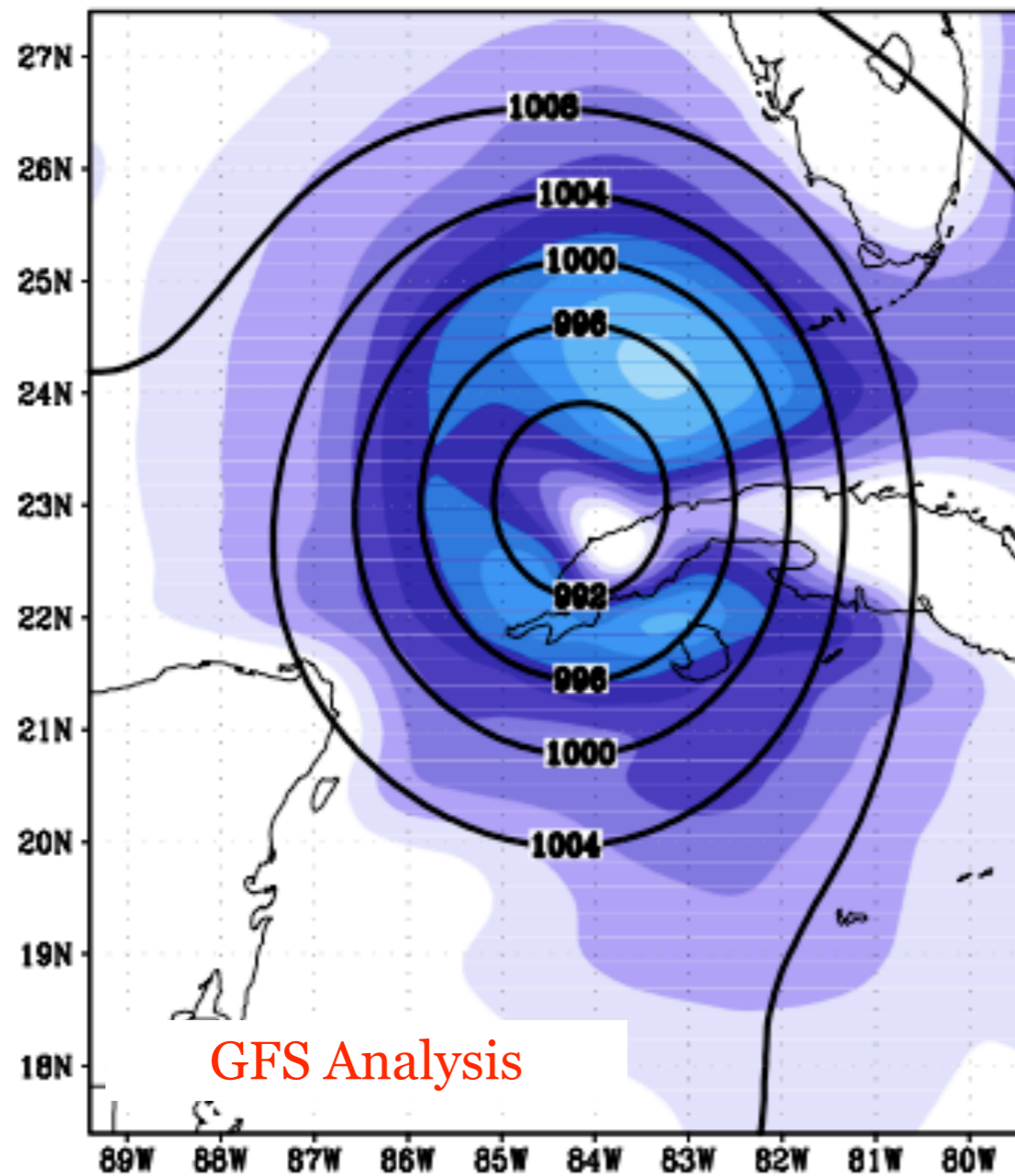
- \* Sensitivity tests to LAPS/GFS/GFDL model initializations and various Lateral Boundary Conditions will be presented at the next modeling meeting.
- \* Performance of two different post-processing track packages (NCEP tracker and HRS diapost) have been evaluated

# DA Configuration





# Tropical Cyclone IKE – September 10 0000 UTC

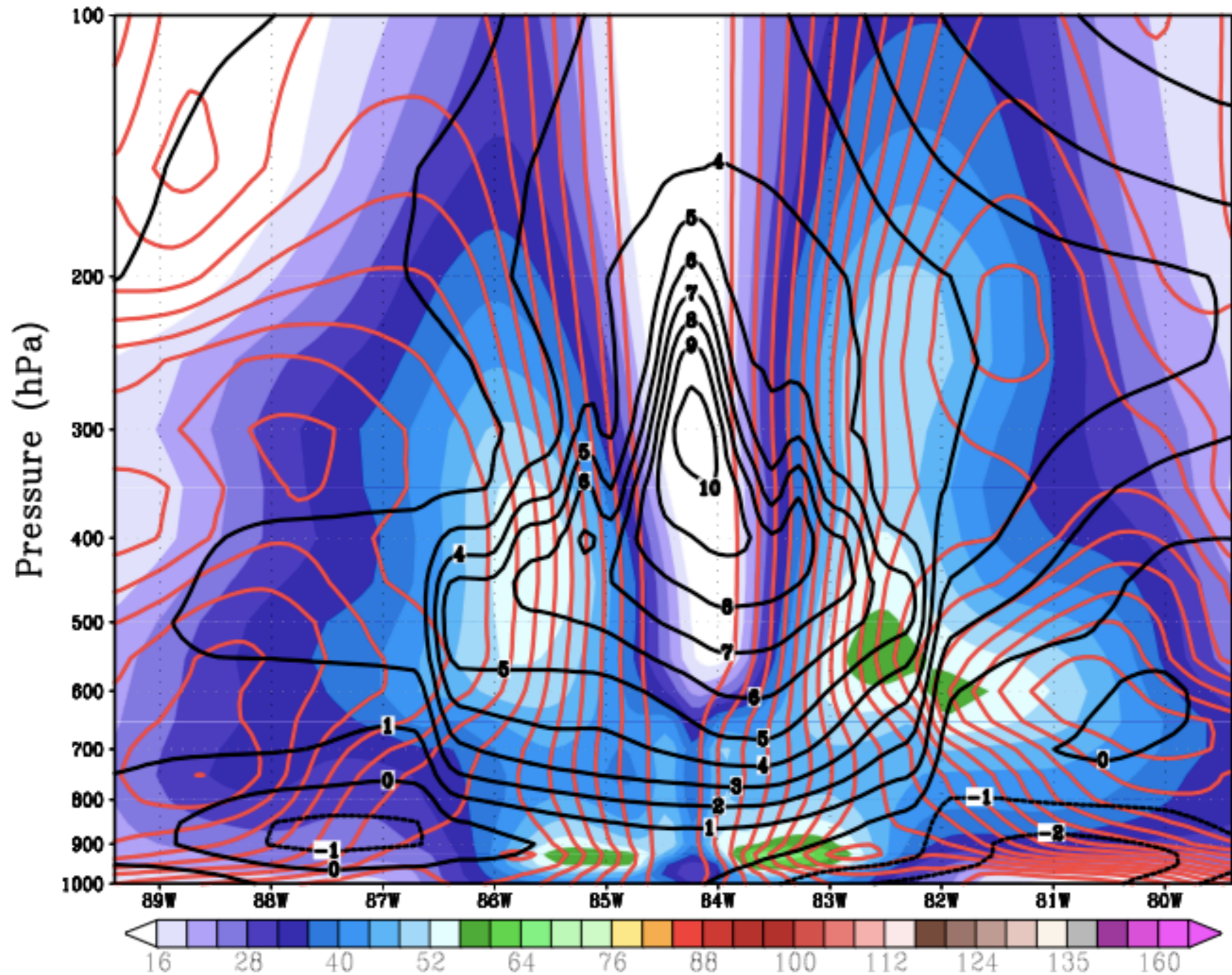


H.R. Winterbottom

Shading: Wind Speed Magnitude (kts)

Black Contour: Temperature anomaly (K)

Red contour: Constant angular momentum surfaces

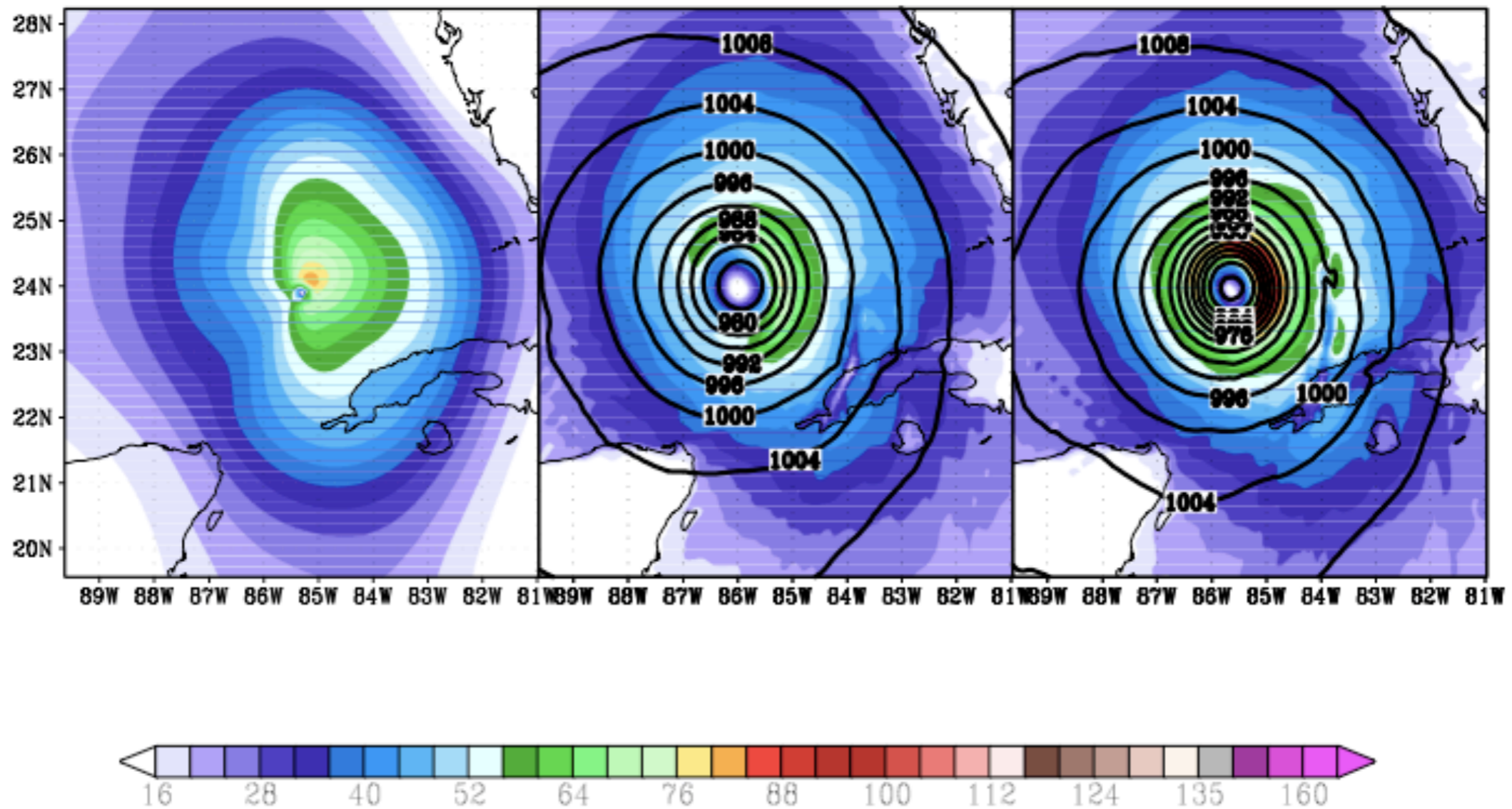


## Tropical Cyclone IKE Forecast – September 10 1200 UTC

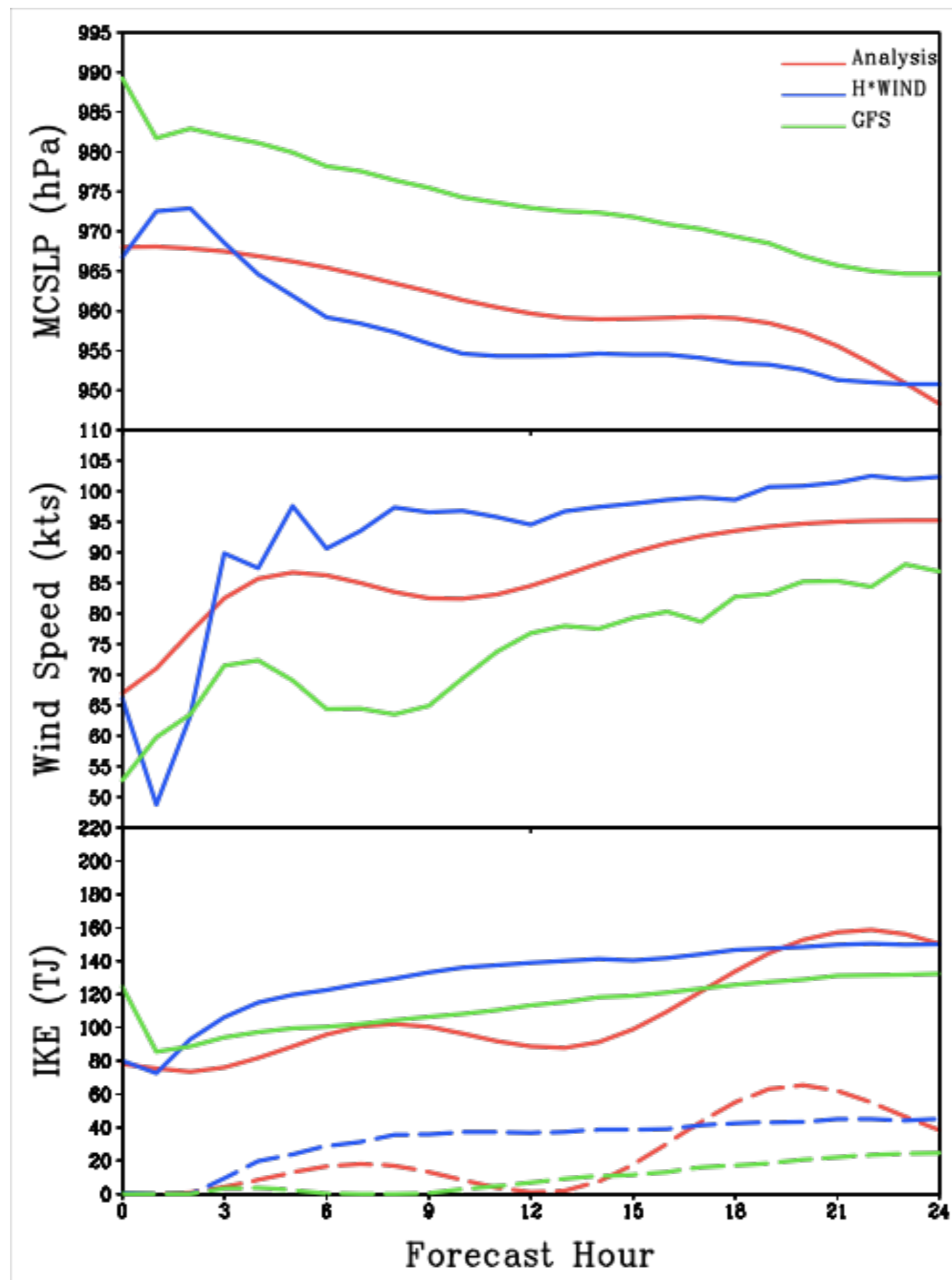
H\*WIND Analysis

GFS Forecast

H\*WIND Forecast



# H.R. Winterbottom





# Hurricane Data Warehouse

Yuanfu Xie, Sharan Majumdar, Sim Aberson,  
Steve Albers, Sundararaman.G.Gopalakrishnan  
and Nicholas Carrasco

We would like to propose a DA warehouse  
for hurricane data assimilation community.

- Controlled access the data
- Operational DA analyses
- Display capability
- Evaluation tools for hurricanes

# Existing Facility

- AOML has started this warehouse:
  - <http://www.aoml.noaa.gov/hrd/>  
For all aircraft data and post-processed SFMR data.  
Real time aircraft data can be found at
  - <http://www.nhc.noaa.gov/reconlist.html>  
We may need to expand this for all data sources (raw and post-processed), a unified data ingest capability and DA analysis display and evaluation.

-

# Existing Facility

- ESRL/GSD has also a warehouse hosting all types of data (obs and background in NetCDF) over the globe in archive and real time.
- MADIS (more easily accessible) has mainly point observations
- NIMBUS has additional observations from satellite and model grids
- AOML observations can potentially be added to MADIS and/or NIMBUS
- MADIS/NIMBUS data also available on Jet

# Observations

- A unified observation data format for each observation data type (AOML).
- Possible near real time data update.
- Some basic data interface (Maybe ESRL/LAPS or possible ESRL/MADIS).
- Basic QC criteria (LAPS and MADIS have various QCs).
- DA users' account management.

# Datasets

- All in-situ observations;
- Raw radar and pre-analyzed radar data from AOML/HRD;
- Microwave observations;
- Satellite radiance data;
- Others...
- Model backgrounds.

# Display and evaluation

- Display capability for all DA products in near real time, EnKF, GSI, LAPPS, and STMAS.
- Evaluation tools for hurricane DA analyses and forecast impact.
- Discussion forum for improvement of all DA schemes.

# **Baby Steps toward the near real time warehouse**

- Compile a list of observation instruments for hurricane DA;
- Define a unified data format, observations and model backgrounds;
- Setup servers with archive capability at AOML, GSD or both.



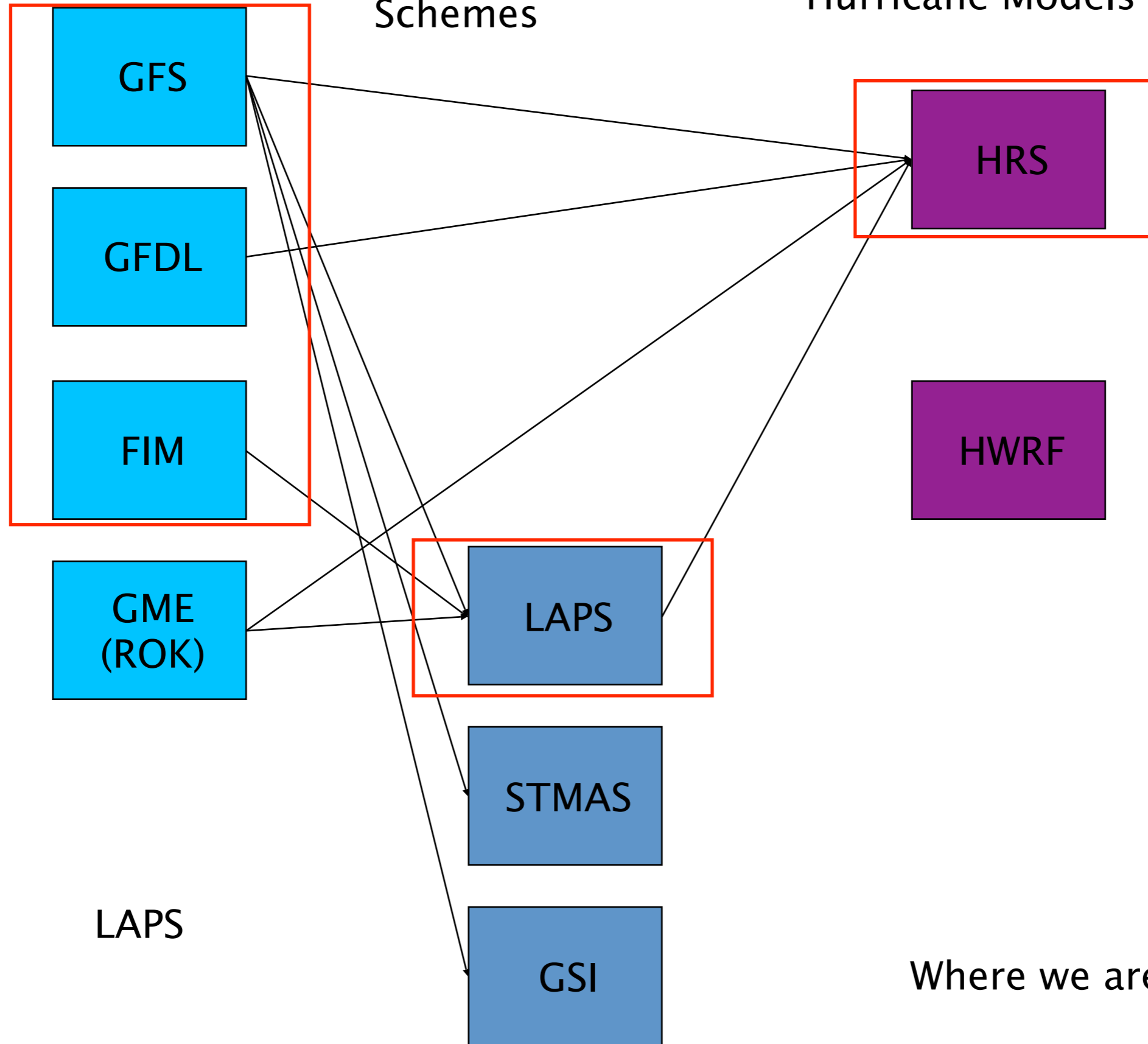
# **FAB MODELING ACTIVITIES**

Jin-Young Kim, Isidora Jankov, Steve Albers  
and John McGinley

BG, IC, TDBC

Initialization  
Schemes

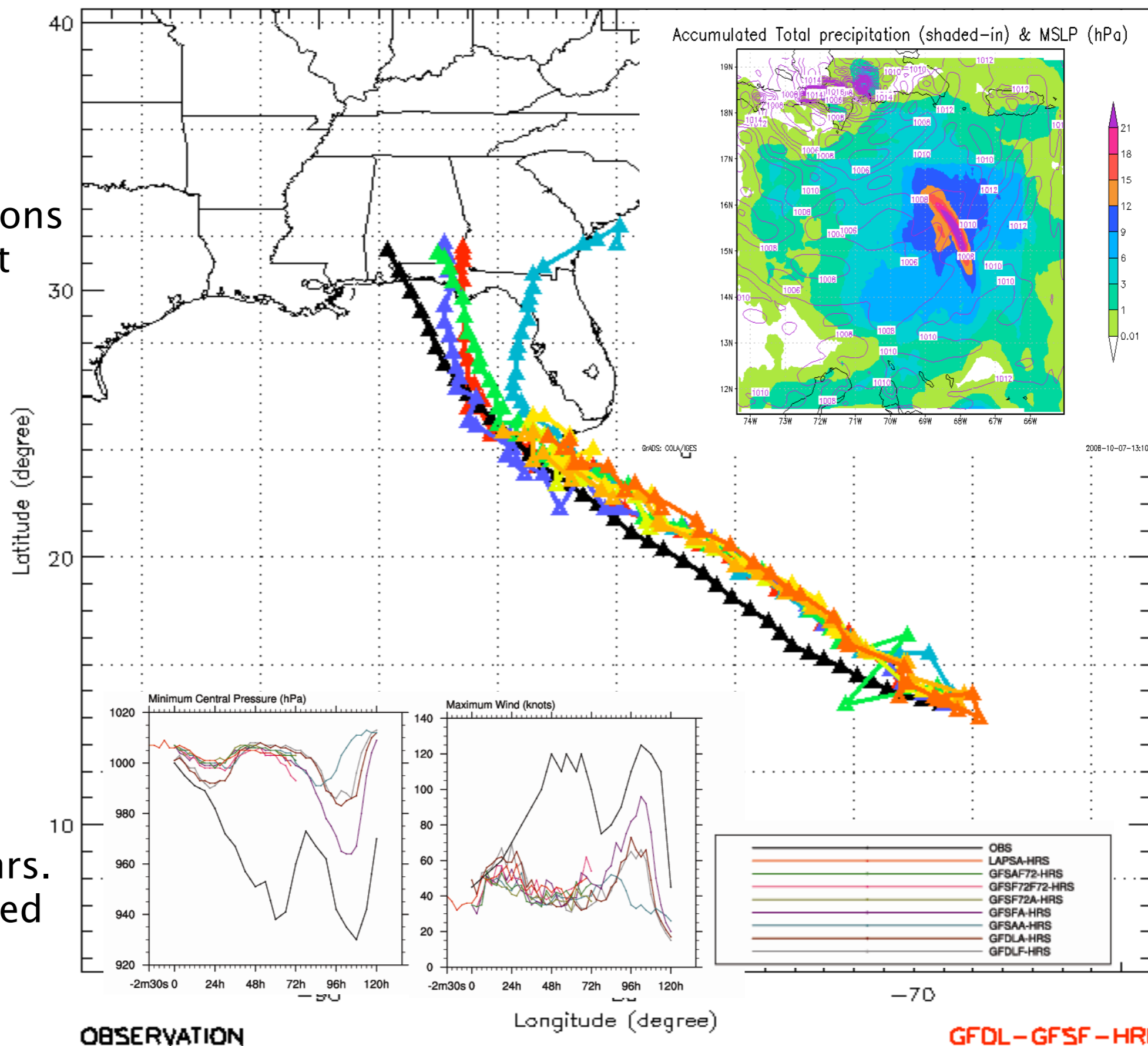
Hurricane Models



## HURRICANE DENNIS04L(2005-07-06) TRACK

ensemble  
track  
predictions  
using GFDL,  
LAPS, LAPS,  
initial conditions  
and HRS. Best  
track...black

lowest P  
left, and  
peak wind  
for Dennis  
(black line)  
out to 120hrs.  
All runs failed  
on early  
deepening



Total  
Precip  
GFDL run

GFDL-GFSF-HRPS MODEL

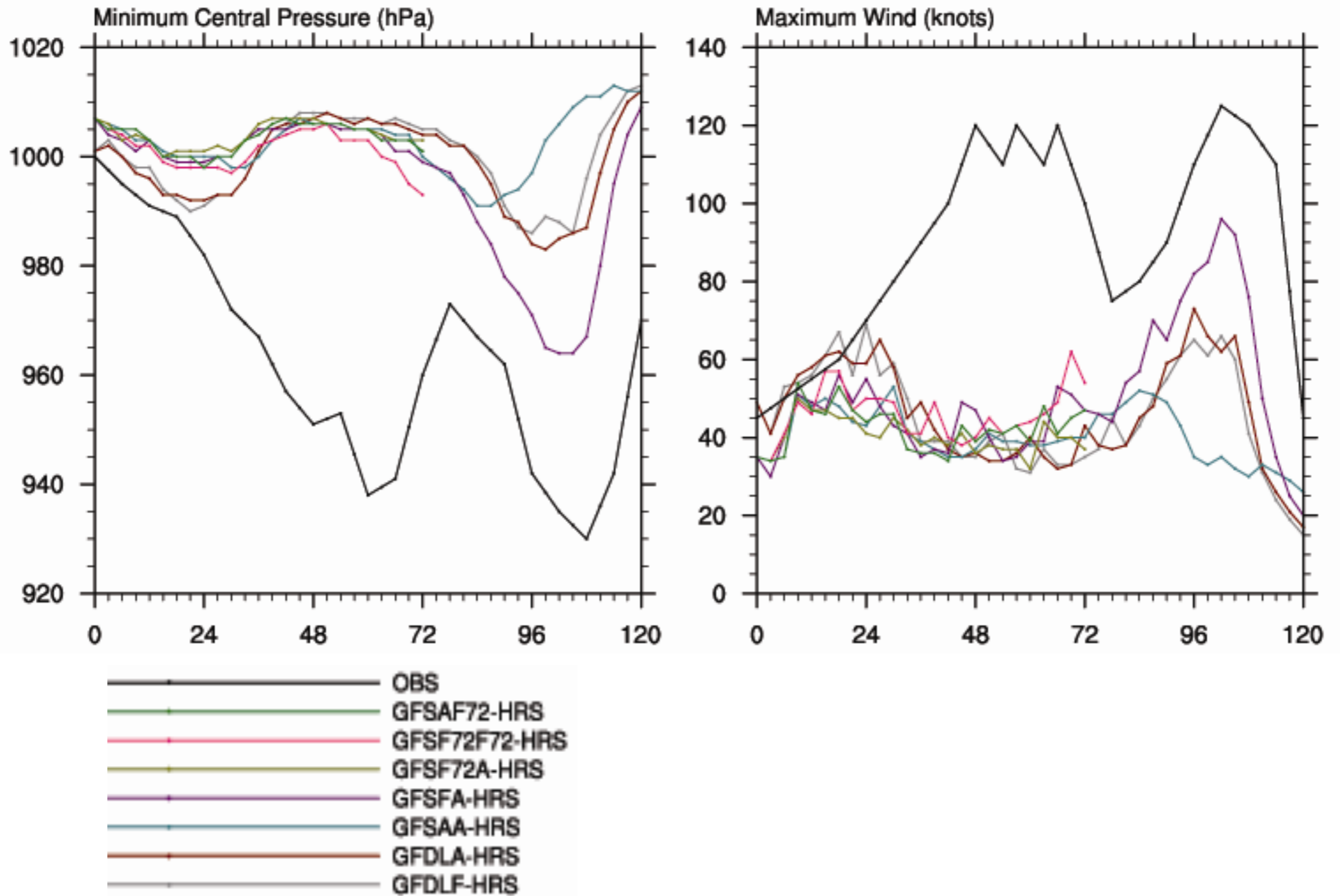
	<b>GFDL</b>	<b>GFS (forecast 120)</b>	<b>GFS (analysis)</b>	<b>GFS (forecast 72)</b>
H-Resolution	0.167 deg.	1 deg.	1 deg.	1 deg
T-Resolution	0-126hr, 6h	0-180 hr, 3hr	0, 6hr	6-72 hr, 6hr
Scale	Regional	Global	Global	Global

\* GFDL: Geophysical Fluid Dynamics Laboratory model

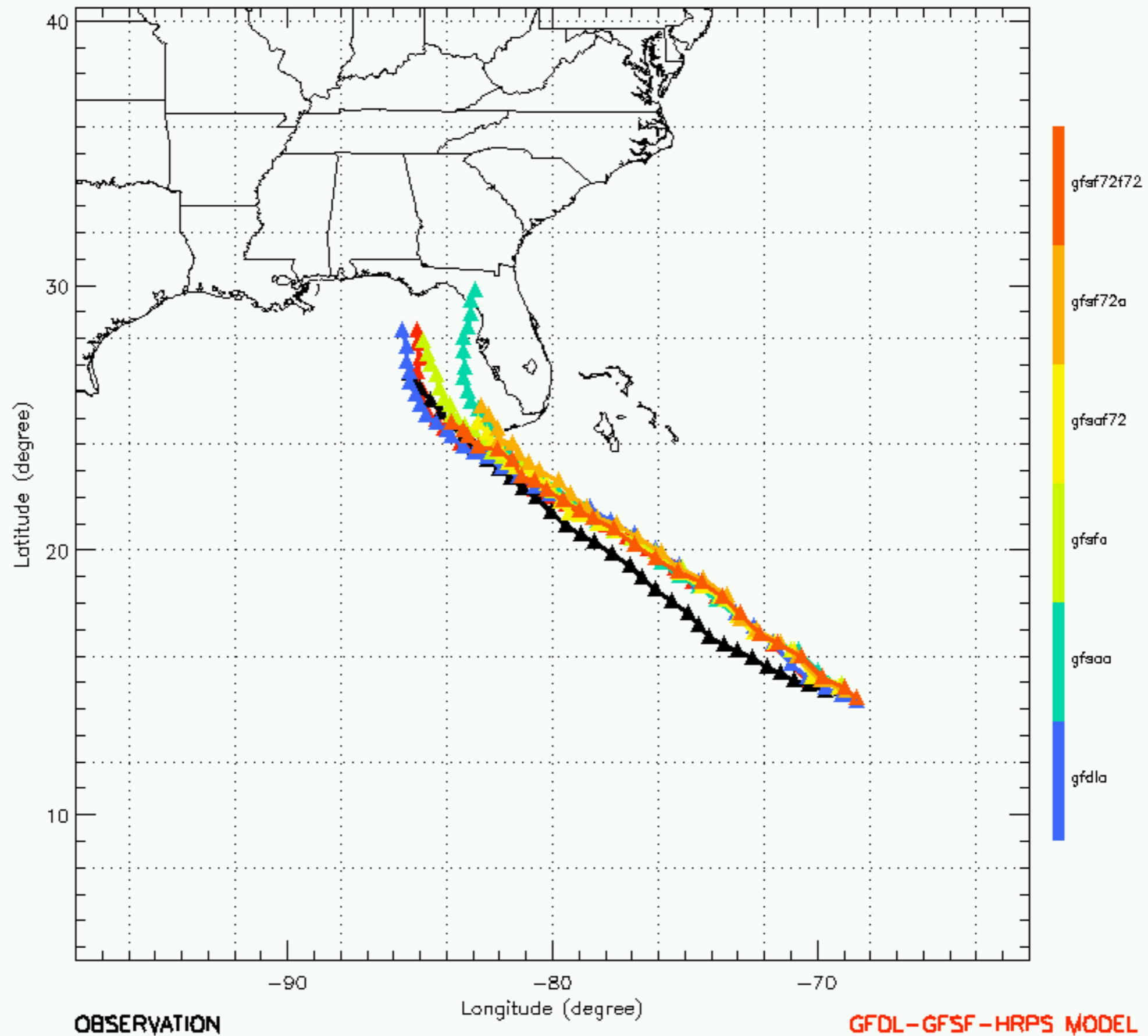
\* GFS : Global forecast System

<b>Pressure</b>	<b>Surface</b>	<b>Experiment</b>
GFDL	<b>GFS Forecast</b>	GFDLF (Operation of NHC, HRD)
<b>GFDL</b>	<b>GFS Analysis</b>	GFDLA
<b>GFSA</b>	GFS Analysis	GFSAA
<b>GFSF</b>	GFS Analysis	GFSFA
<b>GFSF72</b>	<b>GFS Analysis</b>	GFSF72A
GFSF72	<b>GFS Forecast 72</b>	GFSF72F72
GFSA	GFS Forecast 72	GFSAF72

## DENNIS(2005-07-06)



## HURRICANE DENNIS04L(2005-07-06) TRACK



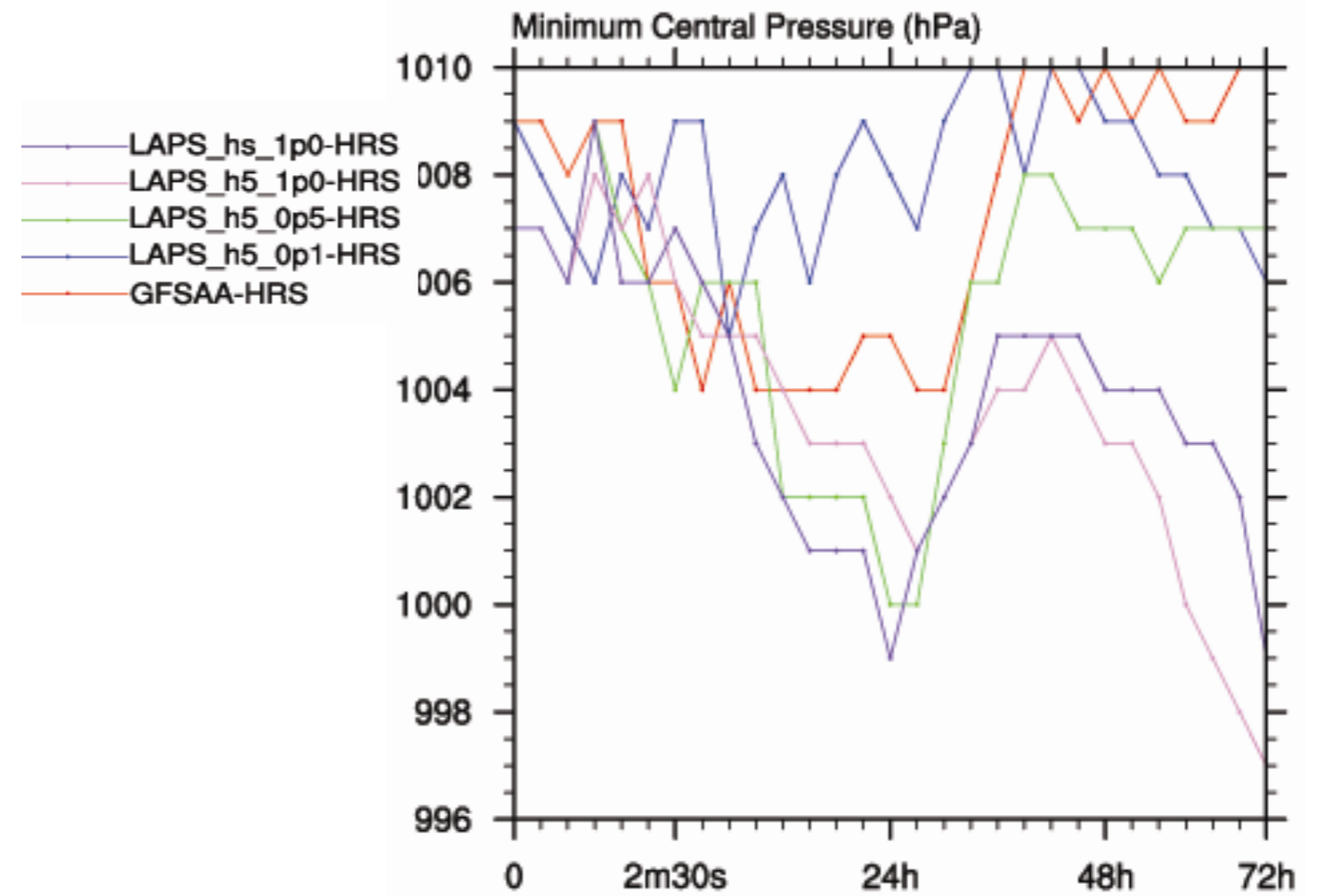
Pressure	Surface	Experiment	Results
GFDL	GFS Forecast	GFDLF (Operation of NHR)	< 36hr fcst. (pres.), < 9hr fcst. (wind)
GFDL	GFS Analysis	GFDLA	` `
GFSA	GFS Analysis	GFSAA	
GFSF	GFS Analysis	GFSFA	All the time, Rank=2
GFSF72	GFS Analysis	GFSF72A	
<b>GFSF72</b>	<b>GFS Forecast 72</b>	<b>GFSF72F72</b>	All the time, Rank=1
GFSA	GFS Forecast 72	GFSAF72	

1. GFDLF and GFDLA is good for the short forecast time
2. Analysis is not always good as IBCs for the hurricane forecast  
ex) For the initiation IBCs at 00, 06, 12, 18 UTC,  
GFS Forecast is good as IBCs during longer forecast.
3. Intensity is sensitive on various IBCs conditions, while it's similar on track forecasts.

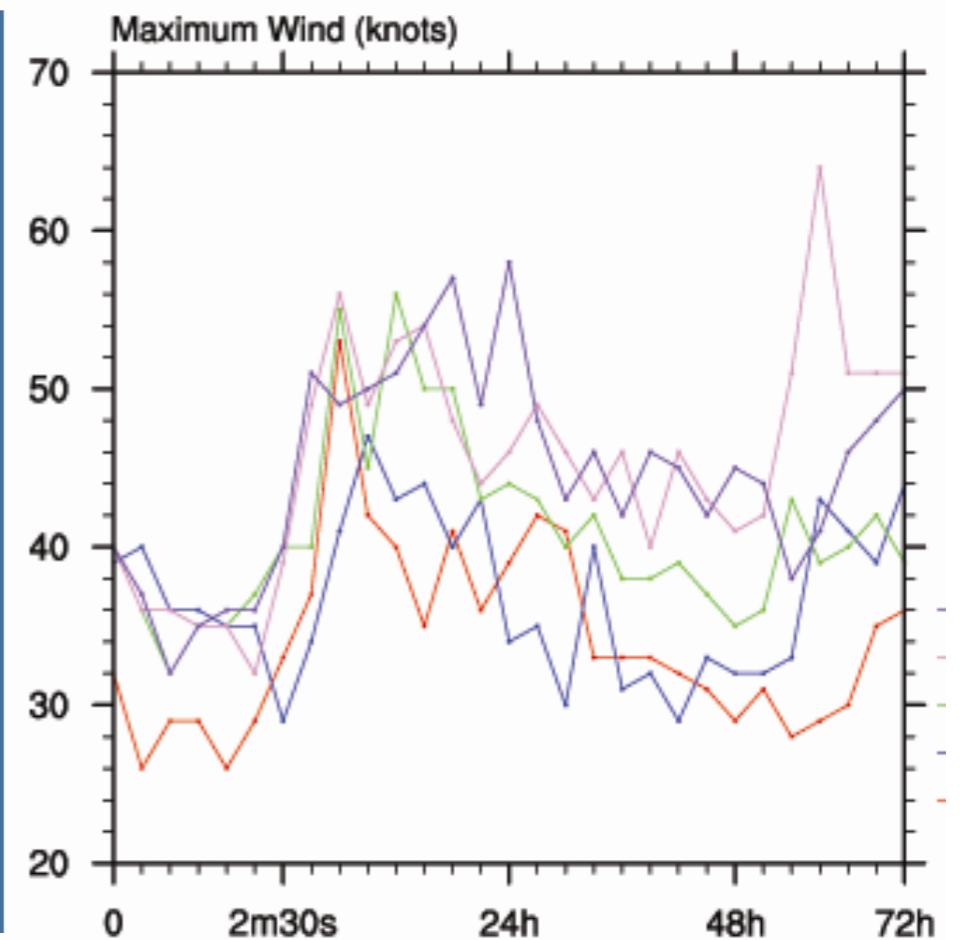
To investigate the OBS sensitivity and Hot start in LAPS initialization based on GFSA LBCs ....

Experiment	Description
CNTL	No radar data assimilation, GFS Initial and lateral boundary conditions
Exp-h5_Wd_Vr	<b>Radar wind</b> only, <b>Groundsonde</b> upper level only, <b>surface pressure</b> , Hot start_ <b>Weak</b> diabatic
Exp-h5_Md_VrRef	Radar wind and <b>reflectivity</b> , Groundsonde <b>full level</b> , surface pressure, Hot start_ <b>Medium</b> diabatic
Exp-h5_Sd_VrRef	Radar wind and reflectivity, Groundsonde full level, surface pressure, Hot start_ <b>Strong</b> diabatic
Exp-hs_Sd_VrRef	<b>Hydrostatic surface boundary condition</b> , Radar wind and reflectivity Groundsonde full level, Hot start_ <b>Strong</b> diabatic

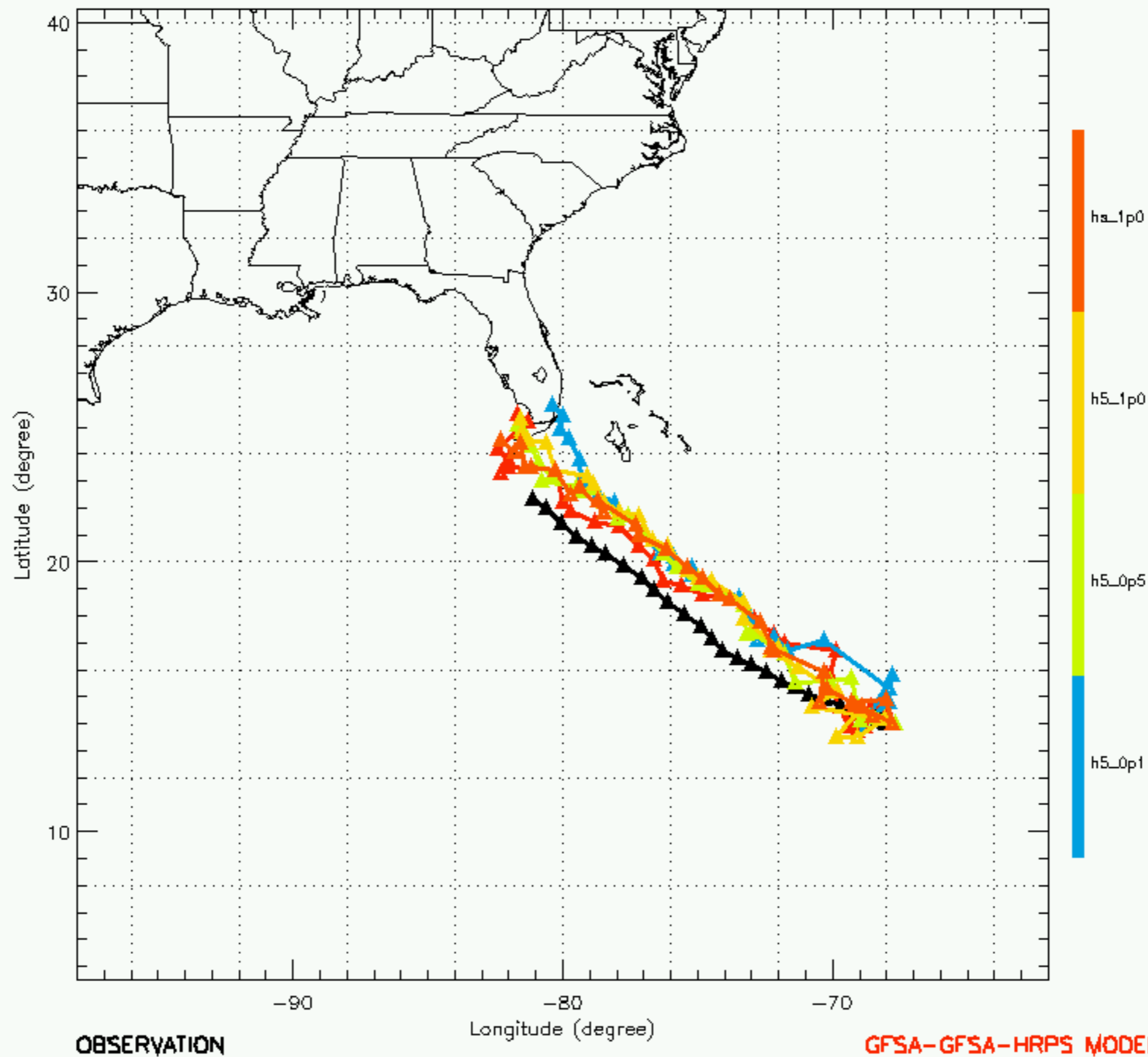
# DENNIS(2005-07-05-2130)



Hurricane Intensity is dependent on initialization conditions: Strong diabatic, radar and sonde data assimilation shows improvement



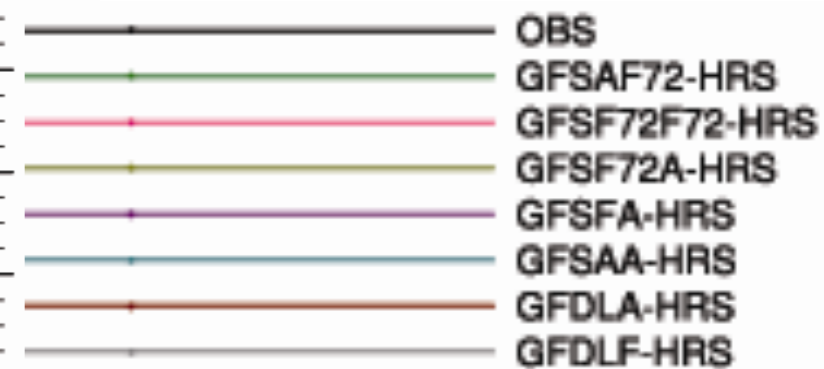
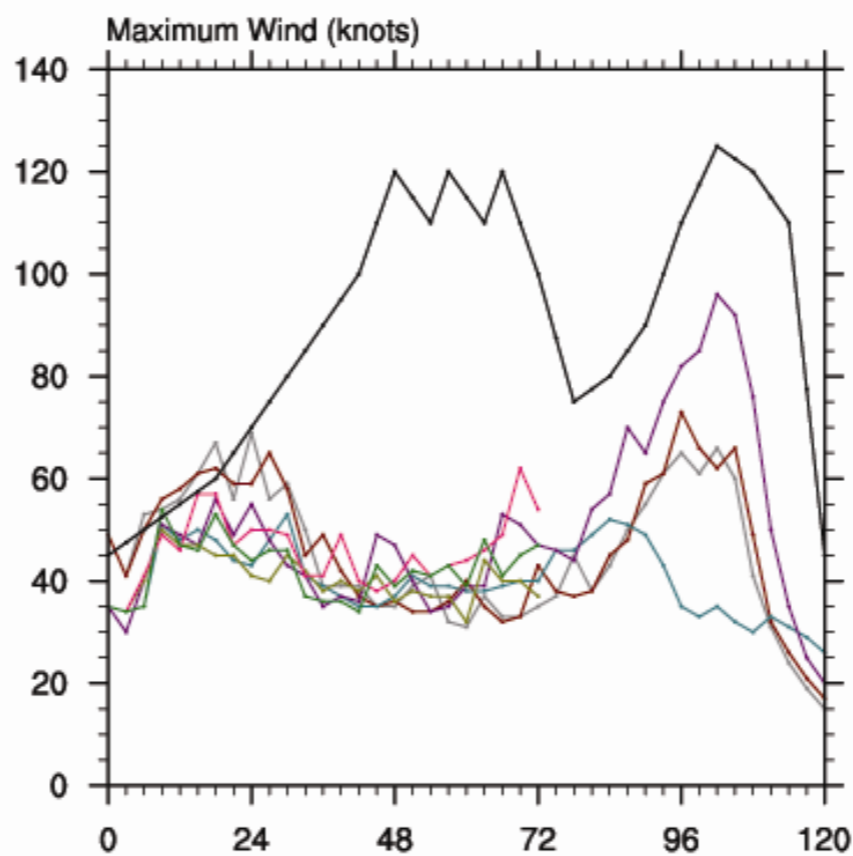
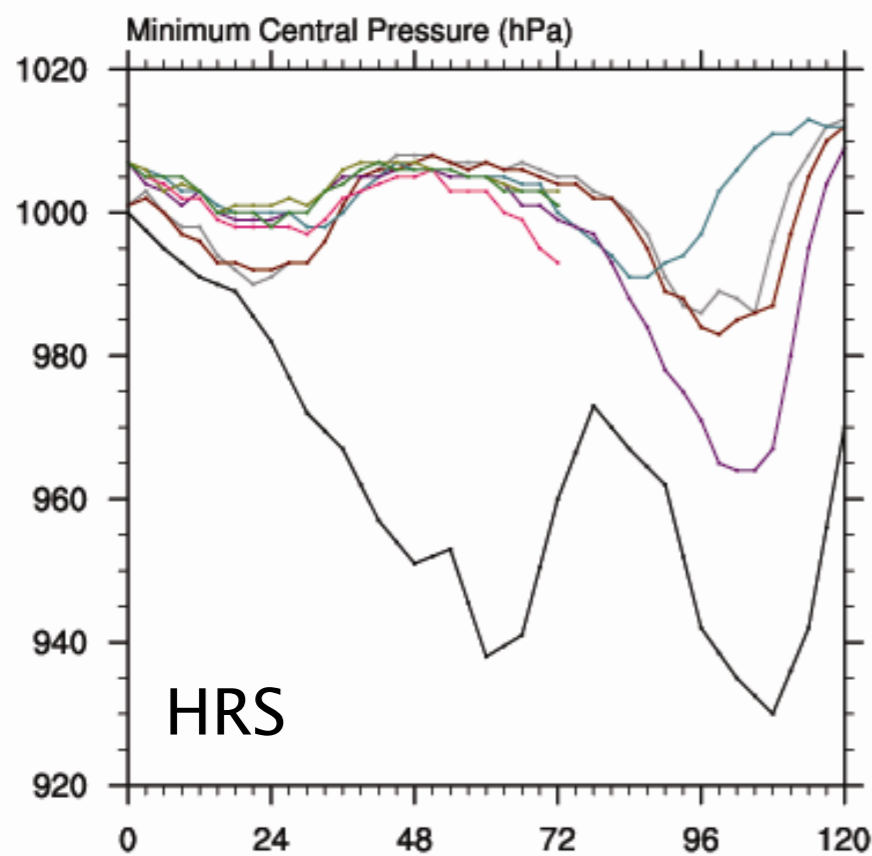
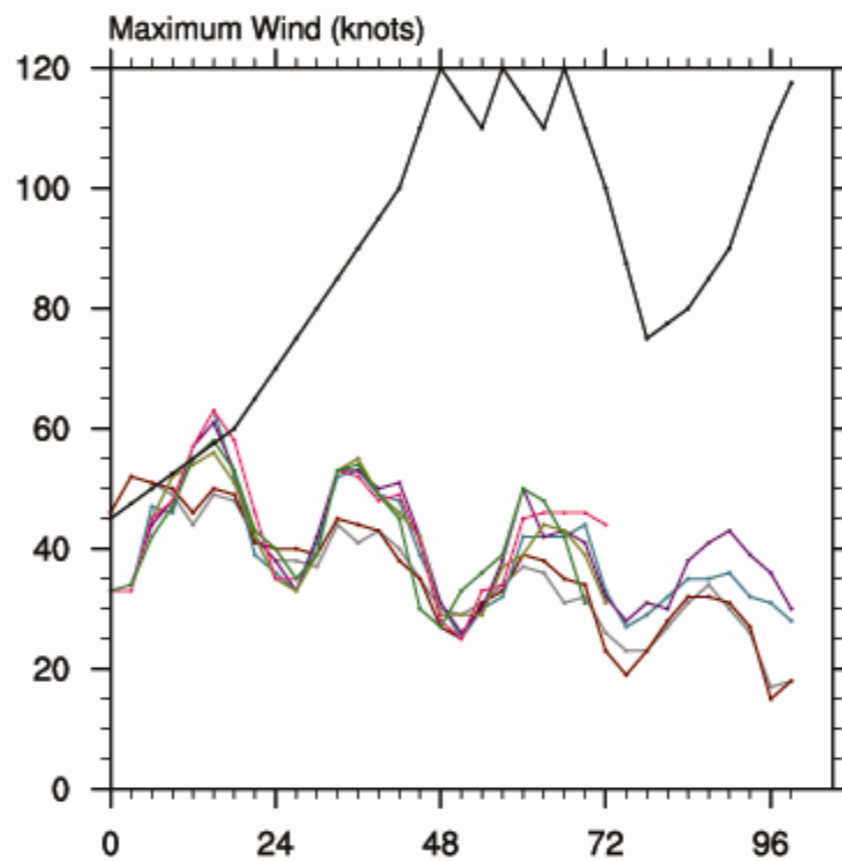
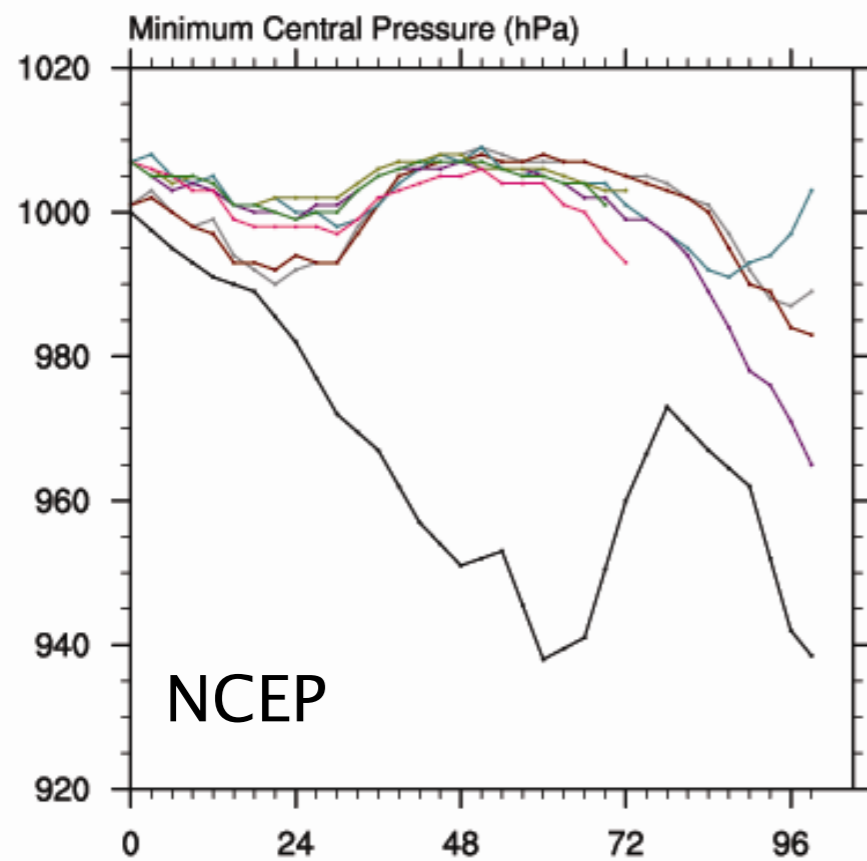
## HURRICANE DENNIS04L(2005-07-05-2130) TRACK



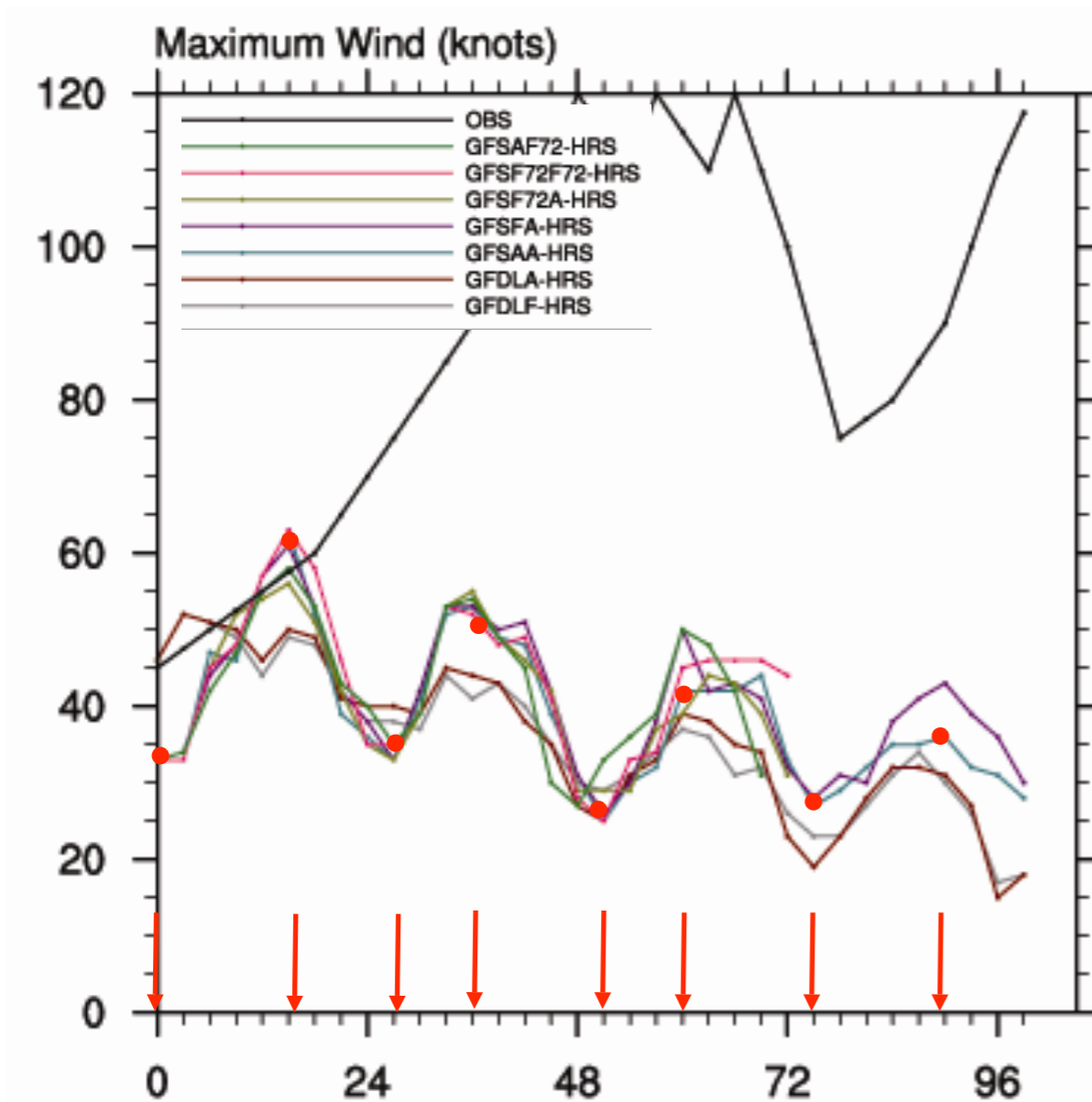
About tracker for the verification

	NCEP		HRS
	Tracker		Diapost
700 & 850 hPa	Relative vorticity (max), wind magnitude (min), and geopotential height (min)		
Sea level	MSLP (min)		MSLP (minval) over 20 x 40 box domain
			Point at minimum slp
→ Storm Center			
Surface level	Wind (max)	Wind Speed (maxval) over nested whole domain	
→ Maximum wind speed near the storm center			

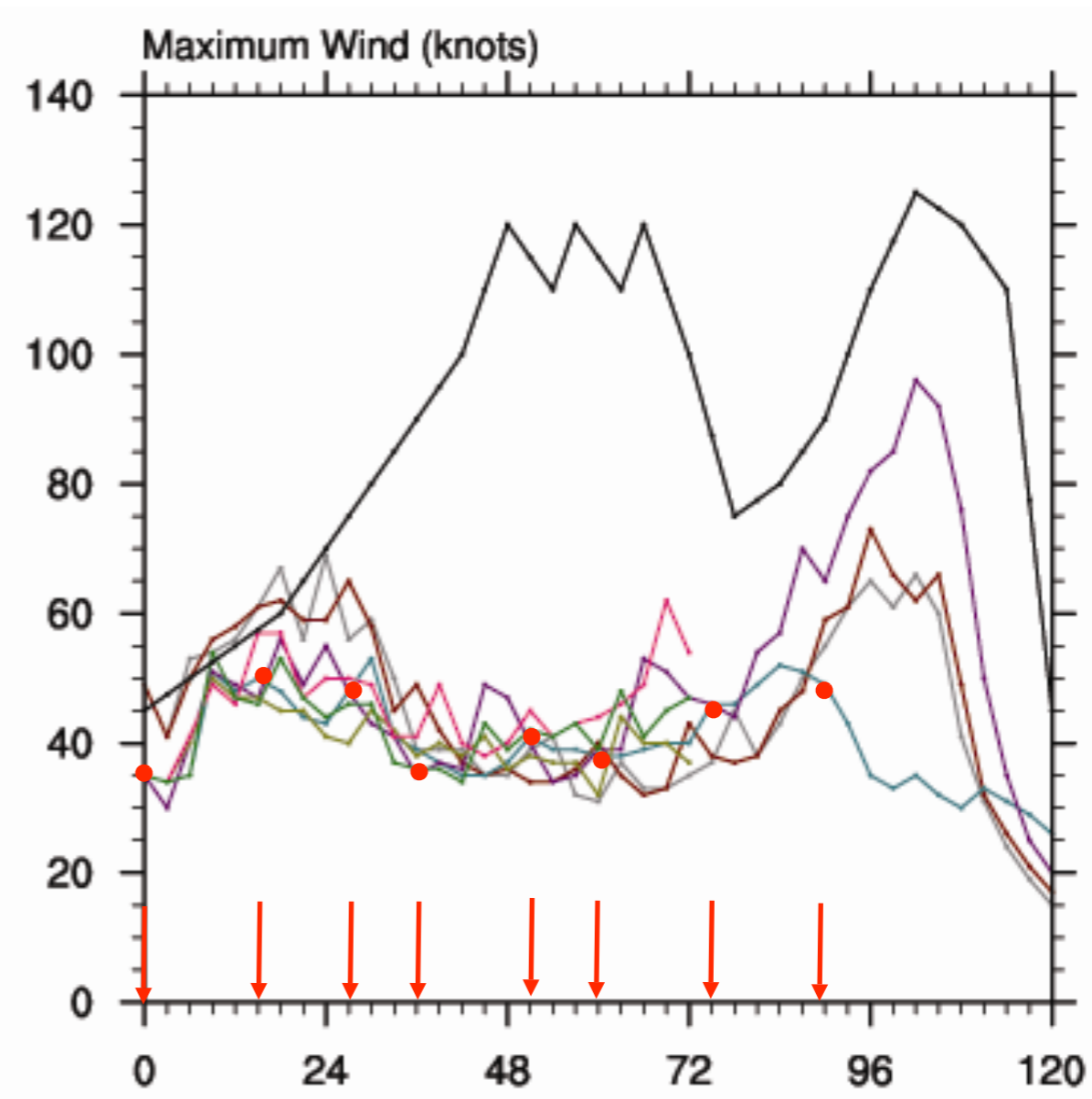
# DENNIS(2005-07-06)



	0	15	27	36	51	60	75	90
Gettrk- Vmax	33	63	33	53	25	42	27	36
Diapost- Vmax	35	50	48	39	41	38	46	49



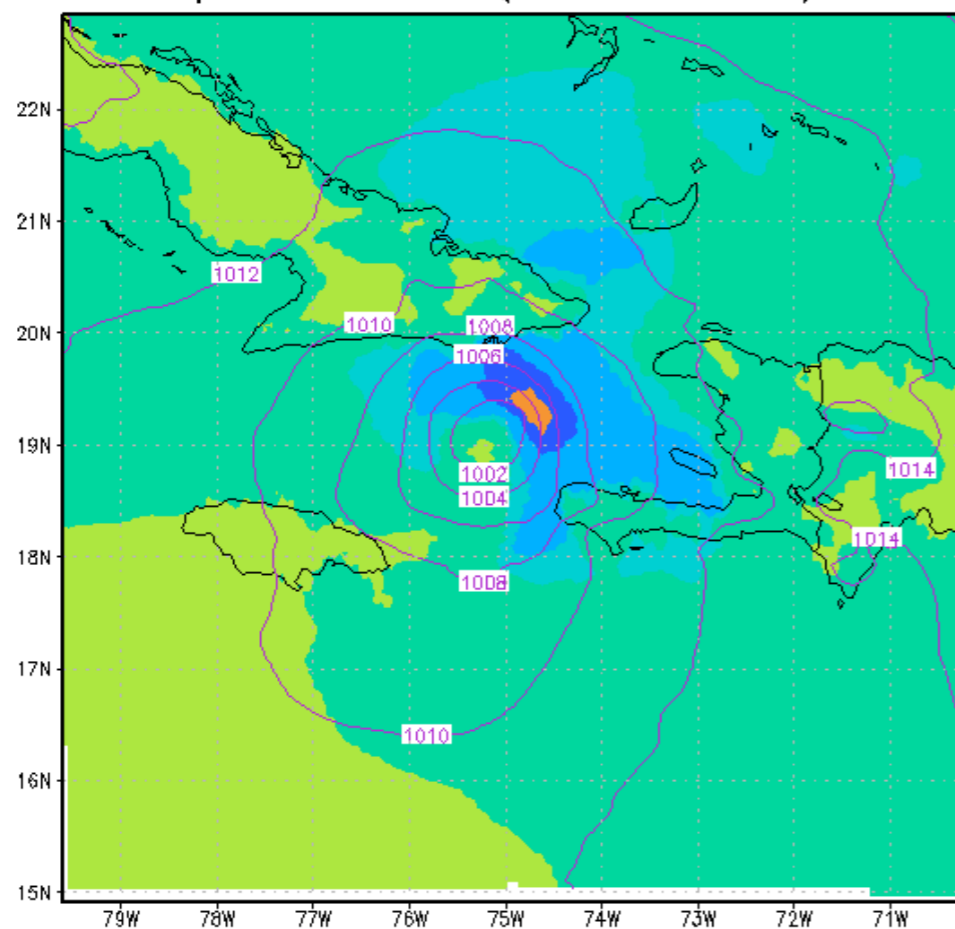
Tracker of NCEP



Tracker in HRPS

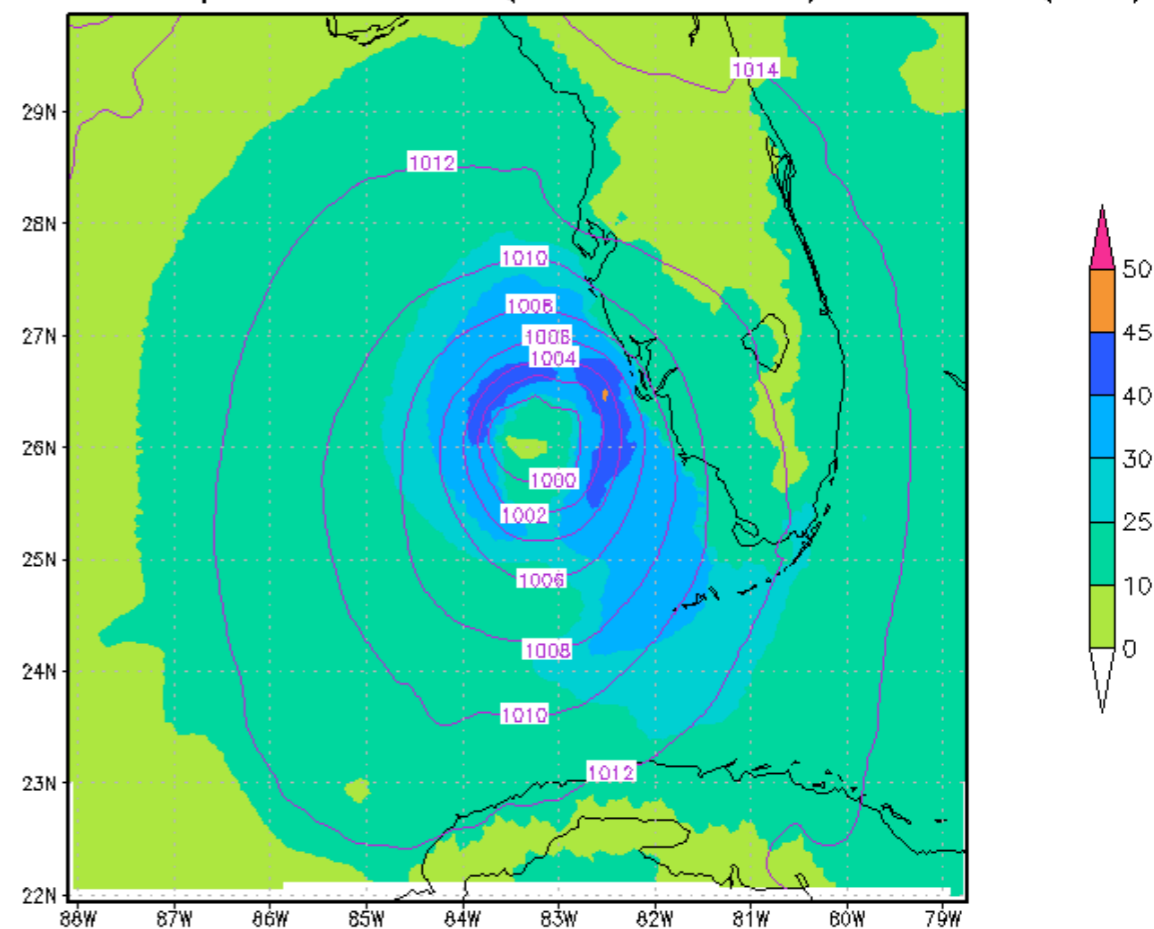
	0	15	27	36	51	60	75	90
Gettrk- Vmax	33	63	33	53	25	42	27	36
Diapost- Vmax	35	50	48	39	41	38	46	49

Surface wind speed at 10m (shaded-knots) & MSLP



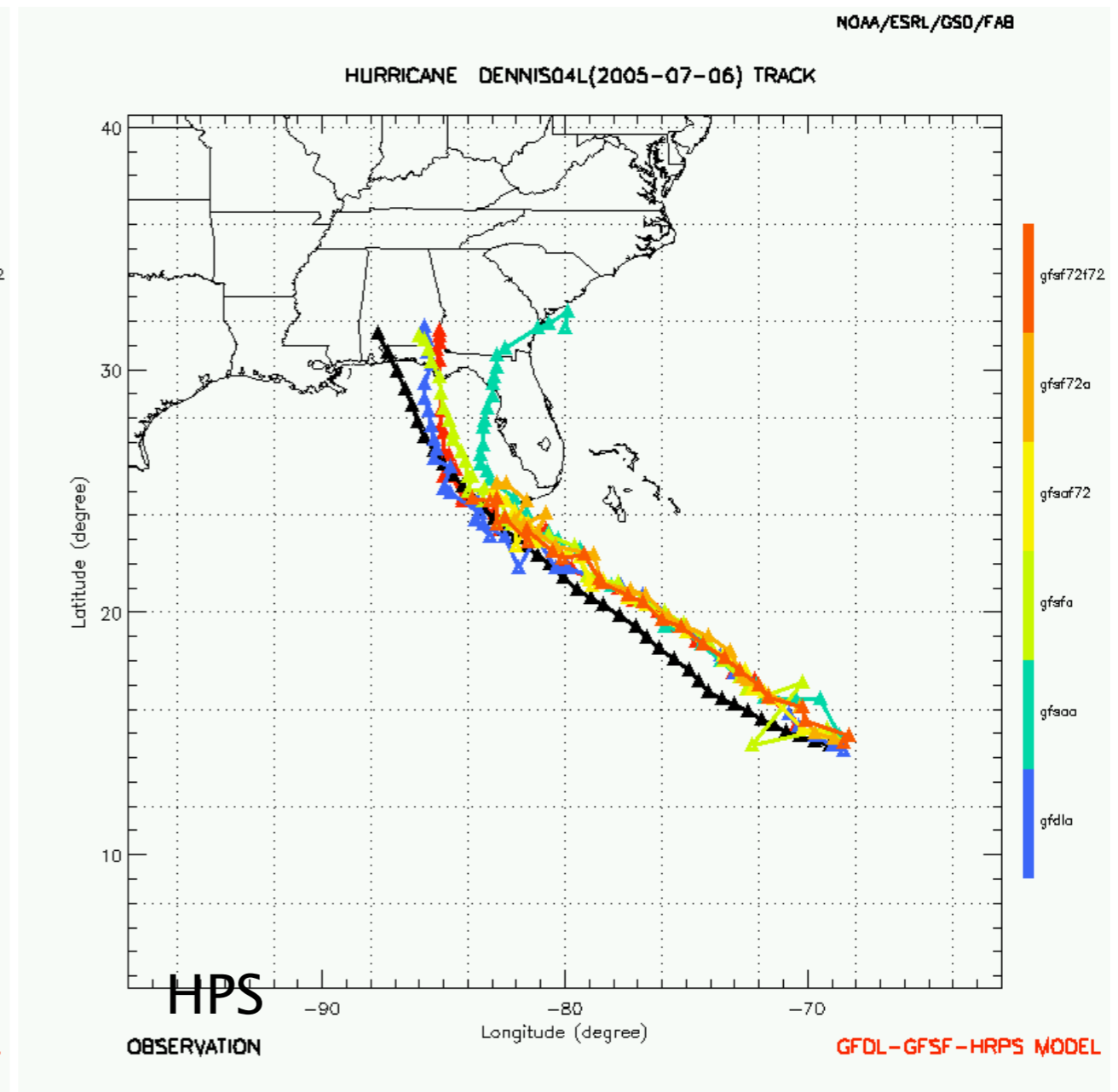
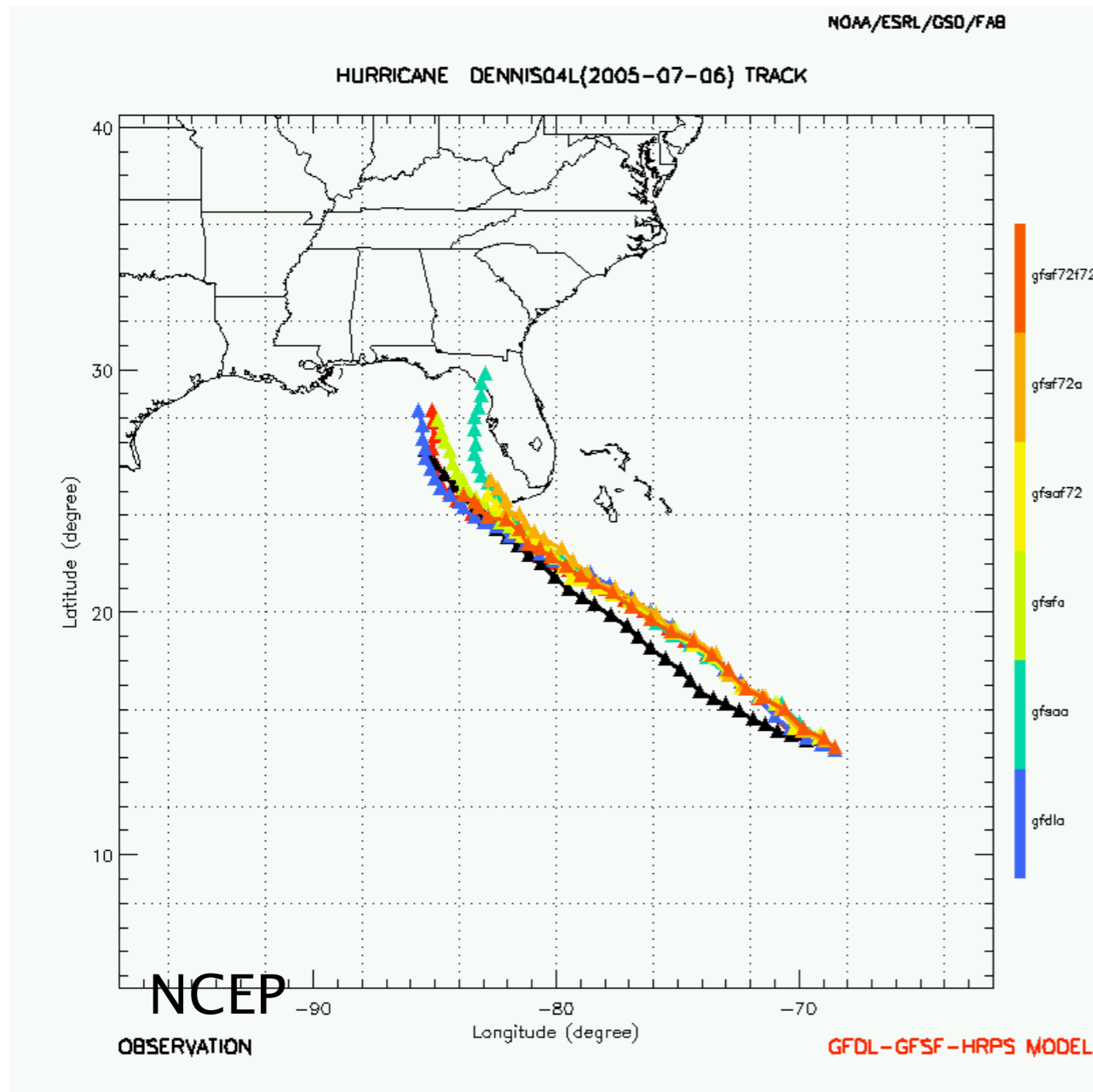
GrADS: COLA/IGES

Surface wind speed at 10m (shaded-knots) & MSLP (hPa)



1 GrADS: COLA/IGES

2008-10-10-16:07



- Track forecast : NCEP tracker > HRS tracker
- Intensity forecast : HRS tracker > NCEP tracker
- It means in the track forecasts it might be important on consideration deep environment (ex, vertical weighted NCEP tracker), in the wind forecasts is might be reasonable to consider maximum wind valued within criteria in hurricane in the Dennis case study.
- All of points should be considered various HURRICANE cases at least 40-50 cases.....